

# ***PART III***

## ***CAPITAL PROGRAM AND FINANCING PLAN***



# CAPITAL PROGRAM AND FINANCING PLAN

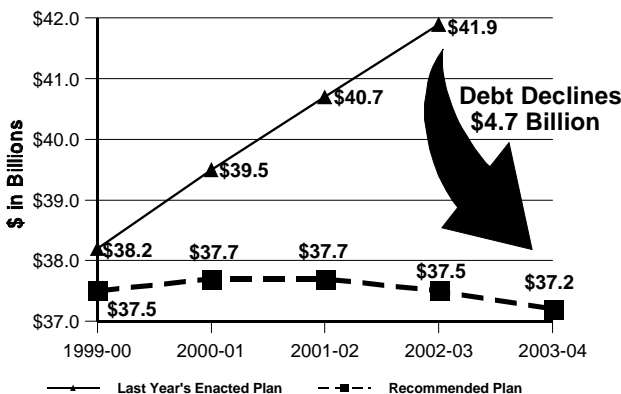
## HIGHLIGHTS

### HISTORIC REDUCTIONS IN STATE DEBT

With this Budget, Governor Pataki dramatically accelerates his program to reverse the soaring debt levels that are the legacy of the excesses of the past. The Governor's Five Year Capital Program and Financing Plan (the Plan) significantly reduces both State-supported debt and the share of State resources devoted to pay debt service costs.

For the first time in decades, State-supported debt outstanding and debt service costs will decline. Compared to the 1998-99 enacted Plan, State-supported debt will be reduced by \$4.7 billion and, importantly, be reduced in every year of the Governor's Plan. The debt reduction initiatives are accomplished primarily by financing a larger share of the Plan with pay-as-you-go resources, including funds from the Debt Reduction Reserve Fund, which is increased by a deposit of \$250 million in 1999-2000, and a share of moneys from the tobacco settlement. A combination of prudent levels of capital spending and additional funds set aside beginning in 1998-99 ensures that as debt declines, and pay-as-you-go spending increases, the State's debt burden becomes more affordable.

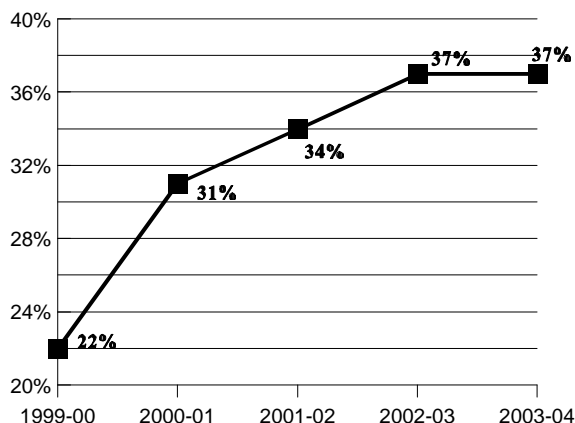
**Historic Declines In State Debt**



State-supported debt will decrease from \$37.5 billion in 1999-2000 to \$37.2 billion in 2003-04; reducing debt by \$4.7 billion from the final year of the previous Plan.

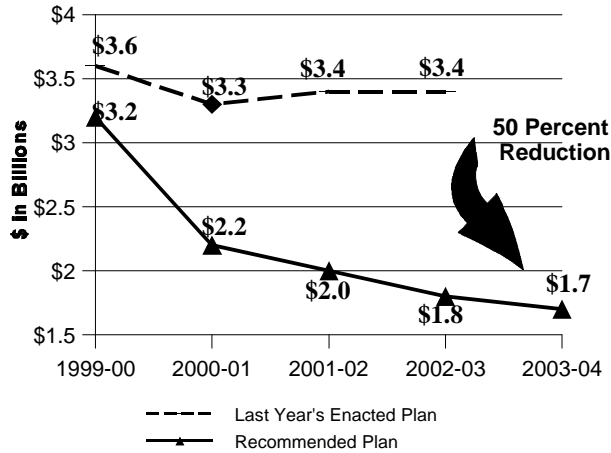
Pay-as-you-go spending will increase by about \$165 million in 1999-2000 from the last Plan to a level of \$962 million, and will increase to almost \$1.4 billion in 2003-04; increasing the percentage of capital spending financed with pay-as-you-go resources from 22 percent in 1999-2000 to 37 percent in 2003-04.

**Pay-As-You-Go Spending Increases**



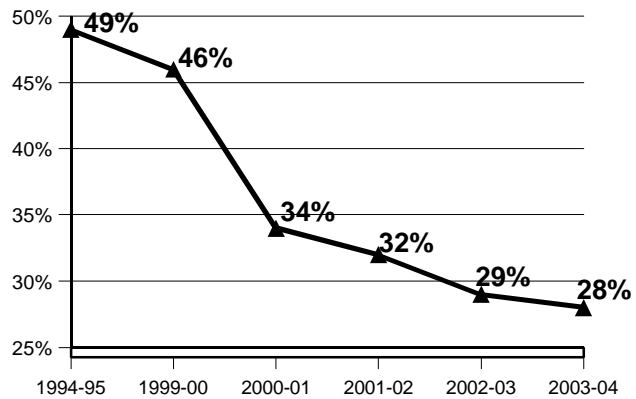
# FINANCING PLAN

## Debt Issuances Decline In Every Year



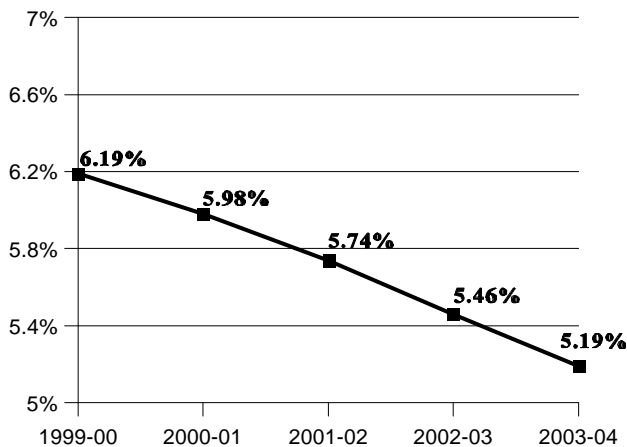
New debt issuances will decline in every year of the Plan; with a 50 percent reduction from the final year of the previously enacted Plan. By the end of the Plan, annual retirements exceed new debt issuances.

## Bond Financed Spending Is Significantly Reduced



The share of the Plan financed with bonds will decline from 46 percent in 1999-2000 to 28 percent in 2003-04.

## Percent of State Debt to Personal Income Declines



Declines in State debt lower debt outstanding as a percent of personal income from 6.19 percent in 1999-2000 to 5.19 percent in 2003-04.

Debt service costs as a percent of All Funds resources also declines from 5.38 percent in 1999-2000 to 5.05 percent in 2003-04.

The Plan's recommendations achieve a carefully constructed balance between efforts to reduce and control the growth in outstanding debt while responsibly addressing the State's diverse capital needs. These debt reduction efforts are partially financed by the Debt Reduction Reserve Fund, which is increased by a deposit of \$250 million in 1999-2000. The Plan reflects prudent levels of targeted spending which are designed to maintain the State's transportation infrastructure and promote economic development; prepare our children to meet the demands of the 21<sup>st</sup> Century; protect the health of New Yorkers and the State's environmental landscape; and ensure the safety of all citizens by developing and maintaining adequate public protection facilities.

As compared to the prior Plan, total debt issuances are reduced by nearly \$5 billion. This includes increasing pay-as-you-go spending to replace bond financing for eight separate programs, which will reduce debt issuances by more than \$465 million and save taxpayers approximately \$130 million in debt service costs. Beginning in 1999-2000, pay-as-you-go spending will be used to replace bond financing for the following five programs:

- The Western New York Nuclear Service Center (West Valley) administered by the Energy Research and Development Authority;
- Facilities owned and operated by the State Education Department;
- Laboratories and hospitals owned and operated by the Department of Health;
- The Underground Petroleum Storage Tank Program administered by the Office of General Services, completing the program one year earlier than projected; and
- The Youth Centers Program for capital projects at youth facilities operated by not-for-profit or charitable organizations or municipalities.

Beginning in 2000-01 pay-as-you-go spending will replace bond financing for three additional programs:

- Housing, including the Housing Trust Fund, Public Housing Modernization, Affordable Housing Corporation and Homeless Housing Assistance Program;
- Youth facilities operated by the Office of Children and Family Services; and
- The State's match for the Clean Water State Revolving Fund Program.

In addition, the Community Enhancement Facilities Assistance Program will be lowered by \$75 million, commensurately reducing debt financing for this program, at the same time the remaining debt will be retired sooner than originally planned.

## **OVERVIEW**

The Plan is submitted with the Executive Budget in accordance with section 22-c of the State Finance Law. It consists of two sections, the Financing Plan and the Capital Program Plan, which provide capital planning and financing information for the current fiscal year and the five-year period 1999-2000 through 2003-04.

The Financing Plan section provides a summary of agency capital spending by financing source and on a program, agency and issuer basis. It also shows the level of debt issuances, retirements, outstanding State-supported debt and the resultant impact on future debt service costs.

The Capital Program Plan section provides agency capital goals and objectives, including a discussion of recommended projects and changes from prior year plans. Also included in this section are the recommended methods for financing new and ongoing

## FINANCING PLAN

capital programs or initiatives, and agency schedules of projected appropriations, commitments and disbursements. The agency narratives provide a summary of each agency's capital maintenance efforts and corresponding appropriations for preventive maintenance.

### FINANCING PLAN

#### INTRODUCTION

This section discusses the financing sources for each agency's capital program. Projected levels of spending by fiscal year are arrayed according to the expected source of funding. These include pay-as-you-go resources, proceeds of general obligation or authority bonds, and Federal grants.

The Financing section of the Capital Program and Financing Plan presents the State's current assumptions about future Federal grants, general obligation bond authorizations, the use of State-supported debt, expected General Fund transfers, and support from other resources. The capital spending and financing receipts presented in this section correspond to the financial projections contained in the Executive Budget. Five-year financial plans for the Capital Projects Funds and the Debt Service Funds are also included to provide the reader with a consolidated summary of all financial activity described in this Plan. Finally, this section includes schedules of bond issuances, retirements, debt outstanding and associated debt service costs for all State-supported debt. This information is stated separately for each program, by issuer.

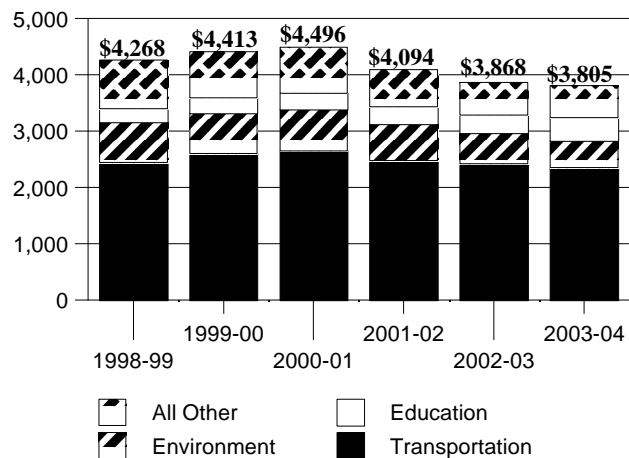
#### CAPITAL SPENDING SUPPORTS HIGH PRIORITY CAPITAL INVESTMENTS

Recommended 1999-2000 capital spending increases by 3.4 percent from 1998-99, while average annual spending over the Plan decreases by 3.6 percent. The increase in 1999-2000 is primarily attributable to increases in spending for transportation and public protection while the outyear spending decline is attributable to the completion of various programs begun in prior years and refinements in projected spending levels.

The Plan recommends new capital appropriations of \$18.8 billion (net of transfers) to continue to support the State's diverse capital investments. Almost 98 percent of those new appropriations are targeted for the preservation of existing facilities while the balance provides for new capital facilities to accommodate additional capacity needs.

Total capital spending over the Plan is projected at \$20.7 billion, an average of \$4.1 billion per year. Spending recommendations are consistent with efforts to reduce State-supported debt and target spending to essential capital investments. Transportation spending,

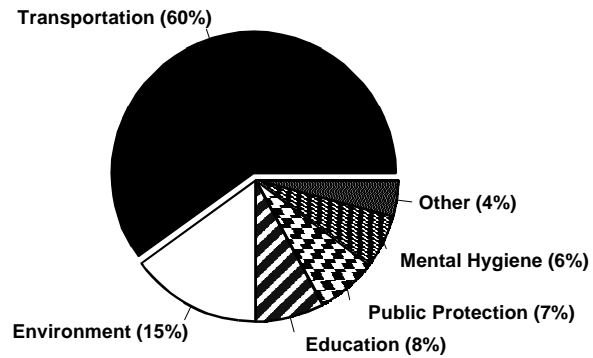
**Capital Spending Prudently  
Restrained**



primarily for improvements to the State's highways and bridges, continues to account for the largest share of total spending, or about 60 percent. Another 15 percent is anticipated for environmental purposes, including the Clean Water/Clean Air Bond Act and approximately 21 percent is projected for education, public protection and mental hygiene purposes. The remainder will be spent in other areas, including economic development and housing. Key spending recommendations include:

- The highway and bridge construction award level of \$1.5 billion in 1999-2000 reflects the final year of the Department of Transportation's five-year, \$13 billion State and local transportation program. Average annual spending of \$2.5 billion includes costs for design, construction management and maintenance and spending for local highways and bridges. Projected transportation spending over the remaining years of the Plan are anticipated to be sufficient to fund essential highway and bridge projects across the State.

**Capital Spending Finances Essential Investments**



- Local transportation programs (Consolidated Highway Improvement Program, or CHIPS, and the Marchiselli Program) will be funded at a level of \$227 million in 1999-2000.
- Clean Water/Clean Air Bond Act appropriations and reappropriations are recommended for the balance of the \$1.75 billion voter-approved environmental initiatives, which include spending for air and water quality improvements and the restoration of industrial sites. Annual spending during the five-year Plan from the Environmental Protection Fund will average over \$116 million. Spending declines for certain other environmental programs reflect the completion of prior voter-approved bond authorizations and an anticipated decline in Federal support for water pollution control facilities. Finally, beginning in 2001-02, pay-as-you-go disbursements of \$100 million per year are reflected for a program for the cleanup of hazardous substance sites and to continue the clean up of hazardous waste sites after the 1986 Environmental Quality Bond Act funds are committed.
- Appropriations and reappropriations of over \$2.2 billion for Department of Correctional Services will support a new 750-cell maximum security prison and completion of the capital expansion plan commenced in 1997-98 to provide additional capacity, as well as to continue support for capital rehabilitation projects and the Health Care Infrastructure Program. These spending initiatives will provide increased capacity to support sentencing reforms, including Jenna's Law, ensuring the safety of all New Yorkers. In addition, a three million dollar appropriation will address New York City Watershed Protection concerns at Bedford Hills Correctional Facility.
- \$3.7 billion of reappropriations will continue to support multi-year capital investments at the State and City Universities to address critical and deferred maintenance and support the phased revitalization of campuses to meet changing academic needs.
- State support for low and moderate income rental and home ownership and homeless housing programs is maintained.

## FINANCING PLAN

- Spending of over \$1.2 billion is recommended for Mental Hygiene projects, including essential health and safety, rehabilitation and maintenance projects needed to preserve and maintain both institutional and community-based facilities operated and/or licensed by the Office of Mental Health, the Office of Mental Retardation and Developmental Disabilities, and the Office of Alcoholism and Substance Abuse Services.
- Funding is continued for the construction of a State office building for the Office of the State Comptroller, a new parking garage for downtown Albany and a new Department of Environmental Conservation building. Also included is the rehabilitation and consolidation of other State office buildings to reduce operational costs and achieve space efficiencies.
- Funding is included to expand capacity and to replace the Harlem Valley Youth Facility. Spending for the new youth facility will occur over the first four years of the Plan and will be financed predominantly with pay-as-you-go resources.

Capital Spending by Function  
1998-1999 through 2003-2004  
(thousands)

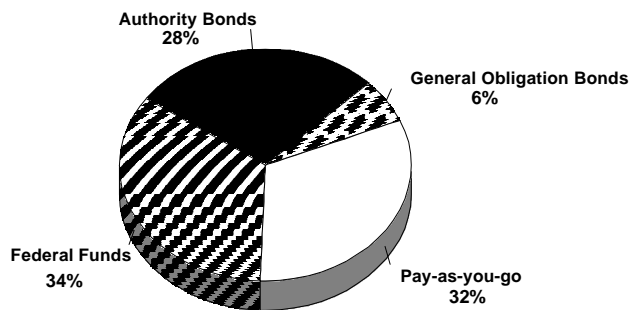
	1998-1999	1999-2000	2000-2001	2001-2002	2002-2003	2003-2004
Transportation	\$2,439,537	\$2,608,641	\$2,654,267	\$2,482,623	\$2,417,321	\$2,356,248
Environment and Recreation						
Clean Water/Clean Air and						
Environmental Protection Fund	240,525	253,817	290,920	301,089	294,661	290,724
Federal and Other Programs	475,301	454,871	442,274	348,724	250,876	184,257
Education	246,430	272,930	286,230	297,030	328,830	404,730
Public Protection	385,300	378,229	375,728	238,445	187,200	187,638
Mental Hygiene	282,043	271,264	263,911	248,083	234,001	232,009
Housing and Economic Development	107,908	92,800	92,800	94,300	98,300	99,750
Other	90,601	80,363	90,146	83,273	56,566	49,620
<b>Total</b>	<b>\$4,267,645</b>	<b>\$4,412,915</b>	<b>\$4,496,276</b>	<b>\$4,093,567</b>	<b>\$3,867,755</b>	<b>\$3,804,976</b>

### DEBT REDUCTION INITIATIVES REDUCE DEPENDENCE ON BOND FINANCING

The capital spending portion of the Plan is financed by four sources: pay-as-you-go resources, State general obligation bonds, public authority State-supported bonds, and Federal grants. The State's dependence on bond financing will be greatly alleviated by significantly increasing the share of capital spending financed with pay-as-you-go resources and Federal grants. With this Plan, pay-as-you-go resources will finance 32 percent of spending, more than twice the 1998-99 enacted Plan's five-year average of 15 percent. Federal grants, another form of cash

resources, will finance 34 percent of total capital spending. Authority bond-financing over the Plan declines to 28 percent, nearly one-half the enacted Plan's five-year average of 50 percent. General Obligation bonds support six percent of the Plan. Reducing the State's dependency on bond-financing ensures that by the last year of the Plan, 72 percent, or almost three-quarters, of total spending will be financed by a combination of State and Federal pay-as-you-go resources.

Financing Sources  
1999-00 Through 2003-04





**Capital Projects  
Capital Spending by Financing Source  
1998-1999 through 2003-2004  
(thousands)**

	<u>1998-1999</u>	<u>1999-2000</u>	<u>2000-2001</u>	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>
Financing Source						
Pay-As-You-Go	\$1,037,234	\$961,746	\$1,405,332	\$1,396,044	\$1,420,303	\$1,388,832
General Obligation Bonds	347,919	315,764	314,235	277,002	230,587	177,441
Authority Bonds	1,638,964	1,728,931	1,195,276	1,020,709	870,741	893,665
Federal Funds	1,243,528	1,406,474	1,581,433	1,399,812	1,346,124	1,345,038
<b>Total</b>	<u>\$4,267,645</u>	<u>\$4,412,915</u>	<u>\$4,496,276</u>	<u>\$4,093,567</u>	<u>\$3,867,755</u>	<u>\$3,804,976</u>

**Capital Projects  
Mix of Financing Sources  
1998-1999 through 2003-2004**

	<u>1998-1999</u>	<u>1999-2000</u>	<u>2000-2001</u>	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>
Financing Source						
Pay-As-You-Go	24%	22%	31%	34%	37%	37%
General Obligation Bonds	8%	7%	7%	7%	6%	5%
Authority Bonds	38%	39%	27%	25%	23%	23%
Federal Funds	30%	32%	35%	34%	34%	35%
<b>Total</b>	<u>100%</u>	<u>100%</u>	<u>100%</u>	<u>100%</u>	<u>100%</u>	<u>100%</u>

**PAY-AS-YOU-GO FINANCING**

Pay-as-you-go financing refers to payments for capital programs on a current basis from current State revenues. Pay-as-you-go resources include: General Fund taxes; other taxes and user fees set aside or dedicated for specific capital programs; repayments from local governments and public authorities for their share of projects; and transfers from other funds, including the General Fund.

During the Plan, pay-as-you-go financing will total almost 32 percent or approximately \$6.6 billion of total capital projects spending. Compared to the 1998-99 enacted Plan, pay-as-you-go spending will increase by \$165 million in 1999-2000 to \$962 million and will increase to \$1.4 billion in 2003-04. As a result, the percentage of the Plan that is financed with pay-as-you-go resources increases from 22 percent in 1999-2000 to 37 percent in 2003-04.

Capital spending supported by General Fund receipts is classified as a transfer to the Capital Projects Fund. This transfer is projected at \$188 million in 1999-2000. The 1999-2000 Fiscal Year also reflects a transfer of \$50 million from the Debt Reduction Reserve Fund to provide pay-as-you-go resources, rather than bond financing, to support West Valley Nuclear Service Center, the Youth Opportunity Centers Program, the Petroleum Storage Tank Program, and projects for the State Education Department and the Department of Health. Other pay-as-you-go projects financed by the General Fund transfer are primarily for recurring types of minor rehabilitation projects at State facilities of the Office of General Services, the Department of Environmental Conservation and the mental hygiene agencies. The State's commitment to General Fund transfers for direct capital spending, which are included as part of pay-as-you-go financing, will average \$275 million annually over the Plan. Finally, an additional \$250 million will be deposited to the Debt Reduction Reserve Fund in 1999-2000 to further assist in reducing State-supported debt.

## **FINANCING PLAN**

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Dedicated revenues financing pay-as-you-go projects for environmental and recreation purposes average approximately \$150 million during the Plan. In 1999-2000, the Environmental Protection Fund (EPF) will continue to receive \$112 million from the State's real estate transfer tax, as well as other miscellaneous revenues. The EPF is the largest environmental fund with average annual spending of \$116 million, while the State Parks Infrastructure Fund (SPIF), supported exclusively by park fees and other miscellaneous revenues, is expected to finance an average of more than \$26 million annually of improvements to the State's park system.

The largest dollar component of pay-as-you-go financing is for the Dedicated Highway and Bridge Trust Fund, which receives a portion of the petroleum business tax, the motor fuel tax, and motor vehicle fees, and all of the highway use tax. Receipts deposited into the Trust Fund are used to finance projects on a pay-as-you-go basis and to pay debt service on bonds secured by the Fund. Fiscal Year 1999-2000 reflects the implementation of the final year of the Governor's five-year transportation plan. Consistent with efforts to reduce and control debt and increase pay-as-you-go spending, the Plan reflects highway and bridge construction contract awards which total \$1.5 billion in 1999-2000 and in each of the remaining years of the Plan. Additional receipts that will flow to the Fund beginning in 2000-01 will ensure further support for pay-as-you-go spending and a prudent level of Dedicated Highway and Bridge Trust Fund bond issuances, which are projected to remain within debt service coverage requirements.

### **GENERAL OBLIGATION BOND FINANCING**

General obligation bond financing of capital projects is accomplished through the issuance of full faith and credit State bonds which have been authorized by the voters. The Plan assumes the continued implementation of nine previously authorized Bond Acts, (five for transportation and four for environmental and recreation programs) and does not recommend new authorizations. Most of the general obligation spending over the five years is financed by the 1996 Clean Water/Clean Air Bond Act; 1988 ACTION bonds, for highways and bridges; and the 1986 Environmental Quality bonds, primarily for hazardous waste remediation. The remaining six bond acts will be virtually depleted by the end of the Plan.

Spending supported by general obligation bonds remains at approximately eight percent of total spending for 1998-99 and seven percent in 1999-2000, as spending continues primarily from two voter-approved debt authorizations, the 1996 Clean Water/Clean Air Bond Act and the 1986 Environmental Quality bonds. Thereafter, spending supported by general obligation bonds declines annually to 5 percent of capital spending in 2003-04. This decrease is projected despite the \$1.75 billion authorization for the 1996 Clean Water/Clean Air Bond Act, since other remaining authorizations will be mostly spent by 2003-04. Overall, this category accounts for six percent of the Plan's capital spending.

### **AUTHORITY BOND FINANCING**

Authority bond-financed capital spending is reimbursed by the proceeds of bonds sold by State public authorities pursuant to contractual agreements with the State. The dedication of additional pay-as-you-go resources will significantly reduce the share of the Plan financed with authority bonds, resulting in dramatic reductions in State outstanding debt. Authority bond-financing over the Five-Year Plan declines from 39 percent in 1999-2000 to 23 percent in 2003-04. On average, authority bond financing will support 28 percent of spending, down from the 1998-99 enacted Plan's share of 50 percent.

The table of authority bond-financed spending includes those capital programs for which spending is included in the State's Financial Plan. While this includes the majority of such financings, certain programs that are financed directly from bond proceeds (such as the Dormitory Authority-financed CUNY program and the Thruway Authority-financed CHIPS Program) are not counted as spending (although they do count as State-supported debt), since neither the disbursements nor the receipt of bond proceeds are reflected by the Comptroller as activity from State funds or accounts.

The largest component (52 percent) of spending financed by authority bonds is within the Dedicated Highway and Bridge Trust Fund. Consistent with efforts to control the growth in State debt by increasing pay-as-you-go resources, spending supported by Dedicated Highway and Bridge Trust Fund bonds is projected to decrease during the Plan from \$964 million in 1999-2000 to \$430 million by 2003-04. Additional receipts projected to flow to the Trust Fund beginning in 2000-01 will increase pay-as-you-go spending, reduce bonding and continue to ensure that, over the Plan, total projected Trust Fund revenues are more than two times the amount required to pay the maximum aggregate debt service on all outstanding Highway and Bridge Trust Fund bonds.

Authority bond-financed spending for non-transportation programs declines significantly from \$765 million in 1999-2000 to \$463 million in 2003-04. This reduction reflects using pay-as-you-go spending for several previously bond financed programs, the conclusion of a major modernization project at the Roswell Park Cancer Institute, completion of the mental hygiene psychiatric center modernization program, and the anticipated conclusion of Federal support for water pollution control facilities and the required State match for this program.

Bonded spending for higher education increases steadily through 2003-04, although less than previously planned. Corrections spending returns to traditional levels by 2002-03 after higher spending through 2001-02 is completed for a new maximum security correctional facility to address additional capacity needs. Bond financing for housing projects reimbursed with authority bond proceeds is eliminated beginning in 2000-01, as this program is projected to be financed with pay-as-you-go resources.

### **FEDERAL GRANT FINANCING**

This category includes spending financed by grants from the Federal Government, primarily for highways and bridges, drinking water and water pollution control facilities, corrections and housing. During the Plan, Federal grants account for 34 percent of all spending.

# FINANCING PLAN

**Capital Projects Financed By  
Pay-As-You-Go Resources  
New York State Capital Program and Financing Plan  
1998-99 through 2003-04  
(thousands)**

	<u>1998-1999</u>	<u>1999-2000</u>	<u>2000-2001</u>	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>
<b>Transportation</b>						
Department of Transportation	\$613,942	\$500,979	\$718,521	\$633,736	\$621,753	\$593,210
Thruway Authority	2,480	3,476	2,400	2,400	2,400	2,300
<b>Environment and Recreation</b>						
Department of Environmental Conservation	144,885	150,744	187,261	278,593	269,916	246,173
Office of Parks, Recreation and Historic Preservation	34,928	39,841	32,600	28,559	28,700	28,600
Olympic Regional Development Authority	2,317	2,317	2,317	2,317	2,317	2,317
Energy Research and Development Authority	0	12,500	14,200	15,900	17,600	19,300
<b>Public Protection</b>						
Department of Correctional Services	0	500	1,000	1,500	0	0
Division of State Police	3,800	4,146	4,230	2,450	2,700	3,700
Division of Military and Naval Affairs	5,000	5,500	6,500	6,500	6,500	6,500
<b>Education</b>						
State Education Department	830	830	3,630	3,630	3,630	3,630
City University of New York	9,100	9,100	9,100	9,100	9,100	9,100
State University of New York: Senior Colleges	68,000	84,500	95,000	95,800	97,600	98,500
Community Colleges	3,500	3,500	3,500	3,500	3,500	3,500
<b>Mental Hygiene</b>						
Office of Mental Health	32,958	30,894	94,513	94,964	149,685	170,801
Office of Mental Retardation and Developmental Disabilities	35,318	30,975	38,098	38,012	44,817	47,345
Office of Alcohol and Substance Abuse	11,188	11,712	18,016	10,510	14,219	13,486
<b>Housing and Economic Development</b>						
Division of Housing and Community Renewal	6,355	1,360	59,800	61,300	65,300	66,750
<b>Other</b>						
Office of General Services	53,150	46,850	35,150	35,000	34,200	36,000
Office of Children and Family Services	4,837	6,250	44,400	41,317	17,350	9,150
Office of Temporary and Disability Assistance	1,613	6,240	27,864	24,350	23,000	23,000
Department of Health	0	5,222	3,272	3,146	2,558	2,010
Department of State	633	460	460	460	458	460
Department of Agriculture and Markets	2,400	3,850	3,500	3,000	3,000	3,000
<b>TOTAL PAY-AS-YOU-GO FINANCING</b>	<u>\$1,037,234</u>	<u>\$961,746</u>	<u>\$1,405,332</u>	<u>\$1,396,044</u>	<u>\$1,420,303</u>	<u>\$1,388,832</u>

**Capital Projects Financed By  
General Obligation Bonds  
New York State Capital Program and Financing Plan  
1998-99 through 2003-04  
(thousands)**

	<u>1998-1999</u>	<u>1999-2000</u>	<u>2000-2001</u>	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>
<b>Transportation</b>						
Department of Transportation						
Action -1988	\$72,300	\$43,178	\$23,043	\$7,000	\$4,986	\$0
Infrastructure Renewal - 1983	7,031	3,620	836	300	146	0
Energy Conservation - 1979	800	800	200	200	200	200
Transportation Capital Facilities - 1967	2,887	1,954	1,025	625	125	0
<b>Environment and Recreation</b>						
Department of Environmental Conservation						
Clean Water/Clean Air 1996	77,751	97,374	122,283	122,183	122,083	141,974
EQBA 1986	77,000	92,000	92,000	82,000	43,200	335
EQBA 1972	27,761	11,312	9,031	492	2,130	1,106
Pure Waters 1965	2,365	2,900	3,100	3,725	4,800	800
Office of Parks, Recreation and Historic Preservation						
Clean Water/Clean Air 1996	1,225	1,384	1,453	1,526	1,600	1,682
EQBA 1986	7,500	10,000	10,000	7,660	0	0
EQBA 1972	275	0	0	0	0	0
Environmental Facilities Corporation						
Clean Water/Clean Air 1996	292	299	299	299	299	299
<b>Other</b>						
Department of Agriculture and Markets						
Clean Water/Clean Air 1996	467	478	500	527	553	580
Energy Research and Development Authority						
Clean Water/Clean Air 1996	117	117	117	117	117	117
Department of State						
Clean Water/Clean Air 1996	348	348	348	348	348	348
Department of Health						
Clean Water/Clean Air 1996	69,800	50,000	50,000	50,000	50,000	30,000
<b>Total General Obligation Bond Financing</b>	<u>\$347,919</u>	<u>\$315,764</u>	<u>\$314,235</u>	<u>\$277,002</u>	<u>\$230,587</u>	<u>\$177,441</u>

## FINANCING PLAN

**Capital Projects Financed By  
Federal Grants  
New York State Capital Program and Financing Plan  
1998-99 through 2003-04  
(thousands)**

	<u>1998-1999</u>	<u>1999-2000</u>	<u>2000-2001</u>	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>
<b>Transportation</b>						
Department of Transportation	\$1,005,802	\$1,090,250	\$1,320,250	\$1,330,250	\$1,330,250	\$1,330,250
<b>Environment and Recreation</b>						
Department of Environmental Conservation	143,721	141,356	140,535	32,067	874	350
Office of Parks, Recreation and Historic Preservation	1,925	700	150	0	0	0
<b>Public Protection</b>						
Division of Military and Naval Affairs	3,500	5,000	4,998	4,995	5,000	4,438
Department of Correctional Services	33,800	94,000	40,000	0	0	0
<b>Housing and Economic Development</b>						
Division of Housing and Community Renewal	10,000	10,000	10,000	10,000	10,000	10,000
<b>Other</b>						
Department of Health Office of Children and Family Services	44,240	65,168	65,500	22,500	0	0
	<u>540</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>Total Federal Grants Financing</b>	<u>\$1,243,528</u>	<u>\$1,406,474</u>	<u>\$1,581,433</u>	<u>\$1,399,812</u>	<u>\$1,346,124</u>	<u>\$1,345,038</u>

**Capital Projects Financed By  
Authority Bonds  
New York State Capital Program and Financing Plan  
1998-99 through 2003-04  
(thousands)**

	<u>1998-1999</u>	<u>1999-2000</u>	<u>2000-2001</u>	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>
<b>Transportation</b>						
Department of Transportation	\$734,295	\$964,384	\$587,992	\$508,112	\$457,461	\$430,288
<b>Environment and Recreation</b>						
Department of Environmental Conservation	28,000	28,000	0	0	0	0
Energy Research and Development Authority	12,200	0	0	0	0	0
<b>Public Protection</b>						
Department of Correctional Services	339,200	253,000	319,000	223,000	173,000	173,000
<b>Education</b>						
State University of New York	165,000	175,000	175,000	185,000	215,000	290,000
<b>Mental Hygiene</b>						
Office of Mental Health	171,577	163,849	79,960	66,955	7,794	131
Office of Mental Retardation and Developmental Disabilities	17,609	19,179	15,469	14,513	5,099	132
Office of Substance Abuse Services	13,393	14,655	17,855	23,129	12,388	114
<b>Housing and Economic Development</b>						
Division of Housing and Community Renewal	68,553	58,440	0	0	0	0
<b>Other</b>						
Office of Children and Family Services	13,250	15,300	0	0	0	0
Office of Temporary and Disability Assistance	23,000	23,000	0	0	0	0
Department of Health	27,978	14,124	0	0	0	0
Office of General Services	24,909	0	0	0	0	0
<b>Total Authority Bond Financing</b>	<u>\$1,638,964</u>	<u>\$1,728,931</u>	<u>\$1,195,276</u>	<u>\$1,020,709</u>	<u>\$870,741</u>	<u>\$893,665</u>

## **FINANCING PLAN**

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### **FINANCIAL PLANS**

The following table provides an explanation of the fiscal year receipt, disbursement, transfer and general obligation bond amounts contained in the Plan and how they correspond to the applicable Governmental Funds financial plans. All amounts are reflected on a cash basis in the fiscal year during which the spending or receipt activity occurs. Since capital disbursements have been discussed in previous sections, the following information is provided as a description of the receipt categories which support capital spending. Receipts are comprised of taxes, miscellaneous receipts (authority and general obligation bond reimbursements, miscellaneous receipts and other repayments), Federal grants and transfers from other Governmental Fund Types.

Taxes dedicated to capital programs are estimated to account for approximately 27 percent of the total receipts supporting capital spending during the Plan. The major portion of taxes received, highway and motor fuel taxes, are deposited into the Dedicated Highway Bridge and Trust Fund while a portion of the real estate transfer tax is deposited into the Environmental Protection Fund. Beginning in 2000-01, additional moneys will flow to the Dedicated Highway and Bridge Trust Fund to further support additional pay-as-you-go spending for transportation.

Miscellaneous receipt amounts reflect receipts, such as State park and environmental fees, which are deposited into capital projects funds for specific programs, but not directly linked to spending levels. Authority bond proceeds, which reimburse the State for capital disbursements, are linked directly to spending. However, these receipts may not be received in the same fiscal year in which the spending activity transpires. For example, some design work or site acquisition may occur prior to construction and the sale of bonds. For this Plan, miscellaneous receipts are projected to comprise 36 percent of capital projects funds receipts. A further description of this receipts category is provided in the "Explanation of Receipt Estimates" section.

Federal grants reimburse the State for the Federal share of construction projects. Reimbursements are received primarily for spending on transportation, environment and recreation, housing and public protection projects. Federal grants are anticipated to provide 31 percent of capital projects receipts in this Plan.

The balance of capital project financing, six percent, is supported by transfers from other funds. The primary transfer in support of capital spending is made from the State's General Fund. The transfer from the General Fund represents approximately one percent of the total State General Fund spending, consequently the financing of capital spending has a minimal impact on the State's General Fund Financial Plan.



## FINANCING PLAN

**Capital Projects Funds Financial Plan**  
**Prepared on the Cash Basis of Accounting**  
**1998-1999 Through 2003-2004**  
(thousands of dollars)

	<u>1998-1999</u>	<u>1999-2000</u>	<u>2000-2001</u>	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>
<b>Opening funds balances</b>	\$72,275	\$147,276	\$255,789	\$90,455	(\$8,133)	(\$51,554)
<b>Receipts:</b>						
Taxes	\$1,107,000	\$1,093,400	\$1,227,800	\$1,275,600	\$1,312,100	\$1,344,300
Miscellaneous receipts	1,804,722	\$1,870,264	1,816,933	1,556,813	1,443,927	1,465,886
Federal grants	1,248,726	\$1,411,224	1,586,183	1,404,562	1,350,874	1,349,788
<b>Sub total receipts</b>	<u>\$4,160,448</u>	<u>\$4,374,888</u>	<u>\$4,630,916</u>	<u>\$4,236,975</u>	<u>\$4,106,901</u>	<u>\$4,159,974</u>
<b>Disbursements:</b>						
Grants to local governments	\$694,748	\$707,329	\$737,907	\$546,194	\$449,487	\$380,776
State operations	0	0	0	0	0	0
Debt service	0	0	0	0	0	0
Capital projects	3,572,697	3,705,586	3,758,369	3,547,373	3,418,268	3,424,200
<b>Subtotal disbursements</b>	<u>\$4,267,445</u>	<u>\$4,412,915</u>	<u>\$4,496,276</u>	<u>\$4,093,567</u>	<u>\$3,867,755</u>	<u>\$3,804,976</u>
<b>Other financing sources (uses):</b>						
Transfers from other funds	273,511	465,187	250,361	296,561	320,878	345,623
Transfers to other funds	(422,453)	(553,447)	(836,535)	(814,357)	(808,620)	(874,407)
Bond and note proceeds	330,940	234,800	286,200	275,800	205,175	193,800
<b>Net other financing sources (uses)</b>	<u>\$181,998</u>	<u>\$146,540</u>	<u>(\$299,974)</u>	<u>(\$241,996)</u>	<u>(\$282,567)</u>	<u>(\$334,984)</u>
<b>Changes in funds balances</b>	<u>\$75,001</u>	<u>\$108,513</u>	<u>(\$165,334)</u>	<u>(\$98,588)</u>	<u>(\$43,421)</u>	<u>\$20,014</u>
<b>Closing funds balances</b>	<u>\$147,276</u>	<u>\$255,789</u>	<u>\$90,455</u>	<u>(\$8,133)</u>	<u>(\$51,554)</u>	<u>(\$31,540)</u>

## **FINANCING PLAN**

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### **DEBT FINANCING**

Financing the construction of, and improvements to, long-term capital assets through borrowing is an integral part of the State's Capital Program and Financing Plan. This section describes the impact of bond-financed capital spending on the amount of State-supported debt and on debt service expenditures through the Plan. Supporting this analysis are separate tables for bond issuances, retirements, bonds outstanding, and debt service.

The analysis reflects all State general obligation and State-supported debt that is currently outstanding and expected to be issued by the State and its public authorities for State financed capital programs. It includes bonds which support capital initiatives for SUNY, CUNY, the Department of Mental Hygiene, Department of Corrections, Department of Environmental Conservation, State buildings and State and local transportation programs. Also included is existing State-supported debt for non-State programs, such as the Javits Convention Center and the MTA service contracts, and the non-capital debt service of the Local Government Assistance Corporation. The analysis also reflects the refinancing of a pre-existing pension liability undertaken by the Dormitory Authority (DA), which previously was recorded as a long-term liability in the State's financial statements. By the last year of the Plan, bonds issued for that refinancing will be completely retired.

The debt issuance table reflects general obligation bond anticipation notes (BANS sold as commercial paper) sold to finance current capital spending, but does not reflect the sale of long-term bonds to take out commercial paper. Similarly, the outstanding bonds and debt service tables separately identify BANS and the retirements table reflect only the principal retirements of long-term bonds sold.

### **DEBT OUTSTANDING, DEBT ISSUANCES AND DEBT SERVICE COSTS DECLINE**

The Financing Plan reflects the impact of substantially increasing the portion of the Plan financed with pay-as-you-go resources on State debt, debt issuances and debt service costs. Prudently setting aside funds to responsibly increase pay-as-you-go spending improves debt affordability and ensures that:

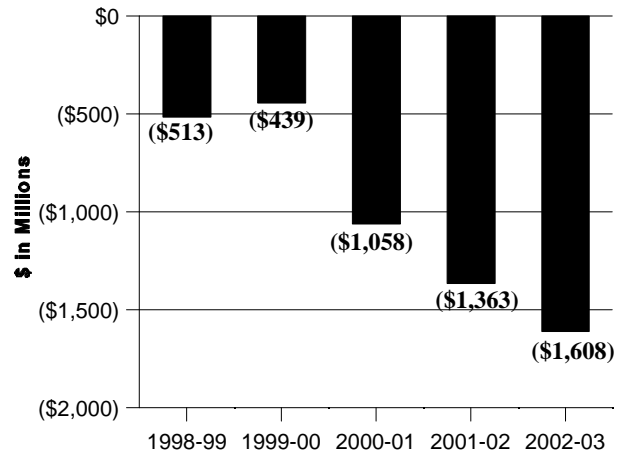
- For the first time in decades, debt outstanding and debt service costs decline;
- Over the Plan, debt issuances decline by an annual average of 14 percent;
- Debt service costs as a percent of All Funds resources decline from 5.38 percent in 1999-2000 to 5.05 percent in 2003-04;
- Debt service costs as a percent of State Funds decline from 7.95 percent in 1999-2000 to 7.55 percent in 2003-04;
- Debt service costs as a percent of General Fund resources decline from 6.23 percent in 1999-2000 to 5.31 percent in 2003-04; and
- Debt outstanding as a percent of personal income declines from 6.19 percent in 1999-2000 to 5.19 percent in 2003-04.

### **DEBT ISSUANCES**

Debt reduction initiatives that use pay-as-you-go spending to eliminate bond financing reduce issuances by almost 50 percent from the final year of the 1998-99 enacted Plan. A reduction in both the number and dollar volume of new issuances will reduce market crowding and the competition between State-supported programs, improving the conditions under which State-supported debt is marketed. Compared to the 1998-99 enacted Plan, debt issuances will be reduced by nearly \$5 billion; and include the following reductions.

- Available bond proceeds will fund 1999-2000 disbursements for a variety of housing programs; reducing 1999-2000 debt issuances by \$88 million.
- Beginning in 1999-2000, the State will no longer rely upon debt to finance capital programs for the State Education Department, the Department of Health, West Valley, the State Fuel Tanks Program, and Youth Opportunity Centers.
- Beginning in 2000-01, the State will no longer rely upon debt to finance capital programs for housing, including the Housing Trust Fund, Public Housing Modernization, Affordable Housing Corporation and Homeless Housing Assistance Program; Youth facilities operated by the Office of Children and Family Services; and the State's match for the Clean Water State Revolving Fund Program.
- Increases in pay-as-you-go spending for the State's Dedicated Highway and Bridge Trust Fund and Mental Hygiene programs will also dramatically reduce bond issuances.
- Refinements in spending projections will reduce general obligation, SUNY and CUNY bond issuances.

**Debt Issuances Significantly Decline**



Issuances also reflect a \$75 million reduction in the Community Enhancement Facilities Assistance Program. Certificates of Participation (COPs) issued in 1998-99 include financings to support the acquisition of hardware, software, and software improvements to address the "Year 2000" issue in priority State computer systems. COPs issuances of approximately \$365 million in 1999-2000 include \$228 million for a new welfare computer system that will, among other things, enhance fraud detection and child support collections. COPs issuances over the remaining years of the Plan are projected to return to typical levels and support equipment, computers and vehicles for various State agencies.

The financing Plan will continue to increase the use of short-term debt instruments as a percentage of total debt outstanding. This is expected to broaden the market base for State-supported debt and, since short-term instruments have historically been less expensive than long-term obligations, generate debt service savings. Current and planned short-term debt instruments include variable rate State general obligation bonds, Housing Finance Agency (HFA) bonds, Local Government Assistance Corporation (LGAC) bonds, and swaps (interest rate exchanges) of outstanding fixed rate debt to variable rate debt for LGAC, Dormitory Authority SUNY Educational Facilities, and Urban Development Corporation Correctional Facilities.

By the end of 1999-2000, it is anticipated that more than \$2 billion, or about 6 percent, of total State-supported debt will be short-term. During the Plan, the State expects to gradually increase this percentage to approximately 8.8 percent. This recommendation is supported by a Standard and Poor's report providing that up to 15 percent of an issuer's debt, net of short-term assets, could be short-term without causing undue credit risks.

**FINANCING PLAN**

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**Short-Term Debt Instruments  
Percentage of Total Debt Outstanding**

	<u>1998-1999</u>	<u>1999-2000</u>	<u>2000-2001</u>	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>
Short-Term Debt Outstanding (Thousands)	\$1,926,000	\$2,237,000	\$2,701,000	\$2,952,000	\$3,110,000	\$3,259,000
Percentage of Total Debt Outstanding	5.3%	6.0%	7.2%	7.8%	8.3%	8.8%

**DEBT RETIREMENTS**

The following table presents the annual retirements of principal for State-supported debt for each fiscal year within the Plan. It accounts for retirements as of the payment date due to bondholders, rather than the actual date the State makes the cash payment. For instance, if principal payments are due on April first, the bonds are considered outstanding on March 31<sup>st</sup>, even if the State makes payments to the trustee prior to that date. Retirements from both currently outstanding State-supported debt and debt expected to be issued during the course of the Plan are included in the retirements table.

The rate at which State-supported debt is retired or paid off has a significant impact on the State's ability to utilize bonds for its capital programs. The Plan's significant reductions in State debt issuances, and efforts to expand the amount of debt issued with shorter-term maturities, including bonds issued for the Community Enhancement Facilities Assistance Program, as well as the continued use of ten year maturities for taxable programs and for a portion of Dedicated Highway and Bridge Trust Fund bonds, will have a positive impact on the relationship of retirements to new issuances.

Over the Plan, retirements of State-supported debt are projected to increase by an annual average of 2 percent to \$1,945 million in 2003-04 and are expected to average \$1,965 million annually. By the last three years of the Plan, annual retirements will exceed new debt issuances.

**DEBT OUTSTANDING**

Over the Plan, State-supported debt outstanding will decline for the first time in decades. Debt outstanding will decline from \$37.5 billion in 1999-2000 to \$37.2 billion in 2003-04. Compared to last year's Plan, debt will be reduced by \$4.7 billion. Through a combination of increased pay-as-you-go financing and sound debt management practices, the State will gradually and responsibly reduce its reliance on debt and improve debt affordability.

Declines in debt will reflect the use of pay-as-you-go spending to replace bond financing for eight separate bonding programs, and to reduce bond financing in other areas. Compared to the final year of the enacted Plan, additional pay-as-you-go resources will support prudent levels of capital spending while significantly reducing outstanding debt in 2003-04 for transportation (\$3 billion), health and mental health (\$381 million), environment (\$136 million), and housing (\$360 million). General obligation and LGAC debt levels are also reduced (\$490 million).

In the last year of the Plan, transportation and education-related debt will each represent about one-quarter of debt outstanding. Health/mental health, and public protection will each represent about 11 percent, and general obligation and LGAC debt (23 percent) represent the significant portion of the balance.

In addition to ensuring that debt declines for the first time in decades, the Plan also improves debt affordability. The State's ability to support its debt can be measured by several key indicators, including debt outstanding as a percent of personal income and debt outstanding per New York resident. Debt outstanding as a percent of personal income improves significantly from 6.19 percent in 1999-2000 to 5.19 percent in 2003-04.

Compared to the last year of the enacted Plan, debt outstanding per New Yorker will also decline by \$271 or from \$2,300 to \$2,029.

### **DEBT SERVICE**

The debt service table shows the amount of resources devoted to financing the debt service on outstanding State-supported debt. The table includes projected total annual debt service by program and issuer, and includes debt service on general obligation bonds, as well as lease purchase and contractual obligations issued by State agencies, public authorities, and municipalities through financing agreements with the State.

The Plan's debt reduction initiatives also ensure that total projected debt service costs decline. Compared to the enacted Plan, debt service costs are reduced in every year. Debt service costs will grow at one-half the annual rate of increase projected in the enacted Plan, and will decrease by \$175 million in the out years of the Plan. Debt measures that use debt service costs as a measure of affordability will also improve. Debt service costs as a percent of All Funds will decline from 5.38 percent in 1999-2000 to 5.05 percent in 2003-04. Similarly, debt service costs as a percent of State Funds will decline from 7.95 percent to 7.55 percent in 2003-04. Debt service costs as a percent of General Fund resources decline from 6.23 percent in 1999-2000 to 5.31 percent in 2003-04.

The State's prudent debt management actions also have a significant positive impact on its borrowing costs. Those efforts include the use of shorter maturities for certain bonding programs and increasing the percentage of short-term instruments in the State's debt portfolio. Shorter-term maturities are employed to improve the coordination between the useful life of assets and the terms by which they are financed. Increasing the use of short-term instruments is being accomplished through the expanded use of variable rate debt obligations and, market conditions permitting, the issuance of a limited amount of interest rate swaps. In addition, the State has avoided using capitalized interest on bonds sold for projects which are not revenue-generating facilities.

The continued economic expansion of the State and nation results in an interest rate environment that continues to remain very favorable for municipal bond issuers. The Plan forecasts that long-term fixed rates will range from 4.70 to 6.25 percent throughout the Capital Plan period. Short-term rates are expected to average about 4 percent.

### **BOND AUTHORIZATIONS**

Legal authorizations for the State to enter into contractual agreements with public authorities are provided in the enabling statutes of each authority. Those statutes generally contain limits, or caps, on the amount of bonds that can be issued for a program. As the bond cap is reached, or additional bondable appropriations are recommended, legislation may be proposed to raise the statutory caps to the level needed to accommodate bondable capital needs. Bond caps can provide authorizations to finance

## **FINANCING PLAN**

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a single year's appropriations, such as recommended for corrections and youth facilities, or can be for multi-year periods. Additionally, the authorizations specify whether the cap is on the total bonds sold, including costs of issuance (gross), or only for project costs (net). The table provides the recommended cap increases and decreases for 1999-2000 and indicates whether the cap is gross or net.

**Bond Authorizations  
Recommended Cap Changes in 1999-2000  
(thousands \$)**

<b>Issuer</b>	<b>Program</b>	<b>Current Cap</b>	<b>Recommended Cap</b>	<b>Type of Cap</b>
Urban Development Corp	Corrections	\$4,065,693	\$4,479,693	Gross
Urban Development Corp	Youth Facilities	226,815	242,815	Gross
Urban Development Corp.	Youth Centers	25,000	0	Net
Urban Development Corp., Dormitory Authority, Thruway Authority, Housing Finance Agency	Community Enhancement Facilities Assistance Program	425,000	350,000	Net

## FINANCING PLAN

**State-Supported Debt  
Projected Bond Issuances  
1998-99 Through 2003-04  
(thousands)**

	1998-1999	1999-2000	2000-2001	2001-2002	2002-2003	2003-2004
<b>GENERAL OBLIGATION</b>	\$330,940	\$234,800	\$286,200	\$275,800	\$205,200	\$193,800
<b>OTHER LEASE-PURCHASE AND CONTRACTUAL-OBLIGATION FINANCING ARRANGEMENTS</b>						
Transportation						
Thruway Authority:						
Consolidated Local Highway Improvement	327,905	334,960	251,220	248,888	228,218	223,130
Dedicated Highway & Bridge	718,223	1,021,529	624,733	540,332	479,312	482,187
Education						
Dormitory Authority:						
SUNY Educational Facilities	301,345	265,000	265,000	265,000	265,000	265,000
SUNY Dormitory Facilities	66,780	29,680	29,680	29,680	29,680	37,100
SUNY Upstate Community Colleges	47,700	37,100	37,100	37,100	37,100	37,100
CUNY Educational Facilities	221,830	169,600	169,600	169,600	169,600	169,600
State Education Department	14,825	0	0	0	0	0
Health						
Dormitory Authority/DOH	20,800	0	0	0	0	0
Mental Health						
Dormitory Authority/MCFFA:	227,254	221,278	150,434	155,729	67,559	28,642
Public Protection						
Urban Development Corp/ESDC:						
Prison Facilities	403,160	288,320	338,140	236,380	183,380	183,380
Youth Facilities	0	16,960	0	0	0	0
Environment						
Environmental Facilities Corp:						
Water Pollution Control	29,680	29,680	0	0	0	0
Energy Res & Dev Authority	14,045	0	0	0	0	0
State Building/Equipment						
Dormitory Authority:						
State Buildings	95,606	0	0	0	0	0
Certificates of Participation	179,135	366,375	87,125	80,975	91,225	102,500
Housing						
Housing Finance Agency	99,200	0	0	0	0	0
Economic Development						
Urban Development Corp/ESDC:						
Sports Facilities	15,850	0	0	0	0	0
Community Enhancement Facilities	247,231	137,500	0	0	0	0
<b>Total Other Financing Arrangements</b>	<u>3,030,569</u>	<u>2,917,982</u>	<u>1,953,032</u>	<u>1,763,684</u>	<u>1,551,074</u>	<u>1,528,639</u>
<b>TOTAL STATE-SUPPORTED DEBT</b>	<u>\$3,361,509</u>	<u>\$3,152,782</u>	<u>\$2,239,232</u>	<u>\$2,039,484</u>	<u>\$1,756,274</u>	<u>\$1,722,439</u>

# FINANCING PLAN

## State-Supported Debt Projected Retirements 1998-99 Through 2003-04 (thousands)

	1998-1999	1999-2000	2000-2001	2001-2002	2002-2003	2003-2004
<b>GENERAL OBLIGATION</b>	\$487,567	\$476,032	\$443,336	\$405,320	\$383,790	\$340,412
<b>LOCAL GOVERNMENT ASSISTANCE CORPORATION</b>	100,010	111,725	116,520	123,120	130,175	133,725
<b>OTHER LEASE-PURCHASE AND CONTRACTUAL-OBLIGATION FINANCING ARRANGEMENTS</b>						
Transportation						
Metropolitan Trans Authority	54,105	57,365	56,890	60,035	69,855	73,870
Dormitory Authority						
Albany County Airport	0	1,360	1,415	1,475	1,550	1,630
Thruway Authority:						
Emergency Highway	49,405	52,110	55,070	58,250	19,595	0
Consolidated Local Highway Improvement	53,650	69,895	76,767	88,956	88,670	119,581
Dedicated Highway & Bridge	121,790	156,990	201,957	252,007	293,264	331,545
Education						
Dormitory Authority:						
SUNY Educational Facilities	100,092	118,253	126,791	131,490	139,484	146,203
SUNY Dormitory Facilities	22,940	21,520	21,335	20,231	18,629	17,670
SUNY Upstate Community Colleges	14,130	15,135	14,569	9,064	10,284	11,286
CUNY Educational Facilities	82,313	98,428	101,616	102,521	117,941	121,290
State Education Department	610	1,520	1,600	1,670	1,755	1,830
Library for the Blind	460	545	565	590	620	640
SUNY Athletic Facilities	430	965	0	0	0	0
Health						
Dormitory Authority/DOH	9,410	9,030	14,340	16,075	10,480	11,040
Mental Health						
Dormitory Authority/MCFFA:	107,083	127,469	140,781	150,342	163,701	171,372
Public Protection						
Urban Development Corp/ESDC:						
Prison Facilities	62,401	64,735	74,268	78,564	93,760	100,052
Youth Facilities	4,870	5,560	6,010	6,841	7,243	7,887
Environment						
Environmental Facilities Corp:						
Riverbank Park	0	0	0	1,230	1,600	1,680
Water Pollution Control	19,050	22,578	26,215	28,244	22,660	24,504
Pilgrim Sewage Treatment	400	400	400	400	400	400
State Park Infrastructure	670	705	740	775	820	865
Fuel Tanks	2,190	2,250	2,265	2,305	2,350	2,400
Energy Res & Dev Authority	7,235	8,890	10,244	10,844	11,414	11,999
Urban Development Corp/ESDC:						
Pine Barrens	495	515	540	560	585	615
State Building/Equipment						
Urban Development Corp/ESDC:						
Empire State Plaza	7,365	9,454	10,347	12,214	11,762	0
State Buildings	404	436	471	507	546	589
State Capital Projects	0	0	3,095	6,700	7,035	7,480
Albany County-ESP	17,335	11,880	11,690	3,870	0	0
Dormitory Authority						
State Buildings	0	665	1,440	1,507	1,580	1,657
Certificates of Participation	96,560	185,917	188,452	191,419	107,633	108,433
Housing						
Housing Finance Agency	29,500	33,400	35,865	38,708	41,926	43,987
Economic Development						
Triborough Bridge and Tunnel Authority/Javits Center	22,375	24,000	24,180	24,180	24,185	24,180
Urban Development Corp/ESDC:						
University Technology Centers	7,989	10,275	11,132	11,529	10,264	10,599
Onondaga Convention Center	760	1,190	1,250	1,305	1,375	1,445
Sports Facilities	2,750	3,245	3,650	3,885	4,135	4,395
Community Enhancement Facilities	0	0	60,814	65,180	67,895	31,173
Other State Purposes						
Dormitory Authority						
Pension Refinancing	116,150	123,475	131,580	140,445	150,095	78,915
<b>Total Other Financing Arrangements</b>	<b>1,014,917</b>	<b>1,240,155</b>	<b>1,418,344</b>	<b>1,523,918</b>	<b>1,505,091</b>	<b>1,471,212</b>
<b>TOTAL STATE-SUPPORTED DEBT</b>	<b>\$1,002,477</b>	<b>\$1,021,712</b>	<b>\$1,270,200</b>	<b>\$1,302,220</b>	<b>\$1,171,000</b>	<b>\$1,272,097</b>



# FINANCING PLAN

## State-Supported Debt Projected Bonds Outstanding 1998-99 Through 2003-04 (thousands)

	1998-1999	1999-2000	2000-2001	2001-2002	2002-2003	2003-2004
<b>BANs - COMMERCIAL PAPER</b>	\$293,600	\$293,600	\$293,600	\$293,600	\$293,600	\$293,600
<b>GENERAL OBLIGATION</b>	4,613,708	4,372,476	4,215,340	4,085,820	3,907,230	3,760,618
<b>LOCAL GOVERNMENT ASSISTANCE CORPORATION</b>	5,114,245	5,002,520	4,886,000	4,762,880	4,632,705	4,498,980
<b>OTHER LEASE-PURCHASE AND CONTRACTUAL-OBLIGATION FINANCING ARRANGEMENTS</b>						
Transportation						
Metropolitan Trans Authority	2,007,014	1,949,649	1,892,759	1,832,724	1,762,869	1,688,999
Dormitory Authority						
Albany County Airport	41,395	40,035	38,620	37,145	35,595	33,965
Thruway Authority:						
Emergency Highway	185,025	132,915	77,845	19,595	0	0
Consolidated Local Highway Improvement	1,747,310	2,012,375	2,186,828	2,346,760	2,486,308	2,589,857
Dedicated Highway & Bridge	2,815,433	3,679,972	4,102,748	4,391,073	4,577,121	4,727,763
Education						
Dormitory Authority:						
SUNY Educational Facilities	3,856,968	4,003,715	4,141,924	4,275,434	4,400,950	4,519,747
SUNY Dormitory Facilities	272,840	281,000	289,345	298,794	309,845	329,275
SUNY Upstate Community Colleges	384,570	406,535	429,066	457,102	483,918	509,732
CUNY Educational Facilities	3,044,672	3,115,844	3,183,828	3,250,907	3,302,566	3,350,876
State Education Department	76,215	74,695	73,095	71,425	69,670	67,840
Library for the Blind	18,990	18,445	17,880	17,290	16,670	16,030
SUNY Athletic Facilities	26,165	25,200	25,200	25,200	25,200	25,200
Health						
Dormitory Authority/DOH	478,290	469,260	454,920	438,845	428,365	417,325
Mental Health						
Dormitory Authority/MCFFA:	3,759,301	3,853,110	3,862,763	3,868,150	3,772,008	3,629,278
Public Protection						
Urban Development Corp/ESDC:						
Prison Facilities	3,020,759	3,244,344	3,508,216	3,666,032	3,755,652	3,838,980
Youth Facilities	158,940	170,340	164,330	157,489	150,246	142,359
Environment						
Environmental Facilities Corp:						
Riverbank Park	62,350	62,350	62,350	61,120	59,520	57,840
Water Pollution Control	227,630	234,732	208,517	180,273	157,613	133,109
Pilgrim Sewage Treatment	10,200	9,800	9,400	9,000	8,600	8,200
State Park Infrastructure	14,275	13,570	12,830	12,055	11,235	10,370
Fuel Tanks	19,060	16,810	14,545	12,240	9,890	7,490
Energy Res & Dev Authority	96,600	87,710	77,466	66,622	55,208	43,209
Urban Development Corp/ESDC:						
Pine Barrens	15,570	15,055	14,515	13,955	13,370	12,755
State Building/Equipment						
Urban Development Corp/ESDC:						
Empire State Plaza	109,689	100,235	89,888	77,674	65,912	65,912
State Buildings	15,965	15,529	15,058	14,551	14,005	13,416
State Capital Projects	245,090	245,090	241,995	235,295	228,260	220,780
Albany County-ESP	27,440	15,560	3,870	0	0	0
Dormitory Authority						
State Buildings	95,606	94,941	93,501	91,994	90,414	88,757
Certificates of Participation	511,575	692,033	590,706	480,262	463,854	457,921
Housing						
Housing Finance Agency	1,126,700	1,093,300	1,057,435	1,018,727	976,801	932,814
Economic Development						
Triborough Bridge and Tunnel Authority/Javits Center	388,765	364,765	340,585	316,405	292,220	268,040
Urban Development Corp/ESDC:						
University Technology Centers	210,610	200,335	189,203	177,674	167,410	156,811
Onondaga Convention Center	46,625	45,435	44,185	42,880	41,505	40,060
Sports Facilities	137,710	134,465	130,815	126,930	122,795	118,400
Community Enhancement Facilities	247,231	384,731	323,917	258,737	190,842	159,669
Other State Purposes						
Dormitory Authority						
Pension Refinancing	624,510	501,035	369,455	229,010	78,915	0
<b>Total Other Financing Arrangements</b>	<b>26,127,088</b>	<b>27,804,915</b>	<b>28,339,603</b>	<b>28,579,369</b>	<b>28,625,352</b>	<b>28,682,779</b>
<b>TOTAL STATE-SUPPORTED DEBT</b>	<b>\$36,148,641</b>	<b>\$37,473,511</b>	<b>\$37,734,543</b>	<b>\$37,721,669</b>	<b>\$37,458,887</b>	<b>\$37,235,977</b>

# FINANCING PLAN

	State-Supported Debt Projected Debt Service 1998-99 through 2003-04 (thousands)					
	1998-1999	1999-2000	2000-2001	2001-2002	2002-2003	2003-2004
<b>BANs - COMMERCIAL PAPER</b>	\$11,193	\$10,763	\$10,906	\$10,804	\$11,480	\$11,480
<b>GENERAL OBLIGATION</b>	741,050	718,345	670,337	622,782	594,174	543,495
<b>LOCAL GOVERNMENT ASSISTANCE CORPORATION</b>	341,334	336,477	338,687	339,742	335,717	351,760
<b>OTHER LEASE-PURCHASE AND CONTRACTUAL-OBLIGATION FINANCING ARRANGEMENTS</b>						
Transportation						
Metropolitan Trans Authority	151,379	154,638	150,762	151,113	157,147	157,218
Dormitory Authority						
Albany County Airport	3,405	3,509	3,508	3,509	3,512	3,510
Thruway Authority:						
Emergency Highway	57,967	59,245	59,259	59,259	17,315	0
Consolidated Local Highway Improvement	137,600	175,970	199,392	220,854	241,093	260,427
Dedicated Highway & Bridge	260,173	353,236	437,904	494,268	543,288	589,741
Education						
Dormitory Authority:						
SUNY Educational Facilities	299,890	328,359	348,282	365,428	384,310	404,205
SUNY Dormitory Facilities	34,862	37,607	40,931	40,186	39,751	40,314
SUNY Upstate Community Colleges	30,839	36,072	30,788	33,778	36,598	39,305
CUNY Educational Facilities	278,738	291,698	288,964	307,810	320,110	333,898
State Education Department	6,114	6,332	6,327	6,331	6,320	6,324
Library for the Blind	1,564	1,647	1,646	1,649	1,647	1,643
SUNY Athletic Facilities	1,755	1,663	1,164	1,164	1,164	1,629
Health						
Dormitory Authority/DOH	21,375	36,978	39,768	37,164	34,612	34,608
Mental Health						
Dormitory Authority/MCFFA:	292,299	330,141	342,662	353,587	364,535	366,063
Public Protection						
Urban Development Corp/ESDC:						
Prison Facilities	193,727	235,438	258,510	278,772	303,670	316,314
Youth Facilities	14,600	14,619	16,213	16,047	16,250	16,065
Environment						
Environmental Facilities Corp:						
Riverbank Park	3,301	3,303	4,533	4,846	4,851	4,845
Water Pollution Control	33,162	38,439	42,121	41,879	34,190	34,385
Pilgrim Sewage Treatment	744	749	727	704	682	659
State Park Infrastructure	1,482	1,490	1,489	1,485	1,489	1,489
Fuel Tanks	2,265	2,613	2,567	2,516	2,466	2,418
Energy Res & Dev Authority	13,013	14,924	14,844	14,851	14,841	14,846
Urban Development Corp/ESDC:						
Pine Barrens	1,284	1,291	1,287	1,287	1,290	1,291
State Building/Equipment						
Urban Development Corp/ESDC:						
Empire State Plaza	15,270	21,347	25,482	32,872	34,432	37,247
State Buildings	9,624	18,328	22,028	22,028	22,028	22,028
State Capital Projects	13,434	16,594	20,044	20,044	20,049	20,047
Albany County-ESP	20,187	14,112	9,984	2,077	0	0
Dormitory Authority						
State Buildings	1,248	7,409	6,907	6,910	6,910	6,909
Certificates of Participation	91,200	202,290	209,851	208,432	112,795	109,645
Housing						
Housing Finance Agency	94,676	98,207	98,785	99,517	100,923	99,690
Economic Development						
Triborough Bridge and Tunnel Authority/Javits Center	41,926	42,283	42,289	42,289	42,294	42,289
Urban Development Corp/ESDC:						
University Technology Centers	19,228	24,139	24,673	24,666	22,952	22,947
Onondaga Convention Center	3,530	3,982	3,984	3,977	3,980	3,978
Sports Facilities	9,888	10,973	10,975	10,972	10,975	10,993
Community Enhancement Facilities	0	77,566	79,362	79,373	39,838	39,841
Other State Purposes						
Dormitory Authority						
Pension Refinancing	163,432	163,442	163,449	163,464	163,475	0
<b>Total Other Financing Arrangements</b>	<b>\$2,325,179</b>	<b>\$2,820,632</b>	<b>\$3,001,461</b>	<b>\$3,145,109</b>	<b>\$3,101,780</b>	<b>\$3,036,809</b>
<b>TOTAL STATE-SUPPORTED DEBT</b>	<b>\$3,418,756</b>	<b>\$3,886,217</b>	<b>\$4,021,391</b>	<b>\$4,118,436</b>	<b>\$4,043,151</b>	<b>\$3,943,543</b>

Note: Totals reflect assumed refunding savings of \$10 million in 1999-2000 and thereafter.

## FINANCING PLAN

**Debt Service Funds Financial Plan**  
**Prepared on the Cash Basis of Accounting**  
**1998-99 through 2003-04**  
**(thousands)**

	<u>1998-1999</u>	<u>1999-2000</u>	<u>2000-2001</u>	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>
<b>Opening Fund Balances</b>	\$163,924	\$166,664	\$168,718	\$157,188	\$174,520	\$182,511
<b>Receipts</b>						
Taxes	\$2,217,700	\$2,205,800	\$2,190,800	\$2,277,700	\$2,383,000	\$2,501,100
Miscellaneous Receipts	622,310	629,206	646,908	657,479	669,597	688,695
Federal Grants	0	0	0	0	0	0
<b>Subtotal Receipts</b>	<u>\$2,840,010</u>	<u>\$2,835,006</u>	<u>\$2,837,708</u>	<u>\$2,935,179</u>	<u>\$3,052,597</u>	<u>\$3,189,795</u>
<b>Disbursements</b>						
State Operations	\$5,375	\$6,500	\$7,000	\$7,000	\$7,000	\$7,000
Debt Service	3,289,172	3,673,164	3,800,634	3,899,198	3,918,877	3,822,418
<b>Subtotal Disbursements</b>	<u>\$3,294,547</u>	<u>\$3,679,664</u>	<u>\$3,807,634</u>	<u>\$3,906,198</u>	<u>\$3,925,877</u>	<u>\$3,829,418</u>
<b>Other Financing Sources (Uses)</b>						
Transfers From Other Funds	\$4,337,906	\$4,680,077	\$4,757,084	\$4,757,870	\$4,797,100	\$4,673,612
Transfers To Other Funds	(3,880,628)	(3,833,365)	(3,798,688)	(3,769,519)	(3,915,829)	(4,023,161)
<b>Net Other Financing Sources (Uses)</b>	<u>\$457,277</u>	<u>\$846,712</u>	<u>\$958,396</u>	<u>\$988,351</u>	<u>\$881,271</u>	<u>\$650,451</u>
<b>Changes in Fund Balances</b>	<u>\$2,740</u>	<u>\$2,054</u>	<u>(\$11,530)</u>	<u>\$17,332</u>	<u>\$7,991</u>	<u>\$10,828</u>
<b>Closing Fund Balances</b>	<u>\$166,664</u>	<u>\$168,718</u>	<u>\$157,188</u>	<u>\$174,520</u>	<u>\$182,511</u>	<u>\$193,339</u>

## **CAPITAL PROGRAM PLAN**

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### **CAPITAL PROGRAM PLAN**

This section provides, for each capital program, a narrative description of the agencies' purpose, goals and objectives for the next five years. These agency narratives also highlight accomplishments, specific new initiatives and long-term financial requirements.

The accompanying tables provide five-years of appropriation, commitment and disbursement projections for each program. Commitment levels in these tables reflect the value of contracts expected to be entered into by each agency in a given year. Each agency narrative compares the Plan's recommended commitment levels with last year's levels. The reappropriation projections reflect the unexpended balance of the original appropriation, and any unused amounts continue to be shown until the project is completed.

This section also includes summary schedules of disbursements, which aggregate the information presented in the individual agency tables. However, these summary tables eliminate transactions which simply move monies from one fund to another and, therefore, reflect only projections of disbursements for capital projects. This adjustment provides comparability between the Plan's summary of disbursements and the State's Financial Plan.

This section of the Plan is organized programmatically. Each program area begins with a functional overview, followed by each agency's narrative and financial summary tables. The programmatic areas are transportation; environment and recreation; education; public protection; mental hygiene; housing and economic development; and other.

All State agencies and authorities with State-supported capital programs have capital maintenance systems. Agencies are required to develop five year maintenance plans, which include an assessment of assets with a replacement value of at least \$5 million, and that incorporates the asset's age, condition, condition goals, maintenance activities, and remaining useful life. In addition, every five years agencies are required to perform an independent evaluation of their maintenance plans to ensure that the recommended maintenance activities are consistent with current capital needs; the first of these evaluations is due in the year 2000.

The capital maintenance plan summaries are included in the agency narratives, since the maintenance plans are a critical part of the agency's five year capital plan. Agencies with new or existing capital maintenance plans have begun to identify the financial requirements for preventive maintenance. The appropriations reflected in each agency's five-year capital plan reflect a needs-assessment which will continue to be refined as an agency implements an asset maintenance system.

**TRANSPORTATION**

New York's transportation infrastructure plays a critical role in the State's economic vitality by helping to attract and retain private businesses through facilitating the efficient movement of goods and people. The statewide transportation network includes 235,000 lane miles of roads, 4,600 railroad miles, 600 public and private airports, 12 major ports and over 70 mass transit operators. State agencies, public authorities, local governments and private entities all play a role in supporting this network.

**DEPARTMENT OF TRANSPORTATION**

The Department of Transportation (DOT) is primarily responsible for maintaining and rehabilitating the State's system of highways and bridges, which includes more than 40,000 State highway lane miles and 7,500 bridges. Private contractors perform all major construction and repair work while the Department provides seasonal maintenance and repair. The Department also oversees and funds programs that help defray local capital expenses associated with road and bridge projects, including the Consolidated Highway Improvement Program (CHIPS) and the Marchiselli Program.

The 1999-2000 Executive Budget implements the final year of the Governor's five-year transportation program. Highway and bridge construction contract awards will total \$1.5 billion in 1999-2000, and remain flat in each subsequent year of the Capital Plan. This reduced contract level returns to a base program, first reached in 1997-98 after years of dramatic increases, that is sufficient to fund essential highway and bridge projects across the State without generating excessive debt. The base program may be increased in future years through the use of additional pay-as-you-go resources. Engineering resources will decrease to \$540 million in 1999-2000, reflecting the lower construction levels. State support for bonded local capital programs will mirror the decrease in the State construction level.

Under the first four years of the plan, over 32,000 lane miles of highway were treated and approximately 24,000 bridge treatments were completed through capital and preventive maintenance work. In 1999-2000, the Department will award over 500 contracts for capital and preventive maintenance work which, when combined with maintenance work performed by Department forces, will improve over 7,000 lanes of highways and over 5,000 bridges.

Preventive maintenance will continue to be an essential component of the Department's activities. As preventive activities extend the life of a road or bridge, they are more cost-effective in the long run than major reconstruction. For highways, the goal of keeping water and other materials away from the base of the highway is accomplished through such activities as crack sealing, pothole repair, joint repair and drainage repair. Painting, washing, joint repair and maintaining drainage are key elements to extending the life of State bridges.

A total of \$1.69 billion will be available for the planned preventive maintenance activities over the next five years. The Department estimates that it will perform preventive maintenance on 35,600 lane miles and 31,800 bridges over the five-year period. Estimates of lane miles and bridge activities include multiple activities that will be performed at the same location.

The Department's maintenance activities are supported by 308 sites around the State which encompasses over 700 building types including 60 maintenance headquarters,

## ***CAPITAL PROGRAM PLAN***

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183 maintenance subhead quarters, 42 salt storage areas, 20 bridge crew facilities, 2 special crew facilities and a sign shop. The average age of the infrastructure is over 30 years. The total size of the infrastructure is approximately 4.0 million gross square feet. The overall average condition of the Department's maintenance facilities is fair and the remaining useful life is over ten years.

The Department's maintenance facilities plan consists of an annual review of its overall needs and a prioritization of its projects. The program goal is to upgrade and repair its infrastructure based on evaluation of the condition, proposed use and corresponding health, safety and environmental concerns.

Financing for the highway and bridge capital program is provided by Federal funds, the Dedicated Highway and Bridge Trust Fund and remaining General Obligation bond proceeds. The Dedicated Highway and Bridge Trust Fund, enacted in 1993, is supported by highway use taxes and a portion of motor fuel taxes, motor vehicle registration fees and the petroleum business tax. Through the end of the current Department of Transportation plan in 1999-2000, total Trust Fund revenues available are expected to be more than two times the amount required to pay the maximum aggregate debt service on all outstanding Highway and Bridge Trust Fund Bonds. After 1999-2000, a new multi-year plan will address transportation needs and financing.

Other modes of transportation play a significant role in the State's economic health, as well. Access to aviation, for instance, can be critical to a community's ability to attract business. State funds support state-owned Republic Airport in Suffolk County, and State and Federal funds provide capital funding to other airports throughout New York. The State is finalizing a 99-year lease of Stewart Airport near Newburgh to the private sector. This privatization of an aviation facility is one of the first in the country.

The Capital Plan reflects significant new initiatives for rail passenger and freight service in the State. In 1998, the State and Amtrak entered into an historic partnership, using the State's Federal aid and Amtrak funds, to improve high-speed rail passenger service in the Empire Corridor over the next years. In addition, the State will initiate a new rail freight program with State and Federal funds to improve rail freight service, including assistance to railroads and rail shippers.

Mass transit systems play an essential role in the State's economic and social networks by providing people access to work, schools, health care and other crucial destinations. Mass transit systems also contribute to improving the environment by effectively relieving traffic congestion and, as a result, helping to improve the State's air quality. Financing for transit capital needs is primarily provided through the Dedicated Mass Transportation Trust Fund which receives a portion of Petroleum Business Tax revenues. The Metropolitan Transportation Authority's \$12.8 billion 1995-1999 Capital Plan is supported by annual Trust Fund appropriations, including \$252.7 million in 1999-2000, and a one-time \$96 million appropriation from the Metropolitan Mass Transportation Operating Fund in 1999-2000. Capital funding of \$26.6 million is provided in 1999-2000 to support the needs of systems statewide, other than the Metropolitan Transportation Authority. Additionally, \$55 million in Federal Highway funds are transferred to transit.

### ***THRUWAY AUTHORITY***

In addition to operating a 641-mile toll-highway system, the Thruway Authority has jurisdiction over the New York State Canal System. Through its subsidiary, the New York State Canal Corporation, the Thruway maintains, operates, develops and makes capital improvements to the 524-mile navigable waterway which includes 57 locks, numerous

dams, reservoirs and water control structures. Revenues from canal tolls and other user fees are deposited into the Canal System Development Fund and, in accordance with the State Constitution, are used exclusively for the canals. Commitments from the Fund are used to reimburse the Thruway for projects advanced by the Authority. Maintenance on the canals is conducted on an ongoing basis to ensure that canal facilities operate properly and that public safety is maintained.

# CAPITAL PROGRAM PLAN

**TRANSPORTATION, DEPARTMENT OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS  
1999-2000 THROUGH 2003-2004  
(THOUSANDS OF DOLLARS)**

**APPROPRIATIONS**

	<b>Reappropriations</b>	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>Total 1999-2004</b>
<b>Program Summary:</b>							
Aviation	\$54,074	\$42,500	\$7,500	\$7,500	\$7,500	\$7,500	\$72,500
Highway Facilities	7,418,897	3,145,569	3,046,292	2,968,835	2,919,203	2,879,833	14,959,732
Maintenance Facilities	60,633	26,250	...	...	...	...	26,250
Mass Transportation and Rail							
Freight	221,549	45,628	26,628	26,628	26,628	26,628	152,140
Ports and Waterways	1,657	...	...	...	...	...	...
<b>Total</b>	<u>\$7,756,810</u>	<u>\$3,259,947</u>	<u>\$3,080,420</u>	<u>\$3,002,963</u>	<u>\$2,953,331</u>	<u>\$2,913,961</u>	<u>\$15,210,622</u>
<b>Fund Summary:</b>							
Capital Projects Fund - Advances	\$26,233	...	...	...	...	...	...
Capital Projects Fund - A.C. and T.I. Fund (Bondable)	130,355	...	...	...	...	...	...
Capital Projects Fund - Infrastructure Renewal (Bondable)	57,759	...	...	...	...	...	...
Capital Projects Fund - Aviation (Bondable)	8,522	...	...	...	...	...	...
Capital Projects Fund - Energy Conservation (Bondable)	2,593	...	...	...	...	...	...
Federal Capital Projects Fund	3,786,182	\$1,350,000	\$1,375,000	\$1,400,000	\$1,400,000	\$1,400,000	\$6,925,000
Regional Aviation Fund	...	35,000	...	...	...	...	35,000
Engineering Services Fund	906,348	539,388	526,261	507,804	491,172	471,802	2,536,427
Dedicated Highway and Bridge Trust Fund	2,608,534	1,293,400	1,143,000	1,059,000	1,026,000	1,006,000	5,527,400
Dedicated Mass Transportation Fund	137,447	32,628	26,628	26,628	26,628	26,628	139,140
NY Metro Transportation Council Account	15,672	8,031	8,031	8,031	8,031	8,031	40,155
Passenger Facility Charge Fund	2,650	1,500	\$1,500	1,500	1,500	1,500	7,500
Suburban Transportation Fund	74,265	...	...	...	...	...	...
Community Projects Fund	250	...	...	...	...	...	...
<b>Total</b>	<u>\$7,756,810</u>	<u>\$3,259,947</u>	<u>\$3,080,420</u>	<u>\$3,002,963</u>	<u>\$2,953,331</u>	<u>\$2,913,961</u>	<u>\$15,210,622</u>



# CAPITAL PROGRAM PLAN

**TRANSPORTATION, DEPARTMENT OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS  
1999-2000 THROUGH 2003-2004  
(THOUSANDS OF DOLLARS)**

	<b>COMMITMENTS</b>				
	<u>1999-2000</u>	<u>2000-2001</u>	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>
<b>Program Summary:</b>					
Aviation	\$11,000	\$11,000	\$11,000	\$11,000	\$11,000
Highway Facilities	2,419,500	2,306,900	2,247,900	2,214,800	2,195,000
Maintenance Facilities	26,250	26,250	26,250	26,250	26,250
Mass Transportation and Rail					
Freight	45,628	26,628	26,628	26,628	26,628
Ports and Waterways	...	...	...	...	...
<b>Total</b>	<u>\$2,502,378</u>	<u>\$2,370,778</u>	<u>\$2,311,778</u>	<u>\$2,278,678</u>	<u>\$2,258,878</u>
<b>Fund Summary:</b>					
Capital Projects Fund - Advances	...	...	...	...	...
Capital Projects Fund - A.C. and T.I. Fund (Bondable)	...	...	...	...	...
Capital Projects Fund - Infrastructure Renewal (Bondable)	...	...	...	...	...
Capital Projects Fund - Aviation (Bondable)	...	...	...	...	...
Capital Projects Fund - Energy Conservation (Bondable)	...	...	...	...	...
Federal Capital Projects Fund	\$1,350,000	\$1,375,000	\$1,400,000	\$1,400,000	\$1,400,000
Regional Aviation Fund	5,000	5,000	5,000	5,000	5,000
Engineering Services Fund	...	...	...	...	...
Dedicated Highway and Bridge Trust Fund	1,114,750	964,150	880,150	847,050	827,250
Dedicated Mass Transportation Fund	32,628	26,628	26,628	26,628	26,628
NY Metro Transportation Council Account	...	...	...	...	...
Passenger Facility Charge Fund	...	...	...	...	...
Suburban Transportation Fund	...	...	...	...	...
Community Projects Fund	...	...	...	...	...
<b>Total</b>	<u>\$2,502,378</u>	<u>\$2,370,778</u>	<u>\$2,311,778</u>	<u>\$2,278,678</u>	<u>\$2,258,878</u>

# **CAPITAL PROGRAM PLAN**

**TRANSPORTATION, DEPARTMENT OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS  
1999-2000 THROUGH 2003-2004  
(THOUSANDS OF DOLLARS)**

**DISBURSEMENTS**

	<b>Estimated 1998-1999</b>	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>Total 1999-2004</b>
<b>Program Summary:</b>							
Aviation	\$19,939	\$22,458	\$20,484	\$13,925	\$13,271	\$12,500	\$82,638
Highway Facilities	2,946,709	3,098,014	3,147,524	2,993,751	2,910,731	2,836,836	14,986,856
Maintenance Facilities	40,564	29,369	25,867	4,000	...	...	59,236
Mass Transportation and Rail							
Freight	50,806	46,379	47,232	31,755	23,248	18,739	167,353
Ports and Waterways	49	...	...	...	...	...	...
<b>Total</b>	<u>\$3,058,067</u>	<u>\$3,196,220</u>	<u>\$3,241,107</u>	<u>\$3,043,431</u>	<u>\$2,947,250</u>	<u>\$2,868,075</u>	<u>\$15,296,083</u>
<b>Fund Summary:</b>							
Capital Projects Fund - Advances	\$5,500	\$2,676	\$408	...	...	...	\$3,084
Capital Projects Fund - A.C. and T.I. Fund (Bondable)	72,300	43,178	23,043	\$7,000	\$4,986	...	78,207
Capital Projects Fund - Infrastructure Renewal (Bondable)	7,031	3,620	836	300	146	...	4,902
Capital Projects Fund - Aviation (Bondable)	2,887	1,954	1,025	625	125	...	3,729
Capital Projects Fund - Energy Conservation (Bondable)	800	800	200	200	200	\$200	1,600
Federal Capital Projects Fund	1,011,000	1,095,000	1,325,000	1,335,000	1,335,000	1,335,000	6,425,000
Regional Aviation Fund	...	4,000	4,000	5,000	5,000	5,000	23,000
Engineering Services Fund	588,753	572,152	539,506	517,455	507,393	478,623	2,615,129
Dedicated Highway and Bridge Trust Fund	1,334,422	1,432,848	1,301,267	1,141,361	1,065,680	1,024,691	5,965,847
Dedicated Mass Transportation Fund	25,055	32,420	38,250	28,918	21,148	16,989	137,725
NY Metro Transportation Council Account	4,830	5,072	5,072	5,072	5,072	5,072	25,360
Passenger Facility Charge Fund	2,900	1,500	1,500	1,500	1,500	1,500	7,500
Suburban Transportation Fund	2,339	1,000	1,000	1,000	1,000	1,000	5,000
Community Projects Fund	250	...	...	...	...	...	...
<b>Total</b>	<u>\$3,058,067</u>	<u>\$3,196,220</u>	<u>\$3,241,107</u>	<u>\$3,043,431</u>	<u>\$2,947,250</u>	<u>\$2,868,075</u>	<u>\$15,296,083</u>

# CAPITAL PROGRAM PLAN

**THRUWAY AUTHORITY, NEW YORK STATE  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS  
1999-2000 THROUGH 2003-2004  
(THOUSANDS OF DOLLARS)**

**APPROPRIATIONS**

	<b>Reappropriations</b>	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>Total 1999-2004</b>
Program Summary:							
Canal Development Program	\$2,000	\$2,000	\$2,400	\$2,400	\$2,400	\$2,300	\$11,500
<b>Total</b>	<b>\$2,000</b>	<b>\$2,000</b>	<b>\$2,400</b>	<b>\$2,400</b>	<b>\$2,400</b>	<b>\$2,300</b>	<b>\$11,500</b>
Fund Summary:							
New York State Canal System							
Development Fund	\$2,000	\$2,000	\$2,400	\$2,400	\$2,400	\$2,300	\$11,500
<b>Total</b>	<b>\$2,000</b>	<b>\$2,000</b>	<b>\$2,400</b>	<b>\$2,400</b>	<b>\$2,400</b>	<b>\$2,300</b>	<b>\$11,500</b>

**COMMITMENTS**

	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>
Program Summary:					
Canal Development Program	\$2,000	\$2,400	\$2,400	\$2,400	\$2,300
<b>Total</b>	<b>\$2,000</b>	<b>\$2,400</b>	<b>\$2,400</b>	<b>\$2,400</b>	<b>\$2,300</b>
Fund Summary:					
New York State Canal System					
Development Fund	\$2,000	\$2,400	\$2,400	\$2,400	\$2,300
<b>Total</b>	<b>\$2,000</b>	<b>\$2,400</b>	<b>\$2,400</b>	<b>\$2,400</b>	<b>\$2,300</b>

**DISBURSEMENTS**

	<b>Estimated 1998-1999</b>	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>Total 1999-2004</b>
Program Summary:							
Canal Development Program	\$2,480	\$3,476	\$2,400	\$2,400	\$2,400	\$2,300	\$12,976
<b>Total</b>	<b>\$2,480</b>	<b>\$3,476</b>	<b>\$2,400</b>	<b>\$2,400</b>	<b>\$2,400</b>	<b>\$2,300</b>	<b>\$12,976</b>
Fund Summary:							
New York State Canal System							
Development Fund	\$2,480	\$3,476	\$2,400	\$2,400	\$2,400	\$2,300	\$12,976
<b>Total</b>	<b>\$2,480</b>	<b>\$3,476</b>	<b>\$2,400</b>	<b>\$2,400</b>	<b>\$2,400</b>	<b>\$2,300</b>	<b>\$12,976</b>

# CAPITAL PROGRAM PLAN

## NIAGARA FRONTIER TRANSPORTATION AUTHORITY SUMMARY OF PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS 1999-2000 THROUGH 2003-2004 (THOUSANDS OF DOLLARS)

### APPROPRIATIONS

	Reappropriations	1999-2000	2000-2001	2001-2002	2002-2003	2003-2004	Total 1999-2004
Program Summary:							
Mass Transportation and Rail							
Freight	\$2	...	...	...	...	...	...
<b>Total</b>	<b>\$2</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>
Fund Summary:							
Capital Projects Fund	\$1	...	...	...	...	...	...
Capital Projects Fund - Mass Transportation (Bondable)	1	...	...	...	...	...	...
<b>Total</b>	<b>\$2</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>

### COMMITMENTS

	1999-2000	2000-2001	2001-2002	2002-2003	2003-2004
Program Summary:					
Mass Transportation and Rail					
Freight	...	...	...	...	...
<b>Total</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>
Fund Summary:					
Capital Projects Fund	...	...	...	...	...
Capital Projects Fund - Mass Transportation (Bondable)	...	...	...	...	...
<b>Total</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>

### DISBURSEMENTS

	Estimated 1998-1999	1999-2000	2000-2001	2001-2002	2002-2003	2003-2004	Total 1999-2004
Program Summary:							
Mass Transportation and Rail							
Freight	...	...	...	...	...	...	...
<b>Total</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>
Fund Summary:							
Capital Projects Fund	...	...	...	...	...	...	...
Capital Projects Fund - Mass Transportation (Bondable)	...	...	...	...	...	...	...
<b>Total</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>

### **ENVIRONMENT AND RECREATION**

The Department of Environmental Conservation (DEC) and the Office of Parks, Recreation and Historic Preservation (OPRHP) are the principal agencies responsible for preserving and protecting the State's extensive environmental, historic and cultural resources and providing recreational opportunities for its citizens. Each agency is responsible for the development and maintenance of a wide array of capital facilities integral to this mission.

A major focus of DEC and OPRHP over the next five years is the implementation of the Clean Water/Clean Air (CWCA) Bond Act, proposed by the Governor, and endorsed by the voters in November 1996. The Bond Act authorized a total of \$1.75 billion for projects vital to the State's environmental and economic health.

#### **DEPARTMENT OF ENVIRONMENTAL CONSERVATION**

The Department of Environmental Conservation (DEC) is charged with protecting the State's natural resources. Department responsibilities include cleaning up solid and hazardous waste disposal sites, enforcing air and water quality standards, maintaining hundreds of flood and coastal erosion projects and stewardship of approximately four million acres of State land. The Department also provides safe opportunities for outdoor recreation including hunting, fishing, camping, hiking and other activities. DEC manages hundreds of facilities including dams, boat launch sites, campgrounds, fish hatcheries, wildlife management areas and a ski area.

DEC's capital program enhances and maintains the infrastructure necessary to provide a safe environment. DEC's capital plan directs resources to projects to ensure compliance with health and safety requirements and State and Federal environmental mandates. In 1999-2000, General Fund appropriations of \$22.2 million are recommended to address these needs and to maintain and upgrade campgrounds, environmental centers and camps, fish hatcheries and other Department-owned buildings and facilities; maintain flood control structures; and fund shore protection projects for communities threatened by coastal erosion. This also includes \$2 million for projects to enhance natural resources based tourism activities, including interpretive and other facilities.

The 1999-2000 Executive Budget recommends new appropriations totaling \$233 million from the Clean Water/Clean Air (CWCA) Bond Act for projects to be administered by DEC. Another \$50 million is appropriated in the Department of Health for the Safe Drinking Water Program. The CWCA Bond Act funds such important activities as: water quality improvement projects, landfill closure and recycling projects, brownfields projects, safe drinking water projects and air quality improvement projects. Combined with \$789 million in prior year appropriations, a total of \$1.07 billion will have been made available from the Bond Act by 1999-2000.

The Governor's recommends additional appropriations of \$678 million from CWCA bonds through 2003-04. In total, CWCA appropriations of \$1.75 billion will be available by 2003-04 to support critical environmental projects.

Another key element of DEC's capital program is the Environmental Protection Fund (EPF), a dedicated fund supported by receipts of approximately \$125 million annually. Total EPF appropriations of \$125 million in 1999-2000 and an additional \$500 million through 2003-04 will continue funding for a host of other critical environmental and recreational activities, including: local landfill closure and recycling; waterfront revitalization

## ***CAPITAL PROGRAM PLAN***

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projects; non-point source water pollution control; farmland preservation; open space land acquisition; Hudson River Estuary Management and municipal parks projects; the Hudson River Park project; and Onondaga Lake water quality improvement projects.

The 1999-2000 Executive Budget also recommends appropriations of \$34.5 million from remaining 1998-99 EPF revenues which were not appropriated in the 1998-99 enacted Budget. These funds will support Hudson River Estuary, waterfront revitalization, historic preservation and stewardship projects.

The Department's Capital Plan also reflects annual pay-as-you-go appropriations and spending of \$100 million each year beginning in 2001-02 for a program to clean up hazardous waste and substance sites after the existing 1986 Environmental Quality Bond Act hazardous waste funds of \$1.1 billion are committed. This reflects a preliminary estimate because final decisions on program content and funding levels will be made following consideration of the recommendations from the Governor's Workgroup on Superfund Reform.

In 1999-2000, the level of contract commitments projected in the Department's capital plan is approximately \$822 million. This is an increase of over \$257 million from the level of 1999-2000 commitments projected in the 1998-99 capital plan. The rise is consistent with increased appropriations for several capital programs. Future year commitments are also consistent with planned appropriation levels over the next five years.

As part of the Governor's efforts to reduce bonding, pay-as-you-go spending replaces bond financing in 2000-01 for the State's match for the Clean Water State Revolving Program.

The Department's capital maintenance plan focuses on preservation and preventive maintenance at its various lands, facilities and other structures. The Department manages a vast array of assets that vary in age, condition and useful life, including: approximately 4 million acres of land; 260 boat launch sites; 425 fishing access sites; 92 flood control structures; 51 campgrounds; 12 fish hatcheries; 4 environmental education camps; 3 environmental education centers; 1 tree nursery; and the Belleayre Mountain ski center. The Department is developing a computerized maintenance management system which will facilitate planning and preventive maintenance for these extensive resources.

### ***OFFICE OF PARKS, RECREATION AND HISTORIC PRESERVATION***

The Office of Parks, Recreation and Historic Preservation operates 152 State parks and 35 historic sites that provide a place for visitors to relax and learn about New York's natural, historic and recreational treasures. More than 65 million people visit the State parks each year.

New York State's park system is one of the oldest and best developed in the nation, featuring 27 golf courses, 76 developed beaches, 51 swimming pools, and more than 5,000 buildings. The majority of facilities at State parks were built more than 50 years ago. Accordingly, a primary component of the capital program is devoted to maintenance and rehabilitation of existing facilities.

For 1999-2000, nearly \$30 million is recommended for capital projects from the State Parks Infrastructure Fund (SPIF), a dedicated fund consisting of revenues generated from day use and camping fees at the parks, as well as other miscellaneous revenues. In 1999-2000, \$2.5 million in SPIF revenues will be used to upgrade facilities at Jones

Beach. Support is also provided for the Office's capital program from Fiduciary and Federal funds and the 1986 Environmental Quality Bond Act.

In addition, funding will be available from the Environmental Protection Fund (EPF) and the Clean Water/Clean Air Bond Act to improve park facilities and protect the fragile natural resources at State parks. These funds are included in the Department of Environmental Conservation's capital budget.

The Office's five-year capital plan reflects the needs of the various parks and historic sites. A total of \$150 million, mainly from the SPIF, is planned to be spent on projects that are primarily targeted at correcting health and safety risks and preserving park facilities and include actions to:

- restore historic sites;
- rehabilitate park utility and sanitary systems;
- improve selected roads and bridges;
- upgrade public comfort stations and campground wash houses;
- maintain and improve park buildings, cabins and pool facilities; and
- develop newly acquired park lands.

The Office's capital maintenance plan also indicates that 1999-2000 investments will be concentrated in the preservation and protection of its many facilities. The Office's assets consist of approximately 5,000 buildings which vary in age, condition and useful life, including: historic buildings, offices, cabins, comfort stations, maintenance/storage buildings, restaurants, visitor/nature centers, pump houses and toll booths. The Office plans to focus its 1999-2000 maintenance efforts primarily on site restoration, roof repair, and exterior construction projects.

In 1999-2000, preparation will continue at Bethpage State Park for the 2002 U. S. Open Golf Championship. This event, held for the first time at a public golf course, will provide substantial economic and other benefits for the State's park system and the entire Long Island community.

The level of contract commitments projected in the Office's capital plan is approximately \$42.5 million in 1999-2000, which is consistent with the level projected in the 1998-99 plan. Future year commitments reflect projected activity from both new appropriations and prior year appropriations.

The Office's five-year capital plan also reflects the transfer of the Hudson River Park Fund, which is comprised of revenues generated by properties within the Hudson River Park, from the Empire State Development Corporation to the Office. In 1999-2000, the plan anticipates \$14.6 million in spending from the fund for construction of the park. This spending will be supplemented with funding from the EPF.

### ***OLYMPIC REGIONAL DEVELOPMENT AUTHORITY***

The Olympic Regional Development Authority (ORDA) is responsible for managing and maintaining the Olympic facilities including Whiteface Mountain Ski Center in the Lake Placid area and the Gore Mountain Ski Center in North Creek, Warren County. The Executive Budget recommends \$2.32 million for health and safety projects, which will maintain the condition of ORDA's 60 facilities at 5 winter sports venues. In addition, capital spending for ORDA's facilities will be supplemented with funding from the EPF. Efforts are underway to attract private investment in the ski facilities at Whiteface and Gore. There are no changes from the level of 1999-2000 commitments projected in the 1998-99 capital plan.

# CAPITAL PROGRAM PLAN

**ENVIRONMENTAL CONSERVATION, DEPARTMENT OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS  
1999-2000 THROUGH 2003-2004  
(THOUSANDS OF DOLLARS)**

**APPROPRIATIONS**

	<b>Reappropriations</b>	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>Total 1999-2004</b>
Program Summary:							
Air Resources	\$146,829	\$40,000	\$153,000	\$153,000	\$153,000	\$48,500	\$547,500
Solid Waste Management	207,563	74,495	...	100,000	100,000	100,000	374,495
Water Resources	734,371	317,825	7,300	7,300	7,300	7,300	347,025
Fish and Wildlife	6,069	500	1,000	1,000	1,000	1,000	4,500
Lands and Forests	17,863	4,950	1,000	1,000	1,000	1,000	8,950
Administration	1,605	500	...	...	...	...	500
Operations	26,754	9,550	6,000	6,000	6,000	6,000	33,550
Recreation	2,677	2,650	700	700	700	700	5,450
Solid and Hazardous Waste Management	468,022	37,625	...	...	...	...	37,625
Design and Construction Supervision	16	...	...	...	...	...	...
Marine Resources	3,436	...	...	...	...	...	...
Environment and Recreation	228,649	125,000	125,000	125,000	125,000	125,000	625,000
Clean Water/Clean Air 96	79,715	10,000	...	...	...	...	10,000
Clean Water Clean Air Implementation	6,027	6,027	6,027	6,027	6,027	6,027	30,135
Environment Protection and Enhancements	...	34,475	...	...	...	...	34,475
<b>Total</b>	<b>\$1,929,596</b>	<b>\$663,597</b>	<b>\$300,027</b>	<b>\$400,027</b>	<b>\$400,027</b>	<b>\$295,527</b>	<b>\$2,059,205</b>
Fund Summary:							
Capital Projects Fund	\$147,271	\$49,279	\$15,700	\$115,700	\$115,700	\$115,700	\$412,079
Capital Projects Fund - Advances	58,288	63,170	300	300	300	300	64,370
Forest Preserve Expansion Fund	161	...	...	...	...	...	...
Capital Projects Fund - EQBA (Bondable)	93,451	...	...	...	...	...	...
Capital Projects Fund - PWBA (Bondable)	35,175	...	...	...	...	...	...
Capital Projects Fund - EQBA 86 (Bondable)	468,396	37,625	...	...	...	...	37,625
Federal Capital Projects Fund	320,807	112,021	...	...	...	...	112,021
Clean Water Clean Air Implementation Fund	6,027	6,027	6,027	6,027	6,027	6,027	30,135
Environmental Protection Fund	228,649	159,475	125,000	125,000	125,000	125,000	659,475
Enterprise Fund	175	...	...	...	...	...	...
Clean Air Fund	9,842	3,000	3,000	3,000	3,000	3,000	15,000
Financial Security Fund	1,983	...	...	...	...	...	...
Natural Resource Damages Fund	11,201	...	...	...	...	...	...
Hudson River Habitat Restor. Fund	351	...	...	...	...	...	...
Capital Projects Fund - 1996 CWCA (Bondable)	547,819	233,000	150,000	150,000	150,000	45,500	728,500
<b>Total</b>	<b>\$1,929,596</b>	<b>\$663,597</b>	<b>\$300,027</b>	<b>\$400,027</b>	<b>\$400,027</b>	<b>\$295,527</b>	<b>\$2,059,205</b>



# CAPITAL PROGRAM PLAN

**ENVIRONMENTAL CONSERVATION, DEPARTMENT OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS  
1999-2000 THROUGH 2003-2004  
(THOUSANDS OF DOLLARS)**

**COMMITMENTS**

	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>
<b>Program Summary:</b>					
Air Resources	\$6,000	\$5,700	\$5,200	\$4,000	\$4,000
Solid Waste Management	15,000	4,000	2,000	1,500	1,500
Water Resources	151,756	161,035	52,967	24,124	23,600
Fish and Wildlife	1,000	1,000	1,000	1,000	1,000
Lands and Forests	2,200	2,075	1,000	1,000	1,000
Administration	500	500	200	100	100
Operations	10,000	10,200	9,000	8,000	8,000
Recreation	6,000	3,000	2,800	2,500	2,500
Solid and Hazardous Waste Management	231,695	57,100	114,850	117,150	117,150
Design and Construction Supervision	...	...	...	...	...
Marine Resources	200	200	250	200	200
Environment and Recreation	125,000	125,000	125,000	125,000	125,000
Clean Water/Clean Air 96	233,000	150,000	150,000	150,000	131,000
Clean Water Clean Air Implementation	6,027	6,027	6,027	6,027	6,027
Environment Protection and Enhancements	34,475	...	...	...	...
<b>Total</b>	<b>\$822,853</b>	<b>\$525,837</b>	<b>\$470,294</b>	<b>\$440,601</b>	<b>\$421,077</b>
<b>Fund Summary:</b>					
Capital Projects Fund	\$53,520	\$46,000	\$150,000	\$155,000	\$155,000
Capital Projects Fund - Advances	10,000	4,000	1,000	500	500
Forest Preserve Expansion Fund	75	75	...	...	...
Capital Projects Fund - EQBA (Bondable)	15,200	3,000	2,000	...	...
Capital Projects Fund - PWBA (Bondable)	...	...	...	...	...
Capital Projects Fund - EQBA 86 (Bondable)	198,000	45,000	...	...	...
Federal Capital Projects Fund	141,356	140,535	32,067	874	350
Clean Water Clean Air Implementation Fund	6,027	6,027	6,027	6,027	6,027
Environmental Protection Fund	159,475	125,000	125,000	125,000	125,000
Enterprise Fund	...	...	...	...	...
Clean Air Fund	3,000	3,000	3,000	3,000	3,000
Financial Security Fund	200	200	200	200	200
Natural Resource Damages Fund	3,000	3,000	1,000	...	...
Hudson River Habitat Restor. Fund	...	...	...	...	...
Capital Projects Fund - 1996 CWCA (Bondable)	233,000	150,000	150,000	150,000	131,000
<b>Total</b>	<b>\$822,853</b>	<b>\$525,837</b>	<b>\$470,294</b>	<b>\$440,601</b>	<b>\$421,077</b>

# CAPITAL PROGRAM PLAN

## ENVIRONMENTAL CONSERVATION, DEPARTMENT OF SUMMARY OF PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS 1999-2000 THROUGH 2003-2004 (THOUSANDS OF DOLLARS)

### DISBURSEMENTS

	Estimated 1998-1999	1999-2000	2000-2001	2001-2002	2002-2003	2003-2004	Total 1999-2004
Program Summary:							
Air Resources	\$81,689	\$38,101	\$54,855	\$64,502	\$76,112	\$100,452	\$334,022
Solid Waste Management	26,248	28,938	31,148	128,775	134,007	116,009	438,877
Water Resources	205,092	249,304	248,104	100,012	55,177	44,982	697,579
Fish and Wildlife	2,036	1,491	659	963	976	800	4,889
Lands and Forests	11,857	1,005	841	895	2,070	2,086	6,897
Administration	837	650	350	100	...	...	1,100
Operations	9,498	8,707	9,560	10,621	8,243	7,750	44,881
Recreation	2,365	2,308	1,665	650	925	1,126	6,674
Solid and Hazardous Waste Management	94,989	109,998	110,000	100,000	61,200	18,335	399,533
Design and Construction Supervision	16	...	...	...	...	...	...
Marine Resources	255	493	325	280	549	...	1,647
Environment and Recreation	90,525	93,567	106,170	119,739	114,511	112,749	546,736
Clean Water/Clean Air 96	1,400	2,500	4,500	10,000	8,000	7,500	32,500
Clean Water Clean Air Implementation	6,027	6,027	6,027	6,027	6,027	6,027	30,135
Environment Protection and Enhancements	...	10,250	9,750	6,350	5,150	2,975	34,475
<b>Total</b>	<b>\$532,834</b>	<b>\$553,339</b>	<b>\$583,954</b>	<b>\$548,914</b>	<b>\$472,947</b>	<b>\$420,791</b>	<b>\$2,579,945</b>
Fund Summary:							
Capital Projects Fund	\$60,026	\$54,600	\$50,951	\$129,730	\$122,269	\$122,999	\$480,549
Capital Projects Fund - Advances	19,258	16,997	17,360	19,762	26,652	7,450	88,221
Forest Preserve Expansion Fund	25	30	30	30	20	...	110
Capital Projects Fund - EQBA (Bondable)	27,761	11,312	9,031	492	2,130	1,106	24,071
Capital Projects Fund - PWBA (Bondable)	2,365	2,900	3,100	3,725	4,800	800	15,325
Capital Projects Fund - EQBA 86 (Bondable)	95,000	110,000	110,000	100,000	61,200	18,335	399,535
Federal Capital Projects Fund	143,721	141,356	140,535	32,067	874	350	315,182
Clean Water Clean Air Implementation Fund	6,027	6,027	6,027	6,027	6,027	6,027	30,135
Environmental Protection Fund	90,525	103,817	115,920	126,089	119,661	115,724	581,211
Enterprise Fund	175	...	...	...	...	...	...
Clean Air Fund	4,700	3,000	3,000	3,010	3,000	3,000	15,010
Financial Security Fund	500	500	500	482	...	...	1,482
Natural Resource Damages Fund	2,500	2,500	2,500	2,500	1,314	...	8,814
Hudson River Habitat Restor. Fund	51	300	...	...	...	...	300
Capital Projects Fund - 1996 CWCA (Bondable)	80,200	100,000	125,000	125,000	125,000	145,000	620,000
<b>Total</b>	<b>\$532,834</b>	<b>\$553,339</b>	<b>\$583,954</b>	<b>\$548,914</b>	<b>\$472,947</b>	<b>\$420,791</b>	<b>\$2,579,945</b>

## CAPITAL PROGRAM PLAN

**PARKS, RECREATION AND HISTORIC PRESERVATION, OFFICE OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS  
1999-2000 THROUGH 2003-2004  
(THOUSANDS OF DOLLARS)**

**APPROPRIATIONS**

	<b>Reappropriations</b>	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>Total 1999-2004</b>
<b>Program Summary:</b>							
New Facilities	\$3,500	\$925	...	...	...	...	\$925
Maintenance and Improvement of Existing Facilities	49,186	29,730	\$24,700	\$24,400	\$25,500	\$25,000	129,330
Parks EQBA	33,032	...	...	...	...	...	...
Federal Land and Water Conservation Fund	...	...	...	...	...	...	...
Outdoor Recreation	1,026	...	...	...	...	...	...
Natural Heritage Trust	1,433	...	...	...	...	...	...
Clean Water Clean Air Implementation	...	1,384	1,453	1,526	1,600	1,682	7,645
Federal Capital Projects Fund	2,970	...	...	...	...	...	...
Regional Development	16,000	7,000	7,300	3,500	3,600	3,600	25,000
<b>Total</b>	<b>\$107,147</b>	<b>\$39,039</b>	<b>\$33,453</b>	<b>\$29,426</b>	<b>\$30,700</b>	<b>\$30,282</b>	<b>\$162,900</b>
<b>Fund Summary:</b>							
Capital Projects Fund	\$1,433	...	...	...	...	...	...
Capital Projects Fund - EQBA (Bondable)	407	...	...	...	...	...	...
Capital Projects Fund - EQBA 86 (Bondable)	32,625	...	...	...	...	...	...
Federal Capital Projects Fund	2,970	...	...	...	...	...	...
Hudson River Park Fund	16,000	\$7,000	\$7,300	\$3,500	\$3,600	\$3,600	\$25,000
Fiduciary Funds - Miscellaneous Combined Expendable Trust Fund	2,400	...	...	...	...	...	...
Clean Water Clean Air Implementation Fund	...	1,384	1,453	1,526	1,600	1,682	7,645
Outdoor Recreation Development Bond Fund	230	...	...	...	...	...	...
Parks and Recreation Land Acquisition Bond Fund	796	...	...	...	...	...	...
Misc. Capital Projects	1,379	700	1,200	500	500	...	2,900
State Parks Infrastructure Fund	48,907	29,955	23,500	23,900	25,000	25,000	127,355
Community Projects Fund	...	...	...	...	...	...	...
<b>Total</b>	<b>\$107,147</b>	<b>\$39,039</b>	<b>\$33,453</b>	<b>\$29,426</b>	<b>\$30,700</b>	<b>\$30,282</b>	<b>\$162,900</b>

# CAPITAL PROGRAM PLAN

**PARKS, RECREATION AND HISTORIC PRESERVATION, OFFICE OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS , COMMITMENTS AND DISBURSEMENTS  
1999-2000 THROUGH 2003-2004  
(THOUSANDS OF DOLLARS)**

**COMMITMENTS**

	<u>1999-2000</u>	<u>2000-2001</u>	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>
Program Summary:					
New Facilities	\$850	\$1,150	\$1,175	...	...
Maintenance and Improvement of Existing Facilities	22,541	21,750	21,625	\$22,700	\$22,500
Parks EQBA	10,000	10,000	7,660	...	...
Federal Land and Water Conservation Fund	...	...	...	...	...
Outdoor Recreation	...	...	...	...	...
Natural Heritage Trust	...	...	...	...	...
Clean Water Clean Air Implementation	1,384	1,453	1,526	1,600	1,682
Federal Capital Projects Fund	700	150	...	...	...
Regional Development	7,000	7,300	3,500	3,600	3,600
<b>Total</b>	<u>\$42,475</u>	<u>\$41,803</u>	<u>\$35,486</u>	<u>\$27,900</u>	<u>\$27,782</u>
Fund Summary:					
Capital Projects Fund	...	...	...	...	...
Capital Projects Fund - EQBA (Bondable)	...	...	...	...	...
Capital Projects Fund - EQBA 86 (Bondable)	\$10,000	\$10,000	\$7,660	...	...
Federal Capital Projects Fund	700	150	...	...	...
Hudson River Park Fund	7,000	7,300	3,500	\$3,600	\$3,600
Fiduciary Funds - Miscellaneous Combined Expendable Trust Fund	650	100	...	...	...
Clean Water Clean Air Implementation Fund	1,384	1,453	1,526	1,600	1,682
Outdoor Recreation Development Bond Fund	...	...	...	...	...
Parks and Recreation Land Acquisition Bond Fund	...	...	...	...	...
Misc. Capital Projects	641	700	700	600	400
State Parks Infrastructure Fund	22,100	22,100	22,100	22,100	22,100
Community Projects Fund	...	...	...	...	...
<b>Total</b>	<u>\$42,475</u>	<u>\$41,803</u>	<u>\$35,486</u>	<u>\$27,900</u>	<u>\$27,782</u>

## CAPITAL PROGRAM PLAN

**PARKS, RECREATION AND HISTORIC PRESERVATION, OFFICE OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS  
1999-2000 THROUGH 2003-2004  
(THOUSANDS OF DOLLARS)**

**DISBURSEMENTS**

	<b>Estimated 1998-1999</b>	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>Total 1999-2004</b>
Program Summary:							
New Facilities	\$1,384	\$850	\$1,150	\$1,175	...	...	\$3,175
Maintenance and Improvement of Existing Facilities	24,041	25,041	24,250	23,884	\$25,100	\$25,000	123,275
Parks EQBA	7,775	10,000	10,000	7,660	...	...	27,660
Federal Land and Water Conservation Fund	625	...	...	...	...	...	...
Outdoor Recreation	...	...	...	...	...	...	...
Natural Heritage Trust	2,053	...	...	...	...	...	...
Clean Water Clean Air Implementation	1,225	1,384	1,453	1,526	1,600	1,682	7,645
Federal Capital Projects Fund	1,300	700	150	...	...	...	850
Regional Development	8,400	14,600	7,300	3,500	3,600	3,600	32,600
<b>Total</b>	<b>\$46,803</b>	<b>\$52,575</b>	<b>\$44,303</b>	<b>\$37,745</b>	<b>\$30,300</b>	<b>\$30,282</b>	<b>\$195,205</b>
Fund Summary:							
Capital Projects Fund	\$2,487	...	...	...	...	...	...
Capital Projects Fund - EQBA (Bondable)	275	...	...	...	...	...	...
Capital Projects Fund - EQBA 86 (Bondable)	7,500	\$10,000	\$10,000	\$7,660	...	...	\$27,660
Federal Capital Projects Fund	1,925	700	150	...	...	...	850
Hudson River Park Fund	8,400	14,600	7,300	3,500	\$3,600	\$3,600	32,600
Fiduciary Funds - Miscellaneous Combined Expendable Trust Fund	950	650	100	...	...	...	750
Clean Water Clean Air Implementation Fund	1,225	1,384	1,453	1,526	1,600	1,682	7,645
Outdoor Recreation Development Bond Fund	...	...	...	...	...	...	...
Parks and Recreation Land Acquisition Bond Fund	...	...	...	...	...	...	...
Misc. Capital Projects	1,741	641	700	459	500	400	2,700
State Parks Infrastructure Fund	22,100	24,600	24,600	24,600	24,600	24,600	123,000
Community Projects Fund	200	...	...	...	...	...	...
<b>Total</b>	<b>\$46,803</b>	<b>\$52,575</b>	<b>\$44,303</b>	<b>\$37,745</b>	<b>\$30,300</b>	<b>\$30,282</b>	<b>\$195,205</b>

# CAPITAL PROGRAM PLAN

## OLYMPIC REGIONAL DEVELOPMENT AUTHORITY SUMMARY OF PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS 1999-2000 THROUGH 2003-2004 (THOUSANDS OF DOLLARS)

### APPROPRIATIONS

	<b>Reappropriations</b>	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>Total 1999-2004</b>
Program Summary:							
Administration	...	\$2,317	\$2,317	\$2,317	\$2,317	\$2,317	\$11,585
<b>Total</b>	...	\$2,317	\$2,317	\$2,317	\$2,317	\$2,317	\$11,585
Fund Summary:							
Capital Projects Fund	...	\$2,317	\$2,317	\$2,317	\$2,317	\$2,317	\$11,585
<b>Total</b>	...	\$2,317	\$2,317	\$2,317	\$2,317	\$2,317	\$11,585

### COMMITMENTS

	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>
Program Summary:					
Administration	\$2,317	\$2,317	\$2,317	\$2,317	\$2,317
<b>Total</b>	\$2,317	\$2,317	\$2,317	\$2,317	\$2,317
Fund Summary:					
Capital Projects Fund	\$2,317	\$2,317	\$2,317	\$2,317	\$2,317
	\$2,317	\$2,317	\$2,317	\$2,317	\$2,317

### DISBURSEMENTS

	<b>Estimated 1998-1999</b>	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>Total 1999-2004</b>
Program Summary:							
Administration	\$2,317	\$2,317	\$2,317	\$2,317	\$2,317	\$2,317	\$11,585
<b>Total</b>	\$2,317	\$2,317	\$2,317	\$2,317	\$2,317	\$2,317	\$11,585
Fund Summary:							
Capital Projects Fund	\$2,317	\$2,317	\$2,317	\$2,317	\$2,317	\$2,317	\$11,585
<b>Total</b>	\$2,317	\$2,317	\$2,317	\$2,317	\$2,317	\$2,317	\$11,585

### ***PUBLIC PROTECTION***

The capital planning process is essential to the Department of Correctional Services (DOCS) as a means of providing additional capacity and maintaining a safe and secure environment in existing facilities. The Division of State Police (DSP) and the Division of Military and Naval Affairs (DMNA) capital programs ensure that existing facilities continue to be maintained.

#### ***DEPARTMENT OF CORRECTIONAL SERVICES***

DOCS is responsible for incarcerating approximately 72,000 convicted criminals and preparing them for successful return to the community. The capital program assists in this mission by ensuring that all housing, medical, program, and support space in 70 facilities remains functional, safe and secure.

The focus of the 1999-2000 capital projects recommendation is the completion of a capital expansion plan to meet growing population demands resulting from truth-in-sentencing legislation, including Jenna's Law, and maintenance of the Department's vast infrastructure.

The 1997-98 enacted Budget funded capacity expansion to provide the additional space required to allow violent offenders to serve longer sentences commensurate with their crimes. Eight 100-cell units, constructed on the grounds of existing correctional facilities, were completed in Summer 1998. A new 750 cell maximum security prison, under construction in Franklin County, is on schedule to be occupied by inmates in the Summer of 1999. The capital plan includes funds to construct a second 750-cell maximum security prison, authorized in the 1998-99 enacted Budget, to be ready for use by 2000, as well as a third such prison to be opened in 2001.

The Department's substantial rehabilitation and preservation requirements are due to several factors, including the age of many of the State's prisons and the changing needs of the inmate population, particularly in the area of health care. "New" capacity was created during the 1980's often by converting older institutions from other uses to prisons. The focus of that effort was on security, not upgrading the structural integrity and infrastructure of these very old facilities. In addition, virtually all of these facilities operate above capacity due to extensive double-bunking, further straining the physical plant.

The Department of Correctional Services Preventative Maintenance Program Plan addresses this situation by providing funds for additional system repairs and replacements necessary to keep capacity in service. Analysis shows that the heating, ventilation, hot water, electric and roofing systems are the major problem areas requiring appropriations.

The Department continues to require improvements to its medical facilities. The prevalence of disease related to AIDS, tuberculosis and other infectious conditions in the prison population prompted a significant capital program to provide cost efficient access to health care. A new appropriation of \$10 million is included in the recommendations to continue the renovation and replacement of medical facilities, including the infirmary at Eastern Correctional Facility.

## **CAPITAL PROGRAM PLAN**

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The Capital Asset Maintenance Plan is designed to preserve the useful life of the facilities and infrastructure. In evaluating assets, DOCS has established a rating system to identify the condition of its physical plant as a tool for prioritizing essential rehabilitation projects. The following table identifies the capital asset group, age and condition of DOCS assets:

<b>Capital Asset Group</b>	<b>Age Range</b>	<b>Condition</b>			<b>Total</b>
		<b>Good</b>	<b>Fair</b>	<b>Poor</b>	
Minimum Security	4 to 75 yrs.	14	2	0	16
Medium Security	8 to 100 yrs.	35	4	0	39
Maximum Security	8 to 150 yrs.	12	3	0	15
Support	40 to 60 yrs.	2	0	0	2
	<b>Total</b>	63	9	0	72

The program, consistent with the above objectives, recommends new capital project appropriations of approximately \$1.2 billion over the next five years, and \$984 million in reappropriations. These appropriations are grouped programmatically, thereby allowing the agency to manage the flow of projects, respond to emergencies and adjust priorities to accommodate for changes in facility conditions.

The DOCS Capital program is funded primarily from appropriations made from the Correctional Facilities Capital Improvement Fund which is reimbursed by proceeds from bonds issued by the Empire State Development Corporation. The five-year disbursement projection of more than \$1.2 billion includes \$414 million to complete construction of additional capacity, including \$180 million for a third 750-cell maximum security prison included in the 1999-2000 Executive Budget; \$103 million for the Health Care Infrastructure program; and \$691 million for capital rehabilitation.

The 1999-2000 Plan reflects nearly \$1.1 billion in commitments, commensurate with anticipated available appropriations. The Executive's Recommended Plan reflects the pressing need for capacity expansion, infrastructure maintenance and rehabilitation projects; and improvements to the Department's health care facilities.

### ***DIVISION OF STATE POLICE***

The priorities of the Division of State Police (DSP) Capital Plan are to maintain the safety and integrity of State Police facilities across the State. The majority of funding for 1999-2000 will be used to rehabilitate State owned facilities.

The Capital Asset Maintenance Plan for the Division of State Police is designed to preserve the useful life of the facilities and infrastructure. Over the past several years capital initiatives have been focused on the repair and replacement of roofs, windows, parking lots and access roadways; heating and ventilation systems; and improvements to facilities to meet health and safety issues. The plan for the next five years maintains the same focus.



## **CAPITAL PROGRAM PLAN**

<b>Capital Asset Group</b>	<b>Age Range</b>	<b>Condition</b>			<b>Total</b>
		<b>Good</b>	<b>Fair</b>	<b>Poor</b>	
Troop Headquarters	10 to 40 years	9	0	0	9
Zone Headquarters	40 years	0	2	0	2
Station	40 years	0	1	0	1
	<b>Total</b>	9	3	0	12

The 1999-2000 Executive Budget also includes funding for completing the construction of a new Zone Headquarters in the Town of Islip, Suffolk County, New York. The lease on the current facility expires at the end of 1999 and the location is inadequate. The completed new facility will be centrally located and readily accessible to the major highways in that area. In addition, the new facility will be handicapped accessible and have women's locker facilities.

The planned commitments in 1999-2000 are estimated at \$2.6 million, growing to \$3.5 million in the subsequent year. The 1999-2000 Capital Plan assumes entering into commitments for new projects that will continue to rehabilitate State Police facilities.

### ***DIVISION OF MILITARY AND NAVAL AFFAIRS***

The Division of Military and Naval Affairs operates over 120 facilities statewide which include: over 60 Army National Guard Armories, 28 operations and maintenance facilities, six Air Guard bases, four training facilities, three aviation support facilities, and Camp Smith.

An analysis conducted by the National Guard Bureau has concluded that New York's infrastructure is the oldest in the nation and its overall condition is fair. Total size of the infrastructure is over five million square feet and its average age is over 71 years. The comprehensive remaining useful life is at least five years.

Over the last four years, the Division has been refining its mission to not only maintaining its military readiness posture, but to include joint partnerships with local communities. The Division's capital projects planning is undertaken in the context of this restructured mission which encompasses both Federal and State priorities.

The Division annually prepares a Five Year Comprehensive Capital Maintenance Plan which emphasizes continued investment in maintenance and repair programs, implements technological upgrades and addresses energy efficiency concerns. Infrastructure repair and upgrade is measured and prioritized by a structure's condition and proposed use; and corresponding health, safety and environmental concerns.

# CAPITAL PROGRAM PLAN

## STATE POLICE, DIVISION OF SUMMARY OF PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS 1999-2000 THROUGH 2003-2004 (THOUSANDS OF DOLLARS)

### APPROPRIATIONS

	<u>Reappropriations</u>	<u>1999-2000</u>	<u>2000-2001</u>	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>	<u>Total 1999-2004</u>
Program Summary:							
Maintenance and Improvement of Existing Facilities	\$4,648	\$2,000	\$3,000	\$2,000	\$4,700	\$2,000	\$13,700
New Facilities	3,321	...	...	...	...	...	...
<b>Total</b>	<u>\$7,969</u>	<u>\$2,000</u>	<u>\$3,000</u>	<u>\$2,000</u>	<u>\$4,700</u>	<u>\$2,000</u>	<u>\$13,700</u>
Fund Summary:							
Capital Projects Fund	\$7,969	\$2,000	\$3,000	\$2,000	\$4,700	\$2,000	\$13,700
<b>Total</b>	<u>\$7,969</u>	<u>\$2,000</u>	<u>\$3,000</u>	<u>\$2,000</u>	<u>\$4,700</u>	<u>\$2,000</u>	<u>\$13,700</u>

### COMMITMENTS

	<u>1999-2000</u>	<u>2000-2001</u>	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>
Program Summary:					
Maintenance and Improvement of Existing Facilities	\$2,600	\$1,500	\$1,500	\$1,500	\$1,500
New Facilities	...	2,000	...	...	...
<b>Total</b>	<u>\$2,600</u>	<u>\$3,500</u>	<u>\$1,500</u>	<u>\$1,500</u>	<u>\$1,500</u>
Fund Summary:					
Capital Projects Fund	\$2,600	\$3,500	\$1,500	\$1,500	\$1,500
<b>Total</b>	<u>\$2,600</u>	<u>\$3,500</u>	<u>\$1,500</u>	<u>\$1,500</u>	<u>\$1,500</u>

### DISBURSEMENTS

	<u>Estimated 1998-1999</u>	<u>1999-2000</u>	<u>2000-2001</u>	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>	<u>Total 1999-2004</u>
Program Summary:							
Maintenance and Improvement of Existing Facilities	\$2,121	\$2,848	\$2,580	\$2,450	\$2,700	\$3,700	\$14,278
New Facilities	1,679	1,298	1,650	...	...	...	2,948
<b>Total</b>	<u>\$3,800</u>	<u>\$4,146</u>	<u>\$4,230</u>	<u>\$2,450</u>	<u>\$2,700</u>	<u>\$3,700</u>	<u>\$17,226</u>
Fund Summary:							
Capital Projects Fund	\$3,800	\$4,146	\$4,230	\$2,450	\$2,700	\$3,700	\$17,226
<b>Total</b>	<u>\$3,800</u>	<u>\$4,146</u>	<u>\$4,230</u>	<u>\$2,450</u>	<u>\$2,700</u>	<u>\$3,700</u>	<u>\$17,226</u>

# CAPITAL PROGRAM PLAN

## MILITARY AND NAVAL AFFAIRS, DIVISION SUMMARY OF PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS 1999-2000 THROUGH 2003-2004 (THOUSANDS OF DOLLARS)

### APPROPRIATIONS

	<u>Reappropriations</u>	<u>1999-2000</u>	<u>2000-2001</u>	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>	<u>Total 1999-2004</u>
Program Summary:							
Administration - Federal Capital Projects Fund	\$7,762	...	...	...	...	...	...
Army National Guard	4,321	...	...	...	...	...	...
Maintenance and Improvement of Existing Facilities	9,807	\$5,900	\$7,900	\$6,700	\$7,625	\$5,375	\$33,500
Design and Construction							
Supervision	2,345	3,025	2,025	3,225	2,300	4,550	15,125
<b>Total</b>	<u>\$24,235</u>	<u>\$8,925</u>	<u>\$9,925</u>	<u>\$9,925</u>	<u>\$9,925</u>	<u>\$9,925</u>	<u>\$48,625</u>
Fund Summary:							
Capital Projects Fund	\$9,821	\$5,500	\$6,500	\$6,500	\$6,500	\$6,500	\$31,500
Federal Capital Projects Fund	14,414	3,425	3,425	3,425	3,425	3,425	17,125
<b>Total</b>	<u>\$24,235</u>	<u>\$8,925</u>	<u>\$9,925</u>	<u>\$9,925</u>	<u>\$9,925</u>	<u>\$9,925</u>	<u>\$48,625</u>

### COMMITMENTS

	<u>1999-2000</u>	<u>2000-2001</u>	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>
Program Summary:					
Administration - Federal Capital Projects Fund	...	...	...	...	...
Army National Guard	...	...	...	...	...
Maintenance and Improvement of Existing Facilities	\$5,850	\$8,050	\$6,450	\$7,825	\$5,525
Design and Construction					
Supervision	3,175	1,975	3,575	2,200	4,500
<b>Total</b>	<u>\$9,025</u>	<u>\$10,025</u>	<u>\$10,025</u>	<u>\$10,025</u>	<u>\$10,025</u>
Fund Summary:					
Capital Projects Fund	\$5,600	\$6,600	\$6,600	\$6,600	\$6,600
Federal Capital Projects Fund	3,425	3,425	3,425	3,425	3,425
<b>Total</b>	<u>\$9,025</u>	<u>\$10,025</u>	<u>\$10,025</u>	<u>\$10,025</u>	<u>\$10,025</u>

### DISBURSEMENTS

	<u>Estimated 1998-1999</u>	<u>1999-2000</u>	<u>2000-2001</u>	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>	<u>Total 1999-2004</u>
Program Summary:							
Administration - Federal Capital Projects Fund	\$2,136	\$2,500	\$536	\$1,795	\$1,038	...	\$5,869
Army National Guard	2,874	2,480	619	100	...	...	3,199
Maintenance and Improvement of Existing Facilities	2,302	4,115	7,536	6,800	7,673	\$7,823	33,947
Design and Construction							
Supervision	1,188	1,405	2,807	2,800	2,789	3,115	12,916
<b>Total</b>	<u>\$8,500</u>	<u>\$10,500</u>	<u>\$11,498</u>	<u>\$11,495</u>	<u>\$11,500</u>	<u>\$10,938</u>	<u>\$55,931</u>
Fund Summary:							
Capital Projects Fund	\$5,000	\$5,500	\$6,500	\$6,500	\$6,500	\$6,500	\$31,500
Federal Capital Projects Fund	3,500	5,000	4,998	4,995	5,000	4,438	24,431
<b>Total</b>	<u>\$8,500</u>	<u>\$10,500</u>	<u>\$11,498</u>	<u>\$11,495</u>	<u>\$11,500</u>	<u>\$10,938</u>	<u>\$55,931</u>

# **CAPITAL PROGRAM PLAN**

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## **EDUCATION**

Capital planning is vital to the teaching, research and public service missions of both the State University (SUNY) and the City University (CUNY) systems. Capital Plans ensure that facilities are appropriately designed and developed to meet current and future needs and provide a safe and healthy environment for students, faculty and staff. The State Education Department's (SED) capital programs encompass the rehabilitation of schools for the deaf, the blind and Native Americans, as well as SED's administrative offices, and the State Museum and State Archives.

### **STATE UNIVERSITY OF NEW YORK**

The State University of New York is the largest public university system in the nation, with 64 campuses (including 30 community colleges) serving nearly 370,000 students. The goal of SUNY's capital program is to provide and preserve the physical infrastructure needed for the education of students.

The 34 State-operated campuses include more than 2,000 classroom, dormitory, library, laboratory, athletic, and student activity buildings. More than 84 percent of those facilities exceed 20 years of age and are increasingly in need of rehabilitation and repair. Accordingly, SUNY's capital program primarily emphasizes the maintenance and rehabilitation of its existing State-operated facilities. Capital priorities at SUNY's 30 community colleges include major rehabilitation as well as selected new buildings at various campuses to accommodate changing academic needs.

SUNY's capital planning process starts with the development of facility master plans for each campus. For State-operated colleges, these plans are then developed into a system-wide, five-year University Master Capital Plan approved by the SUNY Board of Trustees. For community colleges, master plans are submitted to the local Boards of Trustees for approval and used in developing budget requests for submission to the SUNY Board of Trustees. Both new construction and rehabilitation projects are identified through campus developed master planning efforts and building condition surveys performed by the State University Construction Fund. Priorities are then determined and submitted to the Trustees prior to inclusion in budget requests.

As part of the 1998-99 enacted Budget, the University received authorization for a \$2 billion capital investment program; \$1.575 billion in State-supported bonding and the remainder funded by hospital and dormitory revenues and local community college sponsors. This long term capital investment program enables the University to better plan for and address campus priorities. This continuing investment will support a revitalization of SUNY facilities, ensure the ability of campuses to successfully meet the needs of students, faculty and researchers, and enable the University to retain its competitive edge into the next millennium.

- Major components of the capital program for 1999-2000 through 2003-04 include:
- campus core projects which meet critical health, safety and preservation needs and respond to new and changing academic requirements;
  - technology and campus development projects which respond to new ways of teaching and learning;
  - campus matching projects to leverage private donations;
  - systemwide infrastructure projects which address needs such as underground utility projects;

## ***CAPITAL PROGRAM PLAN***

- campus improvements and quality of life projects which improve the overall appearance of SUNY campuses and their responsiveness to student needs; and
- community college capital projects ranging in scope from replacement of heating and cooling systems to construction of new academic facilities.

SUNY's Capital Investment Program will continue to emphasize projects that address health and safety, rehabilitation and preservation needs. These include projects to address code violations, meet accreditation standards, provide access for the disabled, preserve the integrity of the capital plant and address deferred maintenance. Given the age of SUNY's physical plant, more than half of the capital funding for senior colleges will be spent on campus core projects and systemwide infrastructure needs. Unlike other State agencies, SUNY has the in-house capability to perform building surveys. Accordingly, the "remaining useful life" of SUNY buildings is based on the condition of the asset or building components, rather than age. Less than a dozen SUNY buildings have reached the end of their useful life.

Since nearly half of the University's facilities are in good condition, as illustrated by the table below, the 1999-2000 capital plan will continue to address the goal of maintaining and improving buildings classified as in "good" condition and to moving those in "fair" or "poor" condition to the "good" category. The capital plan anticipates that the majority of expenditures projected for capital activities over the next five years will address critical and deferred maintenance needs with the remainder directed to the adaptation of the physical plant to meet changing academic and technological needs.

<u>Capital Asset Group</u>	<u>Condition</u>			
	<u>Good</u>	<u>Fair</u>	<u>Poor</u>	<u>Total</u>
Academic/Support	761	965	13	1,739
Residential	274	98	1	373
Hospital	1	3	0	4
<b>Total</b>	1,036	1,066	14	2,116

For 1999-2000, the projected contract commitments will reach \$250 million which reflects the level of activity anticipated for the second year of this multi-year capital initiative. Total disbursements for both the State-operated and community colleges are estimated at \$263 million in 1999-2000 growing to \$392 million in 2003-2004. Both commitment and disbursement levels are expected to increase over the next five years to meet the current and future needs of an aging physical plant.

### ***CITY UNIVERSITY OF NEW YORK***

The City University of New York's physical plant is comprised of 11 senior colleges, 6 community colleges, a graduate center, law school and the Central Administration facility. CUNY serves nearly 199,000 degree credit students in approximately 20 million gross square feet of space.

CUNY's capital planning process begins with the development of a facility master plan for each campus which details existing and anticipated facilities necessary to accommodate projected campus enrollment within certain time periods. The facility master plans provide the framework for development of the annual capital budget request and the University's Five-Year Capital Plan.

## ***CAPITAL PROGRAM PLAN***

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CUNY's rehabilitation projects are identified through building condition surveys, conducted jointly by the Dormitory Authority and CUNY. New construction projects generally reflect the CUNY Board of Trustees' policy to replace leased and temporary facilities with new, university-owned structures.

Major projects at CUNY senior and community colleges are supported by bonds issued by the Dormitory Authority. The State pays the debt service on senior college projects other than Medgar Evers College. For community colleges and Medgar Evers College, debt service costs are shared with the City of New York. CUNY's minor rehabilitation projects for health, safety and preservation purposes are primarily supported by State General Fund appropriations.

The Governor's multi-year Capital Investment Program will provide more than \$1 billion for facility and infrastructure improvements at City University senior and community colleges, consistent with the University's needs and priorities. Funded projects encompass critical health and safety, preservation and handicapped access projects, as well as major renovations and new facilities at a number of CUNY campuses.

In 1998-99, appropriations totaling \$1.037 billion were provided in support of the Governor's multi-year Capital Investment Program. Concomitantly, CUNY's bond cap was increased by \$660 million, for a total bonding authorization of \$3.415 billion, to cover CUNY's planned bond sales for the course of the Capital Investment Program. Budget language also limited the obligations that could be incurred in 1998-99 and stated that obligations in future years were to be pursuant to a plan developed by the Governor.

For 1999-2000, the level of contract commitments projected in the capital plan is \$293.3 million, which is \$2.6 million below the 1999-2000 commitment level projected in the 1998-99 Five-Year Capital Plan due to minor adjustments in project schedules.

### ***STATE EDUCATION DEPARTMENT***

The State Education Department's (SED) capital program provides for the maintenance of administrative facilities in Albany (e.g., the Education Building and Cultural Education Center), the School for the Blind in Batavia, the School for the Deaf in Rome, and three Native American schools on the Onondaga, St. Regis Mohawk and Tuscarora reservations.

As part of the Governor's efforts to reduce bonding, pay-as-you-go spending beginning in 1999-2000 will be used to replace bond financing for State Education Department capital projects. SED's pay-as-you-go capital plan for 1999-2000 includes Capital Project Fund appropriations of \$909,000 with disbursements projected to total \$830,000. These appropriations will fund minor maintenance projects at various sites and the installation of humidity controls at the Batavia School for the Blind. No new Capital Advance Funds are recommended.

For fiscal year 1999-2000, the level of contract commitments projected in the capital plan is \$909,000 or \$2.72 million below projections made in the 1998-99 capital plan primarily due to a change in project scheduling. Projects previously funded are being designed and awarded as anticipated.

The Capital Plan will enable the State Education Department to maintain and preserve its assets; ensure health and safety of staff, students and the general public; and reduce its dependence on leased space by maximizing the effective use of State-owned buildings.

# CAPITAL PROGRAM PLAN

**STATE UNIVERSITY OF NEW YORK  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS  
1999-2000 THROUGH 2003-2004  
(THOUSANDS OF DOLLARS)  
(Includes Community Colleges)**

### APPROPRIATIONS

	<b>Reappropriations</b>	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>Total 1999-2004</b>
Program Summary:							
Maintenance and Improvements	\$2,539,149	...	...	...	...	\$390,000	\$390,000
Program Changes and Expansion							
New Facilities	19,666	...	...	...	...	...	...
<b>Total</b>	<b>\$2,558,815</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>\$390,000</b>	<b>\$390,000</b>
Fund Summary:							
Capital Projects Fund	\$198,620	...	...	...	...	\$25,000	\$25,000
Capital Projects Fund - Advances	1,979,275	...	...	...	...	295,000	295,000
State University Capital Projects Fund	100,000	...	...	...	...	20,000	20,000
State University Residence Hall Rehabilitation Fund	280,920	...	...	...	...	50,000	50,000
<b>Total</b>	<b>\$2,558,815</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>\$390,000</b>	<b>\$390,000</b>

### COMMITMENTS

	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>
Program Summary:					
Maintenance and Improvements	\$240,000	\$255,000	\$260,000	\$300,000	\$346,000
Program Changes and Expansion					
New Facilities	10,000	10,000	10,000	10,000	9,000
<b>Total</b>	<b>\$250,000</b>	<b>\$265,000</b>	<b>\$270,000</b>	<b>\$310,000</b>	<b>\$355,000</b>
Fund Summary:					
Capital Projects Fund	\$32,000	\$35,000	\$38,000	\$41,000	\$43,000
Capital Projects Fund - Advances	185,000	190,000	222,000	254,000	297,000
State University Capital Projects Fund	...	...	...	...	...
State University Residence Hall Rehabilitation Fund	33,000	40,000	10,000	15,000	15,000
<b>Total</b>	<b>\$250,000</b>	<b>\$265,000</b>	<b>\$270,000</b>	<b>\$310,000</b>	<b>\$355,000</b>

### DISBURSEMENTS

	<b>Estimated 1998-1999</b>	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>Total 1999-2004</b>
Program Summary:							
Maintenance and Improvements	\$236,500	\$263,000	\$273,500	\$284,300	\$316,100	\$392,000	\$1,528,900
Program Changes and Expansion							
New Facilities	...	...	...	...	...	...	...
<b>Total</b>	<b>\$236,500</b>	<b>\$263,000</b>	<b>\$273,500</b>	<b>\$284,300</b>	<b>\$316,100</b>	<b>\$392,000</b>	<b>\$1,528,900</b>
Fund Summary:							
Capital Projects Fund	\$32,500	\$35,000	\$35,500	\$36,300	\$38,100	\$32,000	\$176,900
Capital Projects Fund - Advances	165,000	175,000	175,000	185,000	215,000	290,000	1,040,000
State University Capital Projects Fund	6,000	10,000	20,000	20,000	20,000	20,000	90,000
State University Residence Hall Rehabilitation Fund	33,000	43,000	43,000	43,000	43,000	50,000	222,000
<b>Total</b>	<b>\$236,500</b>	<b>\$263,000</b>	<b>\$273,500</b>	<b>\$284,300</b>	<b>\$316,100</b>	<b>\$392,000</b>	<b>\$1,528,900</b>

# CAPITAL PROGRAM PLAN

**CITY UNIVERSITY OF NEW YORK  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS  
1999-2000 THROUGH 2003-2004  
(THOUSANDS OF DOLLARS)**

**APPROPRIATIONS**

	<b>Reappropriations</b>	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>Total 1999-2004</b>
Program Summary:							
Maintenance and Improvements	\$722,468	...	...	...	...	\$135,000	\$135,000
Program Changes and Expansion	15,679	...	...	...	...	...	...
Preservation of Facilities	825	...	...	...	...	...	...
New Facilities	352,556	...	...	...	...	70,000	70,000
<b>Total</b>	<b>\$1,091,528</b>	...	...	...	...	<b>\$205,000</b>	<b>\$205,000</b>
Fund Summary:							
Capital Projects Fund	\$99,112	...	...	...	...	5,000	\$5,000
Capital Projects Fund - Advances	988,346	...	...	...	...	200,000	200,000
City University of New York							
Capital Projects Fund	4,070	...	...	...	...	...	...
<b>Total</b>	<b>\$1,091,528</b>	...	...	...	...	<b>\$205,000</b>	<b>\$205,000</b>

**COMMITMENTS**

	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>
Program Summary:					
Maintenance and Improvements	\$236,200	\$134,000	\$132,700	\$104,500	\$78,000
Program Changes and Expansion	1,500	...	...	...	...
Preservation of Facilities	...	...	...	...	...
New Facilities	55,600	43,100	40,000	64,000	90,000
<b>Total</b>	<b>\$293,300</b>	<b>\$177,100</b>	<b>\$172,700</b>	<b>\$168,500</b>	<b>\$168,000</b>
Fund Summary:					
Capital Projects Fund	\$28,700	\$17,100	\$12,700	\$8,500	\$8,000
Capital Projects Fund - Advances	264,600	160,000	160,000	160,000	160,000
City University of New York					
Capital Projects Fund	...	...	...	...	...
<b>Total</b>	<b>\$293,300</b>	<b>\$177,100</b>	<b>\$172,700</b>	<b>\$168,500</b>	<b>\$168,000</b>

**DISBURSEMENTS**

	<b>Estimated 1998-1999</b>	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>Total 1999-2004</b>
Program Summary:							
Maintenance and Improvements	\$8,077	\$8,025	\$8,500	\$9,100	\$6,485	\$7,600	\$39,710
Program Changes and Expansion	873	975	550	...	2,615	1,500	5,640
Preservation of Facilities	150	100	50	...	...	...	150
New Facilities	...	...	...	...	...	...	...
<b>Total</b>	<b>\$9,100</b>	<b>\$9,100</b>	<b>\$9,100</b>	<b>\$9,100</b>	<b>\$9,100</b>	<b>\$9,100</b>	<b>\$45,500</b>
Fund Summary:							
Capital Projects Fund	\$9,100	\$9,100	\$9,100	\$9,100	\$9,100	\$9,100	\$45,500
Capital Projects Fund - Advances	...	...	...	...	...	...	...
City University of New York							
Capital Projects Fund	...	...	...	...	...	...	...
<b>Total</b>	<b>\$9,100</b>	<b>\$9,100</b>	<b>\$9,100</b>	<b>\$9,100</b>	<b>\$9,100</b>	<b>\$9,100</b>	<b>\$45,500</b>



# CAPITAL PROGRAM PLAN

## EDUCATION DEPARTMENT, STATE SUMMARY OF PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS 1999-2000 THROUGH 2003-2004 (THOUSANDS OF DOLLARS)

### APPROPRIATIONS

	Reappropriations	1999-2000	2000-2001	2001-2002	2002-2003	2003-2004	Total 1999-2004
Program Summary:							
Education Building	\$6,380	...	\$3,630	\$3,630	\$3,630	\$3,630	\$14,520
School for the Blind	3,029	\$159	...	...	...	...	159
School for the Deaf	2,195	...	...	...	...	...	...
Schools For Native American Reservations	500	...	...	...	...	...	...
Cultural Education Center	20,550	...	...	...	...	...	...
Administration	1,635	750	...	...	...	...	750
Washington Avenue Armory	10,715	...	...	...	...	...	...
Design and Construction Supervision	547	...	...	...	...	...	...
<b>Total</b>	<b>\$45,551</b>	<b>\$909</b>	<b>\$3,630</b>	<b>\$3,630</b>	<b>\$3,630</b>	<b>\$3,630</b>	<b>\$15,429</b>
Fund Summary:							
Capital Projects Fund	\$3,558	\$909	\$3,630	\$3,630	\$3,630	\$3,630	\$15,429
Capital Projects Fund - Advances	41,993	...	...	...	...	...	...
<b>Total</b>	<b>\$45,551</b>	<b>\$909</b>	<b>\$3,630</b>	<b>\$3,630</b>	<b>\$3,630</b>	<b>\$3,630</b>	<b>\$15,429</b>

### COMMITMENTS

	1999-2000	2000-2001	2001-2002	2002-2003	2003-2004
Program Summary:					
Education Building	...	\$3,630	\$3,630	\$3,630	\$3,630
School for the Blind	\$159	...	...	...	...
School for the Deaf	...	...	...	...	...
Schools For Native American Reservations	...	...	...	...	...
Cultural Education Center	...	...	...	...	...
Administration	750	...	...	...	...
Washington Avenue Armory	...	...	...	...	...
Design and Construction Supervision	...	...	...	...	...
<b>Total</b>	<b>\$909</b>	<b>\$3,630</b>	<b>\$3,630</b>	<b>\$3,630</b>	<b>\$3,630</b>
Fund Summary:					
Capital Projects Fund	\$909	\$3,630	\$3,630	\$3,630	\$3,630
Capital Projects Fund - Advances	...	...	...	...	...
<b>Total</b>	<b>\$909</b>	<b>\$3,630</b>	<b>\$3,630</b>	<b>\$3,630</b>	<b>\$3,630</b>

### DISBURSEMENTS

	Estimated 1998-1999	1999-2000	2000-2001	2001-2002	2002-2003	2003-2004	Total 1999-2004
Program Summary:							
Education Building	\$319	\$258	\$2,851	\$3,044	\$3,630	\$3,630	\$13,413
School for the Blind	200	40	343	...	...	...	383
School for the Deaf	100	40	...	...	...	...	40
Schools For Native American Reservations	...	...	...	...	...	...	...
Cultural Education Center	...	...	...	...	...	...	...
Administration	211	342	436	586	...	...	1,364
Washington Avenue Armory	...	...	...	...	...	...	...
Design and Construction Supervision	...	150	...	...	...	...	150
<b>Total</b>	<b>\$830</b>	<b>\$830</b>	<b>\$3,630</b>	<b>\$3,630</b>	<b>\$3,630</b>	<b>\$3,630</b>	<b>\$15,350</b>
Fund Summary:							
Capital Projects Fund	\$830	\$830	\$3,630	\$3,630	\$3,630	\$3,630	\$15,350
Capital Projects Fund - Advances	...	...	...	...	...	...	...
<b>Total</b>	<b>\$830</b>	<b>\$830</b>	<b>\$3,630</b>	<b>\$3,630</b>	<b>\$3,630</b>	<b>\$3,630</b>	<b>\$15,350</b>

## ***CAPITAL PROGRAM PLAN***

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### ***MENTAL HYGIENE***

The Department of Mental Hygiene capital program is administered through the three autonomous agencies within the Department — the Office of Mental Health (OMH), the Office of Mental Retardation and Developmental Disabilities (OMRDD), and the Office of Alcoholism and Substance Abuse Services (OASAS).

Capital projects for all Mental Hygiene agencies are supported by the General Fund and bonds issued by the Dormitory Authority. These financing mechanisms support the maintenance and rehabilitation of facilities operated by both the State and not-for-profit agencies, as well as the development of new community services for populations which the State serves.

#### ***OFFICE OF MENTAL HEALTH***

The Office of Mental Health (OMH) provides high quality services to approximately 6,600 people on 23 separate, active campuses containing 28 institutions: 17 Adult, six Children and Youth, three Forensic and two Research facilities. In addition, the agency helps fund the capital construction of hundreds of community residential sites; various general hospital projects, including psychiatric inpatient and specialized emergency rooms; and a number of non-residential community programs.

Consistent with OMH's Long-Term capital plan to reach an average daily census of between 3,700 and 4,700, the 1999-2000 capital plan includes appropriations and disbursements for OMH State-operated institutions that will continue to fund projects necessary to meet health and life safety codes; Joint Commission on Accreditation of Health Care Organizations (JCAHO) standards; current Federal Medicaid certification requirements; and other projects which remediate environmental deficiencies, improve energy efficiency, preserve long-term facilities and consolidate campus facilities.

As part of the Governor's efforts to reduce bonding, pay-as-you-go spending will be used to reduce OMH's future year bond financing for State mental health facilities. New 1999-2000 appropriations of \$112 million and disbursements of \$155 million for OMH State-operated institutions support essential rehabilitation projects which preserve patient and staff health and safety; provide long-term campus security improvements; and support the agency's efforts to close vacant buildings to achieve operating and energy efficiencies. Approximately \$95 million in annual appropriations support new projects needed for the preservation of facilities, minor rehabilitation of facilities, health and safety and facility accreditation. Major projects at the Manhattan Psychiatric Center and the New York Psychiatric Institute will also be completed in 1999-2000. The capital commitments reflect projected activity from new and prior year appropriations and fully support OMH's Capital Plan priorities.

As required by law, OMH is implementing a maintenance management program. The Computerized Maintenance Management System (CMMS) will schedule, track and document all maintenance activities. This system will assist OMH in developing campus planning project schedules that prioritize maintenance resources to ensure that buildings designated long-term are provided scheduled maintenance to preserve and enhance their current condition. Implementation will begin this year with Rockland PC operating as a test site. When tested successfully and approved, all OMH psychiatric centers are anticipated to be using CMMS by the end of 2000-01 for work orders and preventive maintenance applications.

## ***CAPITAL PROGRAM PLAN***

Over the past decade, OMH has invested over one billion dollars in its capital facilities. The benefits of these efforts are evident with over 70 percent of OMH buildings in good or better condition. The focus of capital maintenance planning is to ensure that the investments in the OMH infrastructure are preserved, both to realize its maximum useful life and to prevent costly repairs in the future.

<b><u>Capital Asset Group</u></b>	<b><u>Age Range</u></b>	<b><u>Condition</u></b>				<b><u>Total</u></b>
		<b><u>Excellent</u></b>	<b><u>Very Good</u></b>	<b><u>Good</u></b>	<b><u>Poor</u></b>	
Residential/Hospital Buildings	1-100+	47	84	26	58	15
Psychiatric Rehabilitation Buildings	1-100+	5	62	45	41	153
Administrative Support Buildings	1-100+	30	121	383	196	730
	<b>Total</b>	82	267	454	295	1,098

As planned, funds previously appropriated will continue to be available to develop and maintain the community infrastructure. In that capacity, a total of \$45 million in disbursements, incorporating \$8 million financed from the General Fund is recommended in 1999-2000. These funds will be available to support community-based capital projects including the continued development of pipeline residential beds authorized in prior years; various locally-identified projects under the Community Mental Health Reinvestment Act; compliance with the Americans with Disabilities Act standards for handicapped accessibility; and the rehabilitation of current community capital asset.

### ***OFFICE OF MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES***

The 1999-2000 Five-Year Capital Plan for the Office of Mental Retardation and Developmental Disabilities (OMRDD) maintains current health and safety standards for State and community programs. OMRDD's service system includes over 34,000 community-based beds operated by the State and the non-profit sector, along with about 2,000 developmental center (DC) and special unit beds and thousands of community-based day program slots. The plan includes new program development in 1999-2000 and future years, primarily to meet the needs of individuals who require specialized residential services because of their socially offending behaviors which cannot be treated in generic developmental disabilities programs, including individuals referred from the criminal justice system.

The focus of OMRDD's capital program is to:

- maintain health and safety standards for existing facilities and ensure conformance with all Federal and State certification standards;
- continue the court-ordered closure of the Letchworth Village Multiply Disabled Unit;
- preserve long-term institutional and community facilities under both State and non-profit auspices; and
- develop secure residential space for persons with severe behavioral treatment needs.

## **CAPITAL PROGRAM PLAN**

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Recommended new appropriations for 1999-2000 of \$61 million and reappropriations of \$92 million support projected capital disbursements of \$51 million. Recommended new and future appropriations are principally for essential maintenance of existing State and not-for-profit operated facilities. Capital commitment levels of \$50-54 million annually reflect projected activity from new and prior year appropriations and fully support OMRDD's Capital Plan priorities.

OMRDD's capital budget also continues a secure treatment facility expansion initiative commenced in 1997-98 for individuals who require specialized residential placement and cannot be served in generic developmental disabilities programs. When fully implemented, this initiative will serve 159 individuals, of which over 100 will enter from the criminal justice system (either at the point of sentencing or as a condition of parole) thereby freeing up critical prison space for other criminal justice populations. In addition, OMRDD's Capital budget includes reappropriations to renovate existing secure facilities to house and treat mentally retarded and developmentally disabled individuals determined to be sexually violent predators under proposed legislation to be introduced with the Executive Budget.

New State and non-profit renovation and maintenance projects will be financed by the General Fund and bond proceeds with approximately \$283 million in new appropriations anticipated over the five-year life of the Plan. Relative to new program expansion, OMRDD's non-profit sector has demonstrated considerable success in accessing private sector financing for this purpose, reducing its historic reliance on State capital support. Therefore, major new initiatives, such as the Governor's NY-CARES program to virtually eliminate residential waiting lists, as well as ongoing capital expansion needs related to legally mandated populations such as the aging out, will likewise be supported through private financing. The Plan still contemplates limited use of bond funds for non-profit community development to support small, historically ethnic providers to develop services in under-served, inner-city areas.

The 1999-2000 Capital Plan recognizes the continued demand for specialized settings to address the needs of a core population of developmentally disabled who require care in special units, including secure facilities. For example, within the past three years, referrals from the criminal justice system and the need for special services for other behaviorally-involved populations have increased significantly. Accordingly, all of OMRDD's eight Statewide campuses require capital investment in order to maintain program certification, and the Capital Plan includes sufficient funding for developmental center preservation and health and safety projects.

Over the years, New York has developed a large non-profit community infrastructure of some 19,500 beds and thousands of day slots housed in some 3,500 facilities statewide, much of it aging and in need of significant maintenance. While considerable maintenance resources have been invested in this infrastructure, there is still significant need in this mature capital network. The Capital Plan addresses this need by supporting a four-year maintenance schedule for non-profit sites that will ensure that significant maintenance projects such as bathroom and kitchen repair and replacement, aging mechanical systems, fire safety code updates, and roof replacements are funded.

The following table identifies the capital asset group, age and condition of the Office of Mental Retardation and Developmental Disabilities assets:

## **CAPITAL PROGRAM PLAN**

<u>Capital Asset Group</u>	<u>Age Range</u>	<u>Condition</u>			<u>Total</u>
		<u>Good</u>	<u>Fair</u>	<u>Poor</u>	
Institutional	1-75	444	0	174**	618
Community	1-55	1,030	0	0	1,030
	<b>Total</b>	1,474	0	174**	1,648

\*\* All 174 buildings are vacant and classified as programmatically obsolete, meaning they are not scheduled for short- or long-term use.

### **OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES**

The Office of Alcoholism and Substance Abuse Services (OASAS) supports a community-based service system delivering a continuum of services to treat and prevent chemical dependence. While the majority of the system is operated by voluntary not-for-profit providers, the State itself operates 13 Addiction Treatment Centers (ATCs). The Capital Plan recommends new appropriations of \$150 million over the next five years to support projects throughout the system. These projects focus primarily on the health and safety of clients, and the preservation of both State-and voluntary owned facilities.

Disbursements of \$20-26 million annually support a comprehensive network of residential and ambulatory treatment centers, including community residences, drug-free residential and ambulatory programs, alcohol outpatient clinics, and methadone clinics. This amount includes the development of another 213 beds, which will complete the 700 bed expansion enacted as part of the 1997-98 Budget. In addition, a portion of this projected spending reflects the planned purchase of permanent space for certain programs, thereby eliminating the need for more costly leased space.

Disbursements of approximately \$4 million annually support critical maintenance projects in the State ATCs. While twelve of the thirteen State-operated facilities are considered fixed assets of other agencies, OASAS is responsible for their maintenance. Eleven of the thirteen facilities are located on the grounds of Office of Mental Health Psychiatric Centers. Another is located at the Department of Corrections Willard Drug Treatment Campus, providing care as part of its alternatives to incarceration program. Kingsboro ATC, the only capital asset of the Office of Alcoholism and Substance Abuse Services, is three years old and is in good condition.

The 1999-2000 Executive Budget recommends the transfer of the Research Institute on Addictions(RIA) to the State University of New York at Buffalo. The building which houses RIA is currently under long-term lease to OASAS by the Federal government. If the recommended transfer is approved, SUNY Buffalo will assume this lease and become responsible for necessary maintenance of the facility. Therefore, the OASAS Capital Plan amounts do not reflect any projects planned to be undertaken on behalf of RIA.

Contract commitments of \$30-35 million annually reflect projected activity from both new and prior year appropriations and fully support the Capital Plan priorities outlined above.

# CAPITAL PROGRAM PLAN

## MENTAL HYGIENE, DEPARTMENT OF SUMMARY OF PROJECTED APPROPRIATIONS , COMMITMENTS AND DISBURSEMENTS 1999-2000 THROUGH 2003-2004 (THOUSANDS OF DOLLARS)

### APPROPRIATIONS

	<b>Reappropriations</b>	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>Total 1999-2004</b>
Program Summary:							
Community Facilities	\$19,347	...	...	...	...	...	...
<b>Total</b>	<b>\$19,347</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>
Fund Summary:							
Mental Hygiene Capital							
Improvement Fund - 389	\$19,347	...	...	...	...	...	...
<b>Total</b>	<b>\$19,347</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>

### COMMITMENTS

	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>
Program Summary:					
Community Facilities	...	...	...	...	...
<b>Total</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>
Fund Summary:					
Mental Hygiene Capital					
Improvement Fund - 389	...	...	...	...	...
<b>Total</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>

### DISBURSEMENTS

	<b>Estimated 1998-1999</b>	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>Total 1999-2004</b>
Program Summary:							
Community Facilities	...	...	...	...	...	...	...
<b>Total</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>
Fund Summary:							
Mental Hygiene Capital							
Improvement Fund - 389	...	...	...	...	...	...	...
<b>Total</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>

# CAPITAL PROGRAM PLAN

## MENTAL HEALTH, OFFICE OF SUMMARY OF PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS 1999-2000 THROUGH 2003-2004 (THOUSANDS OF DOLLARS)

### APPROPRIATIONS

	<b>Reappropriations</b>	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>Total 1999-2004</b>
Program Summary:							
Administration	\$4,000	\$3,300	\$3,000	\$3,000	\$3,000	\$3,000	\$15,300
Voluntary Facilities	162,389	375	11,375	11,375	11,375	11,375	45,875
Non-Bondable Projects	...	6,000	6,000	6,000	6,000	6,000	30,000
Maintenance and Improvements of State Facilities	323,357	94,319	95,500	95,500	95,500	95,500	476,319
Design and Construction Supervision	19,351	10,438	9,500	9,500	9,500	9,500	48,438
<b>Total</b>	<u>\$509,097</u>	<u>\$114,432</u>	<u>\$125,375</u>	<u>\$125,375</u>	<u>\$125,375</u>	<u>\$125,375</u>	<u>\$615,932</u>
Fund Summary:							
Capital Projects Fund	\$53,218	\$28,057	\$44,000	\$68,000	\$89,000	\$104,000	\$333,057
Mental Hygiene Capital Improvement Fund - 389	455,879	86,375	81,375	57,375	36,375	21,375	\$282,875
<b>Total</b>	<u>\$509,097</u>	<u>\$114,432</u>	<u>\$125,375</u>	<u>\$125,375</u>	<u>\$125,375</u>	<u>\$125,375</u>	<u>\$615,932</u>

### COMMITMENTS

	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>
Program Summary:					
Administration	\$3,300	\$3,000	\$3,000	\$3,000	\$3,000
Voluntary Facilities	14,685	10,923	7,869	7,706	7,706
Non-Bondable Projects	6,000	6,000	6,000	6,000	6,000
Maintenance and Improvements of State Facilities	125,765	122,977	118,631	111,144	111,144
Design and Construction Supervision	11,250	10,100	9,500	9,150	9,150
<b>Total</b>	<u>\$161,000</u>	<u>\$153,000</u>	<u>\$145,000</u>	<u>\$137,000</u>	<u>\$137,000</u>
Fund Summary:					
Capital Projects Fund	\$26,000	\$36,000	\$60,000	\$80,000	\$94,000
Mental Hygiene Capital Improvement Fund - 389	135,000	117,000	85,000	57,000	43,000
<b>Total</b>	<u>\$161,000</u>	<u>\$153,000</u>	<u>\$145,000</u>	<u>\$137,000</u>	<u>\$137,000</u>

### DISBURSEMENTS

	<b>Estimated 1998-1999</b>	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>Total 1999-2004</b>
Program Summary:							
Administration	\$3,000	\$2,900	\$2,900	\$3,000	\$3,000	\$3,000	\$14,800
Voluntary Facilities	44,985	43,339	32,391	25,437	18,647	18,620	138,434
Non-Bondable Projects	11,600	5,600	5,600	5,600	5,600	5,600	28,000
Maintenance and Improvements of State Facilities	144,750	137,240	128,028	122,438	118,457	112,457	618,620
Design and Construction Supervision	11,800	11,264	11,154	11,044	9,500	10,500	53,462
<b>Total</b>	<u>\$216,135</u>	<u>\$200,343</u>	<u>\$180,073</u>	<u>\$167,519</u>	<u>\$155,204</u>	<u>\$150,177</u>	<u>\$853,316</u>
Fund Summary:							
Capital Projects Fund	\$32,958	\$30,894	\$39,913	\$64,084	\$86,685	\$110,561	\$332,137
Mental Hygiene Capital Improvement Fund - 389	183,177	169,449	140,160	103,435	68,519	39,616	521,179
<b>Total</b>	<u>\$216,135</u>	<u>\$200,343</u>	<u>\$180,073</u>	<u>\$167,519</u>	<u>\$155,204</u>	<u>\$150,177</u>	<u>\$853,316</u>

# CAPITAL PROGRAM PLAN

## MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES, OFFICE OF SUMMARY OF PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS 1999-2000 THROUGH 2003-2004 (THOUSANDS OF DOLLARS)

### APPROPRIATIONS

	Reappropriations	1999-2000	2000-2001	2001-2002	2002-2003	2003-2004	Total 1999-2004
Program Summary:							
Voluntary Facilities	\$2,602	\$10,600	\$11,061	\$11,540	\$12,128	\$12,741	\$58,070
Community Services Program	38,215	12,300	12,700	12,900	11,300	11,100	60,300
Institutional Services Program	50,965	33,881	25,450	25,350	29,650	26,950	141,281
Non-Bondable Projects	...	2,000	2,000	2,000	2,000	2,000	10,000
Design and Construction							
Supervision	...	2,600	2,600	2,600	2,600	2,600	13,000
<b>Total</b>	<b>\$91,782</b>	<b>\$61,381</b>	<b>\$53,811</b>	<b>\$54,390</b>	<b>\$57,678</b>	<b>\$55,391</b>	<b>\$282,651</b>
Fund Summary:							
Capital Projects Fund	\$42,377	\$36,695	\$35,183	\$33,832	\$35,837	\$35,965	\$177,512
Mental Hygiene Capital Improvement Fund - 389	49,405	24,686	18,628	20,558	21,841	19,426	105,139
<b>Total</b>	<b>\$91,782</b>	<b>\$61,381</b>	<b>\$53,811</b>	<b>\$54,390</b>	<b>\$57,678</b>	<b>\$55,391</b>	<b>\$282,651</b>

### COMMITMENTS

	1999-2000	2000-2001	2001-2002	2002-2003	2003-2004
Program Summary:					
Voluntary Facilities	\$9,200	\$9,950	\$10,705	\$11,464	\$12,228
Community Services Program	20,404	18,497	13,829	12,676	12,739
Institutional Services Program	17,950	22,851	26,041	25,946	26,411
Non-Bondable Projects	...	...	...	...	...
Design and Construction					
Supervision	2,600	2,600	2,600	2,600	2,600
<b>Total</b>	<b>\$50,154</b>	<b>\$53,898</b>	<b>\$53,175</b>	<b>\$52,686</b>	<b>\$53,978</b>
Fund Summary:					
Capital Projects Fund	\$29,975	\$30,640	\$33,875	\$35,616	\$37,188
Mental Hygiene Capital Improvement Fund - 389	20,179	23,258	19,300	17,070	16,790
<b>Total</b>	<b>\$50,154</b>	<b>\$53,898</b>	<b>\$53,175</b>	<b>\$52,686</b>	<b>\$53,978</b>

### DISBURSEMENTS

	Estimated 1998-1999	1999-2000	2000-2001	2001-2002	2002-2003	2003-2004	Total 1999-2004
Program Summary:							
Voluntary Facilities	\$13,345	\$9,200	\$9,619	\$10,055	\$10,598	\$11,166	\$50,638
Community Services Program	17,726	20,404	18,497	13,829	13,343	14,154	80,227
Institutional Services Program	19,256	17,950	22,851	26,041	27,312	29,346	123,500
Non-Bondable Projects	2,300	1,000	1,000	1,000	1,000	1,000	5,000
Design and Construction							
Supervision	2,600	2,600	2,600	2,600	2,600	2,600	13,000
<b>Total</b>	<b>\$55,227</b>	<b>\$51,154</b>	<b>\$54,567</b>	<b>\$53,525</b>	<b>\$54,853</b>	<b>\$58,266</b>	<b>\$272,365</b>
Fund Summary:							
Capital Projects Fund	\$35,318	\$30,975	\$31,273	\$34,152	\$36,942	\$39,815	\$173,157
Mental Hygiene Capital Improvement Fund - 389	19,909	20,179	23,294	19,373	17,911	18,451	99,208
<b>Total</b>	<b>\$55,227</b>	<b>\$51,154</b>	<b>\$54,567</b>	<b>\$53,525</b>	<b>\$54,853</b>	<b>\$58,266</b>	<b>\$272,365</b>



# CAPITAL PROGRAM PLAN

## ALCOHOLISM AND SUBSTANCE ABUSE SERVICES, OFFICE OF SUMMARY OF PROJECTED APPROPRIATIONS , COMMITMENTS AND DISBURSEMENTS 1999-2000 THROUGH 2003-2004 (THOUSANDS OF DOLLARS)

### APPROPRIATIONS

	Reappropriations	1999-2000	2000-2001	2001-2002	2002-2003	2003-2004	Total 1999-2004
Program Summary:							
Administration	...	\$773	\$798	\$824	\$850	\$878	\$4,123
Non-Bondable Projects	...	100	100	100	100	100	500
Community Alcoholism and Substance Abuse Facilities	\$134,073	18,288	23,288	23,288	23,288	23,288	111,440
Institutional Services Program	13,606	4,600	3,000	3,000	3,000	3,000	16,600
Design and Construction							
Supervision	3,780	5,000	3,000	3,000	3,000	3,000	17,000
<b>Total</b>	<u>\$151,459</u>	<u>\$28,761</u>	<u>\$30,186</u>	<u>\$30,212</u>	<u>\$30,238</u>	<u>\$30,266</u>	<u>\$149,663</u>
Fund Summary:							
Capital Projects Fund	\$34,121	\$6,888	\$5,904	\$5,921	\$5,938	\$5,956	\$30,607
Mental Hygiene Capital Improvement Fund - 389	117,338	21,873	24,282	24,291	24,300	24,310	119,056
<b>Total</b>	<u>\$151,459</u>	<u>\$28,761</u>	<u>\$30,186</u>	<u>\$30,212</u>	<u>\$30,238</u>	<u>\$30,266</u>	<u>\$149,663</u>

### COMMITMENTS

	1999-2000	2000-2001	2001-2002	2002-2003	2003-2004
Program Summary:					
Administration	\$773	\$798	\$824	\$850	878
Non-Bondable Projects	100	100	100	100	100
Community Alcoholism and Substance Abuse Facilities	24,706	22,465	22,907	23,288	\$23,288
Institutional Services Program	3,856	3,619	3,400	3,000	3,000
Design and Construction					
Supervision	5,640	3,300	3,000	3,000	3,000
<b>Total</b>	<u>\$35,075</u>	<u>\$30,282</u>	<u>\$30,231</u>	<u>\$30,238</u>	<u>\$30,266</u>
Fund Summary:					
Capital Projects Fund	\$6,289	\$6,050	\$5,990	\$5,938	\$5,956
Mental Hygiene Capital Improvement Fund - 389	28,786	24,232	24,241	24,300	24,310
<b>Total</b>	<u>\$35,075</u>	<u>\$30,282</u>	<u>\$30,231</u>	<u>\$30,238</u>	<u>\$30,266</u>

### DISBURSEMENTS

	Estimated 1998-1999	1999-2000	2000-2001	2001-2002	2002-2003	2003-2004	Total 1999-2004
Program Summary:							
Administration	\$749	\$773	\$798	\$824	\$850	\$878	\$4,123
Non-Bondable Projects	500	2,578	100	100	100	100	2,978
Community Alcoholism and Substance Abuse Facilities	18,820	20,745	26,304	26,217	23,694	23,288	120,248
Institutional Services Program	3,998	3,180	4,569	3,098	3,000	3,000	16,847
Design and Construction							
Supervision	1,014	1,669	4,200	3,500	3,000	3,000	15,369
<b>Total</b>	<u>\$25,081</u>	<u>\$28,945</u>	<u>\$35,971</u>	<u>\$33,739</u>	<u>\$30,644</u>	<u>\$30,266</u>	<u>\$159,565</u>
Fund Summary:							
Capital Projects Fund	\$11,188	\$11,712	\$11,191	\$6,650	\$6,344	\$5,956	\$41,853
Mental Hygiene Capital Improvement Fund - 389	13,893	17,233	24,780	27,089	24,300	24,310	117,712
<b>Total</b>	<u>\$25,081</u>	<u>\$28,945</u>	<u>\$35,971</u>	<u>\$33,739</u>	<u>\$30,644</u>	<u>\$30,266</u>	<u>\$159,565</u>

## **CAPITAL PROGRAM PLAN**

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### **DIVISION OF HOUSING AND COMMUNITY RENEWAL**

The State's housing capital programs provide grants, low interest loans and technical assistance to facilitate the construction and preservation of the State's low and moderate-income housing stock. State capital funds are combined with Federal funds, low-cost mortgages and available private sector investments to finance activities that, absent the State's involvement, would not be financially feasible. In addition to developing and maintaining low-cost housing, the housing capital programs foster economic growth across the State by creating additional construction jobs and encouraging new private sector investment in distressed areas.

As part of the Governor's efforts to reduce bonding and increase pay-as-you-go spending, State disbursements in support of housing capital programs will no longer be bond-financed. Disbursements for housing projects in 1999-2000 will be financed with existing bond proceeds and beginning in 2000-01 disbursements will be financed with pay-as-you-go resources.

The State's housing capital appropriations are made to the Division of Housing and Community Renewal (DHCR), the State agency charged with coordinating the State's housing policy and programs. Prior to 1999-2000 individual housing programs have been administered by three public benefit corporations: the Housing Trust Fund Corporation (HTFC), the Affordable Housing Corporation and the Housing Finance Agency (HFA). Currently, DHCR personnel perform the administrative functions associated with HTFC programs and oversee the State's involvement in Federal capital programs. The 1999-2000 Budget recommends that administration of two of the State's existing capital programs, the Affordable Housing Program and the Housing Project Repair Program, which are currently administered by HFA, be transferred to DHCR. This consolidation of administrative functions will reduce State administrative expenditures and will result in a more efficient and coordinated allocation of the State's limited housing resources. Additionally, the consolidation of programs will result in a simplified grant application process, making it easier and less expensive for low and moderate-income housing developers to apply for State grant funds.

The Capital Plan recommends \$67.7 million in 1999-2000 to fund five housing capital programs:

- \$25 million to the Low-Income Housing Trust Fund program to provide grants of up to \$75,000 per unit to construct or renovate low-income apartment projects. The capital plan maintains 1999-2000 funding levels for this program through 2003-04.
- \$25 million to the Affordable Home Ownership Development Program, which provides grants of up to \$25,000 to low and moderate-income households to assist in the acquisition or renovation of their homes. The capital plan maintains 1999-2000 funding levels for this program through 2003-04.
- \$12.8 million to the Public Housing Modernization Program to subsidize repairs at 74 State-supervised public housing projects across the State. A total of \$400,000 from this appropriation will be reserved to fund capital activities aimed at reducing illegal drug activity at these projects. The capital plan maintains 1999-2000 funding levels for this program through 2003-04.
- \$4.5 million for the Housing Project Repair Program to provide funds necessary to repair construction-related defects at State-financed Mitchell-Lama housing projects.
- \$400,000 for the Housing Opportunities Program for the Elderly, which provides grants to low-income elderly homeowners for emergency home repairs. The capital plan maintains 1999-2000 funding levels for this program through 2003-04.

In addition to these State-funded programs, HTFC also awards and disburses Federal moneys pursuant to the U.S. Department of Housing and Urban Development's HOME program. This program provides grants to not-for-profit groups and local governments to partially fund the construction or rehabilitation of low and moderate-income housing.

Additionally, the Executive Budget includes a reappropriation of \$17.9 million to continue programs funded from the State's Housing Assistance Fund (HAF). The HAF was established in 1988 with a one-time appropriation to fund eight housing construction and development programs serving low and moderate-income households with specific housing needs. The moneys reappropriated in 1999-2000 will meet the State's remaining commitments supported by the Fund.

The 1999-2000 Capital Plan contemplates the commitment of State housing funds during the year in which the funds are appropriated. Requests for funding from the State's various housing programs are reviewed and evaluated on an annual basis by program staff. These applications are submitted by private and not-for-profit sponsors of proposed low and moderate-income housing projects. The applications are scored and ranked on a competitive basis and the review process culminates in award notifications that commit the State's housing capital appropriations to the most worthwhile of these projects.

# CAPITAL PROGRAM PLAN

## HOUSING AND COMMUNITY RENEWAL, DIVISION OF SUMMARY OF PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS 1999-2000 THROUGH 2003-2004 (THOUSANDS OF DOLLARS)

### APPROPRIATIONS

	Reappropriations	1999-2000	2000-2001	2001-2002	2002-2003	2003-2004	Total 1999-2004
Program Summary:							
Affordable Housing Corporation	\$51,125	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000	\$125,000
Housing Assistance Fund	17,933	...	...	...	...	...	...
Low Income Housing Trust Fund	112,925	25,000	25,000	25,000	25,000	25,000	125,000
Maintenance and Improvements of Existing Facilities	11,548	4,500	...	...	...	...	4,500
Housing Opportunity Program For Elderly	400	400	400	400	400	400	2,000
Housing Program Capital Improvement	22,415	...	...	...	...	...	...
State Housing Bond Fund	7,344	...	...	...	...	...	...
New Facilities	49,663	...	...	...	10,000	10,000	20,000
Public Housing Modernization Program	70,675	12,800	12,800	12,800	12,800	12,800	64,000
<b>Total</b>	<b>\$344,028</b>	<b>\$67,700</b>	<b>\$63,200</b>	<b>\$63,200</b>	<b>\$73,200</b>	<b>\$73,200</b>	<b>\$340,500</b>
Fund Summary:							
Capital Projects Fund	\$26,075	...	...	...	...	...	...
Federal Capital Projects Fund	46,003	...	...	...	\$10,000	\$10,000	\$20,000
State Housing Bond Fund	7,344	...	...	...	...	...	...
Housing Assistance Fund	17,933	...	...	...	...	...	...
Housing Program Fund	246,673	\$67,700	\$63,200	\$63,200	63,200	63,200	320,500
<b>Total</b>	<b>\$344,028</b>	<b>\$67,700</b>	<b>\$63,200</b>	<b>\$63,200</b>	<b>\$73,200</b>	<b>\$73,200</b>	<b>\$340,500</b>

### COMMITMENTS

	1999-2000	2000-2001	2001-2002	2002-2003	2003-2004
Program Summary:					
Affordable Housing Corporation	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
Housing Assistance Fund	...	...	...	...	...
Low Income Housing Trust Fund	25,000	25,000	25,000	25,000	25,000
Maintenance and Improvements of Existing Facilities	3,000	...	...	...	...
Housing Opportunity Program For Elderly	400	400	400	400	400
Housing Program Capital Improvement	...	...	...	...	...
State Housing Bond Fund	...	...	...	...	...
New Facilities	10,000	10,000	10,000	20,000	20,000
Public Housing Modernization Program	12,800	12,800	12,800	12,800	12,800
<b>Total</b>	<b>\$76,200</b>	<b>\$73,200</b>	<b>\$73,200</b>	<b>\$83,200</b>	<b>\$83,200</b>
Fund Summary:					
Capital Projects Fund	...	...	...	...	...
Federal Capital Projects Fund	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000
State Housing Bond Fund	...	...	...	...	...
Housing Assistance Fund	...	...	...	...	...
Housing Program Fund	66,200	63,200	63,200	73,200	73,200
<b>Total</b>	<b>\$76,200</b>	<b>\$73,200</b>	<b>\$73,200</b>	<b>\$83,200</b>	<b>\$83,200</b>

## CAPITAL PROGRAM PLAN

### HOUSING AND COMMUNITY RENEWAL, DIVISION OF SUMMARY OF PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS 1999-2000 THROUGH 2003-2004 (THOUSANDS OF DOLLARS)

	DISBURSEMENTS						
	Estimated 1998-1999	1999-2000	2000-2001	2001-2002	2002-2003	2003-2004	Total 1999-2004
Program Summary:							
Affordable Housing Corporation	\$25,000	\$22,300	\$23,500	\$25,000	\$25,000	\$25,000	\$120,800
Housing Assistance Fund	7,448	3,400	...	...	...	...	3,400
Low Income Housing Trust Fund	22,000	19,800	23,000	24,000	28,000	29,450	124,250
Maintenance and Improvements of Existing Facilities	5,000	2,000	1,000	...	...	...	3,000
Housing Opportunity Program For Elderly	400	400	400	400	400	400	2,000
Housing Program Capital Improvement	2,695	1,360	1,360	575	575	575	4,445
State Housing Bond Fund New Facilities	...	...	...	...	...	...	...
Public Housing Modernization Program	13,660	10,000	10,000	10,000	10,000	10,000	50,000
	<u>11,400</u>	<u>11,900</u>	<u>11,900</u>	<u>11,900</u>	<u>11,900</u>	<u>11,900</u>	<u>59,500</u>
<b>Total</b>	<u>\$87,603</u>	<u>\$71,160</u>	<u>\$71,160</u>	<u>\$71,875</u>	<u>\$75,875</u>	<u>\$77,325</u>	<u>\$367,395</u>
Fund Summary:							
Capital Projects Fund	\$6,355	\$1,360	\$1,360	\$575	\$575	\$575	\$4,445
Federal Capital Projects Fund	10,000	10,000	10,000	10,000	10,000	10,000	50,000
State Housing Bond Fund	...	...	...	...	...	...	...
Housing Assistance Fund	7,448	3,400	...	...	...	...	3,400
Housing Program Fund	63,800	56,400	59,800	61,300	65,300	66,750	309,550
<b>Total</b>	<u>\$87,603</u>	<u>\$71,160</u>	<u>\$71,160</u>	<u>\$71,875</u>	<u>\$75,875</u>	<u>\$77,325</u>	<u>\$367,395</u>

# CAPITAL PROGRAM PLAN

**EMPIRE STATE DEVELOPMENT CORPORATION  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS  
1999-2000 THROUGH 2003-2004  
(THOUSANDS OF DOLLARS)**

**APPROPRIATIONS**

	<b>Reappropriations</b>	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>Total 1999-2004</b>
Program Summary:							
Regional Development	\$350,000	...	...	...	...	...	...
<b>Total</b>	<b>\$350,000</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>
Fund Summary:							
Capital Projects Fund	\$350,000	...	...	...	...	...	...
<b>Total</b>	<b>\$350,000</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>

**COMMITMENTS**

	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>
Program Summary:					
Regional Development	...	...	...	...	...
<b>Total</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>
Fund Summary:					
Capital Projects Fund	...	...	...	...	...
<b>Total</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>

**DISBURSEMENTS**

	<b>Estimated 1998-1999</b>	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>Total 1999-2004</b>
Program Summary:							
Regional Development	...	...	...	...	...	...	...
<b>Total</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>
Fund Summary:							
Capital Projects Fund	...	...	...	...	...	...	...
<b>Total</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>

### **OTHER**

#### **OFFICE OF GENERAL SERVICES**

The Office of General Services (OGS) is responsible for the operation, maintenance, and renovation of 50 major and 70 ancillary State-owned and operated buildings located throughout the State. The 1999-2000 Executive Budget emphasizes investments in the Office's asset management program, which includes a preventive maintenance component aimed at reducing the number of emergencies and avoiding costly out year projects. Maintenance activities are undertaken by in-house staff, and temporary service and outside contractors to protect existing capital assets, while preventing further deterioration of the infrastructure. The asset management program for 1999-2000 will fund high priority projects that will preserve the integrity of buildings, and maintain a safe and healthy environment for the State's employees and the public. The Office will also have the flexibility to address critical infrastructure needs, while accommodating significant customer-tenant issues.

In 1998-99, the Office completed the Petroleum Storage Tank replacement project one year ahead of schedule. Consistent with the Governor's debt reduction initiatives, this was accomplished by using pay-as-you-go resources to eliminate previously projected issuances of \$13 million in 1998-99 and \$4 million in 1999-2000.

The Office is also making significant capital investments in office facilities in the Albany area. The need for this investment results from years of under-investment, and the related need for State offices to accommodate modern technological and other requirements. This effort includes new office buildings for the Department of Environmental Conservation and the Office of the State Comptroller, a new parking garage in downtown Albany, and the modernization of the Alfred E. Smith Office Building and selected buildings at the Harriman State Office Campus. Funding is also continued for critical repairs at the State Capitol. These and other investments in the Office's capital plan will result in commitment levels that are consistent with those of prior years, after adjusting for the new building modernization effort.

The OGS preventive maintenance program plan concentrates on maintenance projects that are preventative or have been deferred to bring essential capital assets to a level at which they can achieve their life expectancy at a minimal cost to the State. To accomplish this, the Office has developed a facility condition assessment report, based on its fixed asset accounting system, that assesses the architectural, structural, mechanical, electrical and site components of each facility. This report identifies resource requirements and assures maintenance adequacy, while allowing managers to define, develop, and prioritize cost estimates for capital repair and replacement projects.

The preventive maintenance program plan is focused on the goals of maximizing the useful life of facilities, improving the reliability of systems and equipment, and providing the means for determining equipment management and replacement needs. With proper maintenance, the useful life of buildings can extend beyond 100 years. According to industry standards, the average building is constructed to last a minimum of 40 years, while parking lots can last more than 25 years with routine maintenance. However, since many of the assets managed by the Office, such as the State Capitol and the Executive Mansion, are historically significant, their useful life can be considered indefinite. Over the long-term, maintenance efforts will continue to enhance facilities by improving the

## **CAPITAL PROGRAM PLAN**

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building appearance and upgrading the overall condition as a capital asset. The program is estimated to require \$6 million in capital funding in 1999-2000 and maintaining that approximate level through 2003-04.

In evaluating its assets, the Office has established the following rating categories: very good, has minor deterioration visible; good, shows normal wear and tear; poor, displays definite deterioration and may have unusable portions; and scrap, is not usable. According to the most recent assessment of assets, 11 percent of the Office's buildings are rated in good condition, with 63 percent being rated in very good condition. The average age of office and support buildings is 33 years, and of stand-alone parking lots is 15 years.

The following table identifies the capital asset group, age and condition of the Office's assets.

<u>Capital Asset Group</u>	<u>Age Range</u>	<u>Condition</u>				<u>Total</u>
		<u>Very Good</u>	<u>Good</u>	<u>Poor</u>	<u>Unrated</u>	
Plaza Operations	New to 68 Years	0	20	0	0	20
Upstate Region	New to 88 Years	8	44	29	0	81
Downstate Region	8 to 69 Years	4	6	0	3	13
Parking Services	1 to 38 Years	1	6	0	0	7
	<b>Total</b>	13	76	29	3	121

To address the challenges that lie ahead, the Office has developed a strategic plan that will provide for the orderly rehabilitation and renovation of facilities. That plan, coupled with the Office's on-going maintenance efforts, will ensure that the Office will achieve its long-term goals, while addressing the pressing needs of the State's deteriorating infrastructure.

### **OFFICE OF CHILDREN AND FAMILY SERVICES**

The Office of Children and Family Services (OCFS) operates 48 residential youth facilities and non-residential programs which serve over 2,000 youth, ages 7 to 21. These facilities operate at a variety of security levels which range from secure centers, primarily housing juvenile offenders who were tried as adults, to community-based residences. The OCFS capital plan reflects the State's continued commitment to ensure that housing, program and support space remains functional, safe and secure.

The OCFS capital planning process will continue to identify improvements to its facilities to meet health and safety standards. Trends in the agency's capital program have been driven by the need to house a steadily increasing number of Juvenile Offenders who are more violent and difficult to manage. This year's Capital Plan includes funding to design and construct a replacement facility for the Harlem Valley Secure Youth Facility, to increase secure and limited secure capacity statewide, and support health and safety, environmental, facility preservation and security projects.

Additionally, Section 529 of Social Services law requires OCFS to maintain the community house within the Tonawanda Indian Reservation. This year's plan continues funding to support improvements to the community house which was built in 1936.



## **CAPITAL PROGRAM PLAN**

The Office of Children and Family Services' Capital Asset Maintenance Plan is designed to preserve the useful life of its facilities and infrastructure. Many of the Office's youth facilities are over 30 years old and in fair condition. As indicated in the chart below, OCFS has grouped its assets by facility size, further categorized by age, remaining useful life and condition. The five-year plan for capital maintenance includes \$15.7 million in spending to support maintenance and improvement activities.

As part of the Governor's efforts to reduce bonding, pay-as-you-go spending will be used in the 1999-2000 Executive Budget to replace bond financing for the youth centers capital program. In addition, beginning in 2000-01, all capital disbursements for previously bonded youth facilities will be financed with pay-as-you-go resources.

The five-year recommended disbursements of \$134 million include \$31.5 million for projects related to rehabilitation and improvements of facilities, \$14.2 million for security enhancements, \$75 million for new facility capacity, and \$8.9 million for the Youth Centers Program. For 1999-2000, the level of contract commitments projected in the capital plan is \$75.9 million, up \$64.9 million from 1998-99.

<b>Capital Asset Group</b>	<b>Age Range</b>	<b>Remaining Useful Life</b>	<b>Condition</b>			
			<b>Good</b>	<b>Fair</b>	<b>Poor</b>	<b>Obsolete</b>
Brookwood SC	30/new	>10	•			
Goshen SC	35	5 -10		•		
MacCormick SC	13	5 -10		•		
Allen RC	50	5 -10		•		
Bronx RC	50+	5 -10		•		
Gossett RC	4	>10	•			
Highland RC	33	<5			•	
Industry School	33+	5 -10		•		
Lansing RC	50/new	5 -10	•	•		
McQueen RC	50+	<5			•	
Oatka RC	0	5-10	•	•		
Parker Training	30+	>10	•	•		
Pyramid RC	50+	<5		•		
Tryon RC	27	5-10		•		
Buffalo	50+	<5				•
New 25 bed Facilities	1-3	>10	•			
Old 25 Bed Facilities	25-30	5-10		•		
All Group Homes	30+	<5		•	•	
Youth Leadership Academy	4	>10	•			
Adirondack Wilderness Challenge	4	>10	•			

### **DEPARTMENT OF HEALTH**

The focus of the Department of Health's (DOH) capital program is the protection of the health and safety of patients, residents, employees and visitors of its facilities. An integral part of this plan is to preserve, rehabilitate and improve its capital assets which include five health care facilities: Roswell Park Cancer Institute in Buffalo, Helen Hayes

## ***CAPITAL PROGRAM PLAN***

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Hospital in West Haverstraw and three Veterans' Homes at Oxford, St. Albans and Batavia. The Department's assets also include the Wadsworth Center for Laboratories and Research which is comprised of three laboratories located in Albany County.

In January 1999, responsibility for the operations of the Roswell Park Cancer Institute was transferred to a public benefit corporation, the Roswell Park Cancer Institute Corporation, pursuant to an operating agreement between the Corporation and the Department. The Corporation will be responsible for the maintenance of the Institute's capital assets.

For 1999-2000, DOH's Capital Program Plan includes both ongoing and new projects to address major capital needs with estimated total disbursements of \$135.5 million. Planned projects will avert potentially costly projects in the future and corresponding interruptions in clinical care services and crucial laboratory functions and will continue DOH's participation in the Safe Drinking Water program.

As part of the Governor's efforts to reduce bonding, pay-as-you-go spending beginning in 1999-2000 will be used to replace bond financing for the Wadsworth Center and health care facilities. For 1999-2000, the Plan includes \$6.2 million for the Wadsworth Center for laboratories and research and DOH's three nursing homes and Helen Hayes Hospital.

The 1999-2000 Plan also includes spending for the continuation of the major modernization project at the Roswell Park Cancer Institute. The completion of this \$241 million project is planned for October 2000 and will result in a revitalized physical plant at Roswell.

DOH continues its participation in the implementation of the Safe Drinking Water Program, funded by Federal grants and State matching funds. A total of \$125 million has been appropriated to DOH for safe drinking water projects since the approval of the Clean Water/Clean Air Bond (CWCA) Act in 1996. A new \$50 million Bond Act appropriation is recommended for 1999-2000 as well as a \$34 million Federal appropriation.

Commitments are estimated at \$416 million over the five-year plan, including \$230 million from the Clean Water/Clean Air Bond Act and \$144.8 million from Federal funds for safe drinking water projects. The balance reflects planned projects for DOH's facilities.

In 1999-2000 DOH will begin to implement a capital maintenance program. This program is a systematic approach to making repairs, replacing equipment and carrying out other activities necessary to ensure the useful life of 92 buildings. This initiative, as required by State law, is part of an ongoing effort to enhance capital planning and maintain the facilities owned and operated by DOH.

The following table identifies the capital assets by facility location, age and condition:

## **CAPITAL PROGRAM PLAN**

<b>Capital Asset Group</b>	<b>Age Range</b>	<b>Condition</b>			<b>Total</b>
		<b>Good</b>	<b>Fair</b>	<b>Poor</b>	
Roswell Park Cancer Institute	1-98	14	9	3	26
Helen Hayes Hospital	18-100	4	14	1	19
Wadsworth Center for Laboratories & Research	4-50	5	19	0	24
Veteran's Nursing Homes					
Oxford	3-92	3	3	1	7
St. Albans	2-5	2	0	0	2
Batavia	4	1	0	0	1
	<b>Total</b>	29	45	5	79

The capital plan includes spending of \$8.2 million over the next five years for capital maintenance. The condition goals for Department of Health buildings are to ensure a safe environment; preserve the infrastructure and related equipment, promote energy conservation; and preserve the useful life of each of the buildings, which range between 5 and 45 years.

The DOH capital program is financed by CWCA Bond Act funds, Federal funds, Special Revenue Funds, and the State's General Fund. Debt service on outstanding DA bonds will continue to be supported by patient care revenues.

### **ENERGY RESEARCH AND DEVELOPMENT AUTHORITY**

The Energy Research and Development Authority (ERDA) owns and manages the Western New York Nuclear Service Center at West Valley in Cattaraugus County, the site of a former nuclear fuel reprocessing facility and an inactive low-level radioactive waste disposal area.

The Authority's Capital Plan reflects its continuing role in a joint Federal-State Demonstration Project to treat and dispose of liquid nuclear waste at the Center and decommission the reprocessing facility. The Plan also includes ERDA's on-going maintenance costs at the disposal area to ensure its compliance with environmental laws.

ERDA's capital maintenance plan indicates that the Western New York Service Center is approximately 31 years of age, in good condition, with a remaining useful life that will extend to the conclusion of the decommissioning of the site. The maintenance goal at the site is to preserve the facility in a state of good repair.

As part of the Governor's efforts to reduce bonding, pay-as-you-go spending beginning in 1999-2000 will be used to replace bond financing for the ERDA West Valley bonding program. The 1999-2000 commitment level of \$12.5 million also includes the anticipated cost for ERDA's role in the Clean Water/Clean Air Bond Act, estimated to be \$117,000 in each of the next five years.

# **CAPITAL PROGRAM PLAN**

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## **DEPARTMENT OF STATE**

The Department of State oversees the Academy of Fire Science in Montour Falls, Schuyler County. Capital Project Funds appropriations are funded by a transfer from the General Fund and are targeted primarily at preserving the structural integrity of the Academy and addressing health and safety concerns.

The Capital Asset Maintenance Plan for the Fire Academy is designed to preserve the useful life of the 130 year-old Academy and ensure the health and safety of individuals using the Academy's facilities. In general, the facilities and infrastructure of the Academy are rated as "fair" with a useful life of between 10 and 40 years. The focus of the maintenance program is the replacement of roofs, modernization of elevators, upgrade and replacement of various hot water and heating/ventilation systems and the rehabilitation of paved areas.

The 1998-99 Capital Plan assumed new commitments of \$300,000, principally for the replacement of the Academy's main roof and for modifications necessary to comply with the Americans with Disabilities Act. The 1999-2000 Executive Budget includes Capital Project Fund spending to construct a storage/bathroom facility and support various other health and safety related projects. Capital Project Fund planned commitments for 1999-2000 total \$760,000 for improvements to the Academy's heating and air conditioning system and the expansion of the Academy's parking lot.

Finally, the Department receives funding for six positions from the Clean Water, Clean Air Implementation Fund. These dedicated positions fulfill DOS's role in implementation of projects funded by the Clean Water/Clean Air Bond Act.

## **DEPARTMENT OF AGRICULTURE AND MARKETS**

The Department of Agriculture and Markets, in conjunction with the Industrial Exhibit Authority, is responsible for operating the New York State Fair and maintaining its buildings and grounds. The Fairgrounds include 14 major buildings and 113 other structures, the majority of which have a useful life of greater than 10 years and are in good overall condition.

<u>Capital Asset Group</u>	<u>Age Range</u>	<u>Condition</u>			<u>Total</u>
		<u>Good</u>	<u>Fair</u>	<u>Poor</u>	
Various Fair Buildings		109	14	4	127

For 1999-2000, a new General Fund appropriation of \$600,000 is recommended to repair and rehabilitate the Fair's facilities to ensure a safe and enjoyable experience for all Fair patrons and participants. An additional \$4 million will be made available for similar projects through 2003-04.

The 1999-2000 Executive Budget also recommends increased spending from Special Revenue Funds for the Fair (financed by revenues from public/private partnership agreements) to complete needed improvements at various Fair buildings.

The Department's capital maintenance plan for the next five years prioritizes those projects that will preserve, rehabilitate and improve the Fair buildings, land and infrastructure for year-round use; and continue to protect the State's investment in the facility. In addition, Fair structures are upgraded continually to meet more stringent building code requirements, including safety and accessibility for the disabled.

For 1999-2000, the level of commitments projected in the capital plan is \$4.5 million, \$1.9 million more than the level of commitments projected in the 1998-99 capital plan. The increased level represents additional private sector investment in the properties. Projects that were previously funded are being designed and awarded as anticipated. Future year commitments are consistent with planned appropriation levels over the next five years.

### ***OFFICE OF TEMPORARY AND DISABILITY ASSISTANCE***

The Office of Temporary and Disability Assistance is responsible for the administration of the Homeless Housing Assistance Program (HHAP) which provides funding to not-for-profit corporations and municipalities to expand and improve the supply of permanent, transitional, and emergency housing for homeless persons. HHAP programs also can provide supportive services to help tenants to move toward economic self-sufficiency.

As part of the Governor's efforts to reduce bonding, pay-as-you-go spending beginning in 2000-01 will be used to replace bond financing for capital programs administered by the Office of Temporary and Disability Assistance (OTDA). Pay-as-you-go spending will support OTDA's capital plan, which will maintain the HHAP appropriation at \$30 million, including \$5 million for the development of housing for persons with HIV or AIDS. The value of approved projects is expected to equal new appropriations in each of the five years of the plan. The 1999-2000 recommendation also includes third-year funding for a \$14 million initiative to develop 250 new domestic violence shelter beds in New York City.

# CAPITAL PROGRAM PLAN

**GENERAL SERVICES, OFFICE OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS  
1999-2000 THROUGH 2003-2004  
(THOUSANDS OF DOLLARS)**

**APPROPRIATIONS**

	<b>Reappropriations</b>	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>Total 1999-2004</b>
Program Summary:							
Maintenance and Improvement of Real Property Facilities Design and Construction Supervision	\$50,929	\$73,800	\$29,800	\$30,000	\$30,000	\$33,000	\$196,600
Petroleum Storage Tank	17,398	21,500	6,500	7,000	8,000	13,000	56,000
	27,401	500	...	...	...	...	500
<b>Total</b>	<u>\$95,728</u>	<u>\$95,800</u>	<u>\$36,300</u>	<u>\$37,000</u>	<u>\$38,000</u>	<u>\$46,000</u>	<u>\$253,100</u>
Fund Summary:							
Capital Projects Fund	\$89,529	\$95,800	\$36,300	\$37,000	\$38,000	\$46,000	\$253,100
Capital Projects Fund - Advances	5,902	...	...	...	...	...	...
Federal Capital Projects Fund	297	...	...	...	...	...	...
<b>Total</b>	<u>\$95,728</u>	<u>\$95,800</u>	<u>\$36,300</u>	<u>\$37,000</u>	<u>\$38,000</u>	<u>\$46,000</u>	<u>\$253,100</u>

**COMMITMENTS**

	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>
Program Summary:					
Maintenance and Improvement of Real Property Facilities Design and Construction Supervision	\$23,173	\$16,055	\$16,998	\$16,705	\$20,788
Petroleum Storage Tank	110	103	87	85	40
	100	75	75	...	...
<b>Total</b>	<u>\$23,383</u>	<u>\$16,233</u>	<u>\$17,160</u>	<u>\$16,790</u>	<u>\$20,828</u>
Fund Summary:					
Capital Projects Fund	\$23,383	\$16,233	\$17,160	\$16,790	\$20,828
Capital Projects Fund - Advances	...	...	...	...	...
Federal Capital Projects Fund	...	...	...	...	...
<b>Total</b>	<u>\$23,383</u>	<u>\$16,233</u>	<u>\$17,160</u>	<u>\$16,790</u>	<u>\$20,828</u>

**DISBURSEMENTS**

	<b>Estimated 1998-1999</b>	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>Total 1999-2004</b>
Program Summary:							
Maintenance and Improvement of Real Property Facilities Design and Construction Supervision	\$43,034	\$35,650	\$24,700	\$26,150	\$25,700	\$31,982	\$144,182
Petroleum Storage Tank	11,681	11,000	10,300	8,700	8,500	4,018	42,518
	23,344	200	150	150	...	...	500
<b>Total</b>	<u>\$78,059</u>	<u>\$46,850</u>	<u>\$35,150</u>	<u>\$35,000</u>	<u>\$34,200</u>	<u>\$36,000</u>	<u>\$187,200</u>
Fund Summary:							
Capital Projects Fund	\$75,859	\$46,650	\$35,150	\$35,000	\$34,200	\$36,000	\$187,000
Capital Projects Fund - Advances	2,200	200	...	...	...	...	200
Federal Capital Projects Fund	...	...	...	...	...	...	...
<b>Total</b>	<u>\$78,059</u>	<u>\$46,850</u>	<u>\$35,150</u>	<u>\$35,000</u>	<u>\$34,200</u>	<u>\$36,000</u>	<u>\$187,200</u>

# CAPITAL PROGRAM PLAN

## CHILDREN AND FAMILY SERVICES, OFFICE OF SUMMARY OF PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS 1999-2000 THROUGH 2003-2004 (THOUSANDS OF DOLLARS)

### APPROPRIATIONS

	<b>Reappropriations</b>	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>Total 1999-2004</b>
Program Summary:							
Special Day Care Services Program	\$1,550	...	...	...	...	...	...
Maintenance and Improvement of Facilities	22,674	\$5,750	\$5,500	\$5,500	\$5,500	\$5,000	\$27,250
Executive Direction and Administrative Services	1,500	...	...	...	...	...	...
New Construction	...	75,000	...	...	...	...	75,000
Program Improvement or Program Change	8,200	3,000	2,500	2,500	2,500	3,000	13,500
Youth Center	11,880	...	...	...	...	...	...
Rehabilitative Services	2,370	...	...	...	...	...	...
Design and Construction Supervision	1,000	...	...	...	...	...	...
<b>Total</b>	<b>\$49,174</b>	<b>\$83,750</b>	<b>\$8,000</b>	<b>\$8,000</b>	<b>\$8,000</b>	<b>\$8,000</b>	<b>\$115,750</b>
Fund Summary:							
Capital Projects Fund	\$9,477	\$1,750	\$1,000	\$1,000	\$1,000	\$1,000	\$5,750
Federal Capital Projects Fund	...	...	...	...	...	...	...
Youth Facilities Improvement Fund	35,644	82,000	7,000	7,000	7,000	7,000	110,000
Youth Centers Facility Fund	4,053	...	...	...	...	...	...
<b>Total</b>	<b>\$49,174</b>	<b>\$83,750</b>	<b>\$8,000</b>	<b>\$8,000</b>	<b>\$8,000</b>	<b>\$8,000</b>	<b>\$115,750</b>

### COMMITMENTS

	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>
Program Summary:					
Special Day Care Services Program	\$500	\$450	...	...	...
Maintenance and Improvement of Facilities	6,700	5,400	\$5,100	\$5,000	\$5,000
Executive Direction and Administrative Services	60,000	15,000	...	...	...
New Construction	...	...	...	...	...
Program Improvement or Program Change	3,700	2,500	2,500	2,500	2,500
Youth Center	4,500	...	...	...	...
Rehabilitative Services	...	...	...	...	...
Design and Construction Supervision	500	500	500	500	500
<b>Total</b>	<b>\$75,900</b>	<b>\$23,850</b>	<b>\$8,100</b>	<b>\$8,000</b>	<b>\$8,000</b>
Fund Summary:					
Capital Projects Fund	\$6,650	\$1,450	\$1,000	\$1,000	\$1,000
Federal Capital Projects Fund	69,250	22,400	7,100	7,000	7,000
Youth Facilities Improvement Fund	...	...	...	...	...
Youth Centers Facility Fund	...	...	...	...	...
<b>Total</b>	<b>\$75,900</b>	<b>\$23,850</b>	<b>\$8,100</b>	<b>\$8,000</b>	<b>\$8,000</b>

# CAPITAL PROGRAM PLAN

**CHILDREN AND FAMILY SERVICES, OFFICE OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS  
1999-2000 THROUGH 2003-2004  
(THOUSANDS OF DOLLARS)**

**DISBURSEMENTS**

	<b>Estimated 1998-1999</b>	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>Total 1999-2004</b>
Program Summary:							
Special Day Care Services Program	\$262	\$200	\$200	\$250	\$250	\$200	\$1,100
Maintenance and Improvement of Facilities	8,330	5,150	6,500	6,750	6,600	6,450	31,450
Executive Direction and Administrative Services	1,297	250	...	...	...	...	250
New Construction	...	7,000	30,000	30,000	8,000	...	75,000
Program Improvement or Program Change	1,455	2,750	3,000	3,500	2,500	2,500	14,250
Youth Center	4,053	4,900	3,500	517	...	...	8,917
Rehabilitative Services	526	800	700	300	...	...	1,800
Design and Construction Supervision	2,704	500	500	...	...	...	1,000
<b>Total</b>	<u>\$18,627</u>	<u>\$21,550</u>	<u>\$44,400</u>	<u>\$41,317</u>	<u>\$17,350</u>	<u>\$9,150</u>	<u>\$133,767</u>
Fund Summary:							
Capital Projects Fund	\$784	\$6,250	\$4,950	\$2,017	\$1,400	\$1,200	\$15,817
Federal Capital Projects Fund	540	...	...	...	...	...	...
Youth Facilities Improvement Fund	13,250	15,300	39,450	39,300	15,950	7,950	117,950
Youth Centers Facility Fund	4,053	...	...	...	...	...	...
<b>Total</b>	<u>\$18,627</u>	<u>\$21,550</u>	<u>\$44,400</u>	<u>\$41,317</u>	<u>\$17,350</u>	<u>\$9,150</u>	<u>\$133,767</u>



# CAPITAL PROGRAM PLAN

## HEALTH, DEPARTMENT OF SUMMARY OF PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS 1999-2000 THROUGH 2003-2004 (THOUSANDS OF DOLLARS)

### APPROPRIATIONS

	Reappropriations	1999-2000	2000-2001	2001-2002	2002-2003	2003-2004	Total 1999-2004
Program Summary:							
Rehabilitation and Improvements Laboratories and Research	\$5,177	\$11,400	\$6,200	\$6,200	\$6,325	\$6,350	\$36,475
Maintenance and Improvements of Existing Institutions	3,697	3,700	2,000	2,000	2,000	2,000	11,700
New Institution Construction	13,815	...	...	...	...	...	...
Water Resources	55,224	...	...	...	...	...	...
Safe Drinking Water - Clean Water/Clean Air 96	144,590	33,777	...	...	...	...	33,777
Design and Construction Supervision	...	50,000	50,000	50,000	50,000	30,000	230,000
	2,664	...	...	...	...	...	...
<b>Total</b>	<u>\$225,167</u>	<u>\$98,877</u>	<u>\$58,200</u>	<u>\$58,200</u>	<u>\$58,325</u>	<u>\$38,350</u>	<u>\$311,952</u>
Fund Summary:							
Capital Projects Fund	\$25,353	\$3,700	\$2,000	\$2,000	\$2,000	\$2,000	\$11,700
Capital Projects Fund - Advances	26,500	...	...	..	..	..	...
St. Albans Rehabilitation & Improvement	...	200	250	250	250	250	1,200
Federal Capital Projects Fund	144,590	33,777	...	...	...	...	33,777
Oxford Rehabilitation & Improvement	...	1,000	1,000	1,000	1,000	1,000	5,000
Helen Hays Rehabilitation & Improvement	...	9,700	4,400	4,400	4,500	4,500	27,500
Department of Health Facilities Capital Improvement Fund	28,724	...	...	...	...	...	...
Batavia Rehabilitation & Improvement	...	500	550	550	575	600	2,775
Capital Projects Fund - 1996 CWCA (Bondable)	...	50,000	50,000	50,000	50,000	30,000	230,000
<b>Total</b>	<u>\$225,167</u>	<u>\$98,877</u>	<u>\$58,200</u>	<u>\$58,200</u>	<u>\$58,325</u>	<u>\$38,350</u>	<u>\$311,952</u>

### COMMITMENTS

	1999-2000	2000-2001	2001-2002	2002-2003	2003-2004
Program Summary:					
Rehabilitation and Improvements Laboratories and Research	\$2,000	\$2,400	\$2,000	\$4,500	\$4,000
Maintenance and Improvements of Existing Institutions	1,210	1,440	2,550	2,350	2,370
New Institution Construction	...	...	...	...	...
Water Resources	3,640	3,960	2,170	3,520	3,700
Safe Drinking Water - Clean Water/Clean Air 96	56,800	65,500	22,500	...	...
Design and Construction Supervision	50,000	50,000	50,000	50,000	30,000
	...	...	...	...	...
<b>Total</b>	<u>\$113,650</u>	<u>\$123,300</u>	<u>\$79,220</u>	<u>\$60,370</u>	<u>\$40,070</u>
Fund Summary:					
Capital Projects Fund	\$6,850	\$7,800	\$6,720	\$10,370	\$10,070
Capital Projects Fund - Advances	...	...	...	...	...
St. Albans Rehabilitation & Improvement	...	...	...	...	...
Federal Capital Projects Fund	56,800	65,500	22,500	...	...
Oxford Rehabilitation & Improvement	...	...	...	...	...
Helen Hays Rehabilitation & Improvement	...	...	...	...	...
Department of Health Facilities Capital Improvement Fund	...	...	...	...	...
Batavia Rehabilitation & Improvement	...	...	...	...	...
Capital Projects Fund - 1996 CWCA (Bondable)	50,000	50,000	50,000	50,000	30,000
<b>Total</b>	<u>\$113,650</u>	<u>\$123,300</u>	<u>\$79,220</u>	<u>\$60,370</u>	<u>\$40,070</u>

# CAPITAL PROGRAM PLAN

## HEALTH, DEPARTMENT OF SUMMARY OF PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS 1999-2000 THROUGH 2003-2004 (THOUSANDS OF DOLLARS)

### DISBURSEMENTS

	<u>Estimated 1998-1999</u>	<u>1999-2000</u>	<u>2000-2001</u>	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>	<u>Total 1999-2004</u>
Program Summary:							
Rehabilitation and Improvements Laboratories and Research	\$317 683	\$2,461 980	\$3,375 2,175	\$4,431 2,445	\$5,910 2,515	\$7,510 2,010	\$23,687 10,125
Maintenance and Improvements of Existing Institutions	2,902	2,486	540	230	43	...	3,299
New Institution Construction	22,923	14,124	...	...	...	...	14,124
Water Resources	44,240	65,168	65,500	22,500	...	...	153,168
Safe Drinking Water - Clean Water/Clean Air 96	69,800	50,000	50,000	50,000	50,000	30,000	230,000
Design and Construction Supervision	1,153	320	57	...	...	...	377
<b>Total</b>	<u>\$142,018</u>	<u>\$135,539</u>	<u>\$121,647</u>	<u>\$79,606</u>	<u>\$58,468</u>	<u>\$39,520</u>	<u>\$434,780</u>
Fund Summary:							
Capital Projects Fund	\$5,055	\$5,222	\$3,272	\$3,146	\$2,558	\$2,010	\$16,208
Capital Projects Fund - Advances	...	...	...	...	...	...	...
St. Albans Rehabilitation & Improvement	...	200	250	250	250	250	1,200
Federal Capital Projects Fund	44,240	65,168	65,500	22,500	...	...	153,168
Oxford Rehabilitation & Improvement	...	200	720	920	920	1,000	3,760
Helen Hays Rehabilitation & Improvement	...	600	1,530	2,315	4,215	6,035	14,695
Department of Health Facilities Capital Improvement Fund	22,923	14,124	...	...	...	...	14,124
Batavia Rehabilitation & Improvement	...	25	375	475	525	225	1,625
Capital Projects Fund - 1996 CWCA (Bondable)	69,800	50,000	50,000	50,000	50,000	30,000	230,000
<b>Total</b>	<u>\$142,018</u>	<u>\$135,539</u>	<u>\$121,647</u>	<u>\$79,606</u>	<u>\$58,468</u>	<u>\$39,520</u>	<u>\$434,780</u>

# CAPITAL PROGRAM PLAN

**ENERGY RESEARCH AND DEVELOPMENT AUTHORITY, NEW YORK STATE  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS  
1999-2000 THROUGH 2003-2004  
(THOUSANDS OF DOLLARS)**

**APPROPRIATIONS**

	<b>Reappropriations</b>	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>Total 1999-2004</b>
Program Summary:							
Western New York Nuclear Service Center Program	...	\$12,500	\$14,200	\$15,900	\$17,600	\$19,300	\$79,500
Clean Water Clean Air Implementation	...	117	117	117	117	117	585
<b>Total</b>	...	<u>\$12,617</u>	<u>\$14,317</u>	<u>\$16,017</u>	<u>\$17,717</u>	<u>\$19,417</u>	<u>\$80,085</u>
Fund Summary:							
Capital Projects Fund	...	\$12,500	\$14,200	\$15,900	\$17,600	\$19,300	\$79,500
Clean Water Clean Air Implementation Fund	...	117	117	117	117	117	585
<b>Total</b>	...	<u>\$12,617</u>	<u>\$14,317</u>	<u>\$16,017</u>	<u>\$17,717</u>	<u>\$19,417</u>	<u>\$80,085</u>

**COMMITMENTS**

	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>
Program Summary:					
Western New York Nuclear Service Center Program	\$12,500	\$14,200	\$15,900	\$17,600	\$19,300
Clean Water Clean Air Implementation	117	117	117	117	117
<b>Total</b>	<u>\$12,617</u>	<u>\$14,317</u>	<u>\$16,017</u>	<u>\$17,717</u>	<u>\$19,417</u>
Fund Summary:					
Capital Projects Fund	\$12,500	\$14,200	\$15,900	\$17,600	\$19,300
Clean Water Clean Air Implementation Fund	117	117	117	117	117
<b>Total</b>	<u>\$12,617</u>	<u>\$14,317</u>	<u>\$16,017</u>	<u>\$17,717</u>	<u>\$19,417</u>

**DISBURSEMENTS**

	<b>Estimated 1998-1999</b>	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>Total 1999-2004</b>
Program Summary:							
Western New York Nuclear Service Center Program	\$12,200	\$12,500	\$14,200	\$15,900	\$17,600	\$19,300	\$79,500
Clean Water Clean Air Implementation	117	117	117	117	117	117	585
<b>Total</b>	<u>\$12,317</u>	<u>\$12,617</u>	<u>\$14,317</u>	<u>\$16,017</u>	<u>\$17,717</u>	<u>\$19,417</u>	<u>\$80,085</u>
Fund Summary:							
Capital Projects Fund	\$12,200	\$12,500	\$14,200	\$15,900	\$17,600	\$19,300	\$79,500
Clean Water Clean Air Implementation Fund	117	117	117	117	117	117	585
<b>Total</b>	<u>\$12,317</u>	<u>\$12,617</u>	<u>\$14,317</u>	<u>\$16,017</u>	<u>\$17,717</u>	<u>\$19,417</u>	<u>\$80,085</u>

# CAPITAL PROGRAM PLAN

## STATE, DEPARTMENT OF SUMMARY OF PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS 1999-2000 THROUGH 2003-2004 (THOUSANDS OF DOLLARS)

### APPROPRIATIONS

	Reappropriations	1999-2000	2000-2001	2001-2002	2002-2003	2003-2004	Total 1999-2004
Program Summary:							
Office of Fire Prevention and Control	\$750	\$729	\$340	...	\$720	...	\$1,789
Clean Water Clean Air Implementation	...	348	348	\$348	348	\$348	1,740
Design and Construction Supervision	258	240	...	...	200	...	440
<b>Total</b>	<b>\$1,008</b>	<b>\$1,317</b>	<b>\$688</b>	<b>\$348</b>	<b>\$1,268</b>	<b>\$348</b>	<b>\$3,969</b>
Fund Summary:							
Capital Projects Fund	\$1,008	\$969	\$340	...	\$920	...	\$2,229
Clean Water Clean Air Implementation Fund	...	348	348	\$348	348	\$348	1,740
<b>Total</b>	<b>\$1,008</b>	<b>\$1,317</b>	<b>\$688</b>	<b>\$348</b>	<b>\$1,268</b>	<b>\$348</b>	<b>\$3,969</b>

### COMMITMENTS

	1999-2000	2000-2001	2001-2002	2002-2003	2003-2004
Program Summary:					
Office of Fire Prevention and Control	\$300	\$498	\$500	\$340	...
Clean Water Clean Air Implementation	348	348	348	348	\$348
Design and Construction Supervision	...	116	120	120	...
<b>Total</b>	<b>\$648</b>	<b>\$962</b>	<b>\$968</b>	<b>\$808</b>	<b>\$348</b>
Fund Summary:					
Capital Projects Fund	\$300	\$614	\$620	\$460	...
Clean Water Clean Air Implementation Fund	348	348	348	348	\$348
<b>Total</b>	<b>\$648</b>	<b>\$962</b>	<b>\$968</b>	<b>\$808</b>	<b>\$348</b>

### DISBURSEMENTS

	Estimated 1998-1999	1999-2000	2000-2001	2001-2002	2002-2003	2003-2004	Total 1999-2004
Program Summary:							
Office of Fire Prevention and Control	\$568	\$336	\$407	\$238	\$324	\$400	\$1,705
Clean Water Clean Air Implementation	348	348	348	348	348	348	1,740
Design and Construction Supervision	65	124	53	222	134	60	593
<b>Total</b>	<b>\$981</b>	<b>\$808</b>	<b>\$808</b>	<b>\$808</b>	<b>\$806</b>	<b>\$808</b>	<b>\$4,038</b>
Fund Summary:							
Capital Projects Fund	\$633	\$460	\$460	\$460	\$458	\$460	\$2,298
Clean Water Clean Air Implementation Fund	348	348	348	348	348	348	1,740
<b>Total</b>	<b>\$981</b>	<b>\$808</b>	<b>\$808</b>	<b>\$808</b>	<b>\$806</b>	<b>\$808</b>	<b>\$4,038</b>

# CAPITAL PROGRAM PLAN

**AGRICULTURE AND MARKETS, DEPARTMENT OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS  
1999-2000 THROUGH 2003-2004  
(THOUSANDS OF DOLLARS)**

### APPROPRIATIONS

	<b>Reappropriations</b>	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>Total 1999-2004</b>
Program Summary:							
State Fair	\$6,724	\$2,600	\$1,600	\$1,600	\$2,200	\$2,600	\$10,600
Clean Water Clean Air Implementation	...	478	500	527	553	580	2,638
Design and Construction Supervision	929	...	...	...	...	...	...
<b>Total</b>	<b>\$7,653</b>	<b>\$3,078</b>	<b>\$2,100</b>	<b>\$2,127</b>	<b>\$2,753</b>	<b>\$3,180</b>	<b>\$13,238</b>
Fund Summary:							
Capital Projects Fund	\$6,803	\$600	\$600	\$600	\$1,200	\$1,600	\$4,600
Clean Water Clean Air Implementation Fund	...	478	500	527	553	580	2,638
Misc. Capital Projects	850	2,000	1,000	1,000	1,000	1,000	6,000
<b>Total</b>	<b>\$7,653</b>	<b>\$3,078</b>	<b>\$2,100</b>	<b>\$2,127</b>	<b>\$2,753</b>	<b>\$3,180</b>	<b>\$13,238</b>

### COMMITMENTS

	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>
Program Summary:					
State Fair	\$4,000	\$3,000	\$3,000	\$3,000	\$3,000
Clean Water Clean Air Implementation	478	500	527	553	580
Design and Construction Supervision	...	...	...	...	...
<b>Total</b>	<b>\$4,478</b>	<b>\$3,500</b>	<b>\$3,527</b>	<b>\$3,553</b>	<b>\$3,580</b>
Fund Summary:					
Capital Projects Fund	\$2,000	\$2,000	\$2,000	\$2,000	\$2,000
Clean Water Clean Air Implementation Fund	478	500	527	553	580
Misc. Capital Projects	2,000	1,000	1,000	1,000	1,000
<b>Total</b>	<b>\$4,478</b>	<b>\$3,500</b>	<b>\$3,527</b>	<b>\$3,553</b>	<b>\$3,580</b>

### DISBURSEMENTS

	<b>Estimated 1998-1999</b>	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>Total 1999-2004</b>
Program Summary:							
State Fair	\$2,259	\$3,750	\$3,386	\$2,868	\$2,508	\$2,985	\$15,497
Clean Water Clean Air Implementation	467	478	500	527	553	580	2,638
Design and Construction Supervision	141	100	114	132	492	15	853
<b>Total</b>	<b>\$2,867</b>	<b>\$4,328</b>	<b>\$4,000</b>	<b>\$3,527</b>	<b>\$3,553</b>	<b>\$3,580</b>	<b>\$18,988</b>
Fund Summary:							
Capital Projects Fund	\$2,400	\$2,000	\$2,000	\$2,000	\$2,000	\$2,000	\$10,000
Clean Water Clean Air Implementation Fund	467	478	500	527	553	580	2,638
Misc. Capital Projects	...	1,850	1,500	1,000	1,000	1,000	6,350
<b>Total</b>	<b>\$2,867</b>	<b>\$4,328</b>	<b>\$4,000</b>	<b>\$3,527</b>	<b>\$3,553</b>	<b>\$3,580</b>	<b>\$18,988</b>

# CAPITAL PROGRAM PLAN

## TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF SUMMARY OF PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS 1999-2000 THROUGH 2003-2004 (THOUSANDS OF DOLLARS)

### APPROPRIATIONS

	<b>Reappropriations</b>	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>Total 1999-2004</b>
Program Summary:							
Information Management Technology Program	\$361	...	...	...	...	...	...
Supported Housing Program	124,495	\$30,000	\$30,000	\$30,000	\$30,000	\$30,000	\$150,000
<b>Total</b>	<b>\$124,856</b>	<b>\$30,000</b>	<b>\$30,000</b>	<b>\$30,000</b>	<b>\$30,000</b>	<b>\$30,000</b>	<b>\$150,000</b>
Fund Summary:							
Capital Projects Fund	\$14,111	...	...	...	...	...	...
Housing Program Fund	110,745	\$30,000	\$30,000	\$30,000	\$30,000	\$30,000	\$150,000
<b>Total</b>	<b>\$124,856</b>	<b>\$30,000</b>	<b>\$30,000</b>	<b>\$30,000</b>	<b>\$30,000</b>	<b>\$30,000</b>	<b>\$150,000</b>

### COMMITMENTS

	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>
Program Summary:					
Information Management Technology Program	...	...	...	...	...
Supported Housing Program	\$30,000	\$30,000	\$30,000	\$30,000	\$30,000
<b>Total</b>	<b>\$30,000</b>	<b>\$30,000</b>	<b>\$30,000</b>	<b>\$30,000</b>	<b>\$30,000</b>
Fund Summary:					
Capital Projects Fund	...	...	...	...	...
Housing Program Fund	\$30,000	\$30,000	\$30,000	\$30,000	\$30,000
<b>Total</b>	<b>\$30,000</b>	<b>\$30,000</b>	<b>\$30,000</b>	<b>\$30,000</b>	<b>\$30,000</b>

### DISBURSEMENTS

	<b>Estimated 1998-1999</b>	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>Total 1999-2004</b>
Program Summary:							
Information Management Technology Program	\$213	\$40	\$64	...	...	...	\$104
Supported Housing Program	24,400	29,200	27,800	\$24,350	\$23,000	\$23,000	127,350
<b>Total</b>	<b>\$24,613</b>	<b>\$29,240</b>	<b>\$27,864</b>	<b>\$24,350</b>	<b>\$23,000</b>	<b>\$23,000</b>	<b>\$127,454</b>
Fund Summary:							
Capital Projects Fund	\$1,613	\$6,240	\$4,864	\$1,350	...	...	\$12,454
Housing Program Fund	23,000	23,000	23,000	23,000	\$23,000	\$23,000	115,000
<b>Total</b>	<b>\$24,613</b>	<b>\$29,240</b>	<b>\$27,864</b>	<b>\$24,350</b>	<b>\$23,000</b>	<b>\$23,000</b>	<b>\$127,454</b>

# CAPITAL PROGRAM PLAN

## SUMMARY OF PROJECTED APPROPRIATIONS, ALL FUNDS, ALL PROGRAMS, BY FUND TYPE, AND MAJOR FUND, 1998-99 THROUGH 2002-03 (THOUSANDS OF DOLLARS)

### APPROPRIATIONS

	Reappropriations	1999-2000	2000-2001	2001-2002	2002-2003	2003-2004	Total 1999-2004
Capital Projects Funds Type							
Capital Projects Fund	\$1,123,910	\$249,964	\$170,674	\$294,400	\$324,342	\$400,968	\$1,440,348
Capital Projects Fund- EQBA (Bondable)	93,858	...	...	...	...	...	...
Capital Projects Fund- EQBA 86 (Bondable)	501,021	37,625	...	...	...	...	37,625
Capital Projects Fund- PWBA (Bondable)	35,175	...	...	...	...	...	...
Capital Projects Fund- Infrastructure Renewal (Bondable)	57,759	...	...	...	...	...	...
Capital Projects Fund- Aviation (Bondable)	8,522	...	...	...	...	...	...
Capital Projects Fund- Mass Transportation (Bondable)	1	...	...	...	...	...	...
Capital Projects Fund- Energy Conservation (Bondable)	2,593	...	...	...	...	...	...
Capital Projects Fund- A.C. and T.I. Fund (Bondable)	130,355	...	...	...	...	...	...
Capital Projects Fund- Advance	3,162,537	63,170	300	300	300	495,300	559,370
Federal Capital Projects Fund	4,383,496	1,579,223	1,378,425	1,403,425	1,413,425	1,413,425	7,187,923
Housing Assistance Fund	17,933	...	...	...	...	...	...
Mental Hygiene Capital Improvement Fund	641,969	132,934	124,285	102,224	82,516	65,111	507,070
Correctional Facilities Capital Improvement Fund	915,638	360,000	195,000	205,000	205,000	190,000	1,155,000
Housing Program Fund	357,418	97,700	93,200	93,200	93,200	93,200	470,500
Division For Youth Facilities Improvement Fund	35,644	82,000	7,000	7,000	7,000	7,000	110,000
Environmental Protection Fund	228,649	159,475	125,000	125,000	125,000	125,000	659,475
Capital Projects Fund- CWCA (Bondable)	547,819	283,000	200,000	200,000	200,000	75,500	958,500
Department of Health Facilities Improvement Fund	28,724	...	...	...	...	...	...
Dedicated Highway and Bridge Trust Fund	2,608,534	1,293,400	1,143,000	1,059,000	1,026,000	1,006,000	5,527,400
NYS Canal System Development Fund	2,000	2,000	2,400	2,400	2,400	2,300	11,500
State Parks Infrastructure Fund	48,907	29,955	23,500	23,900	25,000	25,000	127,355
Suburban Transportation Fund	74,265	...	...	...	...	...	...
SUNY Residence Hall Rehabilitation Fund	280,920	...	...	...	...	50,000	50,000
Hudson River Park Fund	16,000	7,000	7,300	3,500	3,600	3,600	25,000
Community Enhancement Facilities Assistance Fund	350,000	...	...	...	...	...	...
Other Funds	693,160	587,241	538,705	519,648	503,116	503,355	2,652,065
Eliminations*	(933,061)	(562,391)	(549,355)	(530,998)	(514,466)	(495,205)	(2,652,415)
<b>Type Subtotal</b>	<u>\$15,413,746</u>	<u>\$4,402,296</u>	<u>\$3,459,434</u>	<u>\$3,507,999</u>	<u>\$3,496,433</u>	<u>\$3,960,554</u>	<u>\$18,826,716</u>
Capital Projects Funds Bond Proceeds	\$2,489,310	...	...	...	...	...	...
Special Revenue Funds Type	163,386	\$55,059	\$43,859	\$43,859	\$43,984	\$44,009	\$230,770
Fiduciary Funds Type	2,400	...	...	...	...	...	...
<b>Total (All Fund Types)</b>	<u>\$18,068,842</u>	<u>\$4,457,355</u>	<u>\$3,503,293</u>	<u>\$3,551,858</u>	<u>\$3,540,417</u>	<u>\$4,004,563</u>	<u>\$19,057,486</u>

\*Reflects eliminations for "netting out" of transfers between funds which are not capital program disbursements.

# CAPITAL PROGRAM PLAN

## SUMMARY OF PROJECTED APPROPRIATIONS, ALL FUNDS, ALL PROGRAMS, BY FUND TYPE, AND MAJOR FUND, 1998-99 THROUGH 2002-03 (THOUSANDS OF DOLLARS)

### DISBURSEMENTS

	<u>Estimated 1998-1999</u>	<u>1999-2000</u>	<u>2000-2001</u>	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>	<u>Total 1999-2004</u>
Capital Projects Funds Type							
Capital Projects Fund	\$304,476	\$266,256	\$261,861	\$356,861	\$373,378	\$398,123	\$1,656,479
Capital Projects Fund - EQBA (Bondable)	28,036	11,312	9,031	492	2,130	1,106	24,071
Capital Projects Fund - EQBA 86 (Bondable)	102,500	120,000	120,000	107,660	61,200	18,335	427,195
Capital Projects Fund - PWBA (Bondable)	2,365	2,900	3,100	3,725	4,800	800	15,325
Capital Projects Fund - Infrastructure Renewal (Bondable)	7,031	3,620	836	300	146	...	4,902
Capital Projects Fund - Aviation (Bondable)	2,887	1,954	1,025	625	125	...	3,729
Capital Projects Fund - Mass Transportation (Bondable)	...	...	...	...	...	...	...
Capital Projects Fund - Energy Conservation (Bondable)	800	800	200	200	200	200	1,600
Capital Projects Fund - A.C. and T.I. Fund (Bondable)	72,300	43,178	23,043	7,000	4,986	...	78,207
Capital Projects Fund - Advance	191,958	194,873	192,768	204,762	241,652	297,450	1,131,505
Federal Capital Projects Fund	1,248,726	1,411,224	1,586,183	1,404,562	1,350,874	1,349,788	7,102,631
Housing Assistance Fund	7,448	3,400	...	...	...	...	3,400
Mental Hygiene Capital Improvement Fund	216,979	206,861	188,234	149,897	110,730	82,377	738,099
Correctional Facilities Capital Improvement Fund	339,200	253,000	319,000	223,000	173,000	173,000	1,141,000
Housing Program Fund	86,800	79,400	82,800	84,300	88,300	89,750	424,550
Division For Youth Facilities Improvement Fund	13,250	15,300	39,450	39,300	15,950	7,950	117,950
Environmental Protection Fund	90,525	103,817	115,920	126,089	119,661	115,724	581,211
Capital Projects Fund - CWCA (Bondable)	150,000	150,000	175,000	175,000	175,000	175,000	850,000
Department of Health Facilities Improvement Fund	22,923	14,124	...	...	...	...	14,124
Dedicated Highway and Bridge Trust Fund	1,334,422	1,432,848	1,301,267	1,141,361	1,065,680	1,024,691	5,965,847
NYS Canal System Development Fund	2,480	3,476	2,400	2,400	2,400	2,300	12,976
State Parks Infrastructure Fund	22,100	24,600	24,600	24,600	24,600	24,600	123,000
Suburban Transportation Fund	2,339	1,000	1,000	1,000	1,000	1,000	5,000
SUNY Residence Hall Rehabilitation Fund	33,000	43,000	43,000	43,000	43,000	50,000	222,000
Hudson River Park Fund	8,400	14,600	7,300	3,500	3,600	3,600	32,600
Community Enhancement Facilities Assistance Fund	...	...	...	...	...	...	...
Other Funds	611,146	602,126	578,980	557,270	545,671	515,576	2,799,623
Eliminations*	(634,446)	(590,754)	(580,722)	(563,337)	(540,328)	(526,394)	(2,801,535)
<b>Type Subtotal</b>	<u>\$4,267,645</u>	<u>\$4,412,915</u>	<u>\$4,496,276</u>	<u>\$4,093,567</u>	<u>\$3,867,755</u>	<u>\$3,804,976</u>	<u>\$20,675,489</u>
Capital Projects Funds Bond Proceeds	...	...	...	...	...	...	...
Special Revenue Funds Type	\$35,210	\$41,517	\$49,197	\$40,960	\$35,130	\$32,571	\$199,375
Fiduciary Funds Type	950	650	100	...	...	...	750
<b>Total (All Fund Types)</b>	<u>\$4,303,805</u>	<u>\$4,455,082</u>	<u>\$4,545,573</u>	<u>\$4,134,527</u>	<u>\$3,902,885</u>	<u>\$3,837,547</u>	<u>\$20,875,614</u>

\*Reflects eliminations for "netting out" of transfers between funds which are not capital program disbursements.