

**FY 2023 NEW YORK STATE EXECUTIVE BUDGET**  
**PUBLIC PROTECTION AND GENERAL GOVERNMENT**  
**ARTICLE VII LEGISLATION**

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**CONTENTS**

<b>PART</b>	<b>DESCRIPTION</b>	<b>STARTING PAGE NUMBER</b>
A	Make Permanent Criminal Justice Discovery Compensation	6
B	Gender-Affirming Treatment of Incarcerated Individuals	7
C	Expand Educational Release and Furlough Eligibility	15
D	Eliminate DOCCS Parole Supervision Fees	16
E	Facilitate Access to ID Cards and Other Vital Records to Enhance Opportunities for Released Individuals	17
F	Establishes Employment for Parole Board Members is full-time	20
G	Suspend Subsidy to Revolving Loan Fund from Cell Surcharge Revenue	21
H	Establish the Gender-Based Violence Training Institute	21
I	Expand Benefits for Victims of Hate Crimes and Other Acts of Violence by Increasing the Cap for the Replacement of Essential Personal Property	24
J	Emergency Contracts Extender	25
K	Adds Email as a Permissible Notification from SLA to Municipalities	26
L	Streamline Application Requirements	26
M	Temporary Retail Permits Extender	28

<b>PART</b>	<b>DESCRIPTION</b>	<b>STARTING PAGE NUMBER</b>
N	10 Day Voter Registration Deadline	29
O	Require Polling Sites on College Campuses	30
P	Allow for the Sale of To-Go Drinks	31
Q	Ethics Requirements for Local Officials	31
R	Permit the Use of Continuous Recruitment Testing	33
S	Open-Competitive/Promotional Exams	34
T	Allow the transfer of non-competitive employees appointed through the state's 55-B and 55-C programs into the competitive class	35
U	Expansion of the Statutory Shift Pay Differential	36
V	Market-Based Interest Rate on Court Judgments	37
W	Streamline County-Wide Shared Services Initiative	39
X	Resume General Fund AIM for Towns and Villages	41
Y	Authorization for transfers, temporary loans, and amendments to miscellaneous capital/debt provisions, including bond caps	47
Z	Independent Ethics Reform Act of 2022	120
AA	Clean Slate Act	177
BB	Private Sector Employment for Incarcerated Persons	184

Legislative Bill Drafting Commission  
12670-01-2

S. -----  
Senate  
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IN SENATE--Introduced by Sen

--read twice and ordered printed,  
and when printed to be committed  
to the Committee on

----- A.  
Assembly  
-----

IN ASSEMBLY--Introduced by M. of A.

with M. of A. as co-sponsors

--read once and referred to the  
Committee on

**\*BUDGBI\***

(Enacts into law major components of  
legislation necessary to implement  
the state public protection and  
general government budget for the  
2022-2023 state fiscal year)

-----  
BUDGBI. PPGG Governor

AN ACT

to amend part E of chapter 55 of the  
laws of 2020, amending the state  
finance law relating to establishing  
the criminal justice discovery  
compensation fund; amending the  
criminal procedure law relating to  
monies recovered by county district  
attorneys before the filing of an  
accusatory instrument; and providing  
for the repeal of certain provisions  
upon expiration thereof, in relation

IN SENATE

Senate introducer's signature

The senators whose names are circled below wish to join me in the sponsorship  
of this proposal:

s15 Addabbo	s17 Felder	s07 Kaplan	s58 O'Mara	s10 Sanders
s52 Akshar	s59 Gallivan	s26 Kavanagh	s62 Ortt	s23 Savino
s36 Bailey	s05 Gaughran	s63 Kennedy	s01 Palumbo	s32 Sepulveda
s34 Biaggi	s12 Gianaris	s28 Krueger	s21 Parker	s41 Serino
s57 Borrello	s22 Gounardes	s24 Lanza	s19 Persaud	s29 Serrano
s04 Boyle	s47 Griffo	s11 Liu	s13 Ramos	s39 Skoufis
s44 Breslin	s40 Harckham	s50 Mannion	s61 Rath	s16 Stavisky
s25 Brisport	s54 Helming	s42 Martucci	s38 Reichlin-	s45 Stec
s08 Brooks	s46 Hinchey	s02 Mattera	Melnick	s35 Stewart-
s55 Brouk	s27 Hoylman	s53 May	s48 Ritchie	Cousins
s30 Cleare	s31 Jackson	s37 Mayer	s33 Rivera	s49 Tedisco
s14 Comrie	s43 Jordan	s20 Myrie	s60 Ryan	s06 Thomas
s56 Cooney	s09 Kaminsky	s51 Oberacker	s18 Salazar	s03 Weik

IN ASSEMBLY

Assembly introducer's signature

The Members of the Assembly whose names are circled below wish to join me in the  
multi-sponsorship of this proposal:

a049 Abbate	a045 Cymbrowitz	a100 Gunther	a015 Montesano	a076 Seawright	
a092 Abinanti	a018 Darling	a139 Hawley	a145 Morinello	a084 Septimo	
a031 Anderson	a053 Davila	a083 Heastie	a065 Niou	a016 Sillitti	
a122 Angelino	a003 DeStefano	a028 Hevesi	a037 Nolan	a052 Simon	
a107 Ashby	a070 Dickens	a128 Hunter	a144 Norris	a114 Simpson	
a035 Aubry	a054 Dilan	a029 Hyndman	a069 O'Donnell	a005 Smith	
a120 Barclay	a081 Dinowitz	a079 Jackson	a091 Otis	a118 Smullen	
a030 Barnwell	a147 DiPietro	a104 Jacobson	a132 Palmesano	a022 Solages	
a106 Barrett	a009 Durso	a011 Jean-Pierre	a088 Paulin	a110 Steck	
a082 Benedetto	a048 Eichenstein	a134 Jensen	a141 Peoples-	a010 Stern	
a042 Bichotte	a004 Englebright	a115 Jones	Stokes	a127 Stirpe	
	Hermelyn	a074 Epstein	a077 Joyner	a058 Perry	a102 Tague
a117 Blankenbush	a109 Fahy	a125 Kelles	a023 Pheffer	a064 Tannousis	
a098 Brabene	a061 Fall	a040 Kim	Amato	a086 Tapia	
a026 Braunstein	a080 Fernandez	a105 Lalor	a089 Pretlow	a071 Taylor	
a138 Bronson	a008 Fitzpatrick	a013 Lavine	a073 Quart	a001 Thiele	
a012 Brown	a057 Forrest	a097 Lawler	a019 Ra	a033 Vanel	
a093 Burdick	a124 Friend	a126 Lemondes	a038 Rajkumar	a116 Walczyk	
a085 Burgos	a046 Frontus	a135 Lunsford	a006 Ramos	a055 Walker	
a142 Burke	a095 Galef	a123 Lupardo	a062 Reilly	a143 Wallace	
a119 Buttenschon	a050 Gallagher	a129 Magnarelli	a087 Reyes	a112 Walsh	
a094 Byrne	a131 Gallahan	a036 Mamdani	a043 Richardson	a041 Weinstein	
a133 Byrnes	a007 Gandolfo	a130 Manktelow	a078 Rivera, J.	a024 Weprin	
a103 Cahill	a002 Giglio, J.A.	a108 McDonald	a149 Rivera, J.D.	a059 Williams	
a044 Carroll	a148 Giglio, J.M.	a014 McDonough	a027 Rosenthal, D.	a113 Woerner	
a136 Clark	a066 Glick	a146 McMahan	a067 Rosenthal, L.	a096 Zebrowski	
a047 Colton	a034 Gonzalez-	a137 Meeks	a025 Rozic	a056 Zinerman	
a140 Conrad	Rojas	a017 Mikulin	a121 Salka	a060	
a032 Cook	a150 Goodell	a101 Miller, B.	a111 Santabarbara	a068	
a039 Cruz	a075 Gottfried	a020 Miller, M.	a090 Sayegh	a072	
a063 Cusick	a021 Griffin	a051 Mitaynes	a099 Schmitt		

1) Single House Bill (introduced and printed separately in either or  
both houses). Uni-Bill (introduced simultaneously in both houses and printed  
as one bill. Senate and Assembly introducer sign the same copy of the bill).

2) Circle names of co-sponsors and return to introduction clerk with 2  
signed copies of bill and: in Assembly 2 copies of memorandum in support, in  
Senate 4 copies of memorandum in support (single house); or 4 signed copies  
of bill and 6 copies of memorandum in support (uni-bill).

to making certain provisions of the state finance law permanent (Part A); to amend the correction law, in relation to the placement of incarcerated individuals who have a gender identity that differs from their assigned sex at birth, have a diagnosis of gender dysphoria, who identify as transgender, gender nonconforming, or nonbinary, or who are intersex within state and local correctional facilities (Part B); to amend the correction law in relation to limited credit time and eligibility for furloughs and educational leave (Part C); to repeal subdivision 9 of section 201 of the correction law relating to the parole supervision fee (Part D); to amend the correction law and public health law, in relation to authorizing the department of corrections and community supervision access to certain records (Part E); to amend the executive law, in relation to requiring members of the state parole board to devote their entire time to the duties of their office (Part F); to amend the tax law, in relation to suspending the transfer of monies into the emergency services revolving loan fund from the public safety communications account (Part G); to amend the civil practice law and rules and the executive law, in relation to establishing a mandatory training certification for all domestic and gender-based victim advocates and creating the New York state gender-based violence training institute within the office for the prevention of domestic violence (Part H); to amend the executive law, in relation to awarding reimbursement for certain items of essential personal property (Part I); to amend chapter 674 of the laws of 1993, amending the public buildings law relating to value limitations on contracts, in relation to extending the effectiveness thereof (Part J); to amend the alcoholic beverage control law, in relation to allowing a municipality to elect to receive notice by email (Part K); to amend the alcoholic

beverage control law, in relation to requiring certain information to be requested in applications for licenses; and to repeal certain provisions of such law relating thereto (Part L); to amend chapter 396 of the laws of 2010 amending the alcoholic beverage control law relating to liquidator's permits and temporary retail permits, in relation to the effectiveness thereof (Part M); to amend the election law, in relation to voter registration (Part N); to amend the election law, in relation to the boundaries of election districts and the designation of polling places (Part O); to amend the alcoholic beverage control law, in relation to authorizing retail licensees for on-premises consumption to sell and/or deliver alcoholic beverages for off-premises consumption (Part P); to amend the general municipal law, in relation to prohibiting nepotism and certain gifts and conflicts of interest (Part Q); to amend the civil service law, in relation to establishing continuing eligible lists (Part R); to amend the civil service law, in relation to promotional examination eligibility (Part S); to amend the civil service law, in relation to the transfer of civil service sections 55-b and 55-c employees (Part T); to amend the civil service law, in relation to eligibility for shift pay differentials (Part U); to amend the civil practice law and rules and the state finance law, in relation to the rate of interest to be paid on judgment and accrued claims (Part V); to amend the general municipal law, in relation to streamlining the county-wide shared services initiative (Part W); to amend the state finance law, the tax law, and the public authorities law, in relation to providing aid and incentives for municipalities to towns and villages; and providing for the repeal of certain provisions of the tax law relating thereto (Part X); to provide for the administration of certain funds and accounts related

to the 2022-2023 budget, authorizing certain payments and transfers; to amend the state finance law, in relation to the administration of certain funds and accounts; to amend part D of chapter 389 of the laws of 1997 relating to the financing of the correctional facilities improvement fund and the youth facility improvement fund, in relation to the issuance of certain bonds or notes; to amend chapter 81 of the laws of 2002 relating to providing for the administration of certain funds and accounts related to the 2002-2003 budget, in relation to the issuance of certain bonds & notes; to amend part Y of chapter 61 of the laws of 2005, relating to providing for the administration of certain funds and accounts related to the 2005-2006 budget, in relation to the issuance of certain bonds or notes; to amend the public authorities law, in relation to the issuance of certain bonds or notes; to amend the New York state medical care facilities finance agency act, in relation to the issuance of certain bonds or notes; to amend the New York state urban development corporation act, in relation to the issuance of certain bonds or notes; to amend chapter 329 of the laws of 1991, amending the state finance law and other laws relating to the establishment of the dedicated highway and bridge trust fund, in relation to the issuance of certain bonds or notes; to amend the public authorities law, in relation to the issuance of certain bonds or notes; to amend the private housing finance law, in relation to housing program bonds and notes; to amend part D of chapter 63 of the laws of 2005, relating to the composition and responsibilities of the New York state higher education capital matching grant board, in relation to increasing the amount of authorized matching capital grants; to amend the New York state urban development corporation act, in relation to the nonprofit infrastructure capital investment program;

to amend the New York state urban development corporation act, in relation to personal income tax notes for 2022, in relation to authorizing the dormitory authority of the state of New York and the urban development corporation to enter into line of credit facilities for 2022, and in relation to state-supported debt issued during the 2022 fiscal year; to amend the state finance law, in relation to payments of bonds; to amend the state finance law, in relation to the mental health services fund; to amend the state finance law, in relation to the issuance of revenue bonds; to repeal subdivisions 4 and 5 of section 16 of part T of chapter 57 of the laws of 2007, relating to providing for the administration of certain funds and accounts related to the 2007-2008 budget; and providing for the repeal of certain provisions upon expiration thereof (Part Y); to establish the "independent ethics commission reform act of 2022"; to amend the executive law, in relation to creating the independent commission on ethics and lobbying in government, and to repeal certain provisions of such law relating thereto; and to amend the legislative law, the public officers law, and the executive law, in relation to making technical corrections thereto (Part Z); to amend the criminal procedure law and the correction law, in relation to automatic sealing of certain convictions (Part AA); and to amend the correction law, in relation to employment by a private sector entity or as part of a prison industries certification program authorized by the United States (Part BB)

The People of the State of New York, represented in Senate and Assembly, do enact as follows:



1 Section 1. This act enacts into law major components of legislation  
2 necessary to implement the state public protection and general govern-  
3 ment budget for the 2022-2023 state fiscal year. Each component is whol-  
4 ly contained within a Part identified as Parts A through BB. The effec-  
5 tive date for each particular provision contained within such Part is  
6 set forth in the last section of such Part. Any provision in any section  
7 contained within a Part, including the effective date of the Part, which  
8 makes a reference to a section "of this act", when used in connection  
9 with that particular component, shall be deemed to mean and refer to the  
10 corresponding section of the Part in which it is found. Section three of  
11 this act sets forth the general effective date of this act.

12 PART A

13 Section 1. Section 3 of part E of chapter 55 of the laws of 2020,  
14 amending the state finance law relating to establishing the criminal  
15 justice discovery compensation fund; amending the criminal procedure law  
16 relating to monies recovered by county district attorneys before the  
17 filing of an accusatory instrument; and providing for the repeal of  
18 certain provisions upon expiration thereof, is amended to read as  
19 follows:

20 § 3. This act shall take effect immediately; provided, however, [that  
21 subdivision 2 of section 99-hh of the state finance law, as added by  
22 section one of this act, shall expire and be deemed repealed March 31,  
23 2022, and provided, further] that the amendments to section 95.00 of the  
24 criminal procedure law made by section two of this act shall not affect  
25 the repeal of such section and shall be deemed repealed therewith.

1 § 2. This act shall take effect immediately and shall be deemed to  
2 have been in full force and effect on and after March 31, 2022.

3 PART B

4 Section 1. Subdivision 1 of section 71 of the correction law is  
5 amended by adding a new paragraph (c) to read as follows:

6 (c) Notwithstanding the foregoing, incarcerated individuals who have a  
7 gender identity that differs from their assigned sex at birth, have a  
8 diagnosis of gender dysphoria, who identify as transgender, gender  
9 nonconforming, or nonbinary, or who are intersex may be received at a  
10 correctional facility designated for males or females. The department  
11 shall establish a mechanism by which incarcerated individuals who have a  
12 gender identity that differs from their assigned sex at birth, have a  
13 diagnosis of gender dysphoria, who identify as transgender, gender  
14 nonconforming, or nonbinary, or who are intersex may request placement  
15 in a facility designated for males or females prior to reception.

16 § 2. The correction law is amended by adding a new section 135 to read  
17 as follows:

18 § 135. Placement based on gender identity. Incarcerated individuals  
19 who have a gender identity that differs from their assigned sex at  
20 birth, have a diagnosis of gender dysphoria, who identify as transgen-  
21 der, gender nonconforming, or nonbinary, or who are intersex may  
22 request to be placed in a correctional facility with persons of the  
23 gender that is consistent with such person's gender identity.

24 (a) Decisions regarding the placement of incarcerated individuals who  
25 have a gender identity that differs from their assigned sex at birth,  
26 have a diagnosis of gender dysphoria, who identify as transgender,

1 gender nonconforming, or nonbinary, or who are intersex in a facility  
2 designated for the confinement of males or females shall be made on a  
3 case-by-case basis, with careful consideration given to housing consist-  
4 ent with the individual's gender identity, following an individualized  
5 and informed assessment but subject to denial based on safety, security  
6 or health concerns. The department shall provide a determination in  
7 writing to the affected person.

8 (b) If an incarcerated individual raises concerns for their health or  
9 safety at any time, or if their placement raises safety, security or  
10 health concerns at any time, their housing and placement shall be reas-  
11 sessed.

12 (c) Any incarcerated individual who has been placed in a facility  
13 consistent with the individual's gender identity, may request at any  
14 time to be transferred to a facility housing individuals of their  
15 assigned sex at birth.

16 (i) If granted, such request shall be effectuated as soon as practica-  
17 ble.

18 (ii) Any incarcerated individual who has a gender identity that  
19 differs from their assigned sex at birth, has a diagnosis of gender  
20 dysphoria, who identifies as transgender, gender nonconforming, or  
21 nonbinary, or who is intersex who has declined to be housed in a facili-  
22 ty consistent with the individual's gender identity, or who has  
23 requested to leave such a placement, may request to have their placement  
24 reassessed in accordance with procedures established by the commission-  
25 er.

26 § 3. Section 137 of the correction law is amended by adding a new  
27 subdivision 7 to read as follows:

1 7. The commissioner shall promulgate rules and regulations to ensure  
2 the appropriate treatment of incarcerated individuals who have a gender  
3 identity that differs from their assigned sex at birth, have a diagnosis  
4 of gender dysphoria, who identify as transgender, gender nonconform-  
5 ing, or nonbinary, or who are intersex such that:

6 (a) incarcerated individuals who have a gender identity that differs  
7 from their assigned sex at birth, have a diagnosis of gender dysphoria,  
8 who identify as transgender, gender nonconforming, or nonbinary, or  
9 who are intersex shall:

10 (i) have access to department-issued undergarments and clothing that  
11 are consistent with the individuals' gender identity, and shall have the  
12 ability to receive undergarments, clothing and personal care items  
13 through package procedures, subject to gender-neutral restrictions; and

14 (ii) have the right to gender affirming medical and mental health care  
15 consistent with the community standard of care.

16 (iii) The absence of a documented history of gender variance shall not  
17 preclude an incarcerated individual from self-identification as trans-  
18 gender, gender nonconforming, or nonbinary.

19 (b) No employee of the department shall misgender any individual in  
20 the care or custody of the department by intentionally referring to  
21 someone, including but not limited to, a transgender, gender nonconform-  
22 ing, nonbinary or intersex person, using a word, pronoun or form of  
23 address that does not correctly reflect the gender with which they iden-  
24 tify.

25 (c) Employees of the department shall only use information pertaining  
26 to an incarcerated individual's gender identity and expression as neces-  
27 sary for the performance of their official duties and shall not reveal  
28 any information related to an incarcerated individual's gender identity

1 and expression other than to the extent necessary for legitimate opera-  
2 tional functions of the facility or the department.

3 (d) No incarcerated individual may be denied access to programming or  
4 education based on their gender identity or expression.

5 (e) The commissioner shall implement procedures for incarcerated indi-  
6 viduals who have a gender identity that differs from their assigned sex  
7 at birth, have a diagnosis of gender dysphoria, who identify as trans-  
8 gender, gender nonconforming, or nonbinary, or who are intersex to  
9 designate their gender preference for personal searches.

10 (f) The department shall provide training on the provisions of this  
11 subdivision to all personnel every two years.

12 (g) The department shall make available documentation summarizing the  
13 provisions of this subdivision to incarcerated individuals who have a  
14 gender identity that differs from their assigned sex at birth, have a  
15 diagnosis of gender dysphoria, who identify as transgender, gender  
16 nonconforming, or nonbinary, or who are intersex, and shall widely  
17 distribute such documentation such that it is readily accessible.

18 § 4. Section 500-b of the correction law is amended by adding a new  
19 subdivision 14 to read as follows:

20 14. Notwithstanding any other provision, incarcerated individuals who  
21 have a gender identity that differs from their assigned sex at birth,  
22 have a diagnosis of gender dysphoria, who identify as transgender,  
23 gender nonconforming, or nonbinary, or who are intersex may request to  
24 be placed in a housing unit designated for individuals of the gender  
25 that most closely aligns with such individuals' gender identity.

26 (a) Decisions regarding the placement of incarcerated individuals who  
27 have a gender identity that differs from their assigned sex at birth,  
28 have a diagnosis of gender dysphoria, who identify as transgender,

1 gender nonconforming, or nonbinary, or who are intersex shall be made on  
2 a case-by-case basis, with careful consideration given to housing most  
3 closely aligning with the individuals' gender identity, following an  
4 individualized and informed assessment but subject to denial based on  
5 safety, security or health concerns. The chief administrative officer  
6 or their designee shall provide a determination in writing to the  
7 affected person.

8 (b) If an incarcerated individual placed in a housing unit consistent  
9 with the individual's gender identity or expression raises concerns for  
10 their health or safety at any time, or if their placement raises safety,  
11 security or health concerns at any time, their housing and placement  
12 shall be reassessed.

13 (c) An incarcerated individual who has been placed in a housing unit  
14 consistent with the individual's gender identity may request at any time  
15 to be transferred to a housing unit housing individuals of their  
16 assigned sex at birth.

17 (i) Such request shall be reviewed as soon as practicable.

18 (ii) An individual who has declined to be housed in a housing unit  
19 consistent with the individual's gender identity, or who has requested  
20 to leave such a placement, may request to have their placement reas-  
21 sessed in accordance with procedures established by the chief adminis-  
22 trative officer or their designee.

23 § 5. Subdivision 1 of section 500-k of the correction law, as sepa-  
24 rately amended by chapters 93 and 322 of the laws of 2021, is amended to  
25 read as follows:

26 1. Subdivisions five [and], six and seven of section one hundred thir-  
27 ty-seven of this chapter, except paragraphs (d) and (e) of subdivision  
28 six of such section, relating to the treatment of incarcerated individ-

1 uals in state correctional facilities are applicable to incarcerated  
2 individuals confined in county jails; except that the report required by  
3 paragraph (f) of subdivision six of such section shall be made to a  
4 person designated to receive such report in the rules and regulations of  
5 the state commission of correction, or in any county or city where there  
6 is a department of correction, to the head of such department; and the  
7 state commission of correction shall promulgate rules and regulations  
8 which prescribe the manner in which subdivision seven of section one  
9 hundred thirty-seven of this chapter shall apply to the treatment of  
10 incarcerated individuals who have a gender identity that differs from  
11 their assigned sex at birth, have a diagnosis of gender dysphoria, who  
12 identify as transgender, gender nonconforming, or nonbinary, or who  
13 are intersex confined in local correctional facilities.

14 § 6. Subdivision (a) of section 601 of the correction law, as amended  
15 by section 209 of chapter 322 of the laws of 2021, is amended to read as  
16 follows:

17 (a) Whenever an incarcerated individual shall be delivered to the  
18 superintendent of a state correctional facility pursuant to an indeter-  
19 minate or determinate sentence, the officer so delivering such incarcer-  
20 ated individual shall deliver to such superintendent, the sentence and  
21 commitment or certificate of conviction, or a certified copy thereof,  
22 and a copy of any order of protection pursuant to section 380.65 of the  
23 criminal procedure law received by such officer from the clerk of the  
24 court by which such incarcerated individual shall have been sentenced, a  
25 copy of the report of the probation officer's investigation and report  
26 or a detailed statement covering the facts relative to the crime and  
27 previous history certified by the district attorney, a copy of the  
28 incarcerated individual's fingerprint records, a detailed summary of

1 available medical records, psychiatric records and reports relating to  
2 assaults, or other violent acts, attempts at suicide or escape by the  
3 incarcerated individual while in the custody of the local correctional  
4 facility, a copy of records reflecting the individual's gender identity  
5 and gender-related housing requests; any such medical or psychiatric  
6 records in the possession of a health care provider other than the local  
7 correctional facility shall be summarized in detail and forwarded by  
8 such health care provider to the medical director of the appropriate  
9 state correctional facility upon request; the superintendent shall pres-  
10 ent to such officer a certificate of the delivery of such incarcerated  
11 individual, and the fees of such officer for transporting such incarcer-  
12 ated individual shall be paid from the treasury upon the audit and  
13 warrant of the comptroller. Whenever an incarcerated individual of the  
14 state is delivered to a local facility, the superintendent shall forward  
15 summaries of such records to the local facility with the incarcerated  
16 individual.

17 § 7. Subdivision (a) of section 601 of the correction law, as amended  
18 by section 209-a of chapter 322 of the laws of 2021, is amended to read  
19 as follows:

20 (a) Whenever an incarcerated individual shall be delivered to the  
21 superintendent of a state correctional facility pursuant to an indeter-  
22 minate or determinate sentence, the officer so delivering such incarcer-  
23 ated individual shall deliver to such superintendent, the sentence and  
24 commitment or certificate of conviction, or a certified copy thereof,  
25 and a copy of any order of protection pursuant to section 380.65 of the  
26 criminal procedure law received by such officer from the clerk of the  
27 court by which such incarcerated individual shall have been sentenced, a  
28 copy of the report of the probation officer's investigation and report



1 or a detailed statement covering the facts relative to the crime and  
2 previous history certified by the district attorney, a copy of the  
3 incarcerated individual's fingerprint records, a detailed summary of  
4 available medical records, psychiatric records and reports relating to  
5 assaults, or other violent acts, attempts at suicide or escape by the  
6 incarcerated individual while in the custody of the local correctional  
7 facility, a copy of records reflecting the individual's gender identity  
8 and gender-related housing requests; any such medical or psychiatric  
9 records in the possession of a health care provider other than the local  
10 correctional facility shall be summarized in detail and forwarded by  
11 such health care provider to the medical director of the appropriate  
12 state correctional facility upon request; the superintendent shall pres-  
13 ent to such officer a certificate of the delivery of such incarcerated  
14 individual, and the fees of such officer for transporting such incarcer-  
15 ated individual shall be paid from the treasury upon the audit and  
16 warrant of the comptroller. Whenever an incarcerated individual of the  
17 state is delivered to a local facility, the superintendent shall forward  
18 summaries of such records to the local facility with the incarcerated  
19 individual.

20 § 8. This act shall take effect immediately; provided, however, that  
21 section five of this act shall take effect on the same date and in the  
22 same manner as section 13 of chapter 93 of the laws of 2021, takes  
23 effect; provided, further, that the amendments to section 500-b of the  
24 correction law made by section four of this act shall not affect the  
25 repeal of such section and shall be deemed repealed therewith; and  
26 provided, further, that the amendments to subdivision (a) of section 601  
27 of the correction law made by section six of this act shall be subject  
28 to the expiration and reversion of such subdivision pursuant to subdivi-

1 sion d of section 74 of chapter 3 of the laws of 1995, as amended when  
2 upon such date the provisions of section seven of this act shall take  
3 effect.

4 PART C

5 Section 1. Subparagraph i of paragraph (c) of subdivision 1 of  
6 section 803-b of the correction law, as amended by chapter 322 of the  
7 laws of 2021, is amended to read as follows,

8 (i) participates in no less than two years of college programming or  
9 participates in one year of college programming while confined in a  
10 general confinement facility and thereafter participates in six months  
11 of college programming while a participant in educational release; or

12 § 2. Subdivisions 4 and 7 of section 851 of the correction law, as  
13 amended by chapter 322 of the laws of 2021, is amended to read as  
14 follows:

15 4. "Furlough program" means a program under which eligible incarcerat-  
16 ed individuals may be granted the privilege of leaving the premises of  
17 an institution for a period not exceeding seven days for the purpose of  
18 seeking employment, maintaining family ties, solving family problems,  
19 seeking post-release housing, attending a short-term educational or  
20 vocational training course, or for any matter necessary to the further-  
21 ance of any such purposes. Notwithstanding the provisions of subdivi-  
22 sion two of this section, an eligible incarcerated individual for the  
23 furlough program shall also include an incarcerated individual who is  
24 not serving a sentence for an offense that would render such person  
25 ineligible for the limited credit time allowance, as set forth in  
26 section eight hundred three-b of this chapter, and provided further that

1 such incarcerated individual has successfully participated in college  
2 programming while incarcerated with the department in a general confine-  
3 ment facility for a period of at least one year, and is presently  
4 successfully participating in college programming through educational  
5 leave as provided for in subdivision seven of this section.

6 7. "Educational leave" means a privilege granted to an eligible incar-  
7 cerated individual to leave the premises of an institution for a period  
8 not exceeding fourteen hours in any day for the purpose of education or  
9 vocational training, or for any matter necessary to the furtherance of  
10 any such purposes. Notwithstanding the provisions of subdivision two of  
11 this section, an eligible incarcerated individual for educational leave  
12 shall also include an incarcerated individual who is not serving a  
13 sentence for an offense that would render such person ineligible for the  
14 limited credit time allowance, as set forth in section eight hundred  
15 three-b of this chapter, and provided further that such incarcerated  
16 individual has successfully participated in college programming while  
17 incarcerated with the department in a general confinement facility for a  
18 period of at least one year.

19 § 3. This act shall take effect on April 1, 2022; provided, however,  
20 that the amendments to subdivisions 4 and 7 of section 851 of the  
21 correction law made by section two of this act shall not affect the  
22 expiration of such section and shall be deemed expired therewith.

23 PART D

24 Section 1. Subdivision 9 of section 201 of the correction law is  
25 REPEALED.

26 § 2. This act shall take effect immediately.

1

## PART E

2 Section 1. Subdivision (a) of section 601 of the correction law, as  
3 amended by section 209 of chapter 322 of the laws of 2021, is amended to  
4 read as follows:

5 (a) Whenever an incarcerated individual shall be delivered to the  
6 superintendent of a state correctional facility pursuant to an indeter-  
7 minate or determinate sentence, the officer so delivering such incarcer-  
8 ated individual shall deliver to such superintendent, the sentence and  
9 commitment or certificate of conviction, or a certified copy thereof,  
10 and a copy of any order of protection pursuant to section 380.65 of the  
11 criminal procedure law received by such officer from the clerk of the  
12 court by which such incarcerated individual shall have been sentenced, a  
13 copy of the report of the probation officer's investigation and report  
14 or a detailed statement covering the facts relative to the crime and  
15 previous history certified by the district attorney, a copy of the  
16 incarcerated individual's fingerprint records, a detailed summary of  
17 available medical records, psychiatric records and reports relating to  
18 assaults, or other violent acts, attempts at suicide or escape by the  
19 incarcerated individual while in the custody of the local correctional  
20 facility; any such medical or psychiatric records in the possession of a  
21 health care provider other than the local correctional facility shall be  
22 summarized in detail and forwarded by such health care provider to the  
23 medical director of the appropriate state correctional facility upon  
24 request; the superintendent shall present to such officer a certificate  
25 of the delivery of such incarcerated individual, and the fees of such  
26 officer for transporting such incarcerated individual shall be paid from  
27 the treasury upon the audit and warrant of the comptroller. The

1 sentence and commitment or certificate of conviction shall be deemed to  
2 grant authorization to the department of corrections and community  
3 supervision to request a certified copy or certified transcript of birth  
4 on behalf of an incarcerated individual, when such request is made  
5 pursuant to subdivision four of section four thousand one hundred seven-  
6 ty-four of the public health law or section four thousand one hundred  
7 seventy-nine of such law. Whenever an incarcerated individual of the  
8 state is delivered to a local facility, the superintendent shall forward  
9 summaries of such records to the local facility with the incarcerated  
10 individual.

11 § 2. Subdivision 4 of section 4174 of the public health law, as  
12 amended by chapter 322 of the laws of 2021, is amended to read as  
13 follows:

14 4. No fee shall be charged for a search, certification, certificate,  
15 certified copy or certified transcript of a record to be used for school  
16 entrance, employment certificate or for purposes of public relief or  
17 when required by the veterans administration to be used in determining  
18 the eligibility of any person to participate in the benefits made avail-  
19 able by the veterans administration or when required by a board of  
20 elections for the purposes of determining voter eligibility or when  
21 requested by the department of corrections and community supervision or  
22 a local correctional facility as defined in subdivision sixteen of  
23 section two of the correction law for the purpose of providing a certi-  
24 fied copy or certified transcript of birth to an incarcerated individual  
25 in anticipation of such incarcerated individual's release from custody  
26 or to obtain a death certificate to be used for administrative purposes  
27 for an incarcerated individual who has died under custody or when  
28 requested by the office of children and family services or an authorized

1 agency for the purpose of providing a certified copy or certified tran-  
2 script of birth to a youth placed in the care and custody or custody and  
3 guardianship of the local commissioner of social services or the care  
4 and custody or custody and guardianship of the office of children and  
5 family services in anticipation of such youth's discharge from placement  
6 or foster care. Whenever a request is made by the department of  
7 corrections and community supervision for a certified copy or certified  
8 transcript of birth on behalf of an incarcerated individual pursuant to  
9 this section, a certified copy of the sentence and commitment or certif-  
10 icate of conviction shall be deemed to grant authorization by the incar-  
11 cerated individual to the department to submit such request on their  
12 behalf, and no other authorization shall be required.

13 § 3. Section 4179 of the public health law, as amended by chapter 322  
14 of the laws of 2021, is amended to read as follows:

15 § 4179. Vital records; fees; city of New York. Notwithstanding the  
16 provisions of paragraph one of subdivision a of section 207.13 of the  
17 health code of the city of New York, the department of health shall  
18 charge, and the applicant shall pay, for a search of two consecutive  
19 calendar years under one name and the issuance of a certificate of  
20 birth, death or termination of pregnancy, or a certification of birth or  
21 death, or a certification that the record cannot be found, a fee of  
22 fifteen dollars for each copy. Provided, however, that no such fee shall  
23 be charged when the department of corrections and community supervision  
24 or a local correctional facility as defined in subdivision sixteen of  
25 section two of the correction law requests a certificate of birth or  
26 certification of birth for the purpose of providing such certificate of  
27 birth or certification of birth to an incarcerated individual in antic-  
28 ipation of such incarcerated individual's release from custody or to

1 obtain a death certificate to be used for administrative purposes for an  
2 incarcerated individual who has died under custody or when the office of  
3 children and family services or an authorized agency requests a certi-  
4 fied copy or certified transcript of birth for a youth placed in the  
5 custody of the local commissioner of social services or the custody of  
6 the office of children and family services pursuant to article three of  
7 the family court act for the purpose of providing such certified copy or  
8 certified transcript of birth to such youth in anticipation of discharge  
9 from placement. Whenever a request is made by the department of  
10 corrections and community supervision for a certified copy or certified  
11 transcript of birth on behalf of an incarcerated individual pursuant to  
12 this section, a certified copy of the sentence and commitment or certif-  
13 icate of conviction shall be deemed to grant authorization by the incar-  
14 cerated individual to the department to submit such request on their  
15 behalf, and no other authorization shall be required.

16 § 4. This act shall take effect on September 1, 2022; provided, howev-  
17 er, that the amendments to subdivision (a) of section 601 of the  
18 correction law made by section one of this act shall not affect the  
19 expiration of such subdivision and shall be deemed to expire therewith.

20 PART F

21 Section 1. Section 259-b of the executive law is amended by adding a  
22 new subdivision 8 to read as follows:

23 8. Members of the board shall devote their entire time to the duties  
24 of their office and shall not practice in their respective profession or  
25 callings.

1 § 2. This act shall take effect on the thirtieth day after it shall  
2 have become a law.

3 PART G

4 Section 1. Paragraph (b) of subdivision 6 of section 186-f of the tax  
5 law, as amended by section 1 of part I of chapter 55 of the laws of  
6 2020, is amended to read as follows:

7 (b) The sum of one million five hundred thousand dollars must be  
8 deposited into the New York state emergency services revolving loan fund  
9 annually; provided, however, that such sums shall not be deposited for  
10 state fiscal years two thousand eleven--two thousand twelve, two thou-  
11 sand twelve--two thousand thirteen, two thousand fourteen--two thousand  
12 fifteen, two thousand fifteen--two thousand sixteen, two thousand  
13 sixteen--two thousand seventeen, two thousand seventeen--two thousand  
14 eighteen, two thousand eighteen--two thousand nineteen, two thousand  
15 nineteen--two thousand twenty, two thousand twenty--two thousand twen-  
16 ty-one [and], two thousand twenty-one--two thousand twenty-two, two  
17 thousand twenty-two--two thousand twenty-three, and two thousand twen-  
18 ty-three--two thousand twenty-four;

19 § 2. This act shall take effect April 1, 2022.

20 PART H

21 Section 1. Paragraphs 4 and 5 of subdivision (a) of section 4510 of  
22 the civil practice law and rules, as added by chapter 309 of the laws of  
23 2021, are amended to read as follows:



1 4. "Domestic violence program" means a residential program for victims  
2 of domestic violence or a non-residential program for victims of domes-  
3 tic violence as defined in section four hundred fifty-nine-a of the  
4 social services law [or], any similar program operated by an Indian  
5 tribe, as defined by section two of the Indian law, or any other program  
6 operated by a not-for-profit organization or local social services  
7 district, for the purpose of providing non-residential services to  
8 victims of domestic violence, including, but not limited to, information  
9 and referral services, advocacy, counseling, and community education and  
10 outreach activities and providing or arranging for hotline services.

11 5. "Domestic violence advocate" means any person who is acting under  
12 the direction and supervision of a [licensed and approved] domestic  
13 violence program and has satisfied the training standards required by  
14 the office of children and family services and the office for the  
15 prevention of domestic violence.

16 § 2. Section 575 of the executive law is amended by adding a new  
17 subdivision 11 to read as follows:

18 11. Domestic violence advocate certification. (a) The office shall, in  
19 coordination with the office of children and family services, the office  
20 for victim services, and the department of health, and in consultation  
21 with the New York state coalition against domestic violence, the New  
22 York state coalition against sexual assault, and state advocacy organ-  
23 izations for the prevention of domestic and gender-based violence,  
24 promulgate rules and regulations which establish:

25 (i) minimum training standards for domestic violence advocates, as  
26 defined in section forty-five hundred ten of the civil practice law and  
27 rules; and

1 (ii) procedures for certification of current and future domestic  
2 violence advocates, including volunteer advocates, provided such domes-  
3 tic violence advocates have met the minimum training standards as set  
4 forth in this subdivision.

5 (b) Minimum training standards established by the office must include  
6 thirty hours of pre-service training and within the first year of  
7 service and at least ten hours of in-service training for domestic  
8 violence counselors. This training shall include but not be limited to,  
9 instruction on the following:

10 (i) client-counselor confidentiality requirements;

11 (ii) child abuse and maltreatment identification and reporting respon-  
12 sibilities;

13 (iii) the dynamics of domestic and gender-based violence;

14 (iv) crisis intervention techniques;

15 (v) communication skills and intervention techniques with a focus on  
16 trauma informed service delivery;

17 (vi) an overview of the state criminal justice system;

18 (vii) an update and review of state laws on domestic violence, sexual  
19 offenses, sexual abuse and incest;

20 (viii) the availability of publicly-funded and community resources for  
21 clients;

22 (ix) accessing and applying for state and federal funding streams  
23 dedicated to the provision of services for victims of domestic violence;

24 (x) diversity and inclusion which includes understanding how culture,  
25 ethnicity, religion, sexuality and/or gender identity/expression can  
26 influence/impact domestic violence victims, and how to provide services  
27 to victims in a respectful manner so as to increase the quality of  
28 services and provide better outcomes; and

1 (xi) information on the availability of medical and legal assistance  
2 for such clients.

3 (c) Minimum training standards established by the office may provide  
4 for substitution of certain experience for any provision of the training  
5 standards.

6 (d) Minimum training standards established by the office must provide  
7 that any person who has been certified by an approved rape crisis  
8 program as having satisfied the training standards specified in subdivi-  
9 sion fifteen of section two hundred six of the public health law, as  
10 added by chapter four hundred thirty-two of the laws of nineteen hundred  
11 ninety-three, be deemed to have met the minimum training standards for  
12 domestic violence advocates.

13 (e) There shall be established within the office a gender-based  
14 violence training institute for the purpose of providing guidance,  
15 training and technical assistance to domestic and gender-based violence  
16 programs to implement training programs in accordance with the minimum  
17 standards set forth in this subdivision.

18 § 3. The office of children and family services and the New York state  
19 department of health shall review all rules and regulations related to  
20 training of domestic violence advocates, rape crisis counselors, and  
21 staff of licensed and approved domestic violence programs and rape  
22 crisis programs, and ensure such rules and regulations are updated  
23 consistent with the provisions of section two of this act no later than  
24 one year after it shall have become a law.

25 § 4. This act shall take effect immediately; provided that section one  
26 of this act shall take effect one year after it shall have become a law.

1 Section 1. Subdivision 8 of section 621 of the executive law, as added  
2 by chapter 197 of the laws of 1983, is amended to read as follows:

3 8. "Essential personal property" shall mean articles of personal prop-  
4 erty necessary and essential to the health[, welfare] or safety of the  
5 victim.

6 § 2. Subdivision 9 of section 631 of the executive law, as amended by  
7 chapter 487 of the laws of 2014, is amended to read as follows:

8 9. Any award made for the cost of repair or replacement of essential  
9 personal property, including cash losses of essential personal property,  
10 shall be limited to an amount of [five] twenty-five hundred dollars,  
11 except that all cash losses of essential personal property shall be  
12 limited to the amount of one hundred dollars. In the case of medically  
13 necessary life-sustaining equipment which was lost or damaged as the  
14 direct result of a crime, the award shall be limited to the amount of  
15 ten thousand dollars.

16 § 3. This act shall take effect on the one hundred eightieth day after  
17 it shall have become a law and apply to all claims filed on or after  
18 such effective date.

19 PART J

20 Section 1. Section 3 of chapter 674 of the laws of 1993, amending the  
21 public buildings law relating to value limitations on contracts, as  
22 amended by section 2 of part HH of chapter 55 of the laws of 2019, is  
23 amended to read as follows:

24 § 3. This act shall take effect immediately and shall remain in full  
25 force and effect only until June 30, [2022] 2027.

26 § 2. This act shall take effect immediately.

## 1 PART K

2 Section 1. Section 110-b of the alcoholic beverage control law is  
3 amended by adding a new subdivision 6-a to read as follows:

4 6-a. Such notification may be made by email, provided the municipality  
5 in which the premises is located elects to take service in such form.  
6 Such an election shall be in a writing signed by the authorized agent or  
7 clerk of the municipality. Proof of email service shall be provided to  
8 the authority in the form of an email from the municipality that reason-  
9 ably identifies the applicant, or by other such forms of proof as deter-  
10 mined by the authority.

11 § 2. This act shall take effect immediately.

## 12 PART L

13 Section 1. Section 110 of the alcoholic beverage control law is  
14 REPEALED and a new section 110 is added to read as follows:

15 § 110. An application for a license issued under this chapter shall  
16 contain the following information or documentation:

17 1. The name, trade name, if any, business address, address of the  
18 proposed licensed premises, telephone number, email address and social  
19 security or federal employer identification number of the applicant.

20 2. The following information for each principal of the applicant:

21 (a) name;

22 (b) date and place of birth;

23 (c) permanent home address;

24 (d) telephone number and email address;

25 (e) social security number;

1 (f) residential address or addresses and employment history for the  
2 five years preceding the filing of the application;

3 (g) a list of any licenses to traffic in alcoholic beverages held or  
4 applied for by the individual;

5 (h) a statement as to whether the principal has a criminal conviction  
6 that would prohibit the individual from holding a license issued under  
7 this chapter;

8 (i) the street and number of the proposed licensed premises;

9 (j) drawings, including a floor plan, depicting the appearance of the  
10 interior or exterior of the proposed licensed premises as well as a plot  
11 map of the general area where the proposed licensed premises will be  
12 located;

13 (k) a statement that the location and layout of the premises to be  
14 licensed does not violate any requirement of this chapter or any local  
15 regulation relating to location and layout of licensed premises;

16 (l) a description of the type of establishment, including but not  
17 limited to a restaurant, hotel, tavern, or grocery store, to be operated  
18 at the premises which shall include, for on-premises licenses, such  
19 other information as may be required by the authority;

20 (m) for applications for on-premises licenses, a statement indicating  
21 whether the premises will have topless entertainment and/or exotic danc-  
22 ing, whether topless or otherwise, including, but not limited to, pole  
23 dancing and lap dancing, at the premises along with any other informa-  
24 tion required by the authority to identify the applicant's method of  
25 operation;

26 (n) a statement explaining how the applicant has control of the prem-  
27 ises, either by: ownership of a fee interest; a lease; a management or  
28 other agreement giving the applicant control over the food and beverage

1 operations at the premises; or a binding agreement to obtain such owner-  
2 ship, lease or agreement;

3 (o) a list of the funds being invested into the licensed business and  
4 the anticipated expenses to start the business;

5 (p) the name of any individual not listed in this subdivision who has  
6 a financial interest in the licensed business through a loan, gift of  
7 funds, percentage of revenue, etc.; and

8 (q) the fingerprints of the individuals named in this subdivision  
9 which shall be transmitted to the division of criminal justice services.

10 For purposes of this subdivision, principal means: if the applicant is  
11 an individual, that individual; if the applicant is a partnership, any  
12 individual owning, directly or indirectly, ten percent or more of the  
13 partnership; if the applicant is a corporation, the officers and direc-  
14 tors of the corporation and any individual owning, directly or indirect-  
15 ly, ten percent or more of the corporation; if the applicant is a limit-  
16 ed liability company, the managing members and any individual owning,  
17 directly or indirectly, ten percent or more of the limited liability  
18 company.

19 § 2. This act shall take effect on the ninetieth day after it shall  
20 have become a law; provided that any license application pending or  
21 filed with the authority on or after the effective date of this act  
22 shall be subject to section one of this act.

23 PART M

24 Section 1. Section 5 of chapter 396 of the laws of 2010 amending the  
25 alcoholic beverage control law relating to liquidator's permits and

1 temporary retail permits, as amended by chapter 375 of the laws of 2021,  
2 is amended to read as follows:

3 § 5. This act shall take effect on the sixtieth day after it shall  
4 have become a law[, provided that paragraph (b) of subdivision 1 of  
5 section 97-a of the alcoholic beverage control law as added by section  
6 two of this act shall expire and be deemed repealed October 12, 2022].

7 § 2. This act shall take effect immediately.

8 PART N

9 Section 1. Subdivision 3 of section 5-210 of the election law, as  
10 amended by chapter 255 of the laws of 2015, is amended to read as  
11 follows:

12 3. Completed application forms, when received by any board of  
13 elections and, with respect to application forms promulgated by the  
14 federal election commission, when received by the state board of  
15 elections, or showing a dated cancellation mark of the United States  
16 Postal Service or contained in an envelope showing such a dated cancel-  
17 lation mark which is not later than the [twenty-fifth] fifteenth day  
18 before the next ensuing primary, general or special election, and  
19 received no later than the [twentieth] tenth day before such election,  
20 or delivered in person to such board of elections not later than the  
21 tenth day before [a special] such election, shall entitle the applicant  
22 to vote in such election, if he or she is otherwise qualified, provided,  
23 however, such applicant shall not vote on a voting machine until his or  
24 her identity is verified. Any board of elections receiving an applica-  
25 tion form from a person who does not reside in its jurisdiction but who  
26 does reside elsewhere in the state of New York, shall forthwith forward



1 such application form to the proper board of elections. Each board of  
2 elections shall make an entry on each such form of the date it is  
3 received by such board.

4 § 2. This act shall take effect immediately.

5 PART O

6 Section 1. Section 4-104 of the election law is amended by adding a  
7 new subdivision 5-a to read as follows:

8 5-a. Whenever a contiguous property of a college or university  
9 contains three hundred or more registrants who are registered to vote at  
10 an address on such contiguous property, the polling place designated for  
11 such registrants shall be on such contiguous property or at a nearby  
12 location recommended by the college or university and agreed to by the  
13 board of elections.

14 § 2. Paragraph a of subdivision 3 of section 4-100 of the election  
15 law, as amended by chapter 260 of the laws of 2021, is amended to read  
16 as follows:

17 a. Each election district shall be in compact form and may not be  
18 partly within and partly without a ward, town, city, a village which has  
19 five thousand or more inhabitants and is wholly within one town, the  
20 contiguous property of a college or university which contains three  
21 hundred or more registrants who are registered to vote at an address on  
22 such contiguous property, or a county legislative, assembly, senatorial  
23 or congressional district. Except as provided in paragraph b of this  
24 subdivision, election district boundaries, other than those boundaries  
25 which are coterminous with the boundaries of those political subdivi-  
26 sions and college or university properties mentioned in this paragraph,

1 must be streets, rivers, railroad lines or other permanent character-  
2 istics of the landscape which are clearly visible to any person without  
3 the need to use any technical or mechanical device. An election district  
4 shall contain not more than nine hundred fifty registrants (excluding  
5 registrants in inactive status) or, with the approval of the county  
6 board of elections, not more than two thousand registrants (excluding  
7 registrants in inactive status), but any election district may be  
8 divided for the convenience of the voters.

9 § 3. This act shall take effect January 1, 2024.

10

## PART P

11 Section 1. Section 106 of the alcoholic beverage control law is  
12 amended by adding a new subdivision 2-a to read as follows:

13 2-a. Notwithstanding any provision of law to the contrary, in addition  
14 to any other privilege provided under this chapter, any retail license  
15 that allows for liquor and/or wine sale for on-premises consumption  
16 shall also include the privilege to sell for take-out and delivery, any  
17 product it may otherwise sell at retail, in sealed original, unsealed  
18 original, and non-original containers, subject to and upon adoption of  
19 rules and regulations of the authority which may include, but need not  
20 be limited to, any reasonable limitation on: quantity and volume, food  
21 required at time of purchase, hours of sale, and the sealing of open  
22 containers.

23 § 2. This act shall take effect immediately.

24

## PART Q

1 Section 1. Paragraph (a) of subdivision 3 of section 800 of the gener-  
2 al municipal law, as amended by chapter 1043 of the laws of 1965, is  
3 amended to read as follows:

4 (a) his [spouse, minor children and dependents] or her familial member  
5 where such familial member is any person living in the same household as  
6 the municipal officer or employee, any person who is a direct descendant  
7 of such municipal officer or employee's grandparents or the spouse of  
8 such descendant, and where such contract is entered into after the  
9 effective date of the chapter of the laws of two thousand twenty-two  
10 that amends this paragraph, except a contract of employment with the  
11 municipality which such officer or employee serves,

12 § 2. Subdivision 1 of section 803 of the general municipal law, as  
13 amended by chapter 499 of the laws of 2005, is amended to read as  
14 follows:

15 1. Any municipal officer or employee who has, will have, or later  
16 acquires an interest in or whose [spouse] familial member, as that term  
17 is used in section eight hundred of this article, has, will have, or  
18 later acquires an interest in any actual or proposed contract, purchase  
19 agreement, lease agreement or other agreement, including oral agree-  
20 ments, with the municipality of which he or she is an officer or employ-  
21 ee, shall publicly disclose the nature and extent of such interest in  
22 writing to his or her immediate supervisor and to the governing body  
23 thereof as soon as he or she has knowledge of such actual or prospective  
24 interest. Such written disclosure shall be made part of and set forth in  
25 the official record of the proceedings of such body.

26 § 3. Paragraph a of subdivision 1 of section 805-a of the general  
27 municipal law, as amended by chapter 813 of the laws of 1987, is amended  
28 to read as follows:

1 a. directly or indirectly, solicit any gift, or accept or receive any  
2 gift having [a value of seventy-five dollars or] more than a nominal  
3 value, whether in the form of money, service, loan, travel, enter-  
4 tainment, hospitality, thing or promise, or in any other form, under  
5 circumstances in which it could reasonably be inferred that the gift was  
6 intended to influence him, or could reasonably be expected to influence  
7 him, in the performance of his official duties or was intended as a  
8 reward for any official action on his part;

9 § 4. This act shall take effect immediately.

10 PART R

11 Section 1. Section 57 of the civil service law, as added by chapter 83  
12 of the laws of 1963, is amended to read as follows:

13 § 57. Continuous recruitment for certain positions. Notwithstanding  
14 any other provisions of this chapter or any other law, the civil service  
15 department or a municipal commission may establish a continuing eligible  
16 list for any class of positions [for which it finds inadequate numbers  
17 of well qualified persons available for recruitment]. Names of eligi-  
18 bles shall be inserted in such list from time to time as applicants are  
19 tested and found qualified in examinations held at such intervals as may  
20 be prescribed by the civil service department or municipal commission  
21 having jurisdiction. Such successive examinations shall, so far as prac-  
22 ticable, be constructed and rated so as to be equivalent tests of the  
23 merit and fitness of candidates. The name of any candidate who passes  
24 any such examination and who is otherwise qualified shall be placed on  
25 the continuing eligible list in the rank corresponding to [his] the  
26 candidate's final rating on such examination. The period of eligibility

1 of successful candidates for certification and appointment from such  
2 continuing eligible list, as a result of any such examination, shall be  
3 fixed by the civil service department or municipal commission but,  
4 except as a list may reach an announced terminal date, such period shall  
5 not be less than one year; nor shall such period of eligibility exceed  
6 four years. Subject to such conditions and limitations as the civil  
7 service department or municipal commission may prescribe, a candidate  
8 may take more than one such examination; provided, however, that no such  
9 candidate shall be certified simultaneously with more than one rank on  
10 the continuing eligible list. With respect to any candidate who applies  
11 for and is granted additional credit in any such examination as a disa-  
12 bled or non-disabled veteran, and for the limited purpose of granting  
13 such additional credit, the eligible list shall be deemed to be estab-  
14 lished on the date on which [his] the candidate's name is added thereto.  
15 § 2. This act shall take effect immediately.

16

## PART S

17 Section 1. Subdivision 11 of section 52 of the civil service law, as  
18 amended by chapter 214 of the laws of 1989, is amended to read as  
19 follows:

20 11. Notwithstanding any other provision of law, the state department  
21 of civil service may, for titles designated by it, extend to employees  
22 in the state service who are holding or who have held a position in the  
23 non-competitive or labor class of such service the same opportunity as  
24 employees in the competitive class to take promotion examinations [if  
25 such examinations are to be held in conjunction with open competitive  
26 examinations].

1 § 2. Subdivision 12 of section 52 of the civil service law, as added  
2 by chapter 453 of the laws of 1976, is amended to read as follows:

3 12. Notwithstanding any other provisions of law, a municipal commis-  
4 sion may, for entrance level titles as defined and designated by it,  
5 extend to employees in the service of a civil division who are holding  
6 or who have held a position in the non-competitive class of such service  
7 for a period of two years the same opportunity as employees in the  
8 competitive class to take promotion examinations for which such non-com-  
9 petitive class service is determined by the municipal commission to be  
10 appropriate preparation [if such examinations are to be held in conjunc-  
11 tion with open competitive examinations].

12 § 3. This act shall take effect immediately.

13 PART T

14 Section 1. Section 55-b of the civil service law is amended by adding  
15 a new subdivision 3 to read as follows:

16 3. Those employees hired under subdivision one of this section, shall  
17 be afforded the opportunity to transfer into competitive class posi-  
18 tions, provided that they meet the requirements for competitive examina-  
19 tion; and possess the requisite credentials, licenses, and certif-  
20 ications as necessary.

21 § 2. Section 55-c of the civil service law is amended by adding a new  
22 subdivision 4 to read as follows:

23 4. Those employees hired under subdivision one of this section, shall  
24 be afforded the opportunity to transfer into competitive class posi-  
25 tions, provided that they meet the requirements for competitive examina-

1 tion; and possess the requisite credentials, licenses, and certif-  
2 ications as necessary.

3 § 3. This act shall take effect immediately.

4 PART U

5 Section 1. Subdivision 6 of section 130 of the civil service law, as  
6 amended by chapter 307 of the laws of 1979, is amended to read as  
7 follows:

8 6. Shift pay differentials. Whenever the director finds that under  
9 prevailing wage practices in private or other public employment in the  
10 state, employees in a given occupation receive a higher rate of pay or  
11 wage differential for a work shift other [than a normal day shift] than  
12 that which is paid to employees in the same occupation [for a normal day  
13 shift], [he] the director may, subject to the approval of the director  
14 of the budget, authorize a pay differential to be paid to those employ-  
15 ees in positions in the same or related occupations in the state service  
16 and who are [regularly] assigned to an equivalent or substantially  
17 equivalent work shift, on a statewide basis, provided however, where the  
18 director finds that in a particular geographical area or areas wage  
19 practices would warrant a shift differential for employees in a partic-  
20 ular occupation then the director may grant a work shift pay differen-  
21 tial for such employees, subject to the approval of the director of the  
22 budget. In determining whether to authorize a pay differential the  
23 director shall consider the various duties on each shift, [other than  
24 the normal day shift,] in relation to the normal day shift. A pay  
25 differential under this subdivision shall be a percentage of basic sala-  
26 ry, an hourly rate, an annual rate, or a fixed dollar amount per pay

1 period, as prescribed in each case by the director of the classification  
2 and compensation division subject to approval of the director of the  
3 budget. Such differential shall be paid in addition to and shall not be  
4 part of an employee's basic annual salary, and shall not affect or  
5 impair any performance advancement payments, performance awards, longev-  
6 ity payments or other rights or benefits to which an employee may be  
7 entitled under the provisions of this chapter, provided, however, that  
8 any differential payable pursuant to this subdivision shall be included  
9 as compensation for retirement purposes. A pay differential shall be  
10 terminated for any employee when [he] the employee ceases to be employed  
11 in the work shift or position for which such pay differential was  
12 authorized. A pay differential shall remain in effect until terminated  
13 by the director of the classification and compensation division with the  
14 consent of the director of the budget or until a new pay differential is  
15 authorized pursuant to this subdivision. The director of the budget may  
16 adopt such regulations as [he may deem] necessary to carry out the  
17 provisions of this subdivision.

18 § 2. This act shall take effect immediately.

19 PART V

20 Section 1. Section 5004 of the civil practice law and rules, as  
21 amended by chapter 258 of the laws of 1981, is amended to read as  
22 follows:

23 § 5004. Rate of interest. [Interest shall be at the rate of nine per  
24 centum per annum, except where otherwise provided by statute.] Notwith-  
25 standing any other provision of law or regulation to the contrary,  
26 including any law or regulation that limits the annual rate of interest



1 to be paid on a judgment or accrued claim, the annual rate of interest  
2 to be paid on a judgment or accrued claim shall be calculated at the  
3 one-year United States treasury bill rate. For the purposes of this  
4 section, the "one-year United States treasury bill rate" means the week-  
5 ly average one-year constant maturity treasury yield, as published by  
6 the board of governors of the federal reserve system, for the calendar  
7 week preceding the date of the entry of the judgment awarding damages.  
8 Provided however, that this section shall not apply to any provision of  
9 the tax law which provides for the annual rate of interest to be paid on  
10 a judgment or accrued claim.

11 § 2. Subdivision (a) of section 5004 of the civil practice law and  
12 rules, as amended by chapter 831 of the laws of 2021, is amended to read  
13 as follows:

14 (a) [Interest shall be at the rate of nine per centum per annum,  
15 except where otherwise provided by statute; provided] Notwithstanding  
16 any other provision of law or regulation to the contrary, including any  
17 law or regulation that limits the annual rate of interest to be paid on  
18 a judgment or accrued claim, the annual rate of interest to be paid on a  
19 judgment or accrued claim shall be calculated at the one-year United  
20 States treasury bill rate. For purposes of this section, the "one-year  
21 United States treasury bill rate" means the weekly average one-year  
22 constant maturity treasury yield, as published by the board of governors  
23 of the federal reserve system, for the calendar week preceding the date  
24 of the entry of the judgment awarding damages; provided however, that  
25 this section shall not apply to any provision of the tax law which  
26 provides for the annual rate of interest to be paid on a judgment or  
27 accrued claim. Provided, however, the annual rate of interest to be paid  
28 in an action arising out of a consumer debt where a natural person is a

1 defendant shall be two per centum per annum (i) on a judgment or accrued  
2 claim for judgments entered on or after the effective date of the chap-  
3 ter of the laws of two thousand twenty-one which amended this section,  
4 and (ii) for interest upon a judgment pursuant to section five thousand  
5 three of this article from the date of the entry of judgment on any part  
6 of a judgment entered before the effective date of the chapter of the  
7 laws of two thousand twenty-one which amended this section that is  
8 unpaid as of such effective date.

9 § 3. Section 16 of the state finance law, as amended by chapter 681 of  
10 the laws of 1982, is amended to read as follows:

11 § 16. Rate of interest on judgments and accrued claims against the  
12 state. The rate of interest to be paid by the state upon any judgment  
13 or accrued claim against the state shall [not exceed nine per centum per  
14 annum] be calculated at the one-year United States treasury bill rate.  
15 For the purposes of this section, the "one-year United States treasury  
16 bill rate" means the weekly average one-year constant maturity treasury  
17 yield, as published by the board of governors of the federal reserve  
18 system, for the calendar week preceding the date of the entry of the  
19 judgment awarding damages. Provided however, that this section shall not  
20 apply to any provision of the tax law which provides for the annual rate  
21 of interest to be paid on a judgment or accrued claim.

22 § 4. This act shall take effect immediately, and shall be deemed to  
23 have been in full force and effect on and after April 1, 2022; provided,  
24 however, section two of this act shall take effect on the same date and  
25 in the same manner as section 1 of chapter 831 of the laws of 2021,  
26 takes effect.

1 Section 1. Subdivision 8 of section 239-bb of the general municipal  
2 law, as amended by chapter 294 of the laws of 2021, is amended to read  
3 as follows:

4 8. For each county, new shared services actions [not included] in [a  
5 previously] an approved and submitted plan pursuant to this section or  
6 part BBB of chapter fifty-nine of the laws of two thousand seventeen,  
7 may be eligible for funding to match savings from such action, subject  
8 to available appropriation. Savings that are actually and demonstrably  
9 realized by the participating local governments are eligible for match-  
10 ing funding. For actions that are part of an approved plan transmitted  
11 to the secretary of state in accordance with paragraph b of subdivision  
12 seven of this section, savings achieved during either: (i) January first  
13 through December thirty-first from new actions implemented on or after  
14 January first through December thirty-first of the year immediately  
15 following an approved and transmitted plan, or (ii) July first of the  
16 year immediately following an approved and transmitted plan through June  
17 thirtieth of the subsequent year from new actions implemented July first  
18 of the year immediately following an approved plan through June thirti-  
19 eth of the subsequent year may be eligible for matching funding. Only  
20 net savings between local governments for each action would be eligible  
21 for matching funding. Savings from internal efficiencies or any other  
22 action taken by a local government without the participation of another  
23 local government are not eligible for matching funding. Each county and  
24 all of the local governments within the county that are part of any  
25 action to be implemented as part of an approved plan must collectively  
26 apply for the matching funding by submitting one consolidated applica-  
27 tion per plan, and agree on the distribution and use of any matching  
28 funding in order to qualify for matching funding. Any such consolidated

1 application shall be submitted to the department of state in such form  
2 and manner as directed by the department no later than December thirty-  
3 first of the second calendar year following plan adoption; provided,  
4 however, that for plans adopted prior to calendar year two thousand  
5 twenty, for which no application for matching funding has been submit-  
6 ted, one consolidated application per plan year may be submitted to the  
7 department no later than December thirty-first, two thousand twenty-two.

8 § 2. This act shall take effect immediately.

9 PART X

10 Section 1. Paragraph b of subdivision 10 of section 54 of the state  
11 finance law is amended by adding a new subparagraph (vii) to read as  
12 follows:

13 (vii) Notwithstanding subparagraph (i) of this paragraph, within  
14 amounts appropriated in the state fiscal year commencing April first,  
15 two thousand twenty-two, and annually thereafter, there shall be  
16 apportioned and paid to each existing municipality as of April first,  
17 two thousand twenty-two a base level grant in an amount equal to the aid  
18 received by such municipality in the state fiscal year commencing April  
19 first, two thousand eighteen; provided, however, and notwithstanding  
20 any law to the contrary, in the state fiscal year commencing April  
21 first, two thousand twenty-two, and annually thereafter, the town of  
22 Palm Tree shall receive a base level grant of twenty-four thousand two  
23 hundred thirteen dollars, and the village of Sagaponack shall receive a  
24 base level grant of two thousand dollars, and the village of Woodbury  
25 shall receive a base level grant of twenty-seven thousand dollars, and

1 the village of South Blooming Grove shall receive a base level grant of  
2 nineteen thousand dollars.

3 § 2. Paragraph 3 of subdivision c of section 1261 of the tax law, as  
4 amended by section 1 of part NN of chapter 55 of the laws of 2020, is  
5 amended to read as follows:

6 (3) However, the taxes, penalties and interest which (i) the county of  
7 Nassau, (ii) the county of Erie, to the extent the county of Erie is  
8 contractually or statutorily obligated to allocate and apply or pay net  
9 collections to the city of Buffalo and to the extent that such county  
10 has set aside net collections for educational purposes attributable to  
11 the Buffalo school district, or the city of Buffalo or (iii) the county  
12 of Erie is authorized to impose pursuant to section twelve hundred ten  
13 of this article, other than such taxes in the amounts described, respec-  
14 tively, in subdivisions one and two of section one thousand two hundred  
15 sixty-two-e of this part, during the period that such section authorizes  
16 Nassau county to establish special or local assistance programs there-  
17 under, together with any penalties and interest related thereto, and  
18 after the comptroller has reserved such refund fund and such costs,  
19 shall, commencing on the next payment date after the effective date of  
20 this sentence and of each month thereafter, until such date as (i) the  
21 Nassau county interim finance authority shall have no obligations  
22 outstanding, or (ii) the Buffalo fiscal stability authority shall cease  
23 to exist, or (iii) the Erie county fiscal stability authority shall  
24 cease to exist, be paid by the comptroller, respectively, to (i) the  
25 Nassau county interim finance authority to be applied by the Nassau  
26 county interim finance authority, or (ii) to the Buffalo fiscal stabili-  
27 ty authority to be applied by the Buffalo fiscal stability authority, or  
28 (iii) to the Erie county fiscal stability authority to be applied by the

1 Erie county fiscal stability authority, as the case may be, in the  
2 following order of priority: first pursuant to the Nassau county interim  
3 finance authority's contracts with bondholders or the Buffalo fiscal  
4 stability authority's contracts with bondholders or the Erie county  
5 fiscal stability authority's contracts with bondholders, respectively,  
6 then to pay the Nassau county interim finance authority's operating  
7 expenses not otherwise provided for or the Buffalo fiscal stability  
8 authority's operating expenses not otherwise provided for or the Erie  
9 county fiscal stability authority's operating expenses not otherwise  
10 provided for, respectively, [then (i) for the Nassau county interim  
11 finance authority to pay to the state as soon as practicable in the  
12 months of May and December each year, the amount necessary to fulfill  
13 the town and village distribution requirement on behalf of Nassau county  
14 pursuant to paragraph five-a of this subdivision, or (ii) for the  
15 Buffalo fiscal stability authority to pay to the state as soon as prac-  
16 ticable in the months of May and December each year, the percentage of  
17 the amount necessary to fulfill the town and village distribution  
18 requirement on behalf of Erie county pursuant to paragraph five-a of  
19 this subdivision that equates to the percentage of the county net  
20 collections that the city of Buffalo and the Buffalo city school  
21 district, together, are due in the months of May and December each year,  
22 or (iii) for the Erie county fiscal stability authority to pay to the  
23 state as soon as practicable in the months of May and December each  
24 year, the amount necessary to fulfill the town and village distribution  
25 requirement on behalf of Erie county pursuant to paragraph five-a of  
26 this subdivision, less the amount being paid to the state by the Buffalo  
27 fiscal stability authority in each respective month,] and then (i)  
28 pursuant to the Nassau county interim finance authority's agreements

1 with the county of Nassau, which agreements shall require the Nassau  
2 county interim finance authority to transfer such taxes, penalties and  
3 interest remaining after providing for contractual or other obligations  
4 of the Nassau county interim finance authority, and subject to any  
5 agreement between such authority and the county of Nassau, to the county  
6 of Nassau as frequently as practicable; or (ii) pursuant to the Buffalo  
7 fiscal stability authority's agreements with the city of Buffalo, which  
8 agreements shall require the Buffalo fiscal stability authority to  
9 transfer such taxes, penalties and interest remaining after providing  
10 for contractual or other obligations of the Buffalo fiscal stability  
11 authority, and subject to any agreement between such authority and the  
12 city of Buffalo, to the city of Buffalo or the city of Buffalo school  
13 district, as the case may be, as frequently as practicable; or (iii)  
14 pursuant to the Erie county fiscal stability authority's agreements with  
15 the county of Erie, which agreements shall require the Erie county  
16 fiscal stability authority to transfer such taxes, penalties and inter-  
17 est remaining after providing for contractual or other obligations of  
18 the Erie county fiscal stability authority, and subject to any agreement  
19 between such authority and the county of Erie, to the county of Erie as  
20 frequently as practicable. During the period that the comptroller is  
21 required to make payments to the Nassau county interim finance authority  
22 described in the previous sentence, the county of Nassau shall have no  
23 right, title or interest in or to such taxes, penalties and interest  
24 required to be paid to the Nassau county interim finance authority,  
25 except as provided in such authority's agreements with the county of  
26 Nassau. During the period that the comptroller is required to make  
27 payments to the Buffalo fiscal stability authority described in the  
28 second previous sentence, the city of Buffalo and such school district

1 shall have no right, title or interest in or to such taxes, penalties  
2 and interest required to be paid to the Buffalo fiscal stability author-  
3 ity, except as provided in such authority's agreements with the city of  
4 Buffalo. During the period that the comptroller is required to make  
5 payments to the Erie county fiscal stability authority described in the  
6 third previous sentence, the county of Erie shall have no right, title  
7 or interest in or to such taxes, penalties and interest required to be  
8 paid to the Erie county fiscal stability authority, except as provided  
9 in such authority's agreements with the county of Erie.

10 § 3. Paragraph 5-a of subdivision c of section 1261 of the tax law is  
11 REPEALED.

12 § 4. Subdivision 5 of section 3657 of the public authorities law, as  
13 amended by section 3 of part NN of chapter 55 of the laws of 2020, is  
14 amended to read as follows:

15 5. Tax revenues received by the authority pursuant to section twelve  
16 hundred sixty-one of the tax law, together with any other revenues  
17 received by the authority, shall be applied in the following order of  
18 priority: first pursuant to the authority's contracts with bondholders,  
19 then to pay the authority's operating expenses not otherwise provided  
20 for, [then to pay to the state pursuant to paragraph three of subdivi-  
21 sion (c) of section twelve hundred sixty-one of the tax law,] and then,  
22 subject to the authority's agreements with the county, to transfer the  
23 balance of such tax revenues not required to meet contractual or other  
24 obligations of the authority to the county as frequently as practicable.

25 § 5. Subdivision 5 of section 3965 of the public authorities law, as  
26 amended by section 5 of part NN of chapter 55 of the laws of 2020, is  
27 amended to read as follows:



1 5. Revenues of the authority shall be applied in the following order  
2 of priority: first to pay debt service or for set asides to pay debt  
3 service on the authority's bonds, notes, or other obligations and to  
4 replenish any reserve funds securing such bonds, notes or other obli-  
5 gations of the authority in accordance with the provision of indenture  
6 or bond resolution of the authority; then to pay the authority's operat-  
7 ing expenses not otherwise provided for; [then to pay to the state  
8 pursuant to paragraph three of subdivision (c) of section twelve hundred  
9 sixty-one of the tax law;] and then, subject to the authority's agree-  
10 ments with the county for itself or on behalf of any covered organiza-  
11 tion to transfer as frequently as practicable the balance of revenues  
12 not required to meet contractual or other obligations of the authority  
13 to the county as provided in subdivision seven of this section.

14 § 6. Subdivision 5 of section 3865 of the public authorities law, as  
15 amended by section 4 of part NN of chapter 55 of the laws of 2020, is  
16 amended to read as follows:

17 5. Revenues of the authority shall be applied in the following order  
18 of priority: first to pay debt service or for set asides to pay debt  
19 service on the authority's bonds, notes, or other obligations and to  
20 replenish any reserve funds securing such bonds, notes or other obli-  
21 gations of the authority, in accordance with the provision of any inden-  
22 ture or bond resolution of the authority; then to pay the authority's  
23 operating expenses not otherwise provided for; [then to pay to the state  
24 pursuant to paragraph three of subdivision (c) of section twelve hundred  
25 sixty-one of the tax law;] and then, subject to the authority's agree-  
26 ment with the city, for itself or on behalf of the city's dependent  
27 school district and any other covered organization, to transfer as  
28 frequently as practicable the balance of revenues not required to meet

1 contractual or other obligations of the authority to the city or the  
2 city's dependent school district as provided in subdivision seven of  
3 this section.

4 § 7. This act shall take effect July 1, 2022.

5 PART Y

6 Section 1. The state comptroller is hereby authorized and directed to  
7 loan money in accordance with the provisions set forth in subdivision 5  
8 of section 4 of the state finance law to the following funds and/or  
9 accounts:

- 10 1. DOL-Child performer protection account (20401).
- 11 2. Local government records management account (20501).
- 12 3. Child health plus program account (20810).
- 13 4. EPIC premium account (20818).
- 14 5. Education - New (20901).
- 15 6. VLT - Sound basic education fund (20904).
- 16 7. Sewage treatment program management and administration fund  
17 (21000).
- 18 8. Hazardous bulk storage account (21061).
- 19 9. Utility environmental regulatory account (21064).
- 20 10. Federal grants indirect cost recovery account (21065).
- 21 11. Low level radioactive waste account (21066).
- 22 12. Recreation account (21067).
- 23 13. Public safety recovery account (21077).
- 24 14. Environmental regulatory account (21081).
- 25 15. Natural resource account (21082).
- 26 16. Mined land reclamation program account (21084).

- 1 17. Great lakes restoration initiative account (21087).
- 2 18. Environmental protection and oil spill compensation fund (21200).
- 3 19. Public transportation systems account (21401).
- 4 20. Metropolitan mass transportation (21402).
- 5 21. Operating permit program account (21451).
- 6 22. Mobile source account (21452).
- 7 23. Statewide planning and research cooperative system account
- 8 (21902).
- 9 24. New York state thruway authority account (21905).
- 10 25. Mental hygiene program fund account (21907).
- 11 26. Mental hygiene patient income account (21909).
- 12 27. Financial control board account (21911).
- 13 28. Regulation of racing account (21912).
- 14 29. State university dormitory income reimbursable account (21937).
- 15 30. Criminal justice improvement account (21945).
- 16 31. Environmental laboratory reference fee account (21959).
- 17 32. Training, management and evaluation account (21961).
- 18 33. Clinical laboratory reference system assessment account (21962).
- 19 34. Indirect cost recovery account (21978).
- 20 35. Multi-agency training account (21989).
- 21 36. Bell jar collection account (22003).
- 22 37. Industry and utility service account (22004).
- 23 38. Real property disposition account (22006).
- 24 39. Parking account (22007).
- 25 40. Courts special grants (22008).
- 26 41. Asbestos safety training program account (22009).
- 27 42. Camp Smith billeting account (22017).
- 28 43. Batavia school for the blind account (22032).

- 1 44. Investment services account (22034).
- 2 45. Surplus property account (22036).
- 3 46. Financial oversight account (22039).
- 4 47. Regulation of Indian gaming account (22046).
- 5 48. Rome school for the deaf account (22053).
- 6 49. Seized assets account (22054).
- 7 50. Administrative adjudication account (22055).
- 8 51. New York City assessment account (22062).
- 9 52. Cultural education account (22063).
- 10 53. Local services account (22078).
- 11 54. DHCR mortgage servicing account (22085).
- 12 55. Housing indirect cost recovery account (22090).
- 13 56. DHCR-HCA application fee account (22100).
- 14 57. Low income housing monitoring account (22130).
- 15 58. Corporation administration account (22135).
- 16 59. New York State Home for Veterans in the Lower-Hudson Valley  
17 account (22144).
- 18 60. Deferred compensation administration account (22151).
- 19 61. Rent revenue other New York City account (22156).
- 20 62. Rent revenue account (22158).
- 21 63. Transportation aviation account (22165).
- 22 64. Tax revenue arrearage account (22168).
- 23 65. New York state medical indemnity fund account (22240).
- 24 66. Behavioral health parity compliance fund (22246).
- 25 67. State university general income offset account (22654).
- 26 68. Lake George park trust fund account (22751).
- 27 69. State police motor vehicle law enforcement account (22802).
- 28 70. Highway safety program account (23001).

- 1 71. DOH drinking water program account (23102).
- 2 72. NYCCC operating offset account (23151).
- 3 73. Commercial gaming regulation account (23702).
- 4 74. Highway use tax administration account (23801).
- 5 75. New York state secure choice administrative account (23806).
- 6 76. New York state cannabis revenue fund (24800).
- 7 77. Fantasy sports administration account (24951).
- 8 78. Highway and bridge capital account (30051).
- 9 79. Aviation purpose account (30053).
- 10 80. State university residence hall rehabilitation fund (30100).
- 11 81. State parks infrastructure account (30351).
- 12 82. Clean water/clean air implementation fund (30500).
- 13 83. Hazardous waste remedial cleanup account (31506).
- 14 84. Youth facilities improvement account (31701).
- 15 85. Housing assistance fund (31800).
- 16 86. Housing program fund (31850).
- 17 87. Highway facility purpose account (31951).
- 18 88. New York racing account (32213).
- 19 89. Capital miscellaneous gifts account (32214).
- 20 90. Information technology capital financing account (32215).
- 21 91. New York environmental protection and spill remediation account  
22 (32219).
- 23 92. Mental hygiene facilities capital improvement fund (32300).
- 24 93. Correctional facilities capital improvement fund (32350).
- 25 94. New York State Storm Recovery Capital Fund (33000).
- 26 95. OGS convention center account (50318).
- 27 96. Empire Plaza Gift Shop (50327).
- 28 97. Centralized services fund (55000).

1 98. Archives records management account (55052).  
2 99. Federal single audit account (55053).  
3 100. Civil service administration account (55055).  
4 101. Civil service EHS occupational health program account (55056).  
5 102. Banking services account (55057).  
6 103. Cultural resources survey account (55058).  
7 104. Neighborhood work project account (55059).  
8 105. Automation & printing chargeback account (55060).  
9 106. OFT NYT account (55061).  
10 107. Data center account (55062).  
11 108. Intrusion detection account (55066).  
12 109. Domestic violence grant account (55067).  
13 110. Centralized technology services account (55069).  
14 111. Labor contact center account (55071).  
15 112. Human services contact center account (55072).  
16 113. Tax contact center account (55073).  
17 114. Department of law civil recoveries account (55074).  
18 115. Executive direction internal audit account (55251).  
19 116. CIO Information technology centralized services account (55252).  
20 117. Health insurance internal service account (55300).  
21 118. Civil service employee benefits division administrative account  
22 (55301).  
23 119. Correctional industries revolving fund (55350).  
24 120. Employees health insurance account (60201).  
25 121. Medicaid management information system escrow fund (60900).  
26 § 1-a. The state comptroller is hereby authorized and directed to loan  
27 money in accordance with the provisions set forth in subdivision 5 of  
28 section 4 of the state finance law to any account within the following

1 federal funds, provided the comptroller has made a determination that  
2 sufficient federal grant award authority is available to reimburse such  
3 loans:

- 4 1. Federal USDA-food and nutrition services fund (25000).
- 5 2. Federal health and human services fund (25100).
- 6 3. Federal education fund (25200).
- 7 4. Federal block grant fund (25250).
- 8 5. Federal miscellaneous operating grants fund (25300).
- 9 6. Federal unemployment insurance administration fund (25900).
- 10 7. Federal unemployment insurance occupational training fund (25950).
- 11 8. Federal emergency employment act fund (26000).
- 12 9. Federal capital projects fund (31350).

13 § 2. Notwithstanding any law to the contrary, and in accordance with  
14 section 4 of the state finance law, the comptroller is hereby authorized  
15 and directed to transfer, upon request of the director of the budget, on  
16 or before March 31, 2023, up to the unencumbered balance or the follow-  
17 ing amounts:

18 Economic Development and Public Authorities:

- 19 1. \$1,175,000 from the miscellaneous special revenue fund, underground  
20 facilities safety training account (22172), to the general fund.
- 21 2. An amount up to the unencumbered balance from the miscellaneous  
22 special revenue fund, business and licensing services account (21977),  
23 to the general fund.
- 24 3. \$14,810,000 from the miscellaneous special revenue fund, code  
25 enforcement account (21904), to the general fund.
- 26 4. \$3,000,000 from the general fund to the miscellaneous special  
27 revenue fund, tax revenue arrearage account (22168).

28 Education:

1 1. \$2,653,000,000 from the general fund to the state lottery fund,  
2 education account (20901), as reimbursement for disbursements made from  
3 such fund for supplemental aid to education pursuant to section 92-c of  
4 the state finance law that are in excess of the amounts deposited in  
5 such fund for such purposes pursuant to section 1612 of the tax law.

6 2. \$1,237,000,000 from the general fund to the state lottery fund, VLT  
7 education account (20904), as reimbursement for disbursements made from  
8 such fund for supplemental aid to education pursuant to section 92-c of  
9 the state finance law that are in excess of the amounts deposited in  
10 such fund for such purposes pursuant to section 1612 of the tax law.

11 3. \$139,200,000 from the general fund to the New York state commercial  
12 gaming fund, commercial gaming revenue account (23701), as reimbursement  
13 for disbursements made from such fund for supplemental aid to education  
14 pursuant to section 97-nnnn of the state finance law that are in excess  
15 of the amounts deposited in such fund for purposes pursuant to section  
16 1352 of the racing, pari-mutuel wagering and breeding law.

17 4. \$496,000,000 from the general fund to the mobile sports wagering  
18 fund, education account (24955), as reimbursement for disbursements made  
19 from such fund for supplemental aid to education pursuant to section  
20 92-c of the state finance law that are in excess of the amounts deposit-  
21 ed in such fund for such purposes pursuant to section 1367 of the  
22 racing, pari-mutuel wagering and breeding law.

23 5. \$7,000,000 from the interactive fantasy sports fund, fantasy sports  
24 education account (24950), to the state lottery fund, education account  
25 (20901), as reimbursement for disbursements made from such fund for  
26 supplemental aid to education pursuant to section 92-c of the state  
27 finance law.



1 6. An amount up to the unencumbered balance in the fund on March 31,  
2 2023 from the charitable gifts trust fund, elementary and secondary  
3 education account (24901), to the general fund, for payment of general  
4 support for public schools pursuant to section 3609-a of the education  
5 law.

6 7. Moneys from the state lottery fund (20900) up to an amount deposit-  
7 ed in such fund pursuant to section 1612 of the tax law in excess of the  
8 current year appropriation for supplemental aid to education pursuant to  
9 section 92-c of the state finance law.

10 8. \$300,000 from the New York state local government records manage-  
11 ment improvement fund, local government records management account  
12 (20501), to the New York state archives partnership trust fund, archives  
13 partnership trust maintenance account (20351).

14 9. \$900,000 from the general fund to the miscellaneous special revenue  
15 fund, Batavia school for the blind account (22032).

16 10. \$900,000 from the general fund to the miscellaneous special reven-  
17 ue fund, Rome school for the deaf account (22053).

18 11. \$343,400,000 from the state university dormitory income fund  
19 (40350) to the miscellaneous special revenue fund, state university  
20 dormitory income reimbursable account (21937).

21 12. \$8,318,000 from the general fund to the state university income  
22 fund, state university income offset account (22654), for the state's  
23 share of repayment of the STIP loan.

24 13. \$68,000,000 from the state university income fund, state universi-  
25 ty hospitals income reimbursable account (22656) to the general fund for  
26 hospital debt service for the period April 1, 2022 through March 31,  
27 2023.

1 14. \$7,790,000 from the miscellaneous special revenue fund, office of  
2 the professions account (22051), to the miscellaneous capital projects  
3 fund, office of the professions electronic licensing account (32222).

4 15. \$24,000,000 from any of the state education department's special  
5 revenue and internal service funds to the miscellaneous special revenue  
6 fund, indirect cost recovery account (21978).

7 16. \$4,200,000 from any of the state education department's special  
8 revenue or internal service funds to the capital projects fund (30000).

9 Environmental Affairs:

10 1. \$16,000,000 from any of the department of environmental conserva-  
11 tion's special revenue federal funds, and/or federal capital funds, to  
12 the environmental conservation special revenue fund, federal indirect  
13 recovery account (21065).

14 2. \$5,000,000 from any of the department of environmental conserva-  
15 tion's special revenue federal funds, and/or federal capital funds, to  
16 the conservation fund (21150) or Marine Resources Account (21151) as  
17 necessary to avoid diversion of conservation funds.

18 3. \$3,000,000 from any of the office of parks, recreation and historic  
19 preservation capital projects federal funds and special revenue federal  
20 funds to the miscellaneous special revenue fund, federal grant indirect  
21 cost recovery account (22188).

22 4. \$1,000,000 from any of the office of parks, recreation and historic  
23 preservation special revenue federal funds to the miscellaneous capital  
24 projects fund, I love NY water account (32212).

25 5. \$100,000,000 from the general fund to the environmental protection  
26 fund, environmental protection fund transfer account (30451).

27 6. \$6,000,000 from the general fund to the hazardous waste remedial  
28 fund, hazardous waste oversight and assistance account (31505).

1 7. An amount up to or equal to the cash balance within the special  
2 revenue-other waste management & cleanup account (21053) to the capital  
3 projects fund (30000) for services and capital expenses related to the  
4 management and cleanup program as put forth in section 27-1915 of the  
5 environmental conservation law.

6 8. \$1,800,000 from the miscellaneous special revenue fund, public  
7 service account (22011) to the miscellaneous special revenue fund, util-  
8 ity environmental regulatory account (21064).

9 9. \$7,000,000 from the general fund to the enterprise fund, state fair  
10 account (50051).

11 10. \$4,000,000 from the waste management & cleanup account (21053) to  
12 the general fund.

13 11. \$3,000,000 from the waste management & cleanup account (21053) to  
14 the environmental protection fund transfer account (30451).

15 12. Up to \$10,000,000 from the general fund to the miscellaneous  
16 special revenue fund, patron services account (22163).

17 Family Assistance:

18 1. \$7,000,000 from any of the office of children and family services,  
19 office of temporary and disability assistance, or department of health  
20 special revenue federal funds and the general fund, in accordance with  
21 agreements with social services districts, to the miscellaneous special  
22 revenue fund, office of human resources development state match account  
23 (21967).

24 2. \$4,000,000 from any of the office of children and family services  
25 or office of temporary and disability assistance special revenue federal  
26 funds to the miscellaneous special revenue fund, family preservation and  
27 support services and family violence services account (22082).

1 3. \$18,670,000 from any of the office of children and family services,  
2 office of temporary and disability assistance, or department of health  
3 special revenue federal funds and any other miscellaneous revenues  
4 generated from the operation of office of children and family services  
5 programs to the general fund.

6 4. \$175,000,000 from any of the office of temporary and disability  
7 assistance or department of health special revenue funds to the general  
8 fund.

9 5. \$2,500,000 from any of the office of temporary and disability  
10 assistance special revenue funds to the miscellaneous special revenue  
11 fund, office of temporary and disability assistance program account  
12 (21980).

13 6. \$35,000,000 from any of the office of children and family services,  
14 office of temporary and disability assistance, department of labor, and  
15 department of health special revenue federal funds to the office of  
16 children and family services miscellaneous special revenue fund, multi-  
17 agency training contract account (21989).

18 7. \$205,000,000 from the miscellaneous special revenue fund, youth  
19 facility per diem account (22186), to the general fund.

20 8. \$621,850 from the general fund to the combined gifts, grants, and  
21 bequests fund, WB Hoyt Memorial account (20128).

22 9. \$5,000,000 from the miscellaneous special revenue fund, state  
23 central registry (22028), to the general fund.

24 10. \$900,000 from the general fund to the Veterans' Remembrance and  
25 Cemetery Maintenance and Operation account (20201).

26 11. \$505,000,000 from the general fund to the housing program fund  
27 (31850).

28 General Government:

- 1 1. \$12,000,000 from the general fund to the health insurance revolving  
2 fund (55300).
- 3 2. \$292,400,000 from the health insurance reserve receipts fund  
4 (60550) to the general fund.
- 5 3. \$150,000 from the general fund to the not-for-profit revolving loan  
6 fund (20650).
- 7 4. \$150,000 from the not-for-profit revolving loan fund (20650) to the  
8 general fund.
- 9 5. \$3,000,000 from the miscellaneous special revenue fund, surplus  
10 property account (22036), to the general fund.
- 11 6. \$19,000,000 from the miscellaneous special revenue fund, revenue  
12 arrearage account (22024), to the general fund.
- 13 7. \$1,826,000 from the miscellaneous special revenue fund, revenue  
14 arrearage account (22024), to the miscellaneous special revenue fund,  
15 authority budget office account (22138).
- 16 8. \$1,000,000 from the miscellaneous special revenue fund, parking  
17 account (22007), to the general fund, for the purpose of reimbursing the  
18 costs of debt service related to state parking facilities.
- 19 9. \$11,460,000 from the general fund to the agencies internal service  
20 fund, central technology services account (55069), for the purpose of  
21 enterprise technology projects.
- 22 10. \$10,000,000 from the general fund to the agencies internal service  
23 fund, state data center account (55062).
- 24 11. \$12,000,000 from the miscellaneous special revenue fund, parking  
25 account (22007), to the centralized services, building support services  
26 account (55018).
- 27 12. \$30,000,000 from the general fund to the internal service fund,  
28 business services center account (55022).

1 13. \$8,000,000 from the general fund to the internal service fund,  
2 building support services account (55018).

3 14. \$1,500,000 from the combined expendable trust fund, plaza special  
4 events account (20120), to the general fund.

5 15. \$50,000,000 from the general fund to the New York State cannabis  
6 revenue fund (24800).

7 16. \$50,000,000 from the New York State cannabis revenue fund (24800)  
8 to the general fund.

9 Health:

10 1. A transfer from the general fund to the combined gifts, grants and  
11 bequests fund, breast cancer research and education account (20155), up  
12 to an amount equal to the monies collected and deposited into that  
13 account in the previous fiscal year.

14 2. A transfer from the general fund to the combined gifts, grants and  
15 bequests fund, prostate cancer research, detection, and education  
16 account (20183), up to an amount equal to the moneys collected and  
17 deposited into that account in the previous fiscal year.

18 3. A transfer from the general fund to the combined gifts, grants and  
19 bequests fund, Alzheimer's disease research and assistance account  
20 (20143), up to an amount equal to the moneys collected and deposited  
21 into that account in the previous fiscal year.

22 4. \$8,750,000 from the HCRA resources fund (20800) to the miscella-  
23 neous special revenue fund, empire state stem cell trust fund account  
24 (22161).

25 5. \$2,000,000 from the miscellaneous special revenue fund, certificate  
26 of need account (21920), to the miscellaneous capital projects fund,  
27 healthcare IT capital subfund (32216).

1 6. \$2,000,000 from the miscellaneous special revenue fund, vital  
2 health records account (22103), to the miscellaneous capital projects  
3 fund, healthcare IT capital subfund (32216).

4 7. \$6,000,000 from the miscellaneous special revenue fund, profes-  
5 sional medical conduct account (22088), to the miscellaneous capital  
6 projects fund, healthcare IT capital subfund (32216).

7 8. \$112,500,000 from the HCRA resources fund (20800) to the capital  
8 projects fund (30000).

9 9. \$6,550,000 from the general fund to the medical marihuana trust  
10 fund, health operation and oversight account (23755).

11 10. An amount up to the unencumbered balance from the charitable gifts  
12 trust fund, health charitable account (24900), to the general fund, for  
13 payment of general support for primary, preventive, and inpatient health  
14 care, dental and vision care, hunger prevention and nutritional assist-  
15 ance, and other services for New York state residents with the overall  
16 goal of ensuring that New York state residents have access to quality  
17 health care and other related services.

18 11. \$500,000 from the miscellaneous special revenue fund, New York  
19 State cannabis revenue fund, to the miscellaneous special revenue fund,  
20 environmental laboratory fee account (21959).

21 12. An amount up to the unencumbered balance from the public health  
22 emergency charitable gifts trust fund to the general fund, for payment  
23 of goods and services necessary to respond to a public health disaster  
24 emergency or to assist or aid in responding to such a disaster.

25 13. \$1,000,000,000 from the general fund to the health care transfor-  
26 mation fund (24850).

27 Labor:

1 1. \$600,000 from the miscellaneous special revenue fund, DOL fee and  
2 penalty account (21923), to the child performer's protection fund, child  
3 performer protection account (20401).

4 2. \$11,700,000 from the unemployment insurance interest and penalty  
5 fund, unemployment insurance special interest and penalty account  
6 (23601), to the general fund.

7 3. \$50,000,000 from the DOL fee and penalty account (21923), unemploy-  
8 ment insurance special interest and penalty account (23601), and public  
9 work enforcement account (21998), to the general fund.

10 4. \$850,000 from the miscellaneous special revenue fund, DOL elevator  
11 safety program fund (22252) to the miscellaneous special revenue fund,  
12 DOL fee and penalty account (21923).

13 Mental Hygiene:

14 1. \$3,800,000 from the general fund, to the agencies internal service  
15 fund, civil service EHS occupational health program account (55056).

16 2. \$2,000,000 from the general fund, to the mental hygiene facilities  
17 capital improvement fund (32300).

18 3. \$20,000,000 from the opioid settlement fund (23817) to the miscel-  
19 laneous capital projects fund, opioid settlement capital account.

20 4. \$20,000,000 from the miscellaneous capital projects fund, opioid  
21 settlement capital account to the opioid settlement fund (23817).

22 Public Protection:

23 1. \$1,350,000 from the miscellaneous special revenue fund, emergency  
24 management account (21944), to the general fund.

25 2. \$2,587,000 from the general fund to the miscellaneous special  
26 revenue fund, recruitment incentive account (22171).



1 3. \$22,773,000 from the general fund to the correctional industries  
2 revolving fund, correctional industries internal service account  
3 (55350).

4 4. \$2,000,000,000 from any of the division of homeland security and  
5 emergency services special revenue federal funds to the general fund.

6 5. \$115,420,000 from the state police motor vehicle law enforcement  
7 and motor vehicle theft and insurance fraud prevention fund, state  
8 police motor vehicle enforcement account (22802), to the general fund  
9 for state operation expenses of the division of state police.

10 6. \$136,130,000 from the general fund to the correctional facilities  
11 capital improvement fund (32350).

12 7. \$5,000,000 from the general fund to the dedicated highway and  
13 bridge trust fund (30050) for the purpose of work zone safety activities  
14 provided by the division of state police for the department of transpor-  
15 tation.

16 8. \$10,000,000 from the miscellaneous special revenue fund, statewide  
17 public safety communications account (22123), to the capital projects  
18 fund (30000).

19 9. \$9,830,000 from the miscellaneous special revenue fund, legal  
20 services assistance account (22096), to the general fund.

21 10. \$1,000,000 from the general fund to the agencies internal service  
22 fund, neighborhood work project account (55059).

23 11. \$7,980,000 from the miscellaneous special revenue fund, finger-  
24 print identification & technology account (21950), to the general fund.

25 12. \$1,100,000 from the state police motor vehicle law enforcement and  
26 motor vehicle theft and insurance fraud prevention fund, motor vehicle  
27 theft and insurance fraud account (22801), to the general fund.

28 Transportation:

1 1. \$20,000,000 from the general fund to the mass transportation oper-  
2 ating assistance fund, public transportation systems operating assist-  
3 ance account (21401), of which \$12,000,000 constitutes the base need for  
4 operations.

5 2. \$727,500,000 from the general fund to the dedicated highway and  
6 bridge trust fund (30050).

7 3. \$244,250,000 from the general fund to the MTA financial assistance  
8 fund, mobility tax trust account (23651).

9 4. \$5,000,000 from the miscellaneous special revenue fund, transporta-  
10 tion regulation account (22067) to the dedicated highway and bridge  
11 trust fund (30050), for disbursements made from such fund for motor  
12 carrier safety that are in excess of the amounts deposited in the dedi-  
13 cated highway and bridge trust fund (30050) for such purpose pursuant to  
14 section 94 of the transportation law.

15 5. \$3,000,000 from the miscellaneous special revenue fund, traffic  
16 adjudication account (22055), to the general fund.

17 6. \$5,000,000 from the miscellaneous special revenue fund, transporta-  
18 tion regulation account (22067) to the general fund, for disbursements  
19 made from such fund for motor carrier safety that are in excess of the  
20 amounts deposited in the general fund for such purpose pursuant to  
21 section 94 of the transportation law.

22 Miscellaneous:

23 1. \$750,000,000 from the general fund to any funds or accounts for the  
24 purpose of reimbursing certain outstanding accounts receivable balances.

25 2. \$500,000,000 from the general fund to the debt reduction reserve  
26 fund (40000).

27 3. \$450,000,000 from the New York state storm recovery capital fund  
28 (33000) to the revenue bond tax fund (40152).

1 4. \$15,500,000 from the general fund, community projects account GG  
2 (10256), to the general fund, state purposes account (10050).

3 5. \$100,000,000 from any special revenue federal fund to the general  
4 fund, state purposes account (10050).

5 6. \$12,750,000,000 from the special revenue federal fund, ARPA-Fiscal  
6 Recovery Fund (25546) to the general fund, state purposes account  
7 (10050) to cover eligible costs incurred by the state.

8 § 3. Notwithstanding any law to the contrary, and in accordance with  
9 section 4 of the state finance law, the comptroller is hereby authorized  
10 and directed to transfer, on or before March 31, 2023:

11 1. Upon request of the commissioner of environmental conservation, up  
12 to \$12,745,400 from revenues credited to any of the department of envi-  
13 ronmental conservation special revenue funds, including \$4,000,000 from  
14 the environmental protection and oil spill compensation fund (21200),  
15 and \$1,834,600 from the conservation fund (21150), to the environmental  
16 conservation special revenue fund, indirect charges account (21060).

17 2. Upon request of the commissioner of agriculture and markets, up to  
18 \$3,000,000 from any special revenue fund or enterprise fund within the  
19 department of agriculture and markets to the general fund, to pay appro-  
20 priate administrative expenses.

21 3. Upon request of the commissioner of agriculture and markets, up to  
22 \$2,000,000 from the state exposition special fund, state fair receipts  
23 account (50051) to the miscellaneous capital projects fund, state fair  
24 capital improvement account (32208).

25 4. Upon request of the commissioner of the division of housing and  
26 community renewal, up to \$6,221,000 from revenues credited to any divi-  
27 sion of housing and community renewal federal or miscellaneous special

1 revenue fund to the miscellaneous special revenue fund, housing indirect  
2 cost recovery account (22090).

3 5. Upon request of the commissioner of the division of housing and  
4 community renewal, up to \$5,500,000 may be transferred from any miscel-  
5 laneous special revenue fund account, to any miscellaneous special  
6 revenue fund.

7 6. Upon request of the commissioner of health up to \$13,694,000 from  
8 revenues credited to any of the department of health's special revenue  
9 funds, to the miscellaneous special revenue fund, administration account  
10 (21982).

11 7. Upon the request of the attorney general, up to \$4,000,000 from  
12 revenues credited to the federal health and human services fund, federal  
13 health and human services account (25117) or the miscellaneous special  
14 revenue fund, recoveries and revenue account (22041), to the miscella-  
15 neous special revenue fund, litigation settlement and civil recovery  
16 account (22117).

17 8. Upon the request of the commission of agriculture and markets, up  
18 to \$3,000,000 from any special revenue fund or enterprise fund within  
19 the department of agriculture and markets to the general fund, to pay  
20 appropriate administrative expenses.

21 9. Upon the request of the commission of agriculture and markets, up  
22 to \$2,000,000 from the state exposition special fund, state fair  
23 receipts account (50051) to the miscellaneous capital projects fund,  
24 state fair capital improvement account (32208).

25 § 4. On or before March 31, 2023, the comptroller is hereby authorized  
26 and directed to deposit earnings that would otherwise accrue to the  
27 general fund that are attributable to the operation of section 98-a of  
28 the state finance law, to the agencies internal service fund, banking

1 services account (55057), for the purpose of meeting direct payments  
2 from such account.

3 § 5. Notwithstanding any law to the contrary, upon the direction of  
4 the director of the budget and upon requisition by the state university  
5 of New York, the dormitory authority of the state of New York is  
6 directed to transfer, up to \$22,000,000 in revenues generated from the  
7 sale of notes or bonds, the state university income fund general revenue  
8 account (22653) for reimbursement of bondable equipment for further  
9 transfer to the state's general fund.

10 § 6. Notwithstanding any law to the contrary, and in accordance with  
11 section 4 of the state finance law, the comptroller is hereby authorized  
12 and directed to transfer, upon request of the director of the budget and  
13 upon consultation with the state university chancellor or his or her  
14 designee, on or before March 31, 2023, up to \$16,000,000 from the state  
15 university income fund general revenue account (22653) to the state  
16 general fund for debt service costs related to campus supported capital  
17 project costs for the NY-SUNY 2020 challenge grant program at the  
18 University at Buffalo.

19 § 7. Notwithstanding any law to the contrary, and in accordance with  
20 section 4 of the state finance law, the comptroller is hereby authorized  
21 and directed to transfer, upon request of the director of the budget and  
22 upon consultation with the state university chancellor or his or her  
23 designee, on or before March 31, 2023, up to \$6,500,000 from the state  
24 university income fund general revenue account (22653) to the state  
25 general fund for debt service costs related to campus supported capital  
26 project costs for the NY-SUNY 2020 challenge grant program at the  
27 University at Albany.

1 § 8. Notwithstanding any law to the contrary, the state university  
2 chancellor or his or her designee is authorized and directed to transfer  
3 estimated tuition revenue balances from the state university collection  
4 fund (61000) to the state university income fund, state university  
5 general revenue offset account (22655) on or before March 31, 2023.

6 § 9. Notwithstanding any law to the contrary, and in accordance with  
7 section 4 of the state finance law, the comptroller is hereby authorized  
8 and directed to transfer, upon request of the director of the budget, up  
9 to \$1,100,384,416 from the general fund to the state university income  
10 fund, state university general revenue offset account (22655) during the  
11 period of July 1, 2022 through June 30, 2023 to support operations at  
12 the state university.

13 § 10. Notwithstanding any law to the contrary, and in accordance with  
14 section 4 of the state finance law, the comptroller is hereby authorized  
15 and directed to transfer, upon request of the director of the budget, up  
16 to \$48,834,000 from the general fund to the state university income  
17 fund, state university general revenue offset account (22655) during the  
18 period of July 1, 2022 to June 30, 2023 for general fund operating  
19 support pursuant to subparagraph (4-b) of paragraph h of subdivision 2  
20 of section three hundred fifty-five of the education law.

21 § 11. Notwithstanding any law to the contrary, and in accordance with  
22 section 4 of the state finance law, the comptroller is hereby authorized  
23 and directed to transfer, upon request of the director of the budget, up  
24 to \$20,000,000 from the general fund to the state university income  
25 fund, state university general revenue offset account (22655) during the  
26 period of July 1, 2022 to June 30, 2023 to support operations at the  
27 state university in accordance with the maintenance of effort pursuant

1 to subparagraph (4) of paragraph h of subdivision 2 of section 355 of  
2 the education law.

3 § 12. Notwithstanding any law to the contrary, and in accordance with  
4 section 4 of the state finance law, the comptroller is hereby authorized  
5 and directed to transfer, upon request of the state university chancel-  
6 lor or his or her designee, up to \$55,000,000 from the state university  
7 income fund, state university hospitals income reimbursable account  
8 (22656), for services and expenses of hospital operations and capital  
9 expenditures at the state university hospitals; and the state university  
10 income fund, Long Island veterans' home account (22652) to the state  
11 university capital projects fund (32400) on or before June 30, 2023.

12 § 13. Notwithstanding any law to the contrary, and in accordance with  
13 section 4 of the state finance law, the comptroller, after consultation  
14 with the state university chancellor or his or her designee, is hereby  
15 authorized and directed to transfer moneys, in the first instance, from  
16 the state university collection fund, Stony Brook hospital collection  
17 account (61006), Brooklyn hospital collection account (61007), and Syra-  
18 cuse hospital collection account (61008) to the state university income  
19 fund, state university hospitals income reimbursable account (22656) in  
20 the event insufficient funds are available in the state university  
21 income fund, state university hospitals income reimbursable account  
22 (22656) to permit the full transfer of moneys authorized for transfer,  
23 to the general fund for payment of debt service related to the SUNY  
24 hospitals. Notwithstanding any law to the contrary, the comptroller is  
25 also hereby authorized and directed, after consultation with the state  
26 university chancellor or his or her designee, to transfer moneys from  
27 the state university income fund to the state university income fund,  
28 state university hospitals income reimbursable account (22656) in the

1 event insufficient funds are available in the state university income  
2 fund, state university hospitals income reimbursable account (22656) to  
3 pay hospital operating costs or to permit the full transfer of moneys  
4 authorized for transfer, to the general fund for payment of debt service  
5 related to the SUNY hospitals on or before March 31, 2023.

6 § 14. Notwithstanding any law to the contrary, upon the direction of  
7 the director of the budget and the chancellor of the state university of  
8 New York or his or her designee, and in accordance with section 4 of the  
9 state finance law, the comptroller is hereby authorized and directed to  
10 transfer monies from the state university dormitory income fund (40350)  
11 to the state university residence hall rehabilitation fund (30100), and  
12 from the state university residence hall rehabilitation fund (30100) to  
13 the state university dormitory income fund (40350), in an amount not to  
14 exceed \$100 million from each fund.

15 § 15. Notwithstanding any law to the contrary, and in accordance with  
16 section 4 of the state finance law, the comptroller is hereby authorized  
17 and directed to transfer, at the request of the director of the budget,  
18 up to \$700 million from the unencumbered balance of any special revenue  
19 fund or account, agency fund or account, internal service fund or  
20 account, enterprise fund or account, or any combination of such funds  
21 and accounts, to the general fund. The amounts transferred pursuant to  
22 this authorization shall be in addition to any other transfers expressly  
23 authorized in the 2022-23 budget. Transfers from federal funds, debt  
24 service funds, capital projects funds, the community projects fund, or  
25 funds that would result in the loss of eligibility for federal benefits  
26 or federal funds pursuant to federal law, rule, or regulation as assent-  
27 ed to in chapter 683 of the laws of 1938 and chapter 700 of the laws of  
28 1951 are not permitted pursuant to this authorization.



1 § 16. Notwithstanding any law to the contrary, and in accordance with  
2 section 4 of the state finance law, the comptroller is hereby authorized  
3 and directed to transfer, at the request of the director of the budget,  
4 up to \$100 million from any non-general fund or account, or combination  
5 of funds and accounts, to the miscellaneous special revenue fund, tech-  
6 nology financing account (22207), the miscellaneous capital projects  
7 fund, the federal capital projects account (31350), information technol-  
8 ogy capital financing account (32215), or the centralized technology  
9 services account (55069), for the purpose of consolidating technology  
10 procurement and services. The amounts transferred to the miscellaneous  
11 special revenue fund, technology financing account (22207) pursuant to  
12 this authorization shall be equal to or less than the amount of such  
13 monies intended to support information technology costs which are  
14 attributable, according to a plan, to such account made in pursuance to  
15 an appropriation by law. Transfers to the technology financing account  
16 shall be completed from amounts collected by non-general funds or  
17 accounts pursuant to a fund deposit schedule or permanent statute, and  
18 shall be transferred to the technology financing account pursuant to a  
19 schedule agreed upon by the affected agency commissioner. Transfers from  
20 funds that would result in the loss of eligibility for federal benefits  
21 or federal funds pursuant to federal law, rule, or regulation as assent-  
22 ed to in chapter 683 of the laws of 1938 and chapter 700 of the laws of  
23 1951 are not permitted pursuant to this authorization.

24 § 17. Notwithstanding any law to the contrary, and in accordance with  
25 section 4 of the state finance law, the comptroller is hereby authorized  
26 and directed to transfer, at the request of the director of the budget,  
27 up to \$400 million from any non-general fund or account, or combination  
28 of funds and accounts, to the general fund for the purpose of consol-

1 idating technology procurement and services. The amounts transferred  
2 pursuant to this authorization shall be equal to or less than the amount  
3 of such monies intended to support information technology costs which  
4 are attributable, according to a plan, to such account made in pursuance  
5 to an appropriation by law. Transfers to the general fund shall be  
6 completed from amounts collected by non-general funds or accounts pursu-  
7 ant to a fund deposit schedule. Transfers from funds that would result  
8 in the loss of eligibility for federal benefits or federal funds pursu-  
9 ant to federal law, rule, or regulation as assented to in chapter 683 of  
10 the laws of 1938 and chapter 700 of the laws of 1951 are not permitted  
11 pursuant to this authorization.

12 § 18. Notwithstanding any provision of law to the contrary, as deemed  
13 feasible and advisable by its trustees, the power authority of the state  
14 of New York is authorized and directed to transfer to the state treasury  
15 to the credit of the general fund up to \$20,000,000 for the state fiscal  
16 year commencing April 1, 2022, the proceeds of which will be utilized to  
17 support energy-related state activities.

18 § 19. Notwithstanding any provision of law, rule or regulation to the  
19 contrary, the New York state energy research and development authority  
20 is authorized and directed to make the following contributions to the  
21 state treasury to the credit of the general fund on or before March 31,  
22 2023: (a) \$913,000; and (b) \$23,000,000 from proceeds collected by the  
23 authority from the auction or sale of carbon dioxide emission allowances  
24 allocated by the department of environmental conservation.

25 § 20. Notwithstanding any provision of law, rule or regulation to the  
26 contrary, the New York state energy research and development authority  
27 is authorized and directed to transfer five million dollars to the cred-  
28 it of the Environmental Protection Fund on or before March 31, 2023 from

1 proceeds collected by the authority from the auction or sale of carbon  
2 dioxide emission allowances allocated by the department of environmental  
3 conservation.

4 § 21. Subdivision 5 of section 97-rrr of the state finance law, as  
5 amended by section 20 of part JJJ of chapter 59 of the laws of 2021, is  
6 amended to read as follows:

7 5. Notwithstanding the provisions of section one hundred seventy-one-a  
8 of the tax law, as separately amended by chapters four hundred eighty-  
9 one and four hundred eighty-four of the laws of nineteen hundred eight-  
10 y-one, and notwithstanding the provisions of chapter ninety-four of the  
11 laws of two thousand eleven, or any other provisions of law to the  
12 contrary, during the fiscal year beginning April first, two thousand  
13 [~~twenty-one~~] twenty-two, the state comptroller is hereby authorized and  
14 directed to deposit to the fund created pursuant to this section from  
15 amounts collected pursuant to article twenty-two of the tax law and  
16 pursuant to a schedule submitted by the director of the budget, up to  
17 [~~\$1,979,457,000~~] \$1,830,985,000, as may be certified in such schedule as  
18 necessary to meet the purposes of such fund for the fiscal year begin-  
19 ning April first, two thousand [~~twenty-one~~] twenty-two.

20 § 22. Notwithstanding any law to the contrary, the comptroller is  
21 hereby authorized and directed to transfer, upon request of the director  
22 of the budget, on or before March 31, 2023, the following amounts from  
23 the following special revenue accounts to the capital projects fund  
24 (30000), for the purposes of reimbursement to such fund for expenses  
25 related to the maintenance and preservation of state assets:

26 1. \$43,000 from the miscellaneous special revenue fund, administrative  
27 program account (21982).

- 1 2. \$1,478,000 from the miscellaneous special revenue fund, helen hayes  
2 hospital account (22140).
- 3 3. \$456,000 from the miscellaneous special revenue fund, New York city  
4 veterans' home account (22141).
- 5 4. \$570,000 from the miscellaneous special revenue fund, New York  
6 state home for veterans' and their dependents at oxford account (22142).
- 7 5. \$170,000 from the miscellaneous special revenue fund, western New  
8 York veterans' home account (22143).
- 9 6. \$323,000 from the miscellaneous special revenue fund, New York  
10 state for veterans in the lower-hudson valley account (22144).
- 11 7. \$2,550,000 from the miscellaneous special revenue fund, patron  
12 services account (22163).
- 13 8. \$7,502,241 from the miscellaneous special revenue fund, state  
14 university general income reimbursable account (22653).
- 15 9. \$135,656,957 from the miscellaneous special revenue fund, state  
16 university revenue offset account (22655).
- 17 10. \$49,329,802 from the state university dormitory income fund, state  
18 university dormitory income fund (40350).
- 19 11. \$1,000,000 from the miscellaneous special revenue fund, litigation  
20 settlement and civil recovery account (22117).

21 § 23. Subdivision 8 of section 53 of the state finance law, as amended  
22 by chapter 58 of the laws of 1982, is amended to read as follows:

23 8. Notwithstanding the foregoing provisions of this section, in addi-  
24 tion to the restrictions set forth therein, the governor may authorize a  
25 transfer to the general fund, to a capital projects fund, or to a fund  
26 established to account for revenues from the federal government only  
27 after the approval of:

1 (1) the temporary president of the senate or the [chairman] chair of  
2 the senate finance committee (the "senate"); and

3 (2) the speaker of the assembly or the [chairman] chair of the assem-  
4 bly ways and means committee (the "assembly").

5 Provided however, if either the senate or the assembly fails to affir-  
6 matively deny or approve such transfer within ten days from the date on  
7 which the governor provides notification of such transfer, then the  
8 transfer shall be deemed approved by both the senate and the assembly.

9 § 24. Subdivision 6 of section 4 of the state finance law, as amended  
10 by section 25 of part JJ of chapter 56 of the laws of 2020, is amended  
11 to read as follows:

12 6. Notwithstanding any law to the contrary, at the beginning of the  
13 state fiscal year, the state comptroller is hereby authorized and  
14 directed to receive for deposit to the credit of a fund and/or an  
15 account such monies as are identified by the director of the budget as  
16 having been intended for such deposit to support disbursements from such  
17 fund and/or account made in pursuance of an appropriation by law. As  
18 soon as practicable upon enactment of the budget, the director of the  
19 budget shall, but not less than three days following preliminary  
20 submission to the chairs of the senate finance committee and the assem-  
21 bly ways and means committee, file with the state comptroller an iden-  
22 tification of specific monies to be so deposited. Any subsequent change  
23 regarding the monies to be so deposited shall be filed by the director  
24 of the budget, as soon as practicable, but not less than three days  
25 following preliminary submission to the chairs of the senate finance  
26 committee and the assembly ways and means committee.

27 All monies identified by the director of the budget to be deposited to  
28 the credit of a fund and/or account shall be consistent with the intent

1 of the budget for the then current state fiscal year as enacted by the  
2 legislature.

3 [The provisions of this subdivision shall expire on March thirty-  
4 first, two thousand twenty-two.]

5 § 25. Subdivision 4 of section 40 of the state finance law, as amended  
6 by section 26 of part JJ of chapter 56 of the laws of 2020, is amended  
7 to read as follows:

8 4. Every appropriation made from a fund or account to a department or  
9 agency shall be available for the payment of prior years' liabilities in  
10 such fund or account for fringe benefits, indirect costs, and telecommu-  
11 nications expenses and expenses for other centralized services fund  
12 programs without limit. Every appropriation shall also be available for  
13 the payment of prior years' liabilities other than those indicated  
14 above, but only to the extent of one-half of one percent of the total  
15 amount appropriated to a department or agency in such fund or account.

16 [The provisions of this subdivision shall expire March thirty-first,  
17 two thousand twenty-two.]

18 § 26. Subdivision 2 of section 92-cc of the state finance law, as  
19 amended by section 12-a of part I of chapter 60 of the laws of 2015, is  
20 amended to read as follows:

21 2. Such fund shall have a maximum balance not to exceed [~~five~~] fifteen  
22 per centum of the aggregate amount projected to be disbursed from the  
23 [~~general fund~~] state operating funds during the fiscal year immediately  
24 following the then-current fiscal year. At the request of the director  
25 of the budget, the state comptroller shall transfer monies to the rainy  
26 day reserve fund up to and including an amount equivalent to [~~seventy-~~  
27 ~~five one-hundredths of one~~] three per centum of the aggregate amount  
28 projected to be disbursed from the [~~general fund~~] state operating funds

1 during the then-current fiscal year, unless such transfer would increase  
2 the rainy day reserve fund to an amount in excess of [five] fifteen per  
3 centum of the aggregate amount projected to be disbursed from the  
4 [general fund] state operating funds during the fiscal year immediately  
5 following the then-current fiscal year, in which event such transfer  
6 shall be limited to such amount as will increase the rainy day reserve  
7 fund to such [five] fifteen per centum limitation.

8 § 27. Paragraph (c) of subdivision 4 of section 99-aa of the state  
9 finance law, as added by section 22-d of part XXX of chapter 59 of the  
10 laws of 2017, is amended to read as follows:

11 (c) At the request of the director of the budget, the state comp-  
12 troller shall transfer monies from the general fund to the trust fund up  
13 to and including an amount equivalent to one and fifty one-hundredths of  
14 one per centum of the total actuarial accrued liability included in the  
15 state of New York comprehensive annual financial report.

16 § 28. Subdivision 4 of section 89-h of the state finance law, as  
17 amended by chapter 92 of the laws of 2021, is amended to read as  
18 follows:

19 4. The moneys of the medical cannabis trust fund, following appropri-  
20 ation by the legislature, shall be allocated upon a certificate of  
21 approval of availability by the director of the budget as follows: (a)  
22 Twenty-two and five-tenths percent of the monies shall be transferred to  
23 the counties in New York state in which the medical cannabis was manu-  
24 factured and allocated in proportion to the gross sales originating from  
25 medical cannabis manufactured in each such county; (b) twenty-two and  
26 five-tenths percent of the moneys shall be transferred to the counties  
27 in New York state in which the medical cannabis was dispensed and allo-  
28 cated in proportion to the gross sales occurring in each such county;

1 (c) five percent of the monies shall be transferred to the office of  
2 addiction services and supports, which shall use that revenue for addi-  
3 tional drug abuse prevention, counseling and treatment services; (d)  
4 five percent of the revenue received by the department shall be trans-  
5 ferred to the division of criminal justice services, which shall use  
6 that revenue for a program of discretionary grants to state and local  
7 law enforcement agencies that demonstrate a need relating to article  
8 three of the cannabis law; said grants could be used for personnel costs  
9 of state and local law enforcement agencies; and (e) forty-five percent  
10 of the monies shall be [transferred] deposited to the New York state  
11 cannabis revenue fund. For purposes of this subdivision, the city of New  
12 York shall be deemed to be a county.

13 § 29. Notwithstanding any other law, rule, or regulation to the  
14 contrary, the state comptroller is hereby authorized and directed to use  
15 any balance remaining in the mental health services fund debt service  
16 appropriation, after payment by the state comptroller of all obligations  
17 required pursuant to any lease, sublease, or other financing arrangement  
18 between the dormitory authority of the state of New York as successor to  
19 the New York state medical care facilities finance agency, and the  
20 facilities development corporation pursuant to chapter 83 of the laws of  
21 1995 and the department of mental hygiene for the purpose of making  
22 payments to the dormitory authority of the state of New York for the  
23 amount of the earnings for the investment of monies deposited in the  
24 mental health services fund that such agency determines will or may have  
25 to be rebated to the federal government pursuant to the provisions of  
26 the internal revenue code of 1986, as amended, in order to enable such  
27 agency to maintain the exemption from federal income taxation on the  
28 interest paid to the holders of such agency's mental services facilities



1 improvement revenue bonds. Annually on or before each June 30th, such  
2 agency shall certify to the state comptroller its determination of the  
3 amounts received in the mental health services fund as a result of the  
4 investment of monies deposited therein that will or may have to be  
5 rebated to the federal government pursuant to the provisions of the  
6 internal revenue code of 1986, as amended.

7 § 30. Subdivision 1 of section 16 of part D of chapter 389 of the laws  
8 of 1997, relating to the financing of the correctional facilities  
9 improvement fund and the youth facility improvement fund, as amended by  
10 section 25 of part JJJ of chapter 59 of the laws of 2021, is amended to  
11 read as follows:

12 1. Subject to the provisions of chapter 59 of the laws of 2000, but  
13 notwithstanding the provisions of section 18 of section 1 of chapter 174  
14 of the laws of 1968, the New York state urban development corporation is  
15 hereby authorized to issue bonds, notes and other obligations in an  
16 aggregate principal amount not to exceed [nine billion one hundred thir-  
17 ty-nine million six hundred nineteen thousand dollars \$9,139,619,000]  
18 nine billion five hundred two million seven hundred thirty-nine thousand  
19 dollars \$9,502,739,000, and shall include all bonds, notes and other  
20 obligations issued pursuant to chapter 56 of the laws of 1983, as  
21 amended or supplemented. The proceeds of such bonds, notes or other  
22 obligations shall be paid to the state, for deposit in the correctional  
23 facilities capital improvement fund to pay for all or any portion of the  
24 amount or amounts paid by the state from appropriations or reappropri-  
25 ations made to the department of corrections and community supervision  
26 from the correctional facilities capital improvement fund for capital  
27 projects. The aggregate amount of bonds, notes or other obligations  
28 authorized to be issued pursuant to this section shall exclude bonds,

1 notes or other obligations issued to refund or otherwise repay bonds,  
2 notes or other obligations theretofore issued, the proceeds of which  
3 were paid to the state for all or a portion of the amounts expended by  
4 the state from appropriations or reappropriations made to the department  
5 of corrections and community supervision; provided, however, that upon  
6 any such refunding or repayment the total aggregate principal amount of  
7 outstanding bonds, notes or other obligations may be greater than [nine  
8 billion one hundred thirty-nine million six hundred nineteen thousand  
9 dollars \$9,139,619,000] nine billion five hundred two million seven  
10 hundred thirty-nine thousand dollars \$9,502,739,000, only if the present  
11 value of the aggregate debt service of the refunding or repayment bonds,  
12 notes or other obligations to be issued shall not exceed the present  
13 value of the aggregate debt service of the bonds, notes or other obli-  
14 gations so to be refunded or repaid. For the purposes hereof, the pres-  
15 ent value of the aggregate debt service of the refunding or repayment  
16 bonds, notes or other obligations and of the aggregate debt service of  
17 the bonds, notes or other obligations so refunded or repaid, shall be  
18 calculated by utilizing the effective interest rate of the refunding or  
19 repayment bonds, notes or other obligations, which shall be that rate  
20 arrived at by doubling the semi-annual interest rate (compounded semi-  
21 annually) necessary to discount the debt service payments on the refund-  
22 ing or repayment bonds, notes or other obligations from the payment  
23 dates thereof to the date of issue of the refunding or repayment bonds,  
24 notes or other obligations and to the price bid including estimated  
25 accrued interest or proceeds received by the corporation including esti-  
26 mated accrued interest from the sale thereof.

27 § 31. Subdivision (a) of section 27 of part Y of chapter 61 of the  
28 laws of 2005, relating to providing for the administration of certain

1 funds and accounts related to the 2005-2006 budget, as amended by  
2 section 26 of part JJJ of chapter 59 of the laws of 2021, is amended to  
3 read as follows:

4 (a) Subject to the provisions of chapter 59 of the laws of 2000, but  
5 notwithstanding any provisions of law to the contrary, the urban devel-  
6 opment corporation is hereby authorized to issue bonds or notes in one  
7 or more series in an aggregate principal amount not to exceed [three  
8 hundred seventy-four million six hundred thousand dollars \$374,600,000]  
9 four hundred twenty-six million one hundred thousand dollars  
10 \$426,100,000, excluding bonds issued to finance one or more debt service  
11 reserve funds, to pay costs of issuance of such bonds, and bonds or  
12 notes issued to refund or otherwise repay such bonds or notes previously  
13 issued, for the purpose of financing capital projects including IT  
14 initiatives for the division of state police, debt service and leases;  
15 and to reimburse the state general fund for disbursements made therefor.  
16 Such bonds and notes of such authorized issuer shall not be a debt of  
17 the state, and the state shall not be liable thereon, nor shall they be  
18 payable out of any funds other than those appropriated by the state to  
19 such authorized issuer for debt service and related expenses pursuant to  
20 any service contract executed pursuant to subdivision (b) of this  
21 section and such bonds and notes shall contain on the face thereof a  
22 statement to such effect. Except for purposes of complying with the  
23 internal revenue code, any interest income earned on bond proceeds shall  
24 only be used to pay debt service on such bonds.

25 § 32. Subdivision 3 of section 1285-p of the public authorities law,  
26 as amended by section 27 of part JJJ of chapter 59 of the laws of 2021,  
27 is amended to read as follows:

1 3. The maximum amount of bonds that may be issued for the purpose of  
2 financing environmental infrastructure projects authorized by this  
3 section shall be [seven billion one hundred thirty million ten thousand  
4 dollars \$7,130,010,000] eight billion eighty-nine million one hundred  
5 ten thousand dollars \$8,089,110,000, exclusive of bonds issued to fund  
6 any debt service reserve funds, pay costs of issuance of such bonds, and  
7 bonds or notes issued to refund or otherwise repay bonds or notes previ-  
8 ously issued. Such bonds and notes of the corporation shall not be a  
9 debt of the state, and the state shall not be liable thereon, nor shall  
10 they be payable out of any funds other than those appropriated by the  
11 state to the corporation for debt service and related expenses pursuant  
12 to any service contracts executed pursuant to subdivision one of this  
13 section, and such bonds and notes shall contain on the face thereof a  
14 statement to such effect.

15 § 33. Subdivision (a) of section 48 of part K of chapter 81 of the  
16 laws of 2002, relating to providing for the administration of certain  
17 funds and accounts related to the 2002-2003 budget, as amended by  
18 section 28 of part JJJ of chapter 59 of the laws of 2021, is amended to  
19 read as follows:

20 (a) Subject to the provisions of chapter 59 of the laws of 2000 but  
21 notwithstanding the provisions of section 18 of the urban development  
22 corporation act, the corporation is hereby authorized to issue bonds or  
23 notes in one or more series in an aggregate principal amount not to  
24 exceed [three hundred forty-seven million five hundred thousand dollars  
25 \$347,500,000] three hundred eighty-three million five hundred thousand  
26 dollars \$383,500,000, excluding bonds issued to fund one or more debt  
27 service reserve funds, to pay costs of issuance of such bonds, and bonds  
28 or notes issued to refund or otherwise repay such bonds or notes previ-

1 ously issued, for the purpose of financing capital costs related to  
2 homeland security and training facilities for the division of state  
3 police, the division of military and naval affairs, and any other state  
4 agency, including the reimbursement of any disbursements made from the  
5 state capital projects fund, and is hereby authorized to issue bonds or  
6 notes in one or more series in an aggregate principal amount not to  
7 exceed [one billion three hundred eight million six hundred eighty-six  
8 thousand dollars \$1,308,686,000] one billion five hundred ninety-one  
9 million nine hundred eighty-six thousand dollars \$1,591,986,000, exclud-  
10 ing bonds issued to fund one or more debt service reserve funds, to pay  
11 costs of issuance of such bonds, and bonds or notes issued to refund or  
12 otherwise repay such bonds or notes previously issued, for the purpose  
13 of financing improvements to State office buildings and other facilities  
14 located statewide, including the reimbursement of any disbursements made  
15 from the state capital projects fund. Such bonds and notes of the corpo-  
16 ration shall not be a debt of the state, and the state shall not be  
17 liable thereon, nor shall they be payable out of any funds other than  
18 those appropriated by the state to the corporation for debt service and  
19 related expenses pursuant to any service contracts executed pursuant to  
20 subdivision (b) of this section, and such bonds and notes shall contain  
21 on the face thereof a statement to such effect.

22 § 34. Paragraph (c) of subdivision 19 of section 1680 of the public  
23 authorities law, as amended by section 29 of part JJJ of chapter 59 of  
24 the laws of 2021, is amended to read as follows:

25 (c) Subject to the provisions of chapter fifty-nine of the laws of two  
26 thousand, the dormitory authority shall not issue any bonds for state  
27 university educational facilities purposes if the principal amount of  
28 bonds to be issued when added to the aggregate principal amount of bonds

1 issued by the dormitory authority on and after July first, nineteen  
2 hundred eighty-eight for state university educational facilities will  
3 exceed [fifteen billion five hundred fifty-five million eight hundred  
4 sixty-four thousand dollars \$15,555,864,000] sixteen billion three  
5 hundred seventy-one million eight hundred sixty-four thousand dollars  
6 \$16,371,864,000; provided, however, that bonds issued or to be issued  
7 shall be excluded from such limitation if: (1) such bonds are issued to  
8 refund state university construction bonds and state university  
9 construction notes previously issued by the housing finance agency; or  
10 (2) such bonds are issued to refund bonds of the authority or other  
11 obligations issued for state university educational facilities purposes  
12 and the present value of the aggregate debt service on the refunding  
13 bonds does not exceed the present value of the aggregate debt service on  
14 the bonds refunded thereby; provided, further that upon certification by  
15 the director of the budget that the issuance of refunding bonds or other  
16 obligations issued between April first, nineteen hundred ninety-two and  
17 March thirty-first, nineteen hundred ninety-three will generate long  
18 term economic benefits to the state, as assessed on a present value  
19 basis, such issuance will be deemed to have met the present value test  
20 noted above. For purposes of this subdivision, the present value of the  
21 aggregate debt service of the refunding bonds and the aggregate debt  
22 service of the bonds refunded, shall be calculated by utilizing the true  
23 interest cost of the refunding bonds, which shall be that rate arrived  
24 at by doubling the semi-annual interest rate (compounded semi-annually)  
25 necessary to discount the debt service payments on the refunding bonds  
26 from the payment dates thereof to the date of issue of the refunding  
27 bonds to the purchase price of the refunding bonds, including interest  
28 accrued thereon prior to the issuance thereof. The maturity of such

1 bonds, other than bonds issued to refund outstanding bonds, shall not  
2 exceed the weighted average economic life, as certified by the state  
3 university construction fund, of the facilities in connection with which  
4 the bonds are issued, and in any case not later than the earlier of  
5 thirty years or the expiration of the term of any lease, sublease or  
6 other agreement relating thereto; provided that no note, including  
7 renewals thereof, shall mature later than five years after the date of  
8 issuance of such note. The legislature reserves the right to amend or  
9 repeal such limit, and the state of New York, the dormitory authority,  
10 the state university of New York, and the state university construction  
11 fund are prohibited from covenanting or making any other agreements with  
12 or for the benefit of bondholders which might in any way affect such  
13 right.

14 § 35. Paragraph (c) of subdivision 14 of section 1680 of the public  
15 authorities law, as amended by section 30 of part JJJ of chapter 59 of  
16 the laws of 2021, is amended to read as follows:

17 (c) Subject to the provisions of chapter fifty-nine of the laws of two  
18 thousand, (i) the dormitory authority shall not deliver a series of  
19 bonds for city university community college facilities, except to refund  
20 or to be substituted for or in lieu of other bonds in relation to city  
21 university community college facilities pursuant to a resolution of the  
22 dormitory authority adopted before July first, nineteen hundred eighty-  
23 five or any resolution supplemental thereto, if the principal amount of  
24 bonds so to be issued when added to all principal amounts of bonds  
25 previously issued by the dormitory authority for city university commu-  
26 nity college facilities, except to refund or to be substituted in lieu  
27 of other bonds in relation to city university community college facili-  
28 ties will exceed the sum of four hundred twenty-five million dollars and

1 (ii) the dormitory authority shall not deliver a series of bonds issued  
2 for city university facilities, including community college facilities,  
3 pursuant to a resolution of the dormitory authority adopted on or after  
4 July first, nineteen hundred eighty-five, except to refund or to be  
5 substituted for or in lieu of other bonds in relation to city university  
6 facilities and except for bonds issued pursuant to a resolution supple-  
7 mental to a resolution of the dormitory authority adopted prior to July  
8 first, nineteen hundred eighty-five, if the principal amount of bonds so  
9 to be issued when added to the principal amount of bonds previously  
10 issued pursuant to any such resolution, except bonds issued to refund or  
11 to be substituted for or in lieu of other bonds in relation to city  
12 university facilities, will exceed [nine billion six hundred sixty-one  
13 million thirty thousand dollars \$9,661,030,000] ten billion ninety-eight  
14 million six hundred twenty-six thousand dollars \$10,098,626,000. The  
15 legislature reserves the right to amend or repeal such limit, and the  
16 state of New York, the dormitory authority, the city university, and the  
17 fund are prohibited from covenanting or making any other agreements with  
18 or for the benefit of bondholders which might in any way affect such  
19 right.

20 § 36. Subdivision 10-a of section 1680 of the public authorities law,  
21 as amended by section 31 of part JJJ of chapter 59 of the laws of 2021,  
22 is amended to read as follows:

23 10-a. Subject to the provisions of chapter fifty-nine of the laws of  
24 two thousand, but notwithstanding any other provision of the law to the  
25 contrary, the maximum amount of bonds and notes to be issued after March  
26 thirty-first, two thousand two, on behalf of the state, in relation to  
27 any locally sponsored community college, shall be [one billion sixty-six  
28 million two hundred fifty-seven thousand dollars \$1,066,257,000] one



1 billion one hundred twenty-three million one hundred forty thousand  
2 dollars \$1,123,140,000. Such amount shall be exclusive of bonds and  
3 notes issued to fund any reserve fund or funds, costs of issuance and to  
4 refund any outstanding bonds and notes, issued on behalf of the state,  
5 relating to a locally sponsored community college.

6 § 37. Subdivision 1 of section 17 of part D of chapter 389 of the laws  
7 of 1997, relating to the financing of the correctional facilities  
8 improvement fund and the youth facility improvement fund, as amended by  
9 section 32 of part JJJ of chapter 59 of the laws of 2021, is amended to  
10 read as follows:

11 1. Subject to the provisions of chapter 59 of the laws of 2000, but  
12 notwithstanding the provisions of section 18 of section 1 of chapter 174  
13 of the laws of 1968, the New York state urban development corporation is  
14 hereby authorized to issue bonds, notes and other obligations in an  
15 aggregate principal amount not to exceed [eight hundred seventy-six  
16 million fifteen thousand dollars \$876,015,000] nine hundred eleven  
17 million seven hundred fifteen thousand dollars \$911,715,000, which  
18 authorization increases the aggregate principal amount of bonds, notes  
19 and other obligations authorized by section 40 of chapter 309 of the  
20 laws of 1996, and shall include all bonds, notes and other obligations  
21 issued pursuant to chapter 211 of the laws of 1990, as amended or  
22 supplemented. The proceeds of such bonds, notes or other obligations  
23 shall be paid to the state, for deposit in the youth facilities improve-  
24 ment fund, to pay for all or any portion of the amount or amounts paid  
25 by the state from appropriations or reappropriations made to the office  
26 of children and family services from the youth facilities improvement  
27 fund for capital projects. The aggregate amount of bonds, notes and  
28 other obligations authorized to be issued pursuant to this section shall

1 exclude bonds, notes or other obligations issued to refund or otherwise  
2 repay bonds, notes or other obligations theretofore issued, the proceeds  
3 of which were paid to the state for all or a portion of the amounts  
4 expended by the state from appropriations or reappropriations made to  
5 the office of children and family services; provided, however, that upon  
6 any such refunding or repayment the total aggregate principal amount of  
7 outstanding bonds, notes or other obligations may be greater than [eight  
8 hundred seventy-six million fifteen thousand dollars \$876,015,000] nine  
9 hundred eleven million seven hundred fifteen thousand dollars  
10 \$911,715,000, only if the present value of the aggregate debt service of  
11 the refunding or repayment bonds, notes or other obligations to be  
12 issued shall not exceed the present value of the aggregate debt service  
13 of the bonds, notes or other obligations so to be refunded or repaid.  
14 For the purposes hereof, the present value of the aggregate debt service  
15 of the refunding or repayment bonds, notes or other obligations and of  
16 the aggregate debt service of the bonds, notes or other obligations so  
17 refunded or repaid, shall be calculated by utilizing the effective  
18 interest rate of the refunding or repayment bonds, notes or other obli-  
19 gations, which shall be that rate arrived at by doubling the semi-annual  
20 interest rate (compounded semi-annually) necessary to discount the debt  
21 service payments on the refunding or repayment bonds, notes or other  
22 obligations from the payment dates thereof to the date of issue of the  
23 refunding or repayment bonds, notes or other obligations and to the  
24 price bid including estimated accrued interest or proceeds received by  
25 the corporation including estimated accrued interest from the sale ther-  
26 eof.

27 § 38. Paragraph b of subdivision 2 of section 9-a of section 1 of  
28 chapter 392 of the laws of 1973, constituting the New York state medical

1 care facilities finance agency act, as amended by section 33 of part JJJ  
2 of chapter 59 of the laws of 2021, is amended to read as follows:

3 b. The agency shall have power and is hereby authorized from time to  
4 time to issue negotiable bonds and notes in conformity with applicable  
5 provisions of the uniform commercial code in such principal amount as,  
6 in the opinion of the agency, shall be necessary, after taking into  
7 account other moneys which may be available for the purpose, to provide  
8 sufficient funds to the facilities development corporation, or any  
9 successor agency, for the financing or refinancing of or for the design,  
10 construction, acquisition, reconstruction, rehabilitation or improvement  
11 of mental health services facilities pursuant to paragraph a of this  
12 subdivision, the payment of interest on mental health services improve-  
13 ment bonds and mental health services improvement notes issued for such  
14 purposes, the establishment of reserves to secure such bonds and notes,  
15 the cost or premium of bond insurance or the costs of any financial  
16 mechanisms which may be used to reduce the debt service that would be  
17 payable by the agency on its mental health services facilities improve-  
18 ment bonds and notes and all other expenditures of the agency incident  
19 to and necessary or convenient to providing the facilities development  
20 corporation, or any successor agency, with funds for the financing or  
21 refinancing of or for any such design, construction, acquisition, recon-  
22 struction, rehabilitation or improvement and for the refunding of mental  
23 hygiene improvement bonds issued pursuant to section 47-b of the private  
24 housing finance law; provided, however, that the agency shall not issue  
25 mental health services facilities improvement bonds and mental health  
26 services facilities improvement notes in an aggregate principal amount  
27 exceeding [ten billion four hundred seventy-six million seven hundred  
28 seventy-three thousand dollars \$10,476,773,000] ten billion nine hundred

1 thirty-two million six hundred thirty-three thousand dollars  
2 \$10,932,633,000, excluding mental health services facilities improvement  
3 bonds and mental health services facilities improvement notes issued to  
4 refund outstanding mental health services facilities improvement bonds  
5 and mental health services facilities improvement notes; provided,  
6 however, that upon any such refunding or repayment of mental health  
7 services facilities improvement bonds and/or mental health services  
8 facilities improvement notes the total aggregate principal amount of  
9 outstanding mental health services facilities improvement bonds and  
10 mental health facilities improvement notes may be greater than [ten  
11 billion four hundred seventy-six million seven hundred seventy-three  
12 thousand dollars \$10,476,773,000] ten billion nine hundred thirty-two  
13 million six hundred thirty-three thousand dollars \$10,932,633,000, only  
14 if, except as hereinafter provided with respect to mental health  
15 services facilities bonds and mental health services facilities notes  
16 issued to refund mental hygiene improvement bonds authorized to be  
17 issued pursuant to the provisions of section 47-b of the private housing  
18 finance law, the present value of the aggregate debt service of the  
19 refunding or repayment bonds to be issued shall not exceed the present  
20 value of the aggregate debt service of the bonds to be refunded or  
21 repaid. For purposes hereof, the present values of the aggregate debt  
22 service of the refunding or repayment bonds, notes or other obligations  
23 and of the aggregate debt service of the bonds, notes or other obli-  
24 gations so refunded or repaid, shall be calculated by utilizing the  
25 effective interest rate of the refunding or repayment bonds, notes or  
26 other obligations, which shall be that rate arrived at by doubling the  
27 semi-annual interest rate (compounded semi-annually) necessary to  
28 discount the debt service payments on the refunding or repayment bonds,

1 notes or other obligations from the payment dates thereof to the date of  
2 issue of the refunding or repayment bonds, notes or other obligations  
3 and to the price bid including estimated accrued interest or proceeds  
4 received by the authority including estimated accrued interest from the  
5 sale thereof. Such bonds, other than bonds issued to refund outstanding  
6 bonds, shall be scheduled to mature over a term not to exceed the aver-  
7 age useful life, as certified by the facilities development corporation,  
8 of the projects for which the bonds are issued, and in any case shall  
9 not exceed thirty years and the maximum maturity of notes or any  
10 renewals thereof shall not exceed five years from the date of the  
11 original issue of such notes. Notwithstanding the provisions of this  
12 section, the agency shall have the power and is hereby authorized to  
13 issue mental health services facilities improvement bonds and/or mental  
14 health services facilities improvement notes to refund outstanding  
15 mental hygiene improvement bonds authorized to be issued pursuant to the  
16 provisions of section 47-b of the private housing finance law and the  
17 amount of bonds issued or outstanding for such purposes shall not be  
18 included for purposes of determining the amount of bonds issued pursuant  
19 to this section. The director of the budget shall allocate the aggregate  
20 principal authorized to be issued by the agency among the office of  
21 mental health, office for people with developmental disabilities, and  
22 the office of addiction services and supports, in consultation with  
23 their respective commissioners to finance bondable appropriations previ-  
24 ously approved by the legislature.

25 § 39. Subdivision (a) of section 28 of part Y of chapter 61 of the  
26 laws of 2005, relating to providing for the administration of certain  
27 funds and accounts related to the 2005-2006 budget, as amended by

1 section 34 of part JJJ of chapter 59 of the laws of 2021, is amended to  
2 read as follows:

3 (a) Subject to the provisions of chapter 59 of the laws of 2000, but  
4 notwithstanding any provisions of law to the contrary, one or more  
5 authorized issuers as defined by section 68-a of the state finance law  
6 are hereby authorized to issue bonds or notes in one or more series in  
7 an aggregate principal amount not to exceed [one hundred seventy-two  
8 million dollars \$172,000,000] one hundred ninety-seven million dollars  
9 \$197,000,000, excluding bonds issued to finance one or more debt service  
10 reserve funds, to pay costs of issuance of such bonds, and bonds or  
11 notes issued to refund or otherwise repay such bonds or notes previously  
12 issued, for the purpose of financing capital projects for public  
13 protection facilities in the Division of Military and Naval Affairs,  
14 debt service and leases; and to reimburse the state general fund for  
15 disbursements made therefor. Such bonds and notes of such authorized  
16 issuer shall not be a debt of the state, and the state shall not be  
17 liable thereon, nor shall they be payable out of any funds other than  
18 those appropriated by the state to such authorized issuer for debt  
19 service and related expenses pursuant to any service contract executed  
20 pursuant to subdivision (b) of this section and such bonds and notes  
21 shall contain on the face thereof a statement to such effect. Except for  
22 purposes of complying with the internal revenue code, any interest  
23 income earned on bond proceeds shall only be used to pay debt service on  
24 such bonds.

25 § 40. Section 53 of section 1 of chapter 174 of the laws of 1968,  
26 constituting the New York state urban development corporation act, as  
27 amended by section 35 of part JJJ of chapter 59 of the laws of 2021, is  
28 amended to read as follows:

1 § 53. 1. Notwithstanding the provisions of any other law to the  
2 contrary, the dormitory authority and the urban development corporation  
3 are hereby authorized to issue bonds or notes in one or more series for  
4 the purpose of funding project costs for the acquisition of equipment,  
5 including but not limited to the creation or modernization of informa-  
6 tion technology systems and related research and development equipment,  
7 health and safety equipment, heavy equipment and machinery, the creation  
8 or improvement of security systems, and laboratory equipment and other  
9 state costs associated with such capital projects. The aggregate princi-  
10 pal amount of bonds authorized to be issued pursuant to this section  
11 shall not exceed [two hundred ninety-three million dollars \$293,000,000]  
12 three hundred ninety-three million dollars \$393,000,000, excluding bonds  
13 issued to fund one or more debt service reserve funds, to pay costs of  
14 issuance of such bonds, and bonds or notes issued to refund or otherwise  
15 repay such bonds or notes previously issued. Such bonds and notes of the  
16 dormitory authority and the urban development corporation shall not be a  
17 debt of the state, and the state shall not be liable thereon, nor shall  
18 they be payable out of any funds other than those appropriated by the  
19 state to the dormitory authority and the urban development corporation  
20 for principal, interest, and related expenses pursuant to a service  
21 contract and such bonds and notes shall contain on the face thereof a  
22 statement to such effect. Except for purposes of complying with the  
23 internal revenue code, any interest income earned on bond proceeds shall  
24 only be used to pay debt service on such bonds.

25 2. Notwithstanding any other provision of law to the contrary, in  
26 order to assist the dormitory authority and the urban development corpo-  
27 ration in undertaking the financing for project costs for the acquisi-  
28 tion of equipment, including but not limited to the creation or modern-

1 ization of information technology systems and related research and  
2 development equipment, health and safety equipment, heavy equipment and  
3 machinery, the creation or improvement of security systems, and labora-  
4 tory equipment and other state costs associated with such capital  
5 projects, the director of the budget is hereby authorized to enter into  
6 one or more service contracts with the dormitory authority and the urban  
7 development corporation, none of which shall exceed thirty years in  
8 duration, upon such terms and conditions as the director of the budget  
9 and the dormitory authority and the urban development corporation agree,  
10 so as to annually provide to the dormitory authority and the urban  
11 development corporation, in the aggregate, a sum not to exceed the prin-  
12 cipal, interest, and related expenses required for such bonds and notes.  
13 Any service contract entered into pursuant to this section shall provide  
14 that the obligation of the state to pay the amount therein provided  
15 shall not constitute a debt of the state within the meaning of any  
16 constitutional or statutory provision and shall be deemed executory only  
17 to the extent of monies available and that no liability shall be  
18 incurred by the state beyond the monies available for such purpose,  
19 subject to annual appropriation by the legislature. Any such contract or  
20 any payments made or to be made thereunder may be assigned and pledged  
21 by the dormitory authority and the urban development corporation as  
22 security for its bonds and notes, as authorized by this section.

23 § 41. Subdivision (b) of section 11 of chapter 329 of the laws of  
24 1991, amending the state finance law and other laws relating to the  
25 establishment of the dedicated highway and bridge trust fund, as amended  
26 by section 36 of part JJJ of chapter 59 of the laws of 2021, is amended  
27 to read as follows:



1 (b) Any service contract or contracts for projects authorized pursuant  
2 to sections 10-c, 10-f, 10-g and 80-b of the highway law and section  
3 14-k of the transportation law, and entered into pursuant to subdivision  
4 (a) of this section, shall provide for state commitments to provide  
5 annually to the thruway authority a sum or sums, upon such terms and  
6 conditions as shall be deemed appropriate by the director of the budget,  
7 to fund, or fund the debt service requirements of any bonds or any obli-  
8 gations of the thruway authority issued to fund or to reimburse the  
9 state for funding such projects having a cost not in excess of [twelve  
10 billion two hundred sixty million five hundred twenty-eight thousand  
11 dollars \$12,260,528,000] thirteen billion fifty-three million eight  
12 hundred eighty-one thousand dollars \$13,053,881,000 cumulatively by the  
13 end of fiscal year [2021-22] 2022-23. For purposes of this subdivision,  
14 such projects shall be deemed to include capital grants to cities, towns  
15 and villages for the reimbursement of eligible capital costs of local  
16 highway and bridge projects within such municipality, where allocations  
17 to cities, towns and villages are based on the total number of New York  
18 or United States or interstate signed touring route miles for which such  
19 municipality has capital maintenance responsibility, and where such  
20 eligible capital costs include the costs of construction and repair of  
21 highways, bridges, highway-railroad crossings, and other transportation  
22 facilities for projects with a service life of ten years or more.

23 § 42. Subdivision 1 of section 1689-i of the public authorities law,  
24 as amended by section 37 of part JJJ of chapter 59 of the laws of 2021,  
25 is amended to read as follows:

26 1. The dormitory authority is authorized to issue bonds, at the  
27 request of the commissioner of education, to finance eligible library  
28 construction projects pursuant to section two hundred seventy-three-a of

1 the education law, in amounts certified by such commissioner not to  
2 exceed a total principal amount of [two hundred ninety-nine million  
3 dollars \$299,000,000] three hundred thirteen million dollars  
4 \$313,000,000.

5 § 43. Section 44 of section 1 of chapter 174 of the laws of 1968,  
6 constituting the New York state urban development corporation act, as  
7 amended by section 38 of part JJJ of chapter 59 of the laws of 2021, is  
8 amended to read as follows:

9 § 44. Issuance of certain bonds or notes. 1. Notwithstanding the  
10 provisions of any other law to the contrary, the dormitory authority and  
11 the corporation are hereby authorized to issue bonds or notes in one or  
12 more series for the purpose of funding project costs for the regional  
13 economic development council initiative, the economic transformation  
14 program, state university of New York college for nanoscale and science  
15 engineering, projects within the city of Buffalo or surrounding envi-  
16 rons, the New York works economic development fund, projects for the  
17 retention of professional football in western New York, the empire state  
18 economic development fund, the clarkson-trudeau partnership, the New  
19 York genome center, the cornell university college of veterinary medi-  
20 cine, the olympic regional development authority, projects at nano  
21 Utica, onondaga county revitalization projects, Binghamton university  
22 school of pharmacy, New York power electronics manufacturing consortium,  
23 regional infrastructure projects, high tech innovation and economic  
24 development infrastructure program, high technology manufacturing  
25 projects in Chautauqua and Erie county, an industrial scale research and  
26 development facility in Clinton county, upstate revitalization initi-  
27 ative projects, downstate revitalization initiative, market New York  
28 projects, fairground buildings, equipment or facilities used to house

1 and promote agriculture, the state fair, the empire state trail, the  
2 moynihan station development project, the Kingsbridge armory project,  
3 strategic economic development projects, the cultural, arts and public  
4 spaces fund, water infrastructure in the city of Auburn and town of  
5 Owasco, a life sciences laboratory public health initiative, not-for-  
6 profit pounds, shelters and humane societies, arts and cultural facili-  
7 ties improvement program, restore New York's communities initiative,  
8 heavy equipment, economic development and infrastructure projects,  
9 Roosevelt Island operating corporation capital projects, Lake Ontario  
10 regional projects, Pennsylvania station and other transit projects and  
11 other state costs associated with such projects. The aggregate principal  
12 amount of bonds authorized to be issued pursuant to this section shall  
13 not exceed [eleven billion two hundred seventy-nine million two hundred  
14 two thousand dollars \$11,279,202,000] thirteen billion nine hundred  
15 thirty-eight million four hundred two thousand dollars \$13,938,402,000,  
16 excluding bonds issued to fund one or more debt service reserve funds,  
17 to pay costs of issuance of such bonds, and bonds or notes issued to  
18 refund or otherwise repay such bonds or notes previously issued. Such  
19 bonds and notes of the dormitory authority and the corporation shall not  
20 be a debt of the state, and the state shall not be liable thereon, nor  
21 shall they be payable out of any funds other than those appropriated by  
22 the state to the dormitory authority and the corporation for principal,  
23 interest, and related expenses pursuant to a service contract and such  
24 bonds and notes shall contain on the face thereof a statement to such  
25 effect. Except for purposes of complying with the internal revenue code,  
26 any interest income earned on bond proceeds shall only be used to pay  
27 debt service on such bonds.

1 2. Notwithstanding any other provision of law to the contrary, in  
2 order to assist the dormitory authority and the corporation in undertak-  
3 ing the financing for project costs for the regional economic develop-  
4 ment council initiative, the economic transformation program, state  
5 university of New York college for nanoscale and science engineering,  
6 projects within the city of Buffalo or surrounding environs, the New  
7 York works economic development fund, projects for the retention of  
8 professional football in western New York, the empire state economic  
9 development fund, the clarkson-trudeau partnership, the New York genome  
10 center, the cornell university college of veterinary medicine, the olym-  
11 pic regional development authority, projects at nano Utica, onondaga  
12 county revitalization projects, Binghamton university school of pharma-  
13 cy, New York power electronics manufacturing consortium, regional  
14 infrastructure projects, New York State Capital Assistance Program for  
15 Transportation, infrastructure, and economic development, high tech  
16 innovation and economic development infrastructure program, high tech-  
17 nology manufacturing projects in Chautauqua and Erie county, an indus-  
18 trial scale research and development facility in Clinton county, upstate  
19 revitalization initiative projects, downstate revitalization initiative,  
20 market New York projects, fairground buildings, equipment or facilities  
21 used to house and promote agriculture, the state fair, the empire state  
22 trail, the moynihan station development project, the Kingsbridge armory  
23 project, strategic economic development projects, the cultural, arts and  
24 public spaces fund, water infrastructure in the city of Auburn and town  
25 of Owasco, a life sciences laboratory public health initiative, not-for-  
26 profit pounds, shelters and humane societies, arts and cultural facili-  
27 ties improvement program, restore New York's communities initiative,  
28 heavy equipment, economic development and infrastructure projects,

1 Roosevelt Island operating corporation capital projects, Lake Ontario  
2 regional projects, Pennsylvania station and other transit projects and  
3 other state costs associated with such projects the director of the  
4 budget is hereby authorized to enter into one or more service contracts  
5 with the dormitory authority and the corporation, none of which shall  
6 exceed thirty years in duration, upon such terms and conditions as the  
7 director of the budget and the dormitory authority and the corporation  
8 agree, so as to annually provide to the dormitory authority and the  
9 corporation, in the aggregate, a sum not to exceed the principal, inter-  
10 est, and related expenses required for such bonds and notes. Any service  
11 contract entered into pursuant to this section shall provide that the  
12 obligation of the state to pay the amount therein provided shall not  
13 constitute a debt of the state within the meaning of any constitutional  
14 or statutory provision and shall be deemed executory only to the extent  
15 of monies available and that no liability shall be incurred by the state  
16 beyond the monies available for such purpose, subject to annual appro-  
17 priation by the legislature. Any such contract or any payments made or  
18 to be made thereunder may be assigned and pledged by the dormitory  
19 authority and the corporation as security for its bonds and notes, as  
20 authorized by this section.

21 § 44. Subdivision 1 of section 386-b of the public authorities law, as  
22 amended by section 39 of part JJJ of chapter 59 of the laws of 2021, is  
23 amended to read as follows:

24 1. Notwithstanding any other provision of law to the contrary, the  
25 authority, the dormitory authority and the urban development corporation  
26 are hereby authorized to issue bonds or notes in one or more series for  
27 the purpose of financing peace bridge projects and capital costs of  
28 state and local highways, parkways, bridges, the New York state thruway,

1 Indian reservation roads, and facilities, and transportation infrastruc-  
2 ture projects including aviation projects, non-MTA mass transit  
3 projects, and rail service preservation projects, including work appur-  
4 tenant and ancillary thereto. The aggregate principal amount of bonds  
5 authorized to be issued pursuant to this section shall not exceed [eight  
6 billion eight hundred thirty-nine million nine hundred sixty-three thou-  
7 sand dollars \$8,839,963,000] ten billion one hundred forty-seven million  
8 eight hundred sixty-three thousand dollars \$10,147,863,000, excluding  
9 bonds issued to fund one or more debt service reserve funds, to pay  
10 costs of issuance of such bonds, and to refund or otherwise repay such  
11 bonds or notes previously issued. Such bonds and notes of the authori-  
12 ty, the dormitory authority and the urban development corporation shall  
13 not be a debt of the state, and the state shall not be liable thereon,  
14 nor shall they be payable out of any funds other than those appropriated  
15 by the state to the authority, the dormitory authority and the urban  
16 development corporation for principal, interest, and related expenses  
17 pursuant to a service contract and such bonds and notes shall contain on  
18 the face thereof a statement to such effect. Except for purposes of  
19 complying with the internal revenue code, any interest income earned on  
20 bond proceeds shall only be used to pay debt service on such bonds.

21 § 45. Paragraph (a) of subdivision 2 of section 47-e of the private  
22 housing finance law, as amended by section 40 of part JJJ of chapter 59  
23 of the laws of 2021, is amended to read as follows:

24 (a) Subject to the provisions of chapter fifty-nine of the laws of two  
25 thousand, in order to enhance and encourage the promotion of housing  
26 programs and thereby achieve the stated purposes and objectives of such  
27 housing programs, the agency shall have the power and is hereby author-  
28 ized from time to time to issue negotiable housing program bonds and

1 notes in such principal amount as shall be necessary to provide suffi-  
2 cient funds for the repayment of amounts disbursed (and not previously  
3 reimbursed) pursuant to law or any prior year making capital appropri-  
4 ations or reappropriations for the purposes of the housing program;  
5 provided, however, that the agency may issue such bonds and notes in an  
6 aggregate principal amount not exceeding [seven billion five hundred  
7 forty-five million one hundred seven thousand dollars \$7,545,107,000]  
8 twelve billion four hundred fifty-one million five hundred eleven thou-  
9 sand dollars \$12,451,511,000, plus a principal amount of bonds issued to  
10 fund the debt service reserve fund in accordance with the debt service  
11 reserve fund requirement established by the agency and to fund any other  
12 reserves that the agency reasonably deems necessary for the security or  
13 marketability of such bonds and to provide for the payment of fees and  
14 other charges and expenses, including underwriters' discount, trustee  
15 and rating agency fees, bond insurance, credit enhancement and liquidity  
16 enhancement related to the issuance of such bonds and notes. No reserve  
17 fund securing the housing program bonds shall be entitled or eligible to  
18 receive state funds apportioned or appropriated to maintain or restore  
19 such reserve fund at or to a particular level, except to the extent of  
20 any deficiency resulting directly or indirectly from a failure of the  
21 state to appropriate or pay the agreed amount under any of the contracts  
22 provided for in subdivision four of this section.

23 § 46. Subdivision 1 of section 50 of section 1 of chapter 174 of the  
24 laws of 1968, constituting the New York state urban development corpo-  
25 ration act, as amended by section 41 of part JJJ of chapter 59 of the  
26 laws of 2021, is amended to read as follows:

27 1. Notwithstanding the provisions of any other law to the contrary,  
28 the dormitory authority and the urban development corporation are hereby

1 authorized to issue bonds or notes in one or more series for the purpose  
2 of funding project costs undertaken by or on behalf of the state educa-  
3 tion department, special act school districts, state-supported schools  
4 for the blind and deaf, approved private special education schools,  
5 non-public schools, community centers, day care facilities, residential  
6 camps, day camps, Native American Indian Nation schools, and other state  
7 costs associated with such capital projects. The aggregate principal  
8 amount of bonds authorized to be issued pursuant to this section shall  
9 not exceed [two hundred thirty-six million dollars \$236,000,000] three  
10 hundred one million seven hundred thousand dollars \$301,700,000, exclud-  
11 ing bonds issued to fund one or more debt service reserve funds, to pay  
12 costs of issuance of such bonds, and bonds or notes issued to refund or  
13 otherwise repay such bonds or notes previously issued. Such bonds and  
14 notes of the dormitory authority and the urban development corporation  
15 shall not be a debt of the state, and the state shall not be liable  
16 thereon, nor shall they be payable out of any funds other than those  
17 appropriated by the state to the dormitory authority and the urban  
18 development corporation for principal, interest, and related expenses  
19 pursuant to a service contract and such bonds and notes shall contain on  
20 the face thereof a statement to such effect. Except for purposes of  
21 complying with the internal revenue code, any interest income earned on  
22 bond proceeds shall only be used to pay debt service on such bonds.

23 § 47. Subdivision 1 of section 47 of section 1 of chapter 174 of the  
24 laws of 1968, constituting the New York state urban development corpo-  
25 ration act, as amended by section 42 of part JJJ of chapter 59 of the  
26 laws of 2021, is amended to read as follows:

27 1. Notwithstanding the provisions of any other law to the contrary,  
28 the dormitory authority and the corporation are hereby authorized to



1 issue bonds or notes in one or more series for the purpose of funding  
2 project costs for the office of information technology services, depart-  
3 ment of law, and other state costs associated with such capital  
4 projects. The aggregate principal amount of bonds authorized to be  
5 issued pursuant to this section shall not exceed [nine hundred seventy-  
6 four million two hundred fifty-four thousand dollars \$974,254,000] one  
7 billion one hundred twenty-five million sixty-six thousand dollars  
8 \$1,125,066,000 excluding bonds issued to fund one or more debt service  
9 reserve funds, to pay costs of issuance of such bonds, and bonds or  
10 notes issued to refund or otherwise repay such bonds or notes previously  
11 issued. Such bonds and notes of the dormitory authority and the corpo-  
12 ration shall not be a debt of the state, and the state shall not be  
13 liable thereon, nor shall they be payable out of any funds other than  
14 those appropriated by the state to the dormitory authority and the  
15 corporation for principal, interest, and related expenses pursuant to a  
16 service contract and such bonds and notes shall contain on the face  
17 thereof a statement to such effect. Except for purposes of complying  
18 with the internal revenue code, any interest income earned on bond  
19 proceeds shall only be used to pay debt service on such bonds.

20 § 48. Paragraph (b) of subdivision 1 of section 385 of the public  
21 authorities law, as amended by section 43 of part JJJ of chapter 59 of  
22 the laws of 2021, is amended to read as follows:

23 (b) The authority is hereby authorized, as additional corporate  
24 purposes thereof solely upon the request of the director of the budget:

25 (i) to issue special emergency highway and bridge trust fund bonds and  
26 notes for a term not to exceed thirty years and to incur obligations  
27 secured by the moneys appropriated from the dedicated highway and bridge  
28 trust fund established in section eighty-nine-b of the state finance

1 law; (ii) to make available the proceeds in accordance with instructions  
2 provided by the director of the budget from the sale of such special  
3 emergency highway and bridge trust fund bonds, notes or other obli-  
4 gations, net of all costs to the authority in connection therewith, for  
5 the purposes of financing all or a portion of the costs of activities  
6 for which moneys in the dedicated highway and bridge trust fund estab-  
7 lished in section eighty-nine-b of the state finance law are authorized  
8 to be utilized or for the financing of disbursements made by the state  
9 for the activities authorized pursuant to section eighty-nine-b of the  
10 state finance law; and (iii) to enter into agreements with the commis-  
11 sioner of transportation pursuant to section ten-e of the highway law  
12 with respect to financing for any activities authorized pursuant to  
13 section eighty-nine-b of the state finance law, or agreements with the  
14 commissioner of transportation pursuant to sections ten-f and ten-g of  
15 the highway law in connection with activities on state highways pursuant  
16 to these sections, and (iv) to enter into service contracts, contracts,  
17 agreements, deeds and leases with the director of the budget or the  
18 commissioner of transportation and project sponsors and others to  
19 provide for the financing by the authority of activities authorized  
20 pursuant to section eighty-nine-b of the state finance law, and each of  
21 the director of the budget and the commissioner of transportation are  
22 hereby authorized to enter into service contracts, contracts, agree-  
23 ments, deeds and leases with the authority, project sponsors or others  
24 to provide for such financing. The authority shall not issue any bonds  
25 or notes in an amount in excess of [eighteen billion one hundred fifty  
26 million dollars \$18,150,000,000] nineteen billion seven hundred seven-  
27 ty-six million nine hundred twenty thousand dollars \$19,776,920,000,  
28 plus a principal amount of bonds or notes: (A) to fund capital reserve

1 funds; (B) to provide capitalized interest; and, (C) to fund other costs  
2 of issuance. In computing for the purposes of this subdivision, the  
3 aggregate amount of indebtedness evidenced by bonds and notes of the  
4 authority issued pursuant to this section, as amended by a chapter of  
5 the laws of nineteen hundred ninety-six, there shall be excluded the  
6 amount of bonds or notes issued that would constitute interest under the  
7 United States Internal Revenue Code of 1986, as amended, and the amount  
8 of indebtedness issued to refund or otherwise repay bonds or notes.

9 § 49. Subdivision 1 of section 386-a of the public authorities law, as  
10 amended by section 44 of part JJJ of chapter 59 of the laws of 2021, is  
11 amended to read as follows:

12 1. Notwithstanding any other provision of law to the contrary, the  
13 authority, the dormitory authority and the urban development corporation  
14 are hereby authorized to issue bonds or notes in one or more series for  
15 the purpose of assisting the metropolitan transportation authority in  
16 the financing of transportation facilities as defined in subdivision  
17 seventeen of section twelve hundred sixty-one of this chapter or other  
18 capital projects. The aggregate principal amount of bonds authorized to  
19 be issued pursuant to this section shall not exceed twelve billion five  
20 hundred fifteen million eight hundred fifty-six thousand dollars  
21 \$12,515,856,000, excluding bonds issued to fund one or more debt service  
22 reserve funds, to pay costs of issuance of such bonds, and to refund or  
23 otherwise repay such bonds or notes previously issued. Such bonds and  
24 notes of the authority, the dormitory authority and the urban develop-  
25 ment corporation shall not be a debt of the state, and the state shall  
26 not be liable thereon, nor shall they be payable out of any funds other  
27 than those appropriated by the state to the authority, the dormitory  
28 authority and the urban development corporation for principal, interest,

1 and related expenses pursuant to a service contract and such bonds and  
2 notes shall contain on the face thereof a statement to such effect.  
3 Except for purposes of complying with the internal revenue code, any  
4 interest income earned on bond proceeds shall only be used to pay debt  
5 service on such bonds. Notwithstanding any other provision of law to the  
6 contrary, including the limitations contained in subdivision four of  
7 section sixty-seven-b of the state finance law, (A) any bonds and notes  
8 issued prior to April first, two thousand [twenty-two] twenty-three  
9 pursuant to this section may be issued with a maximum maturity of fifty  
10 years, and (B) any bonds issued to refund such bonds and notes may be  
11 issued with a maximum maturity of fifty years from the respective date  
12 of original issuance of such bonds and notes.

13 § 50. Subdivision 1 of section 1680-r of the public authorities law,  
14 as amended by section 47 of part JJJ of chapter 59 of the laws of 2021,  
15 is amended to read as follows:

16 1. Notwithstanding the provisions of any other law to the contrary,  
17 the dormitory authority and the urban development corporation are hereby  
18 authorized to issue bonds or notes in one or more series for the purpose  
19 of funding project costs for the capital restructuring financing program  
20 for health care and related facilities licensed pursuant to the public  
21 health law or the mental hygiene law and other state costs associated  
22 with such capital projects, the health care facility transformation  
23 programs, the essential health care provider program, and other health  
24 care capital project costs. The aggregate principal amount of bonds  
25 authorized to be issued pursuant to this section shall not exceed [three  
26 billion fifty-three million dollars \$3,053,000,000] four billion six  
27 hundred fifty-three million dollars \$4,653,000,000, excluding bonds  
28 issued to fund one or more debt service reserve funds, to pay costs of

1 issuance of such bonds, and bonds or notes issued to refund or otherwise  
2 repay such bonds or notes previously issued. Such bonds and notes of the  
3 dormitory authority and the urban development corporation shall not be a  
4 debt of the state, and the state shall not be liable thereon, nor shall  
5 they be payable out of any funds other than those appropriated by the  
6 state to the dormitory authority and the urban development corporation  
7 for principal, interest, and related expenses pursuant to a service  
8 contract and such bonds and notes shall contain on the face thereof a  
9 statement to such effect. Except for purposes of complying with the  
10 internal revenue code, any interest income earned on bond proceeds shall  
11 only be used to pay debt service on such bonds.

12 § 51. Subdivision 1 of section 1680-k of the public authorities law,  
13 as amended by section 62 of part BBB of chapter 59 of the laws of 2018,  
14 is amended to read as follows:

15 1. Subject to the provisions of chapter fifty-nine of the laws of two  
16 thousand, but notwithstanding any provisions of law to the contrary, the  
17 dormitory authority is hereby authorized to issue bonds or notes in one  
18 or more series in an aggregate principal amount not to exceed forty  
19 million [seven hundred fifteen thousand dollars] eight hundred thirty  
20 thousand dollars (\$40,830,000) excluding bonds issued to finance one or  
21 more debt service reserve funds, to pay costs of issuance of such bonds,  
22 and bonds or notes issued to refund or otherwise repay such bonds or  
23 notes previously issued, for the purpose of financing the construction  
24 of the New York state agriculture and markets food laboratory. Eligible  
25 project costs may include, but not be limited to the cost of design,  
26 financing, site investigations, site acquisition and preparation, demo-  
27 lition, construction, rehabilitation, acquisition of machinery and  
28 equipment, and infrastructure improvements. Such bonds and notes of such

1 authorized issuers shall not be a debt of the state, and the state shall  
2 not be liable thereon, nor shall they be payable out of any funds other  
3 than those appropriated by the state to such authorized issuers for debt  
4 service and related expenses pursuant to any service contract executed  
5 pursuant to subdivision two of this section and such bonds and notes  
6 shall contain on the face thereof a statement to such effect. Except for  
7 purposes of complying with the internal revenue code, any interest  
8 income earned on bond proceeds shall only be used to pay debt service on  
9 such bonds.

10 § 52. Paragraph (b) of subdivision 3 and clause (B) of subparagraph  
11 (iii) of paragraph (j) of subdivision 4 of section 1 of part D of chap-  
12 ter 63 of the laws of 2005 relating to the composition and responsibil-  
13 ities of the New York state higher education capital matching grant  
14 board, as amended by section 7 of part K of chapter 39 of the laws of  
15 2019, are amended to read as follows:

16 (b) Within amounts appropriated therefor, the board is hereby author-  
17 ized and directed to award matching capital grants totaling [three  
18 hundred million dollars, \$300,000,000] three hundred thirty million  
19 dollars, \$330,000,000. Each college shall be eligible for a grant award  
20 amount as determined by the calculations pursuant to subdivision five of  
21 this section. In addition, such colleges shall be eligible to compete  
22 for additional funds pursuant to paragraph (h) of subdivision four of  
23 this section.

24 (B) The dormitory authority shall not issue any bonds or notes in an  
25 amount in excess of [three hundred million dollars, \$300,000,000] three  
26 hundred thirty million dollars, \$330,000,000 for the purposes of this  
27 section; excluding bonds or notes issued to fund one or more debt  
28 service reserve funds, to pay costs of issuance of such bonds, and bonds

1 or notes issued to refund or otherwise repay such bonds or notes previ-  
2 ously issued. Except for purposes of complying with the internal revenue  
3 code, any interest on bond proceeds shall only be used to pay debt  
4 service on such bonds.

5 § 53. Subdivision 1 of section 51 of section 1 of chapter 174 of the  
6 laws of 1968, constituting the New York state urban development corpo-  
7 ration act, as amended by section 42-c of part XXX of chapter 59 of the  
8 laws of 2017, is amended to read as follows:

9 1. Notwithstanding the provisions of any other law to the contrary,  
10 the dormitory authority and the urban development corporation are hereby  
11 authorized to issue bonds or notes in one or more series for the purpose  
12 of funding project costs for the nonprofit infrastructure capital  
13 investment program and other state costs associated with such capital  
14 projects. The aggregate principal amount of bonds authorized to be  
15 issued pursuant to this section shall not exceed [one hundred twenty  
16 million dollars] one hundred seventy million dollars \$170,000,000,  
17 excluding bonds issued to fund one or more debt service reserve funds,  
18 to pay costs of issuance of such bonds, and bonds or notes issued to  
19 refund or otherwise repay such bonds or notes previously issued. Such  
20 bonds and notes of the dormitory authority and the urban development  
21 corporation shall not be a debt of the state, and the state shall not be  
22 liable thereon, nor shall they be payable out of any funds other than  
23 those appropriated by the state to the dormitory authority and the urban  
24 development corporation for principal, interest, and related expenses  
25 pursuant to a service contract and such bonds and notes shall contain on  
26 the face thereof a statement to such effect. Except for purposes of  
27 complying with the internal revenue code, any interest income earned on  
28 bond proceeds shall only be used to pay debt service on such bonds.

1 § 54. Section 1 of chapter 174 of the laws of 1968, constituting the  
2 New York state urban development corporation act, is amended by adding a  
3 new section 54-b to read as follows:

4 § 54-b. Personal income tax notes. 1. Findings and declaration of  
5 need. (a) The state of New York finds and determines that shortfalls in  
6 the state's financial plan arising from adverse economic and fiscal  
7 events and risks, disasters and emergencies, including but not limited  
8 to, public health emergencies, may occur or develop, and that the finan-  
9 cial impact of such events, risks, disasters and emergencies could be  
10 prudently mitigated by certain fiscal management authorization measures  
11 being legislatively authorized and established.

12 (b) Notwithstanding any other provision of law to the contrary,  
13 including, specifically, the provisions of chapter 59 of the laws of  
14 2000 and section sixty-seven-b of the state finance law, the dormitory  
15 authority of the state of New York and the corporation are hereby  
16 authorized to issue personal income tax revenue anticipation notes with  
17 a maturity no later than March 31, 2023, in one or more series in an  
18 aggregate principal amount for each fiscal year not to exceed three  
19 billion dollars, and to pay costs of issuance of such notes, for the  
20 purpose of temporarily financing budgetary needs of the state. Such  
21 purpose shall constitute an authorized purpose under subdivision two of  
22 section sixty-eight-a of the state finance law for all purposes of arti-  
23 cle five-C of the state finance law with respect to the notes authorized  
24 by this paragraph. Such notes shall not be renewed, extended or  
25 refunded. For so long as any notes authorized by this paragraph shall be  
26 outstanding, the restrictions, limitations and requirements contained in  
27 article five-B of the state finance law shall not apply.



1 (c) Such notes of the dormitory authority and the corporation shall  
2 not be a debt of the state, and the state shall not be liable thereon,  
3 nor shall they be payable out of any funds other than those appropriated  
4 by the state to the dormitory authority and the corporation for debt  
5 service and related expenses pursuant to any financing agreement  
6 described in paragraph (d) of this subdivision, and such notes shall  
7 contain on the face thereof a statement to such effect. Such notes shall  
8 be issued on a subordinate basis and shall be secured by subordinate  
9 payments from the revenue bond tax fund established pursuant to section  
10 ninety-two-z of the state finance law. Except for purposes of complying  
11 with the internal revenue code, any interest income earned on note  
12 proceeds shall only be used to pay debt service on such notes. All of  
13 the provisions of the state finance law, the dormitory authority act and  
14 this act relating to notes and bonds which are not inconsistent with the  
15 provisions of this section shall apply to notes authorized by paragraph  
16 (b) of this subdivision, including but not limited to the power to  
17 establish adequate reserves therefor, subject to the final maturity  
18 limitation for such notes set forth in paragraph (b) of this subdivi-  
19 sion. The issuance of any notes authorized by paragraph (b) of this  
20 subdivision shall further be subject to the approval of the director of  
21 the division of the budget.

22 (d) Notwithstanding any other law, rule or regulation to the contrary  
23 but subject to the limitations contained in paragraph (b) of this subdivi-  
24 vision, in order to assist the dormitory authority and the corporation  
25 in undertaking the administration and financing of such notes, the  
26 director of the budget is hereby authorized to supplement any existing  
27 financing agreement with the dormitory authority and/or the corporation,  
28 or to enter into a new financing agreement with the dormitory authority

1 and/or the corporation, upon such terms and conditions as the director  
2 of the budget and the dormitory authority and the corporation shall  
3 agree, so as to provide to the dormitory authority and the corporation,  
4 a sum not to exceed the debt service payments and related expenses  
5 required for any notes issued pursuant to paragraph (b) of this subdivi-  
6 sion. Any financing agreement supplemented or entered into pursuant to  
7 this section shall provide that the obligation of the state to pay the  
8 amount therein provided shall not constitute a debt of the state within  
9 the meaning of any constitutional or statutory provision and shall be  
10 deemed executory only to the extent of monies available and that no  
11 liability shall be incurred by the state beyond the monies available for  
12 such purposes, subject to annual appropriation by the legislature. Any  
13 such financing agreement or any payments made or to be made thereunder  
14 may be assigned or pledged by the dormitory authority and the corpo-  
15 ration as security for the notes authorized by paragraph (b) of this  
16 subdivision.

17 (e) Notwithstanding any other provision of law to the contrary,  
18 including specifically the provisions of subdivision 3 of section 67-b  
19 of the state finance law, no capital work or purpose shall be required  
20 for any issuance of personal income tax revenue anticipation notes  
21 issued by the dormitory authority and the corporation pursuant to para-  
22 graph (b) of this subdivision.

23 (f) Notwithstanding any other law, rule, or regulation to the contra-  
24 ry, the comptroller is hereby authorized and directed to deposit to the  
25 credit of the general fund, all proceeds of personal income tax revenue  
26 anticipation notes issued by the dormitory authority and the New York  
27 state urban development corporation pursuant to paragraph (b) of this  
28 subdivision.

1 2. Effect of inconsistent provisions. Insofar as the provisions of  
2 this section are inconsistent with the provisions of any other law,  
3 general, special, or local, the provisions of this section shall be  
4 controlling.

5 3. Severability; construction. The provisions of this section shall be  
6 severable, and if the application of any clause, sentence, paragraph,  
7 subdivision, section or part of this section to any person or circum-  
8 stance shall be adjudged by any court of competent jurisdiction to be  
9 invalid, such judgment shall not necessarily affect, impair or invali-  
10 date the application of any such clause, sentence, paragraph, subdivi-  
11 sion, section, part of this section or remainder thereof, as the case  
12 may be, to any other person or circumstance, but shall be confined in  
13 its operation to the clause, sentence, paragraph, subdivision, section  
14 or part thereof directly involved in the controversy in which such judg-  
15 ment shall have been rendered.

16 § 55. Section 1 of chapter 174 of the laws of 1968, constituting the  
17 New York state urban development corporation act, is amended by adding a  
18 new section 55-b to read as follows:

19 § 55-b. Line of credit facilities. 1. Findings and declaration of  
20 need. (a) The state of New York finds and determines that shortfalls in  
21 the state's financial plan arising from adverse economic and fiscal  
22 events and risks, disasters and emergencies, including but not limited  
23 to, public health emergencies, may occur or develop, and that the finan-  
24 cial impact of such events, risks, disasters and emergencies could be  
25 prudently mitigated by certain fiscal management authorization measures  
26 being legislatively authorized and established.

27 (b) Definitions. When used in this subdivision:

1 (i) "Line of credit facility" shall mean one or more revolving credit  
2 commitment arrangements between the dormitory authority of the state of  
3 New York and/or the urban development corporation with an individual  
4 financial institution or a consortium of financial institutions for the  
5 purpose of assisting the state to temporarily finance its budgetary  
6 needs.

7 (ii) "Related expenses and fees" shall mean interest costs, commitment  
8 fees and other costs, expenses and fees incurred in connection with a  
9 line of credit facility and/or a service contract or other agreement of  
10 the state securing such line of credit facility that contractually obli-  
11 gates the state to pay debt service subject to an appropriation.

12 (c) Notwithstanding any other provision of law to the contrary,  
13 including, specifically, the provisions of chapter 59 of the laws of  
14 2000 and section 67-b of the state finance law, the dormitory authority  
15 of the state of New York and the urban development corporation are  
16 authorized until March 31, 2023 to: (i) enter into one or more line of  
17 credit facilities not in excess of two billion dollars in aggregate  
18 principal amount; (ii) draw, at one or more times at the direction of  
19 the director of the budget, upon such line of credit facilities and  
20 provide to the state the amounts so drawn for the purpose of assisting  
21 the state to temporarily finance its budgetary needs; provided, however,  
22 that the total principal amounts of such draws for each fiscal year  
23 shall not exceed two billion dollars; and (iii) secure repayment of all  
24 draws under such line of credit facilities and the payment of related  
25 expenses and fees, which repayment and payment obligations shall not  
26 constitute a debt of the state within the meaning of any constitutional  
27 or statutory provision and shall be deemed executory only to the extent  
28 moneys are available and that no liability shall be incurred by the

1 state beyond the moneys available for such purpose, and that such  
2 payment obligation is subject to annual appropriation by the legisla-  
3 ture. Any line of credit facility agreements entered into by the dormi-  
4 tory authority of the state of New York and/or the urban development  
5 corporation with financial institutions pursuant to this section may  
6 contain such provisions that the dormitory authority of the state of New  
7 York and/or the urban development corporation deem necessary or desira-  
8 ble for the establishment of such credit facilities. The maximum term of  
9 any line of credit facility shall be one year from the date of incur-  
10 rence; provided however that no draw on any such line of credit facility  
11 shall occur after March 31, 2023, and provided further that any such  
12 line of credit facility whose term extends beyond March 31, 2023 shall  
13 be supported by sufficient appropriation authority enacted by the legis-  
14 lature that provides for the repayment of all amounts drawn and remain-  
15 ing unpaid as of March 31, 2023, as well as the payment of related  
16 expenses and fees incurred and to become due and payable by the dormito-  
17 ry authority of the state of New York and/or the urban development  
18 corporation.

19 (d) Notwithstanding any other law, rule, or regulation to the contra-  
20 ry, the comptroller is hereby authorized and directed to deposit to the  
21 credit of the general fund, all amounts provided by the dormitory  
22 authority of the state of New York and/or the urban development corpo-  
23 ration to the state from draws made on any line of credit facility  
24 authorized by paragraph (c) of this subdivision.

25 (e) Notwithstanding any other provision of law to the contrary, for so  
26 long as any amounts under a line of credit facility authorized by para-  
27 graph (c) of this subdivision are due and payable, such amounts shall  
28 not constitute nor be treated as state-supported debt for purposes of

1 article 5-B of the state finance law. As applicable, all of the  
2 provisions of the state finance law, the dormitory authority act and the  
3 New York state urban development corporation act relating to notes and  
4 bonds which are not inconsistent with the provisions of this section  
5 shall apply to any line of credit facility established in accordance  
6 with the authorization contained in paragraph (c) of this subdivision.

7 (f) Each draw on a line of credit facility authorized by paragraph (c)  
8 of this subdivision shall only be made if the service contract or other  
9 agreement entered into in connection with such line of credit facility  
10 is supported by sufficient appropriation authority enacted by the legis-  
11 lature to repay the amount of the draw and to pay the related expenses  
12 and fees to become due and payable. Amounts repaid under a line of cred-  
13 it facility may be re-borrowed under the same or another line of credit  
14 facility authorized by paragraph (c) of this subdivision provided that  
15 the legislature has enacted sufficient appropriation authority that  
16 provides for the repayment of any such re-borrowed amounts and the  
17 payment of the related expenses and fees to become due and payable.  
18 Neither the dormitory authority of the state of New York nor the urban  
19 development corporation shall have any financial liability for the  
20 repayment of draws under any line of credit facility authorized by para-  
21 graph (c) of this subdivision and the payment of the related expenses  
22 and fees beyond the moneys received for such purpose under any service  
23 contract or other agreement authorized by paragraph (g) of this subdivi-  
24 sion.

25 (g) The director of the budget is authorized to enter into one or more  
26 service contracts or other agreements, none of which shall exceed one  
27 year in duration, with the dormitory authority of the state of New York  
28 and/or the urban development corporation, upon such terms and conditions

1 as the director of the budget and dormitory authority of the state of  
2 New York and/or the urban development corporation shall agree. Any  
3 service contract or other agreement entered into pursuant to this para-  
4 graph shall provide for state commitments to provide annually to the  
5 dormitory authority of the state of New York and/or the urban develop-  
6 ment corporation a sum or sums, upon such terms and conditions as shall  
7 be deemed appropriate by the director of the budget and the dormitory  
8 authority of the state of New York and/or the urban development corpo-  
9 ration, to fund the payment of all amounts to become due and payable  
10 under any line of credit facility. Any such service contract or other  
11 agreement shall provide that the obligation of the director of the budg-  
12 et or of the state to fund or to pay the amounts therein provided for  
13 shall not constitute a debt of the state within the meaning of any  
14 constitutional or statutory provision and shall be deemed executory only  
15 to the extent moneys are available and that no liability shall be  
16 incurred by the state beyond the moneys available for such purpose, and  
17 that such obligation is subject to annual appropriation by the legisla-  
18 ture.

19 (h) Any service contract or other agreement entered into pursuant to  
20 paragraph (g) of this subdivision or any payments made or to be made  
21 thereunder may be assigned and pledged by the dormitory authority of the  
22 state of New York and/or the urban development corporation as security  
23 for any related payment obligation it may have with one or more finan-  
24 cial institutions in connection with a line of credit facility author-  
25 ized by paragraph (c) of this subdivision.

26 (i) In addition to the foregoing, the director of the budget, the  
27 dormitory authority of the state of New York and the urban development  
28 corporation shall each be authorized to enter into such other agreements

1 and to take or cause to be taken such additional actions as are neces-  
2 sary or desirable to effectuate the purposes of the transactions contem-  
3 plated by a line of credit facility and the related service contract or  
4 other agreement, subject to the limitations and restrictions set forth  
5 in this subdivision.

6 (j) No later than seven days after a draw occurs on a line of credit  
7 facility, the director of the budget shall provide notification of such  
8 draw to the president pro tempore of the senate and the speaker of the  
9 assembly.

10 2. Effect of inconsistent provisions. Insofar as the provisions of  
11 this section are inconsistent with the provisions of any other law,  
12 general, special, or local, the provisions of this act shall be control-  
13 ling.

14 3. Severability; construction. The provisions of this section shall be  
15 severable, and if the application of any clause, sentence, paragraph,  
16 subdivision, section or part of this section to any person or circum-  
17 stance shall be adjudged by any court of competent jurisdiction to be  
18 invalid, such judgment shall not necessarily affect, impair or invali-  
19 date the application of any such clause, sentence, paragraph, subdivi-  
20 sion, section, part of this section or remainder thereof, as the case  
21 may be, to any other person or circumstance, but shall be confined in  
22 its operation to the clause, sentence, paragraph, subdivision, section  
23 or part thereof directly involved in the controversy in which such judg-  
24 ment shall have been rendered.

25 § 56. Section 1 of chapter 174 of the laws of 1968, constituting the  
26 New York state urban development corporation act, is amended by adding a  
27 new section 58 to read as follows:



1 § 58. Gateway project. 1. Findings and declaration of need. The state  
2 of New York finds and determines that providing funding for the passen-  
3 ger rail transportation project commonly known as the gateway project,  
4 is needed to preserve and improve the functionality and strengthen the  
5 resiliency of long-distance and commuter rail infrastructure between the  
6 state of New York and the state of New Jersey.

7 2. Definitions. When used in this section:

8 "Commission" shall mean the gateway development commission, a bi-state  
9 commission and a body corporate and politic established by the state of  
10 New Jersey and the state of New York, acting in the public interest and  
11 exercising essential governmental functions in accordance with the Gate-  
12 way development commission act, and any successor thereto.

13 "Federal transportation loan" shall mean one or more loans made to the  
14 commission to finance the Hudson tunnel project under or pursuant to any  
15 U.S. Department of Transportation program or act, including but not  
16 limited to the Railroad Rehabilitation & Improvement Financing Program  
17 or the Transportation Infrastructure Finance and Innovation Act, which  
18 loan or loans are related to the state capital commitment.

19 "Gateway development commission act" shall mean chapter 108 of the  
20 laws of New York, 2019, as amended.

21 "Gateway project" shall mean the passenger rail and related infras-  
22 tructure projects undertaken by the commission, including the hudson  
23 tunnel project.

24 "Hudson tunnel project" shall mean the project consisting of  
25 construction of a tunnel connecting the states of New York and New  
26 Jersey and the completion of certain ancillary facilities including  
27 construction of concrete casing at Hudson Yards in Manhattan, New York  
28 and the rehabilitation of the existing North River Tunnels.

1 "State capital commitment" shall mean an aggregate principal amount  
2 not to exceed \$2,350,000,000, plus any interest costs, including capi-  
3 talized interest, and related expenses and fees payable by the state of  
4 New York to the commission under one or more service contracts or other  
5 agreements pursuant to this section, as well as any expenses of the  
6 state incurred in connection therewith.

7 "Related expenses and fees" shall mean commitment fees and other  
8 ancillary costs, expenses and fees incurred, and to become due and paya-  
9 ble, by the commission in connection with the Federal transportation  
10 loan.

11 3. Notwithstanding any other provision of law to the contrary, in  
12 order to provide for the payment for the state capital commitment, the  
13 director of the budget is hereby authorized to enter into one or more  
14 service contracts or other agreements with the commission, none of which  
15 shall exceed the maximum duration of the Federal transportation loan,  
16 upon such terms and conditions as the director of the budget and commis-  
17 sion agree, so as to provide to the commission, for each state fiscal  
18 year, a sum not to exceed the amount required for the payment of the  
19 state capital commitment for such fiscal year. Any such service contract  
20 or other agreement shall provide that the obligation of the state to pay  
21 the amount therein provided shall not constitute a debt of the state  
22 within the meaning of any constitutional or statutory provision and  
23 shall be deemed executory only to the extent of monies available, that  
24 no liability shall be incurred by the state beyond the monies available  
25 for such purpose, and that such obligation is subject to annual appro-  
26 priation by the legislature. Any such service contract or other agree-  
27 ment and any payments made or to be made thereunder may be assigned and

1 pledged by the commission as security for the repayment by the commis-  
2 sion of the Federal transportation loan.

3 4. The director of the budget is also authorized to enter into such  
4 other agreements and to take or cause to be taken such additional  
5 actions as are necessary or desirable to effectuate the purposes of the  
6 transactions contemplated by the state capital commitment provided for  
7 herein and the service contract or other agreement authorized by subdi-  
8 vision 3 of this section.

9 § 57. Subdivisions 4 and 5 of section 16 of part T of chapter 57 of  
10 the laws of 2007, relating to providing for the administration of  
11 certain funds and accounts related to the 2007-2008 budget, are  
12 REPEALED.

13 § 58. This act shall take effect immediately and shall be deemed to  
14 have been in full force and effect on and after April 1, 2022; provided,  
15 however, that the provisions of sections one, one-a, two, three, four,  
16 five, six, seven, eight, thirteen, fourteen, fifteen, sixteen, seven-  
17 teen, eighteen, nineteen, twenty, twenty-two, and twenty-three of this  
18 act shall expire March 31, 2023 when upon such date the provisions of  
19 such sections shall be deemed repealed; provided, further, that the  
20 amendments to section 89-h of the state finance law made by section  
21 twenty-eight of this act shall not affect the repeal of such section and  
22 shall be deemed repealed therewith.

23 PART Z

24 Section 1. This act shall be known and may be cited as the "independ-  
25 ent ethics commission reform act of 2022".

1 § 2. Section 94 of the executive law is REPEALED and a new section 94  
2 is added to read as follows:

3 § 94. Independent commission on ethics and lobbying in government. 1.  
4 Commission established. There is hereby established within the depart-  
5 ment of state, the "independent commission on ethics and lobbying in  
6 government", an independent agency responsible for administering,  
7 enforcing, and interpreting New York state's ethics and lobbying laws.  
8 The commission shall have and exercise the powers and duties set forth  
9 in this section with respect to statewide elected officials, members of  
10 the legislature and employees of the legislature, and state officers and  
11 employees as defined in section seventy-three of the public officers  
12 law, candidates for statewide elected office and for the senate or  
13 assembly, and the political party chairman as is defined in section  
14 seventy-three-a of the public officers law, lobbyists and the clients of  
15 lobbyists as defined in section one-c of the legislative law, and indi-  
16 viduals who have formerly held such positions, were lobbyists or clients  
17 of lobbyists as defined in section one-c of the legislative law, or who  
18 have formerly been such candidates. This section shall not be deemed to  
19 have revoked or rescinded any regulations or advisory opinions in effect  
20 on the effective date of this section that were issued by predecessor  
21 ethics and lobbying bodies. The commission shall cooperate, consult, and  
22 coordinate with the legislative ethics commission, to the extent possi-  
23 ble, to administer and enforce the laws under its jurisdiction.

24 2. Definitions. For the purposes of this section, the following terms  
25 shall have the following meanings:

26 (a) "commission" means the independent commission on ethics and  
27 lobbying in government established pursuant to subdivision one of this  
28 section.

1 (b) "selection committee" means the committee of the American Bar  
2 Association accredited New York state-law school deans or interim deans  
3 tasked with selecting the members of the commission pursuant to subdivi-  
4 sion three of this section.

5 (c) "respondent" means the individual or individuals or organization  
6 or organizations subject to an inquiry, investigation, or enforcement  
7 action.

8 3. Selection committee. (a) The selection committee shall select five  
9 commission members.

10 (b) The selection committee shall publish a procedure by which it will  
11 solicit and receive applications from members of the public, review  
12 qualifications, and select commission membership and reappointment in  
13 accordance with this section. The chair of the selection committee  
14 shall rotate annually among the deans in alphabetical order by the names  
15 of their respective law schools. Appropriate staffing and other  
16 resources shall be provided for in the commission's budget for the  
17 selection committee to carry out its powers, functions, and duties.

18 (c) The majority of the selection committee shall constitute a quorum  
19 to hold a meeting.

20 (d) Notwithstanding the provisions of article seven of the public  
21 officers law, no meeting or proceeding of the selection committee shall  
22 be open to the public, except the applicable records pertaining to the  
23 selection process for a member's seat shall be subject to disclosure  
24 pursuant to article six of the public officers law only after an indi-  
25 vidual member is appointed to the commission. Requests for such records  
26 shall be made to, and processed by, the commission's records access  
27 officer.

1 (e) In the selection of the commission members, the selection commit-  
2 tee shall take all appropriate steps to recruit and prioritize the  
3 selection of members who will bring diversity of lived experience,  
4 diversity of geographic location, and professional expertise and skill-  
5 sets, such as, but not limited to, ethics, familiarity with the lobbying  
6 industry, public administration, compliance, or education, to reflect  
7 the mission of the commission.

8 (f) No individual shall be eligible for appointment as a member of the  
9 commission who is currently, or has within the last two years:

10 (i) been registered as a lobbyist in New York state;

11 (ii) been a member of the New York state legislature, a statewide  
12 elected official, or a commissioner of an executive agency appointed  
13 by the governor;

14 (iii) been a political party chairman, as defined in section seven-  
15 ty-three of the public officers law; or

16 (iv) been a state officer or employee or legislative employee as  
17 defined in section seventy-three of the public officers law.

18 4. Commission. (a) The first class of members of the commission shall  
19 serve staggered terms to ensure continuity. For the first class of the  
20 commission, two members shall serve a term of four years, two members  
21 shall serve a term of two years, and one member shall serve a term of  
22 one year. All subsequent members shall serve a term of four years. No  
23 member shall be selected to the commission for more than two full  
24 consecutive terms, except, that a member who has held the position by  
25 filling a vacancy, can be selected to the commission for an additional  
26 two full consecutive terms.

1     (b) The commission by majority vote shall elect a chairperson from  
2 among its members for a term of two years. A chairperson may be elected  
3 to more than one term for such office.

4     (c) Members of the commission may be removed by majority vote of the  
5 commission solely for substantial neglect of duty, misconduct in office,  
6 violation of the confidentiality restrictions set forth in this  
7 section, inability to discharge the powers or duties of office or  
8 violation of this section, after written notice and opportunity for a  
9 reply.

10     (d) Any vacancy occurring on the commission shall be filled within  
11 thirty days of its occurrence in the same manner as a member is initial-  
12 ly selected to complete the vacant term.

13     (e) During the period of a member's service as a member of the commis-  
14 sion, the member shall refrain from making, or soliciting from other  
15 persons, any contributions to candidates for election to the offices of  
16 governor, lieutenant governor, member of the assembly or the senate,  
17 attorney general or state comptroller.

18     (f) Members of the commission shall receive a per diem allowance equal  
19 to the salary of a justice of the supreme court divided by two hundred  
20 twenty for each day or each pro-rated day actually spent in the perform-  
21 ance of the member's duties under this section, and, in addition there-  
22 to, shall be reimbursed for all reasonable expenses actually and neces-  
23 sarily incurred by the member in the performance of the member's duties  
24 under this section. For the purposes of this subdivision, a day shall  
25 consist of at least seven and one-half hours spent in the performance of  
26 the member's duties under this section.

1 (g) A meeting may be called by the chairperson or three members of the  
2 commission. The commission shall be subject to articles six and seven  
3 of the public officers law.

4 (h) Three members of the commission shall constitute a quorum, and the  
5 commission shall have the power to act by majority vote of the total  
6 number of members of the commission without vacancy.

7 (i) The commission shall meet at least once per month for at least ten  
8 months in a calendar year. The commission shall hold a public hearing  
9 at least once each calendar year to take testimony regarding the  
10 operation of the commission and solicit public input regarding potential  
11 or proposed changes in the laws under its jurisdiction.

12 5. Powers. (a) The commission has the authority to: (i) adopt, amend,  
13 and rescind any rules and regulations pertaining to section seventy-  
14 three, seventy-three-a or seventy-four of the public officers law,  
15 article one-A of the legislative law, or section one hundred seven of  
16 the civil service law; (ii) adopt, amend, and rescind any procedures of  
17 the commission, including but not limited to, procedures for advice and  
18 guidance, training, filing, review, and enforcement of financial disclo-  
19 sure statements, investigations, enforcement, and due process hearings;  
20 and (iii) develop and promulgate any programs for reviews, training, and  
21 guidance to carry out the commission's mission.

22 (b) The commission has the authority to compel the testimony of  
23 witnesses, and may administer oaths or affirmations, subpoena witnesses,  
24 compel their attendance and require the production of any books or  
25 records which it may deem relevant or material.

26 6. Executive director and commission staff. The commission shall:

27 (a) (i) Appoint an executive director who shall act in accordance with  
28 the policies of the commission. The executive director shall be



1 appointed without regard to political affiliation and solely on the  
2 basis of fitness to perform the duties assigned by this section, and  
3 shall be a qualified, independent professional. No individual shall be  
4 eligible to be appointed as an executive director if the individual is  
5 currently, or within the last two years has been:

6 (1) registered as a lobbyist in New York state;

7 (2) a member of the New York state legislature or a statewide elected  
8 official or a commissioner of an executive agency appointed by the  
9 governor; or

10 (3) a political party chairman, as defined in section seventy-three of  
11 the public officers law.

12 (ii) Notwithstanding the provisions of this subdivision, the executive  
13 director may be a current or former New York state government employee.  
14 The executive director shall, prior to the individual's appointment,  
15 have had at least ten years of experience in the management of auditing,  
16 investigation, and enforcement of governmental operations, or management  
17 of complex compliance programs relating to ethics and lobbying.

18 (iii) The appointment and removal of the executive director shall be  
19 made solely by a majority vote of the commission.

20 (iv) The term of office of the executive director shall be four years  
21 from the date of appointment. The salary of the executive director shall  
22 be determined by the members of the commission based on experience.

23 (v) The commission may remove the executive director for neglect of  
24 duty, misconduct in office, violation of the confidentiality  
25 restrictions in this section, or inability or failure to discharge the  
26 powers or duties of office, including the failure to follow the lawful  
27 instructions of the commission.

1 (b) Delegate authority to the executive director to act in the name of  
2 the commission between meetings of the commission provided such deleg-  
3 ation is in writing, the specific powers to be delegated are enumerated,  
4 and the commission shall not delegate any decisions specified in this  
5 section that require a vote of the commission.

6 (c) Establish units within the commission to carry out its duties,  
7 including, but not limited to, (i) an advice and guidance unit, (ii) a  
8 training unit, (iii) a financial disclosure unit, (iv) a lobbying unit,  
9 and (v) an investigations and enforcement unit.

10 (d) Appoint such other staff as are necessary to carry out its duties  
11 under this section, including, but not limited to, a deputy director of  
12 an advice and guidance unit to provide timely confidential advice to  
13 persons subject to the commission's jurisdiction, a deputy director for  
14 training, a deputy director for investigations and enforcement, and a  
15 deputy director for lobbying.

16 (e) Review and approve a staffing plan provided and prepared by the  
17 executive director which shall contain, at a minimum, a list of the  
18 various units and divisions as well as the number of positions in each  
19 unit, titles and their duties, and salaries, as well as the various  
20 qualifications for each position including, but not limited to, educa-  
21 tion and prior experience for each position.

22 7. Advice and guidance. (a) The commission shall establish a unit or  
23 units solely for ethics and lobbying guidance, and give such prompt,  
24 informal advice to persons whose conduct it oversees.

25 (b) Persons receiving such informal advice may rely on that advice  
26 absent misrepresentation or omission of material facts to the commission  
27 and such communications with the commission shall be treated as confi-  
28 dential, except as disclosure is needed to prevent or rectify a

1 crime or fraud, or prevent a substantial threat to public health or  
2 safety or if required by court order.

3 (c) The commission may also render, on written request or on its own  
4 initiative, advisory opinions, and may allow for public comment before  
5 issuance of an advisory opinion. Such an opinion rendered by the  
6 commission shall be relied on by those subject to the commission's  
7 jurisdiction and until, or unless, amended, superseded, or revoked.  
8 Such opinion may also be relied upon by any such person, and may be  
9 introduced and shall be a defense, in any criminal or civil action.

10 8. Training. (a) The commission shall establish a training unit and  
11 shall develop and administer an on-going program for the education and  
12 training in ethics and lobbying for those subject to the provisions of  
13 this section, as follows:

14 (i) The commission shall develop and administer a comprehensive live  
15 or live-online ethics training course and shall designate and train  
16 instructors to conduct such training. Such live course shall be designed  
17 to include practical application of the material covered and a ques-  
18 tion-and-answer participatory segment. Unless the commission grants an  
19 extension or waiver for good cause shown, statewide elected officials,  
20 members of the legislature and employees of the legislature, and state  
21 officers and employees as defined in sections seventy-three and seven-  
22 ty-three-a of the public officers law, and the political party chairman  
23 as is defined in section seventy-three-a of the public officers law,  
24 shall complete the live course within ninety days of appointment or  
25 employment and shall complete the live course every two years subse-  
26 quently.

27 (ii) The commission shall develop and administer an online ethics  
28 refresher course for all individuals listed under subparagraph (i) of

1 this paragraph who have previously completed the live course. Such  
2 refresher course shall be designed to include any changes in law, regu-  
3 lation, or policy or in the interpretation thereof, and practical appli-  
4 cation of the material covered. Unless the commission grants an exten-  
5 sion or waiver for good cause shown, such individuals shall take such  
6 refresher course once every year after having completed the live course  
7 under subparagraph (i) of this paragraph.

8 (iii) The commission shall develop and administer an online live ques-  
9 tion and answer course for agency ethics officers.

10 (iv) The commission shall develop and administer training courses for  
11 lobbyists and clients of lobbyists.

12 (v) The provisions of this subdivision shall be applicable to the  
13 legislature except to the extent that an ethics training program is  
14 otherwise established by the assembly and/or senate for their respective  
15 members and employees and such program meets or exceeds each of the  
16 requirements set forth in this subdivision.

17 (vi) On an annual basis, the commission, in coordination with the  
18 legislative ethics commission, shall determine the status of compliance  
19 with the training requirements under this subdivision by each state  
20 agency and by the senate and the assembly. Such determination shall  
21 include aggregate statistics regarding participation in such training  
22 and shall be reported on a quarterly basis to the governor and the  
23 legislature in writing.

24 9. Financial disclosure statements. (a) The commission may delegate  
25 all or part of review, inquiry and advice in this section to the staff  
26 under the supervision of the executive director.

27 (b) The commission shall make available forms for annual statements of  
28 financial disclosure required to be filed pursuant to section seven-

1 ty-three-a of the public officers law; and the commission shall update  
2 the financial disclosure form to include the term "domestic partner" on  
3 the form.

4 (c) The commission shall review the financial disclosure statements of  
5 the statewide elected officials and members of the legislature within  
6 sixty days of their filings to determine, among other things, deficien-  
7 cies and conflicts.

8 (d) The commission shall review financial disclosure statements filed  
9 in accordance with the provisions of this section and (i) inquire  
10 into any disclosed conflict to recommend how best to address such  
11 conflict; and

12 (ii) ascertain whether any person subject to the reporting require-  
13 ments of section seventy-three-a of the public officers law has failed  
14 to file such a statement, has filed a deficient statement or has filed a  
15 statement which reveals a possible violation of section seventy-three,  
16 seventy-three-a or seventy-four of the public officers law.

17 (e) If a person required to file a financial disclosure statement with  
18 the commission has failed to file a disclosure statement or has filed a  
19 deficient statement, the commission shall notify the reporting person in  
20 writing, state the failure to file or detail the deficiency, provide the  
21 person with a fifteen-day period to cure the deficiency, and advise the  
22 person of the penalties for failure to comply with the reporting  
23 requirements. This first notice of deficiency shall be confidential. If  
24 the person fails to make such filing or fails to cure the deficiency  
25 within the specified time period, the commission shall send a notice of  
26 delinquency (i) to the reporting person; (ii) in the case of a statewide  
27 elected official, to the chief of staff or counsel to the statewide  
28 elected official; (iii) in the case of a member of the legislature or a

1 legislative employee, to the temporary president of the senate and the  
2 speaker of the assembly; and (iv) in the case of a state officer,  
3 employee or board member, to the appointing authority for such person.  
4 Such notice of delinquency may be sent at any time during the reporting  
5 person's service as a statewide elected official, state officer or  
6 employee, member of the assembly or the senate, or a legislative employ-  
7 ee or a political party chair or while a candidate for statewide office,  
8 or within one year after termination of such service or candidacy. A  
9 copy of any notice of delinquency or report shall be included in the  
10 reporting person's file and be available for public inspection and  
11 copying pursuant to the provisions of this section. The jurisdiction of  
12 the commission, when acting pursuant to this subdivision with respect to  
13 financial disclosure, shall continue notwithstanding that the reporting  
14 person separates from state service, or ceases to hold public or poli-  
15 tical party office, or ceases to be a candidate, provided the commission  
16 notifies such person of the alleged failure to file or deficient filing  
17 pursuant to this subdivision.

18 (f) The commission shall adopt a procedure whereby a person who is  
19 required to file an annual financial disclosure statement with the  
20 commission may request an additional period of time within which to  
21 file such statement, other than members of the legislature, candidates  
22 for members of the legislature and legislative employees, due to justi-  
23 fiable cause or undue hardship.

24 (g) The commission may permit any person who is required to file a  
25 financial disclosure statement with the commission to request that the  
26 commission delete from the copy thereof made available for public  
27 inspection and copying one or more items of information which may be  
28 deleted by the commission upon a finding by the commission that the

1 information which would otherwise be required to be made available  
2 for public inspection and copying will have no material bearing on the  
3 discharge of the reporting person's official duties. If such request  
4 for deletion is denied, the commission, in its notification of denial,  
5 shall inform the person of their right to appeal the commission's  
6 determination in a proceeding commenced against the commission, pursuant  
7 to article seventy-eight of the civil practice law and rules.

8 (h) The commission may permit any person who is required to file a  
9 financial disclosure statement with the commission to request an  
10 exemption from any requirement to report one or more items of infor-  
11 mation which pertain to such person's spouse, domestic partner, or  
12 unemancipated children which item or items may be exempted by the  
13 commission upon a finding by the commission that the reporting individ-  
14 ual's spouse, domestic partner, on their own behalf, or on behalf of an  
15 unemancipated child, objects to providing the information necessary to  
16 make such disclosure and that the information which would otherwise  
17 be required to be reported shall have no material bearing on the  
18 discharge of the reporting person's official duties. If such  
19 request for exemption is denied, the commission, in its notification of  
20 denial, shall inform the person of their right to appeal the commis-  
21 sion's determination, pursuant to article seventy-eight of the civil  
22 practice law and rules.

23 (i) The commission may permit any person required to file a financial  
24 disclosure statement to request an exemption from any requirement to  
25 report the identity of a client pursuant to the question under subpara-  
26 graph (b) of paragraph eight of subdivision three of section seventy-  
27 three-a of the public officers law in such statement based upon an  
28 exemption set forth in such question. The reporting individual need not

1 seek an exemption to refrain from disclosing the identity of any  
2 client with respect to any matter where they or their firm provided  
3 legal representation to the client in connection with an investi-  
4 gation or prosecution by law enforcement authorities, bankruptcy, or  
5 domestic relations matters. In addition, clients or customers  
6 receiving medical or dental services, mental health services, residen-  
7 tial real estate brokering services, or insurance brokering  
8 services need not be disclosed. Pending any application for deletion or  
9 exemption to the commission relating to the filing of a financial  
10 disclosure statement, all information which is the subject or part of  
11 the application shall remain confidential. Upon an adverse determination  
12 by the commission, the reporting individual may request, and upon  
13 such request the commission shall provide, that any information that is  
14 the subject or part of the application remain confidential for a peri-  
15 od of thirty days following notice of such determination. In the event  
16 that the reporting individual resigns their office and holds no  
17 other office subject to the jurisdiction of the commission, the informa-  
18 tion shall not be made public and shall be expunged in its entirety.

19 (j) The commission shall permit any person who has not been determined  
20 by the person's appointing authority to hold a policy-making posi-  
21 tion, but who is otherwise required to file a financial disclo-  
22 sure statement to request an exemption from such requirement in  
23 accordance with rules and regulations governing such exemptions. Such  
24 rules and regulations shall provide for exemptions to be granted either  
25 on the application of an individual or on behalf of persons who share  
26 the same job title or employment classification which the commission  
27 deems to be comparable for purposes of this section. Such rules  
28 and regulations may permit the granting of an exemption where, in the



1 discretion of the commission, the public interest does not require  
2 disclosure and the applicant's duties do not involve the negotiation,  
3 authorization or approval of:

4 (i) contracts, leases, franchises, revocable consents, concessions,  
5 variances, special permits, or licenses as such terms are defined in  
6 section seventy-three of the public officers law;

7 (ii) the purchase, sale, rental or lease of real property, goods or  
8 services, or a contract therefor;

9 (iii) the obtaining of grants of money or loans; or

10 (iv) the adoption or repeal of any rule or regulation having the force  
11 and effect of law.

12 10. Investigation and enforcement. (a) The commission shall receive  
13 complaints and referrals alleging violations of section seventy-three,  
14 seventy-three-a or seventy-four of the public officers law, article  
15 one-A of the legislative law, or section one hundred seven of the civil  
16 service law.

17 (b) The commission shall conduct any investigation necessary to carry  
18 out the provisions of this section. Pursuant to this power and duty, the  
19 commission may administer oaths or affirmations, subpoena witnesses,  
20 compel their attendance and testimony, and require the production of any  
21 books or records which it may deem relevant or material.

22 (c) The commission staff shall review and investigate, as appropriate,  
23 any information in the nature of a complaint or referral received by the  
24 commission or initiated by the commission, including through its review  
25 of media reports and other information, where there is specific and  
26 credible evidence that a violation of section seventy-three, seventy-  
27 three-a, or seventy-four of the public officers law, section one hundred  
28 seven of the civil service law or article one-A of the legislative law

1 by a person or entity subject to the jurisdiction of the commission  
2 including members of the legislature and legislative employees and  
3 candidates for members of the legislature.

4 (d) Upon the conclusion of an investigation, if the commission deter-  
5 mines there is credible evidence of a violation of the laws under its  
6 jurisdiction, it shall provide the respondent timely notice for a due  
7 process hearing. The commission shall also inform the respondent of its  
8 rules regarding the conduct of adjudicatory proceedings and appeals and  
9 the other due process procedural mechanisms available to the respondent.

10 (e) The hearing shall be conducted before an independent arbitrator.  
11 Such hearing shall afford the respondent with a reasonable opportunity  
12 to appear in person, and by attorney, give sworn testimony, present  
13 evidence, and cross-examine witnesses.

14 (f) The commission may, at any time, develop procedures and rules for  
15 resolution of de minimus or minor violations that can be resolved  
16 outside of the enforcement process, including the sending of a confiden-  
17 tial guidance or educational letter.

18 (g) The jurisdiction of the commission when acting pursuant to this  
19 section shall continue notwithstanding that a statewide elected official  
20 or a state officer or employee or member of the legislature or legisla-  
21 tive employee separates from state service, or a political party chair  
22 ceases to hold such office, or a candidate ceases to be a candidate, or  
23 a lobbyist or client of a lobbyist ceases to act as such, provided that  
24 the commission notifies such individual or entity of the alleged  
25 violation of law within two years from the individual's separation from  
26 state service or termination of party service or candidacy, or from the  
27 last report filed pursuant to article one-A of the legislative law.  
28 Nothing in this section shall serve to limit the jurisdiction of the

1 commission in enforcement of subdivision eight of section seventy-three  
2 of the public officers law.

3 (i) An individual subject to the jurisdiction of the commission who  
4 knowingly and intentionally violates the provisions of subdivisions two  
5 through five-a, seven, eight, twelve or fourteen through seventeen of  
6 section seventy-three of the public officers law, section one hundred  
7 seven of the civil service law, or a reporting individual who knowingly  
8 and willfully fails to file an annual statement of financial disclosure  
9 or who knowingly and willfully with intent to deceive makes a false  
10 statement or fraudulent omission or gives information which such indi-  
11 vidual knows to be false on such statement of financial disclosure filed  
12 pursuant to section seventy-three-a of the public officers law, shall be  
13 subject to a civil penalty in an amount not to exceed forty thousand  
14 dollars and the value of any gift, compensation or benefit received as a  
15 result of such violation.

16 (ii) An individual who knowingly and intentionally violates the  
17 provisions of paragraph a, b, c, d, e, g, or i of subdivision three of  
18 section seventy-four of the public officers law, shall be subject to a  
19 civil penalty in an amount not to exceed ten thousand dollars and the  
20 value of any gift, compensation or benefit received as a result of such  
21 violation.

22 (iii) An individual subject to the jurisdiction of the commission who  
23 knowingly and willfully violates article one-A of the legislative law  
24 shall be subject to civil penalty as provided for in that article.

25 (iv) With respect to a potential violation of any criminal law where  
26 the commission finds sufficient cause by a majority vote, it shall refer  
27 such matter to the appropriate law enforcement authority for further  
28 investigation.

1 (v) In assessing the amount of the civil penalties to be imposed, the  
2 commission shall consider the seriousness of the violation, the amount  
3 of gain to the individual and whether the individual previously had any  
4 civil or criminal penalties imposed pursuant to this section, and any  
5 other factors the commission deems appropriate.

6 (vi) A civil penalty for false filing shall not be imposed under this  
7 subdivision in the event a category of "value" or "amount" reported  
8 hereunder is incorrect unless such reported information is falsely  
9 understated.

10 (vii) Notwithstanding any other provision of law to the contrary, no  
11 other penalty, civil or criminal may be imposed for a failure to file,  
12 or for a false filing, of such statement, or a violation of subdivision  
13 six of section seventy-three of the public officers law, except that the  
14 commission may recommend that the individual in violation of such subdivi-  
15 vision or section be disciplined.

16 (viii) The commission shall be deemed to be an agency within the mean-  
17 ing of article three of the state administrative procedure act and shall  
18 adopt rules governing the conduct of adjudicatory proceedings and  
19 appeals taken pursuant to a proceeding commenced under article seventy-  
20 eight of the civil practice law and rules relating to the assessment of  
21 the civil penalties or the recommendation of employee discipline herein  
22 authorized. Such rule shall provide for due process procedural mech-  
23 anisms substantially similar to those set forth in article three of the  
24 state administrative procedure act but such mechanisms need not be iden-  
25 tical in terms or scope.

26 (h) (i) The commission shall have jurisdiction to investigate, but  
27 shall have no jurisdiction to impose penalties or discipline upon  
28 members of or candidates for member of the legislature or legislative

1 employees for any violation of the public officers law. If, after inves-  
2 tigation and a due process hearing, the commission has found, by a  
3 majority vote, a substantial basis to conclude that a member of the  
4 legislature or a legislative employee or candidate for member of the  
5 legislature has violated any provisions of such laws, it shall prepare a  
6 written report of its findings and provide a copy of that report to the  
7 legislative ethics commission, and to such individual in violation of  
8 such law. The commission shall provide to the legislative ethics  
9 commission copies of the full investigative file and hearing record.

10 (ii) With respect to the investigation of any individual who is not a  
11 member of the legislature or a legislative employee or candidate for  
12 member of the legislature, if after its investigation and due process  
13 hearing, the commission has found a substantial basis to conclude that  
14 the individual has violated the public officers law or the legislative  
15 law, the commission shall determine whether, in addition to or in lieu  
16 of any fine authorized by this article, the respondent should be  
17 referred to their employer for discipline with a warning, admonition,  
18 censure, suspension or termination or other appropriate discipline. With  
19 regard to statewide elected officials, the commission may not order  
20 suspension or termination but may recommend impeachment. The commission  
21 shall then issue a report containing its determinations including its  
22 findings of fact and conclusions of law to the respondent. The commis-  
23 sion shall publish such report on its website within twenty days of its  
24 delivery to the respondent.

25 11. Confidentiality. (a) When an individual becomes a commissioner or  
26 staff of the commission, such individual shall be required to sign a  
27 non-disclosure statement.

1 (b) Except as otherwise required or provided by law, testimony  
2 received, or any other information obtained by a commissioner or staff  
3 of the commission, shall not be disclosed by any such individual to any  
4 person or entity outside of the commission during the pendency of any  
5 matter. Any confidential communication to any person or entity outside  
6 the commission related to the matters before the commission shall occur  
7 only as authorized by the commission. For the purposes of this para-  
8 graph, "matter" shall mean any complaint, review, inquiry, or investi-  
9 gation into alleged violations of this chapter.

10 (c) The commission shall establish procedures necessary to prevent the  
11 unauthorized disclosure of any information received by any member of the  
12 commission or staff of the commission. Any breaches of confidentiality  
13 may be investigated by the New York state office of the inspector gener-  
14 al, attorney general, or other appropriate law enforcement authority  
15 upon a majority vote of the commission to refer, and appropriate action  
16 shall be taken.

17 (d) Any commission member or person employed by the commission who  
18 intentionally and without authorization releases confidential informa-  
19 tion received or generated by the commission shall be guilty of a class  
20 A misdemeanor.

21 12. Annual report. (a) The commission shall make an annual public  
22 report prioritizing transparency and summarizing the activities of the  
23 commission during the previous year and recommending any changes in the  
24 laws governing the conduct of persons subject to the jurisdiction of the  
25 commission, or the rules, regulations and procedures governing the  
26 commission's conduct. Such report shall include, but is not limited to:

27 (i) information on the number and type of complaints received by the  
28 commission and the status of such complaints;

1 (ii) information relating to the investigation or investigations  
2 opened by the commission, including the current status of each such  
3 investigation;

4 (iii) where a matter has been resolved, the date and nature of the  
5 disposition and any sanction imposed; provided, however, that such annu-  
6 al report shall not contain any information for which disclosure is not  
7 permitted pursuant to this section or other laws;

8 (iv) information regarding financial disclosure compliance for the  
9 preceding year; and

10 (v) information regarding lobbying law filing compliance for the  
11 preceding year.

12 (b) Such a report shall be filed in the office of the governor and  
13 with the legislature on or before the first day of February for the  
14 preceding year.

15 13. Website. (a) Within one hundred twenty days of the effective date  
16 of this section, the commission shall create and thereafter maintain a  
17 publicly accessible website which shall set forth the procedure for  
18 filing a complaint with the commission, the filing of financial disclo-  
19 sure statements filed by state officers or employees or legislative  
20 employees, the filing of statements required by article one-A of the  
21 legislative law, and any other records or information which the commis-  
22 sion determines to be appropriate.

23 (b) The commission shall post on its website the following documents:

24 (i) the information set forth in an annual statement of financial  
25 disclosure filed pursuant to section seventy-three-a of the public offi-  
26 cers law except information deleted pursuant to paragraph (g) of subdi-  
27 vision nine of this section;

1 (ii) notices of delinquency sent under subdivision nine of this  
2 section;

3 (iii) notices of civil assessments imposed under this section which  
4 shall include a description of the nature of the alleged wrongdoing, the  
5 procedural history of the complaint, the findings and determinations  
6 made by the commission, and any sanction imposed;

7 (iv) the terms of any settlement or compromise of a complaint or  
8 referral which includes a fine, penalty or other remedy;

9 (v) those required to be held or maintained publicly available pursu-  
10 ant to article one-A of the legislative law; and

11 (vi) reports issued by the commission pursuant to this section.

12 14. Additional powers. In addition to any other powers and duties  
13 specified by law, the commission shall have the power and duty to admin-  
14 ister and enforce all the provisions of this section.

15 15. Severability. If any part or provision of this section or the  
16 application thereof to any person or organization is adjudged by a court  
17 of competent jurisdiction to be unconstitutional or otherwise invalid,  
18 such judgment shall not affect or impair any other part or provision or  
19 the application thereof to any other person or organization, but shall  
20 be confined in its operation to such part or provision.

21 § 3. Subdivision (f) of section 1-c of the legislative law, as amended  
22 by chapter 14 of the laws of 2007, is amended to read as follows:

23 (f) The term "commission" shall mean the independent commission on  
24 [public integrity] ethics and lobbying in government created by section  
25 ninety-four of the executive law.

26 § 4. Subdivisions 7, 9, 10, 12 and 13 of section 80 of the legislative  
27 law, as amended by section 9 of part A of chapter 399 of the laws of  
28 2011, are amended to read as follows:



1 7. The commission shall:

2 a. Appoint an executive director who shall act in accordance with the  
3 policies of the commission, provided that the commission may remove the  
4 executive director for neglect of duty, misconduct in office, or inabil-  
5 ity or failure to discharge the powers or duties of office;

6 b. Appoint such other staff as are necessary to assist it to carry out  
7 its duties under this section;

8 c. Adopt, amend, and rescind policies, rules and regulations consist-  
9 ent with this section to govern procedures of the commission which shall  
10 not be subject to the promulgation and hearing requirements of the state  
11 administrative procedure act;

12 d. Administer the provisions of this section;

13 e. Specify the procedures whereby a person who is required to file an  
14 annual financial disclosure statement with the commission may request an  
15 additional period of time within which to file such statement, due to  
16 justifiable cause or undue hardship; such rules or regulations shall  
17 provide for a date beyond which in all cases of justifiable cause or  
18 undue hardship no further extension of time will be granted;

19 f. Promulgate guidelines to assist appointing authorities in determin-  
20 ing which persons hold policy-making positions for purposes of section  
21 seventy-three-a of the public officers law and may promulgate guidelines  
22 to assist firms, associations and corporations in separating affected  
23 persons from net revenues for purposes of subdivision ten of section  
24 seventy-three of the public officers law, and promulgate guidelines to  
25 assist any firm, association or corporation in which any present or  
26 former statewide elected official, state officer or employee, member of  
27 the legislature or legislative employee, or political party chairman is  
28 a member, associate, retired member, of counsel or shareholder, in

1 complying with the provisions of subdivision ten of section seventy-  
2 three of the public officers law with respect to the separation of such  
3 present or former statewide elected official, state officer or employee,  
4 member of the legislature or legislative employee, or political party  
5 chairman from the net revenues of the firm, association or corporation.  
6 Such firm, association or corporation shall not be required to adopt the  
7 procedures contained in the guidelines to establish compliance with  
8 subdivision ten of section seventy-three of the public officers law, but  
9 if such firm, association or corporation does adopt such procedures, it  
10 shall be deemed to be in compliance with such subdivision ten;

11 g. Make available forms for financial disclosure statements required  
12 to be filed pursuant to subdivision six of section seventy-three and  
13 section seventy-three-a of the public officers law as provided by the  
14 [joint] independent commission on [public] ethics and lobbying in  
15 government;

16 h. Review financial disclosure statements in accordance with the  
17 provisions of this section, provided however, that the commission may  
18 delegate all or part of the review function relating to financial  
19 disclosure statements filed by legislative employees pursuant to  
20 sections seventy-three and seventy-three-a of the public officers law to  
21 the executive director who shall be responsible for completing staff  
22 review of such statements in a manner consistent with the terms of the  
23 commission's delegation;

24 i. Upon written request from any person who is subject to the juris-  
25 diction of the commission and the requirements of sections seventy-  
26 three, seventy-three-a and seventy-four of the public officers law,  
27 render formal advisory opinions on the requirements of said provisions.  
28 A formal written opinion rendered by the commission, until and unless

1 amended or revoked, shall be binding on the legislative ethics commis-  
2 sion in any subsequent proceeding concerning the person who requested  
3 the opinion and who acted in good faith, unless material facts were  
4 omitted or misstated by the person in the request for an opinion. Such  
5 opinion may also be relied upon by such person, and may be introduced  
6 and shall be a defense in any criminal or civil action. The [joint]  
7 independent commission on [public] ethics and lobbying in government  
8 shall not investigate an individual for potential violations of law  
9 based upon conduct approved and covered in its entirety by such an opin-  
10 ion, except that such opinion shall not prevent or preclude an investi-  
11 gation of and report to the legislative ethics commission concerning the  
12 conduct of the person who obtained it by the [joint] independent commis-  
13 sion on [public] ethics and lobbying in government for violations of  
14 section seventy-three, seventy-three-a or seventy-four of the public  
15 officers law to determine whether the person accurately and fully  
16 represented to the legislative ethics commission the facts relevant to  
17 the formal advisory opinion and whether the person's conduct conformed  
18 to those factual representations. The [joint] independent commission on  
19 ethics and lobbying in government shall be authorized and shall have  
20 jurisdiction to investigate potential violations of the law arising from  
21 conduct outside of the scope of the terms of the advisory opinion; and  
22 j. Issue and publish generic advisory opinions covering questions  
23 frequently posed to the commission, or questions common to a class or  
24 defined category of persons, or that will tend to prevent undue repe-  
25 tition of requests or undue complication, and which are intended to  
26 provide general guidance and information to persons subject to the  
27 commission's jurisdiction;

1 k. Develop educational materials and training with regard to legisla-  
2 tive ethics for members of the legislature and legislative employees  
3 including an online ethics orientation course for newly-hired employees  
4 and, as requested by the senate or the assembly, materials and training  
5 in relation to a comprehensive ethics training program; and

6 1. Prepare an annual report to the governor and legislature summariz-  
7 ing the activities of the commission during the previous year and recom-  
8 mending any changes in the laws governing the conduct of persons subject  
9 to the jurisdiction of the commission, or the rules, regulations and  
10 procedures governing the commission's conduct. Such report shall  
11 include: (i) a listing by assigned number of each complaint and report  
12 received from the [joint] independent commission on [public] ethics and  
13 lobbying in government which alleged a possible violation within its  
14 jurisdiction, including the current status of each complaint, and (ii)  
15 where a matter has been resolved, the date and nature of the disposition  
16 and any sanction imposed, subject to the confidentiality requirements of  
17 this section. Such annual report shall not contain any information for  
18 which disclosure is not permitted pursuant to subdivision twelve of this  
19 section.

20 9. (a) An individual subject to the jurisdiction of the commission  
21 with respect to the imposition of penalties who knowingly and inten-  
22 tionally violates the provisions of subdivisions two through five-a,  
23 seven, eight, twelve, fourteen or fifteen of section seventy-three of  
24 the public officers law or a reporting individual who knowingly and  
25 wilfully fails to file an annual statement of financial disclosure or  
26 who knowingly and wilfully with intent to deceive makes a false state-  
27 ment or gives information which such individual knows to be false on  
28 such statement of financial disclosure filed pursuant to section seven-

1 ty-three-a of the public officers law shall be subject to a civil penal-  
2 ty in an amount not to exceed forty thousand dollars and the value of  
3 any gift, compensation or benefit received as a result of such  
4 violation. Any such individual who knowingly and intentionally violates  
5 the provisions of paragraph a, b, c, d, e, g, or i of subdivision three  
6 of section seventy-four of the public officers law shall be subject to a  
7 civil penalty in an amount not to exceed ten thousand dollars and the  
8 value of any gift, compensation or benefit received as a result of such  
9 violation. Assessment of a civil penalty hereunder shall be made by the  
10 commission with respect to persons subject to its jurisdiction. In  
11 assessing the amount of the civil penalties to be imposed, the commis-  
12 sion shall consider the seriousness of the violation, the amount of gain  
13 to the individual and whether the individual previously had any civil or  
14 criminal penalties imposed pursuant to this section, and any other  
15 factors the commission deems appropriate. For a violation of this  
16 section, other than for conduct which constitutes a violation of subdi-  
17 vision twelve, fourteen or fifteen of section seventy-three or section  
18 seventy-four of the public officers law, the legislative ethics commis-  
19 sion may, in lieu of or in addition to a civil penalty, refer a  
20 violation to the appropriate prosecutor and upon such conviction, but  
21 only after such referral, such violation shall be punishable as a class  
22 A misdemeanor. Where the commission finds sufficient cause, it shall  
23 refer such matter to the appropriate prosecutor. A civil penalty for  
24 false filing may not be imposed hereunder in the event a category of  
25 "value" or "amount" reported hereunder is incorrect unless such reported  
26 information is falsely understated. Notwithstanding any other provision  
27 of law to the contrary, no other penalty, civil or criminal may be  
28 imposed for a failure to file, or for a false filing, of such statement,

1 or a violation of subdivision six of section seventy-three of the public  
2 officers law, except that the appointing authority may impose discipli-  
3 nary action as otherwise provided by law. The legislative ethics commis-  
4 sion shall be deemed to be an agency within the meaning of article three  
5 of the state administrative procedure act and shall adopt rules govern-  
6 ing the conduct of adjudicatory proceedings and appeals taken pursuant  
7 to a proceeding commenced under article seventy-eight of the civil prac-  
8 tice law and rules relating to the assessment of the civil penalties  
9 herein authorized. Such rules, which shall not be subject to the promul-  
10 gation and hearing requirements of the state administrative procedure  
11 act, shall provide for due process procedural mechanisms substantially  
12 similar to those set forth in such article three but such mechanisms  
13 need not be identical in terms or scope. Assessment of a civil penalty  
14 shall be final unless modified, suspended or vacated within thirty days  
15 of imposition, with respect to the assessment of such penalty, or unless  
16 such denial of request is reversed within such time period, and upon  
17 becoming final shall be subject to review at the instance of the  
18 affected reporting individuals in a proceeding commenced against the  
19 legislative ethics commission, pursuant to article seventy-eight of the  
20 civil practice law and rules.

21 (b) Not later than forty-five calendar days after receipt from the  
22 [joint] independent commission on [public] ethics and lobbying in  
23 government of a written substantial basis investigation report and any  
24 supporting documentation or other materials regarding a matter before  
25 the commission pursuant to [subdivision fourteen-a of] section ninety-  
26 four of the executive law, unless requested by a law enforcement agency  
27 to suspend the commission's action because of an ongoing criminal inves-  
28 tigation, the legislative ethics commission shall make public such

1 report in its entirety; provided, however, that the commission may with-  
2 hold such information for not more than one additional period of the  
3 same duration or refer the matter back to the [joint] independent  
4 commission on [public] ethics and lobbying in government once for addi-  
5 tional investigation, in which case the legislative ethics commission  
6 shall, upon the termination of such additional period or upon receipt of  
7 a new report by the [joint] independent commission on [public] ethics  
8 and lobbying in government after such additional investigation, make  
9 public the written report and publish it on the commission's website. If  
10 the legislative ethics commission fails to make public the written  
11 report received from the [joint] independent commission on ethics and  
12 lobbying in government in accordance with this paragraph, the [joint]  
13 independent commission on ethics and lobbying in government shall  
14 release such report publicly promptly and in any event no later than ten  
15 days after the legislative ethics commission is required to release such  
16 report. The legislative ethics commission shall not refer the matter  
17 back to the [joint] independent commission on [public] ethics and lobby-  
18 ing in government for additional investigation more than once. If the  
19 commission refers the matter back to the [joint] independent commission  
20 on ethics and lobbying in government for additional fact-finding, the  
21 [joint commission's] independent commission on ethics and lobbying in  
22 government's original report shall remain confidential.

23 10. Upon receipt of a written report from the [joint] independent  
24 commission on [public] ethics and lobbying in government pursuant to  
25 subdivision fourteen-a of section seventy-three of the public officers  
26 law, the legislative ethics commission shall commence its review of the  
27 matter addressed in such report. No later than ninety days after receipt

1 of such report, the legislative ethics commission shall dispose of the  
2 matter by making one or more of the following determinations:

3 a. whether the legislative ethics commission concurs with the [joint  
4 commission's] independent commission on ethics and lobbying in govern-  
5 ment's conclusions of law and the reasons therefor;

6 b. whether and which penalties have been assessed pursuant to applica-  
7 ble law or rule and the reasons therefor; and

8 c. whether further actions have been taken by the commission to punish  
9 or deter the misconduct at issue and the reasons therefor.

10 The commission's disposition shall be reported in writing and  
11 published on its website no later than ten days after such disposition  
12 unless requested by a law enforcement agency to suspend the commission's  
13 action because of an ongoing criminal investigation.

14 12. a. Notwithstanding the provisions of article six of the public  
15 officers law, the only records of the commission which shall be avail-  
16 able for public inspection and copying are:

17 (1) the terms of any settlement or compromise of a complaint or refer-  
18 ral or report which includes a fine, penalty or other remedy reached  
19 after the commission has received a report from the [joint] independent  
20 commission on [public] ethics and lobbying in government pursuant to  
21 [subdivision fourteen-a of] section ninety-four of the executive law;

22 (2) generic advisory opinions;

23 (3) all reports required by this section; and

24 (4) all reports received from the [joint] independent commission on  
25 [public] ethics and lobbying in government pursuant to [subdivision  
26 fourteen-a of] section ninety-four of the executive law and in conform-  
27 ance with paragraph (b) of subdivision [nine-b] nine of this section.



1 b. Notwithstanding the provisions of article seven of the public offi-  
2 cers law, no meeting or proceeding of the commission shall be open to  
3 the public, except if expressly provided otherwise by this section or  
4 the commission.

5 13. Within one hundred twenty days of the effective date of this  
6 subdivision, the commission shall create and thereafter maintain a  
7 publicly accessible website which shall set forth the procedure for  
8 filing a complaint with the [joint] independent commission on [public]  
9 ethics and lobbying in government, and which shall contain any other  
10 records or information which the commission determines to be appropri-  
11 ate.

12 § 5. Paragraphs (c), (d) and (d-1) of subdivision 1 of section 73-a of  
13 the public officers law, paragraphs (c) and (d) as amended and paragraph  
14 (d-1) as added by section 5 of part A of chapter 399 of the laws of  
15 2011, are amended to read as follows:

16 (c) The term "state officer or employee" shall mean:

17 (i) heads of state departments and their deputies and assistants;

18 (ii) officers and employees of statewide elected officials, officers  
19 and employees of state departments, boards, bureaus, divisions, commis-  
20 sions, councils or other state agencies, who receive annual compensation  
21 in excess of the filing rate established by paragraph (1) of this subdi-  
22 vision or who hold policy-making positions, as annually determined by  
23 the appointing authority and set forth in a written instrument which  
24 shall be filed with the [joint] independent commission on [public]  
25 ethics and lobbying in government established by section ninety-four of  
26 the executive law during the month of February, provided, however, that  
27 the appointing authority shall amend such written instrument after such  
28 date within thirty days after the undertaking of policy-making responsi-

1 bilities by a new employee or any other employee whose name did not  
2 appear on the most recent written instrument; and

3 (iii) members or directors of public authorities, other than multi-  
4 state authorities, public benefit corporations and commissions at least  
5 one of whose members is appointed by the governor, and employees of such  
6 authorities, corporations and commissions who receive annual compen-  
7 sation in excess of the filing rate established by paragraph (1) of this  
8 subdivision or who hold policy-making positions, as determined annually  
9 by the appointing authority and set forth in a written instrument which  
10 shall be filed with the [joint] independent commission on [public]  
11 ethics and lobbying in government established by section ninety-four of  
12 the executive law during the month of February, provided, however, that  
13 the appointing authority shall amend such written instrument after such  
14 date within thirty days after the undertaking of policy-making responsi-  
15 bilities by a new employee or any other employee whose name did not  
16 appear on the most recent written instrument.

17 (d) The term "legislative employee" shall mean any officer or employee  
18 of the legislature who receives annual compensation in excess of the  
19 filing rate established by paragraph (1) below or who is determined to  
20 hold a policy-making position by the appointing authority as set forth  
21 in a written instrument which shall be filed with the legislative ethics  
22 commission and the [joint] independent commission on [public] ethics and  
23 lobbying in government.

24 (d-1) A financial disclosure statement required pursuant to section  
25 seventy-three of this article and this section shall be deemed "filed"  
26 with the [joint] independent commission on [public] ethics and lobbying  
27 in government upon its filing, in accordance with this section, with the  
28 legislative ethics commission for all purposes including, but not limit-

1 ed to, [subdivision fourteen of] section ninety-four of the executive  
2 law, subdivision nine of section eighty of the legislative law and  
3 subdivision four of this section.

4 § 6. Subdivision 2 of section 73-a of the public officers law, as  
5 amended by section 5 of part A of chapter 399 of the laws of 2011, is  
6 amended to read as follows:

7 2. (a) Every statewide elected official, state officer or employee,  
8 member of the legislature, legislative employee and political party  
9 chairman and every candidate for statewide elected office or for member  
10 of the legislature shall file an annual statement of financial disclo-  
11 sure containing the information and in the form set forth in subdivision  
12 three of this section. On or before the fifteenth day of May with  
13 respect to the preceding calendar year: (1) every member of the legisla-  
14 ture, every candidate for member of the legislature and legislative  
15 employee shall file such statement with the legislative ethics commis-  
16 sion which shall provide such statement along with any requests for  
17 exemptions or deletions to the [joint] independent commission on  
18 [public] ethics and lobbying in government for filing and rulings with  
19 respect to such requests for exemptions or deletions, on or before the  
20 thirtieth day of June; and (2) all other individuals required to file  
21 such statement shall file it with the [joint] independent commission on  
22 [public] ethics and lobbying in government, except that:

23 (i) a person who is subject to the reporting requirements of this  
24 subdivision and who timely filed with the internal revenue service an  
25 application for automatic extension of time in which to file his or her  
26 individual income tax return for the immediately preceding calendar or  
27 fiscal year shall be required to file such financial disclosure state-  
28 ment on or before May fifteenth but may, without being subjected to any

1 civil penalty on account of a deficient statement, indicate with respect  
2 to any item of the disclosure statement that information with respect  
3 thereto is lacking but will be supplied in a supplementary statement of  
4 financial disclosure, which shall be filed on or before the seventh day  
5 after the expiration of the period of such automatic extension of time  
6 within which to file such individual income tax return, provided that  
7 failure to file or to timely file such supplementary statement of finan-  
8 cial disclosure or the filing of an incomplete or deficient supplementa-  
9 ry statement of financial disclosure shall be subject to the notice and  
10 penalty provisions of this section respecting annual statements of  
11 financial disclosure as if such supplementary statement were an annual  
12 statement;

13 (ii) a person who is required to file an annual financial disclosure  
14 statement with the [joint] independent commission on [public] ethics and  
15 lobbying in government, and who is granted an additional period of time  
16 within which to file such statement due to justifiable cause or undue  
17 hardship, in accordance with required rules and regulations [on the  
18 subject] adopted pursuant to [paragraph c of subdivision nine of]  
19 section ninety-four of the executive law shall file such statement with-  
20 in the additional period of time granted; and the legislative ethics  
21 commission shall notify the [joint] independent commission on [public]  
22 ethics and lobbying in government of any extension granted pursuant to  
23 this paragraph;

24 (iii) candidates for statewide office who receive a party designation  
25 for nomination by a state committee pursuant to section 6-104 of the  
26 election law shall file such statement within ten days after the date of  
27 the meeting at which they are so designated;

1 (iv) candidates for statewide office who receive twenty-five percent  
2 or more of the vote cast at the meeting of the state committee held  
3 pursuant to section 6-104 of the election law and who demand to have  
4 their names placed on the primary ballot and who do not withdraw within  
5 fourteen days after such meeting shall file such statement within ten  
6 days after the last day to withdraw their names in accordance with the  
7 provisions of such section of the election law;

8 (v) candidates for statewide office and candidates for member of the  
9 legislature who file party designating petitions for nomination at a  
10 primary election shall file such statement within ten days after the  
11 last day allowed by law for the filing of party designating petitions  
12 naming them as candidates for the next succeeding primary election;

13 (vi) candidates for independent nomination who have not been desig-  
14 nated by a party to receive a nomination shall file such statement with-  
15 in ten days after the last day allowed by law for the filing of inde-  
16 pendent nominating petitions naming them as candidates in the next  
17 succeeding general or special election;

18 (vii) candidates who receive the nomination of a party for a special  
19 election shall file such statement within ten days after the date of the  
20 meeting of the party committee at which they are nominated;

21 (viii) a candidate substituted for another candidate, who fills a  
22 vacancy in a party designation or in an independent nomination, caused  
23 by declination, shall file such statement within ten days after the last  
24 day allowed by law to file a certificate to fill a vacancy in such party  
25 designation or independent nomination;

26 (ix) with respect to all candidates for member of the legislature, the  
27 legislative ethics commission shall within five days of receipt provide  
28 the [joint] independent commission on [public] ethics and lobbying in

1 government the statement filed pursuant to subparagraphs (v), (vi),  
2 (vii) and (viii) of this paragraph.

3 (b) As used in this subdivision, the terms "party", "committee" (when  
4 used in conjunction with the term "party"), "designation", "primary",  
5 "primary election", "nomination", "independent nomination" and "ballot"  
6 shall have the same meanings as those contained in section 1-104 of the  
7 election law.

8 (c) If the reporting individual is a senator or member of assembly,  
9 candidate for the senate or member of assembly or a legislative employ-  
10 ee, such statement shall be filed with both the legislative ethics  
11 commission established by section eighty of the legislative law and the  
12 [joint] independent commission on [public] ethics and lobbying in  
13 government in accordance with paragraph (d-1) of subdivision one of this  
14 section. If the reporting individual is a statewide elected official,  
15 candidate for statewide elected office, a state officer or employee or a  
16 political party chairman, such statement shall be filed with the [joint]  
17 independent commission on [public] ethics and lobbying in government  
18 established by section ninety-four of the executive law.

19 (d) The [joint] independent commission on [public] ethics and lobbying  
20 in government shall obtain from the state board of elections a list of  
21 all candidates for statewide office and for member of the legislature,  
22 and from such list, shall determine and publish a list of those candi-  
23 dates who have not, within ten days after the required date for filing  
24 such statement, filed the statement required by this subdivision.

25 (e) Any person required to file such statement who commences employ-  
26 ment after May fifteenth of any year and political party chairman shall  
27 file such statement within thirty days after commencing employment or of  
28 taking the position of political party chairman, as the case may be. In

1 the case of members of the legislature and legislative employees, such  
2 statements shall be filed with the legislative ethics commission within  
3 thirty days after commencing employment, and the legislative ethics  
4 commission shall provide such statements to the [joint] independent  
5 commission on [public] ethics and lobbying in government within forty-  
6 five days of receipt.

7 (f) A person who may otherwise be required to file more than one annu-  
8 al financial disclosure statement with both the [joint] independent  
9 commission on [public] ethics and lobbying in government and the legis-  
10 lative ethics commission in any one calendar year may satisfy such  
11 requirement by filing one such statement with either body and by notify-  
12 ing the other body of such compliance.

13 (g) A person who is employed in more than one employment capacity for  
14 one or more employers certain of whose officers and employees are  
15 subject to filing a financial disclosure statement with the same ethics  
16 commission, as the case may be, and who receives distinctly separate  
17 payments of compensation for such employment shall be subject to the  
18 filing requirements of this section if the aggregate annual compensation  
19 for all such employment capacities is in excess of the filing rate  
20 notwithstanding that such person would not otherwise be required to file  
21 with respect to any one particular employment capacity. A person not  
22 otherwise required to file a financial disclosure statement hereunder  
23 who is employed by an employer certain of whose officers or employees  
24 are subject to filing a financial disclosure statement with the [joint]  
25 independent commission on [public] ethics and lobbying in government and  
26 who is also employed by an employer certain of whose officers or employ-  
27 ees are subject to filing a financial disclosure statement with the  
28 legislative ethics commission shall not be subject to filing such state-

1 ment with either such commission on the basis that his aggregate annual  
2 compensation from all such employers is in excess of the filing rate.

3 (h) A statewide elected official or member of the legislature, who is  
4 simultaneously a candidate for statewide elected office or member of the  
5 legislature, shall satisfy the filing deadline requirements of this  
6 subdivision by complying only with the deadline applicable to one who  
7 holds a statewide elected office or who holds the office of member of  
8 the legislature.

9 (i) A candidate whose name will appear on both a party designating  
10 petition and on an independent nominating petition for the same office  
11 or who will be listed on the election ballot for the same office more  
12 than once shall satisfy the filing deadline requirements of this subdivi-  
13 sion by complying with the earliest applicable deadline only.

14 (j) A member of the legislature who is elected to such office at a  
15 special election prior to May fifteenth in any year shall satisfy the  
16 filing requirements of this subdivision in such year by complying with  
17 the earliest applicable deadline only.

18 (k) The [joint] independent commission on [public] ethics and lobbying  
19 in government shall post for at least five years beginning for filings  
20 made on January first, two thousand thirteen the annual statement of  
21 financial disclosure and any amendments filed by each person subject to  
22 the reporting requirements of this subdivision who is an elected offi-  
23 cial on its website for public review within thirty days of its receipt  
24 of such statement or within ten days of its receipt of such amendment  
25 that reflects any corrections of deficiencies identified by the commis-  
26 sion or by the reporting individual after the reporting individual's  
27 initial filing. Except upon an individual determination by the commis-  
28 sion that certain information may be deleted from a reporting individ-



1 ual's annual statement of financial disclosure, none of the information  
2 in the statement posted on the commission's website shall be otherwise  
3 deleted.

4 § 7. Subparagraphs (b), (b-2) and (c) of paragraph 8 of subdivision 3  
5 of section 73-a of the public officers law, as amended by section 6 of  
6 part K of chapter 286 of the laws of 2016, are amended to read as  
7 follows:

8 (b) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE  
9 PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE AND BEFORE DECEMBER  
10 THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING  
11 CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON  
12 OR AFTER JULY FIRST, TWO THOUSAND TWELVE AND BEFORE DECEMBER  
13 THIRTY-FIRST, TWO THOUSAND FIFTEEN:

14 If the reporting individual personally provides services to any person  
15 or entity, or works as a member or employee of a partnership or corpo-  
16 ration that provides such services (referred to hereinafter as a  
17 "firm"), then identify each client or customer to whom the reporting  
18 individual personally provided services, or who was referred to the firm  
19 by the reporting individual, and from whom the reporting individual or  
20 his or her firm earned fees in excess of \$10,000 during the reporting  
21 period for such services rendered in direct connection with:

22 (i) A contract in an amount totaling \$50,000 or more from the state or  
23 any state agency for services, materials, or property;

24 (ii) A grant of \$25,000 or more from the state or any state agency  
25 during the reporting period;

26 (iii) A grant obtained through a legislative initiative during the  
27 reporting period; or

1 (iv) A case, proceeding, application or other matter that is not a  
2 ministerial matter before a state agency during the reporting period.

3 For purposes of this question, "referred to the firm" shall mean:  
4 having intentionally and knowingly taken a specific act or series of  
5 acts to intentionally procure for the reporting individual's firm or  
6 knowingly solicit or direct to the reporting individual's firm in whole  
7 or substantial part, a person or entity that becomes a client of that  
8 firm for the purposes of representation for a matter as defined in  
9 subparagraphs (i) through (iv) of this paragraph, as the result of such  
10 procurement, solicitation or direction of the reporting individual. A  
11 reporting individual need not disclose activities performed while  
12 lawfully acting pursuant to paragraphs (c), (d), (e) and (f) of subdivi-  
13 sion seven of section seventy-three of this article.

14 The disclosure requirement in this question shall not require disclo-  
15 sure of clients or customers receiving medical or dental services,  
16 mental health services, residential real estate brokering services, or  
17 insurance brokering services from the reporting individual or his or her  
18 firm. The reporting individual need not identify any client to whom he  
19 or she or his or her firm provided legal representation with respect to  
20 investigation or prosecution by law enforcement authorities, bankruptcy,  
21 or domestic relations matters. With respect to clients represented in  
22 other matters, where disclosure of a client's identity is likely to  
23 cause harm, the reporting individual shall request an exemption from the  
24 [joint] independent commission on ethics and lobbying in government  
25 pursuant to [paragraph (i-1) of subdivision nine of] section ninety-four  
26 of the executive law, provided, however, that a reporting individual who  
27 first enters public office after July first, two thousand twelve, need  
28 not report clients or customers with respect to matters for which the

1 reporting individual or his or her firm was retained prior to entering  
2 public office.

3 Client	Nature of Services Provided
4	
5	
6	
7	
8	

9 (b-2) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES  
10 ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR  
11 FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE  
12 SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOU-  
13 SAND FIFTEEN (FOR PURPOSES OF THIS QUESTION, "SERVICES" SHALL MEAN  
14 CONSULTATION, REPRESENTATION, ADVICE OR OTHER SERVICES):

15 (i) With respect to reporting individuals who receive ten thousand  
16 dollars or more from employment or activity reportable under question  
17 8(a), for each client or customer NOT otherwise disclosed or exempted in  
18 question 8 or 13, disclose the name of each client or customer known to  
19 the reporting individual to whom the reporting individual provided  
20 services: (A) who paid the reporting individual in excess of five thou-  
21 sand dollars for such services; or (B) who had been billed with the  
22 knowledge of the reporting individual in excess of five thousand dollars  
23 by the firm or other entity named in question 8(a) for the reporting  
24 individual's services.

25 Client	Services	Category of Amount
	Actually Provided	(in Table I)
26		

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1 FOLLOWING IS AN ILLUSTRATIVE, NON-EXCLUSIVE LIST OF EXAMPLES OF

2 DESCRIPTIONS OF "SERVICES ACTUALLY PROVIDED":

3 \* REVIEWED DOCUMENTS AND CORRESPONDENCE;

4 \* REPRESENTED CLIENT (IDENTIFY CLIENT BY NAME) IN LEGAL PROCEEDING;

5 \* PROVIDED LEGAL ADVICE ON CLIENT MATTER (IDENTIFY CLIENT BY NAME);

6 \* CONSULTED WITH CLIENT OR CONSULTED WITH LAW PARTNERS/ASSOCIATES/MEMBERS  
7 OF FIRM ON CLIENT MATTER (IDENTIFY CLIENT BY NAME);

8 \* PREPARED CERTIFIED FINANCIAL STATEMENT FOR CLIENT (IDENTIFY CLIENT BY  
9 NAME);

10 \* REFERRED INDIVIDUAL OR ENTITY (IDENTIFY CLIENT BY NAME) FOR  
11 REPRESENTATION OR CONSULTATION;

12 \* COMMERCIAL BROKERING SERVICES (IDENTIFY CUSTOMER BY NAME);

13 \* PREPARED CERTIFIED ARCHITECTURAL OR ENGINEERING  
14 RENDERINGS FOR CLIENT (IDENTIFY CUSTOMER BY NAME);

15 \* COURT APPOINTED GUARDIAN OR EVALUATOR (IDENTIFY COURT NOT CLIENT).

16 (ii) With respect to reporting individuals who disclosed in question  
17 8(a) that the reporting individual did not provide services to a client  
18 but provided services to a firm or business, identify the category of  
19 amount received for providing such services and describe the services  
20 rendered.

21 Services Actually Provided Category of Amount (Table I)

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1 A reporting individual need not disclose activities performed while  
2 lawfully acting in his or her capacity as provided in paragraphs (c),  
3 (d), (e) and (f) of subdivision seven of section seventy-three of this  
4 article.

5 The disclosure requirement in questions (b-1) and (b-2) shall not  
6 require disclosing clients or customers receiving medical, pharmaceu-  
7 tical or dental services, mental health services, or residential real  
8 estate brokering services from the reporting individual or his or her  
9 firm or if federal law prohibits or limits disclosure. The reporting  
10 individual need not identify any client to whom he or she or his or her  
11 firm provided legal representation with respect to investigation or  
12 prosecution by law enforcement authorities, bankruptcy, family court,  
13 estate planning, or domestic relations matters, nor shall the reporting  
14 individual identify individuals represented pursuant to an insurance  
15 policy but the reporting individual shall in such circumstances only  
16 report the entity that provides compensation to the reporting individ-  
17 ual; with respect to matters in which the client's name is required by  
18 law to be kept confidential (such as matters governed by the family  
19 court act) or in matters in which the reporting individual represents or  
20 provides services to minors, the client's name may be replaced with  
21 initials. To the extent that the reporting individual, or his or her  
22 firm, provided legal representation with respect to an initial public  
23 offering, and professional disciplinary rules, federal law or regu-  
24 lations restrict the disclosure of information relating to such work,  
25 the reporting individual shall (i) disclose the identity of the client  
26 and the services provided relating to the initial public offering to the  
27 office of court administration, who will maintain such information

1 confidentially in a locked box; and (ii) include in his or her response  
2 to questions (b-1) and (b-2) that pursuant to this paragraph, a disclo-  
3 sure to the office of court administration has been made. Upon such time  
4 that the disclosure of information maintained in the locked box is no  
5 longer restricted by professional disciplinary rules, federal law or  
6 regulation, the reporting individual shall disclose such information in  
7 an amended disclosure statement in response to the disclosure require-  
8 ments in questions (b-1) and (b-2). The office of court administration  
9 shall develop and maintain a secure portal through which information  
10 submitted to it pursuant to this paragraph can be safely and confiden-  
11 tially stored. With respect to clients represented in other matters not  
12 otherwise exempt, the reporting individual may request an exemption to  
13 publicly disclosing the name of that client from the [joint] independent  
14 commission on ethics and lobbying in government pursuant to [paragraph  
15 (i-1) of subdivision nine of] section ninety-four of the executive law,  
16 or from the office of court administration. In such application, the  
17 reporting individual shall state the following: "My client is not  
18 currently receiving my services or seeking my services in connection  
19 with:

20 (i) A proposed bill or resolution in the senate or assembly during the  
21 reporting period;

22 (ii) A contract in an amount totaling \$10,000 or more from the state  
23 or any state agency for services, materials, or property;

24 (iii) A grant of \$10,000 or more from the state or any state agency  
25 during the reporting period;

26 (iv) A grant obtained through a legislative initiative during the  
27 reporting period; or

1 (v) A case, proceeding, application or other matter that is not a  
2 ministerial matter before a state agency during the reporting period."

3 In reviewing the request for an exemption, the [joint] independent  
4 commission on ethics and lobbying in government or the office of court  
5 administration may consult with bar or other professional associations  
6 and the legislative ethics commission for individuals subject to its  
7 jurisdiction and may consider the rules of professional conduct. In  
8 making its determination, the [joint] independent commission on ethics  
9 and lobbying in government or the office of court administration shall  
10 conduct its own inquiry and shall consider factors including, but not  
11 limited to: (i) the nature and the size of the client; (ii) whether the  
12 client has any business before the state; and if so, how significant the  
13 business is; and whether the client has any particularized interest in  
14 pending legislation and if so how significant the interest is; (iii)  
15 whether disclosure may reveal trade secrets; (iv) whether disclosure  
16 could reasonably result in retaliation against the client; (v) whether  
17 disclosure may cause undue harm to the client; (vi) whether disclosure  
18 may result in undue harm to the attorney-client relationship; and (vii)  
19 whether disclosure may result in an unnecessary invasion of privacy to  
20 the client.

21 The [joint] independent commission on ethics and lobbying in govern-  
22 ment or, as the case may be, the office of court administration shall  
23 promptly make a final determination in response to such request, which  
24 shall include an explanation for its determination. The office of court  
25 administration shall issue its final determination within three days of  
26 receiving the request. Notwithstanding any other provision of law or any  
27 professional disciplinary rule to the contrary, the disclosure of the  
28 identity of any client or customer in response to this question shall

1 not constitute professional misconduct or a ground for disciplinary  
2 action of any kind, or form the basis for any civil or criminal cause of  
3 action or proceeding. A reporting individual who first enters public  
4 office after January first, two thousand sixteen, need not report  
5 clients or customers with respect to matters for which the reporting  
6 individual or his or her firm was retained prior to entering public  
7 office.

8 (c) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE  
9 PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR  
10 NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE  
11 SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOU-  
12 SAND FIFTEEN:

13 If the reporting individual receives income of ten thousand dollars or  
14 greater from any employment or activity reportable under question 8(a),  
15 identify each registered lobbyist who has directly referred to such  
16 individual a client who was successfully referred to the reporting indi-  
17 vidual's business and from whom the reporting individual or firm  
18 received a fee for services in excess of five thousand dollars. Report  
19 only those referrals that were made to a reporting individual by direct  
20 communication from a person known to such reporting individual to be a  
21 registered lobbyist at the time the referral is made. With respect to  
22 each such referral, the reporting individual shall identify the client,  
23 the registered lobbyist who has made the referral, the category of value  
24 of the compensation received and a general description of the type of  
25 matter so referred. A reporting individual need not disclose activities  
26 performed while lawfully acting pursuant to paragraphs (c), (d), (e) and  
27 (f) of subdivision seven of section seventy-three of this article. The  
28 disclosure requirements in this question shall not require disclosing



1 clients or customers receiving medical, pharmaceutical or dental  
2 services, mental health services, or residential real estate brokering  
3 services from the reporting individual or his or her firm or if federal  
4 law prohibits or limits disclosure. The reporting individual need not  
5 identify any client to whom he or she or his or her firm provided legal  
6 representation with respect to investigation or prosecution by law  
7 enforcement authorities, bankruptcy, family court, estate planning, or  
8 domestic relations matters, nor shall the reporting individual identify  
9 individuals represented pursuant to an insurance policy but the report-  
10 ing individual shall in such circumstances only report the entity that  
11 provides compensation to the reporting individual; with respect to  
12 matters in which the client's name is required by law to be kept confi-  
13 dential (such as matters governed by the family court act) or in matters  
14 in which the reporting individual represents or provides services to  
15 minors, the client's name may be replaced with initials. To the extent  
16 that the reporting individual, or his or her firm, provided legal repre-  
17 sentation with respect to an initial public offering, and federal law or  
18 regulations restricts the disclosure of information relating to such  
19 work, the reporting individual shall (i) disclose the identity of the  
20 client and the services provided relating to the initial public offering  
21 to the office of court administration, who will maintain such informa-  
22 tion confidentially in a locked box; and (ii) include in his or her  
23 response a statement that pursuant to this paragraph, a disclosure to  
24 the office of court administration has been made. Upon such time that  
25 the disclosure of information maintained in the locked box is no longer  
26 restricted by federal law or regulation, the reporting individual shall  
27 disclose such information in an amended disclosure statement in response  
28 to the disclosure requirements of this paragraph. The office of court

1 administration shall develop and maintain a secure portal through which  
2 information submitted to it pursuant to this paragraph can be safely and  
3 confidentially stored. With respect to clients represented in other  
4 matters not otherwise exempt, the reporting individual may request an  
5 exemption to publicly disclosing the name of that client from the  
6 [joint] independent commission on ethics and lobbying in government  
7 pursuant to [paragraph (i-1) of subdivision nine of] section ninety-four  
8 of the executive law, or from the office of court administration. In  
9 such application, the reporting individual shall state the following:

10 "My client is not currently receiving my services or seeking my services  
11 in connection with:

12 (i) A proposed bill or resolution in the senate or assembly during the  
13 reporting period;

14 (ii) A contract in an amount totaling \$10,000 or more from the state  
15 or any state agency for services, materials, or property;

16 (iii) A grant of \$10,000 or more from the state or any state agency  
17 during the reporting period;

18 (iv) A grant obtained through a legislative initiative during the  
19 reporting period; or

20 (v) A case, proceeding, application or other matter that is not a  
21 ministerial matter before a state agency during the reporting period."

22 In reviewing the request for an exemption, the [joint] independent  
23 commission on ethics and lobbying in government or the office of court  
24 administration may consult with bar or other professional associations  
25 and the legislative ethics commission for individuals subject to its  
26 jurisdiction and may consider the rules of professional conduct. In  
27 making its determination, the [joint] independent commission on ethics  
28 and lobbying in government or the office of court administration shall

1 conduct its own inquiry and shall consider factors including, but not  
 2 limited to: (i) the nature and the size of the client; (ii) whether the  
 3 client has any business before the state; and if so, how significant the  
 4 business is; and whether the client has any particularized interest in  
 5 pending legislation and if so how significant the interest is; (iii)  
 6 whether disclosure may reveal trade secrets; (iv) whether disclosure  
 7 could reasonably result in retaliation against the client; (v) whether  
 8 disclosure may cause undue harm to the client; (vi) whether disclosure  
 9 may result in undue harm to the attorney-client relationship; and (vii)  
 10 whether disclosure may result in an unnecessary invasion of privacy to  
 11 the client.

12 The [joint] independent commission on ethics and lobbying in govern-  
 13 ment or, as the case may be, the office of court administration shall  
 14 promptly make a final determination in response to such request, which  
 15 shall include an explanation for its determination. The office of court  
 16 administration shall issue its final determination within three days of  
 17 receiving the request. Notwithstanding any other provision of law or any  
 18 professional disciplinary rule to the contrary, the disclosure of the  
 19 identity of any client or customer in response to this question shall  
 20 not constitute professional misconduct or a ground for disciplinary  
 21 action of any kind, or form the basis for any civil or criminal cause of  
 22 action or proceeding. A reporting individual who first enters public  
 23 office after December thirty-first, two thousand fifteen, need not  
 24 report clients or customers with respect to matters for which the  
 25 reporting individual or his or her firm was retained prior to entering  
 26 public office.

27	Client	Name of Lobbyist	Description	Category of Amount
28			of Matter	(in Table 1)

1 \_\_\_\_\_  
2 \_\_\_\_\_  
3 \_\_\_\_\_  
4 \_\_\_\_\_  
5 \_\_\_\_\_

6 § 8. Subdivisions 4 and 7 of section 73-a of the public officers law,  
7 subdivision 4 as amended by section 5 of part A of chapter 399 of the  
8 laws of 2011 and subdivision 7 as added by section 3 of part CC of chap-  
9 ter 56 of the laws of 2015, are amended to read as follows:

10 4. A reporting individual who knowingly and wilfully fails to file an  
11 annual statement of financial disclosure or who knowingly and wilfully  
12 with intent to deceive makes a false statement or gives information  
13 which such individual knows to be false on such statement of financial  
14 disclosure filed pursuant to this section shall be subject to a civil  
15 penalty in an amount not to exceed forty thousand dollars. Assessment of  
16 a civil penalty hereunder shall be made by the [joint] independent  
17 commission on [public] ethics and lobbying in government or by the  
18 legislative ethics commission, as the case may be, with respect to  
19 persons subject to their respective jurisdictions. The [joint] inde-  
20 pendent commission on [public] ethics and lobbying in government acting  
21 pursuant to subdivision fourteen of section ninety-four of the executive  
22 law or the legislative ethics commission acting pursuant to subdivision  
23 eleven of section eighty of the legislative law, as the case may be,  
24 may, in lieu of or in addition to a civil penalty, refer a violation to  
25 the appropriate prosecutor and upon such conviction, but only after such  
26 referral, such violation shall be punishable as a class A misdemeanor. A  
27 civil penalty for false filing may not be imposed hereunder in the event

1 a category of "value" or "amount" reported hereunder is incorrect unless  
2 such reported information is falsely understated. Notwithstanding any  
3 other provision of law to the contrary, no other penalty, civil or crim-  
4 inal may be imposed for a failure to file, or for a false filing, of  
5 such statement, except that the appointing authority may impose disci-  
6 plinary action as otherwise provided by law. The [joint] independent  
7 commission on [public] ethics and lobbying in government and the legis-  
8 lative ethics commission shall each be deemed to be an agency within the  
9 meaning of article three of the state administrative procedure act and  
10 shall adopt rules governing the conduct of adjudicatory proceedings and  
11 appeals relating to the assessment of the civil penalties herein author-  
12 ized. Such rules, which shall not be subject to the approval require-  
13 ments of the state administrative procedure act, shall provide for due  
14 process procedural mechanisms substantially similar to those set forth  
15 in such article three but such mechanisms need not be identical in terms  
16 or scope. Assessment of a civil penalty shall be final unless modified,  
17 suspended or vacated within thirty days of imposition and upon becoming  
18 final shall be subject to review at the instance of the affected report-  
19 ing individual in a proceeding commenced against the [joint] independent  
20 commission on [public] ethics and lobbying in government or the legisla-  
21 tive ethics commission, pursuant to article seventy-eight of the civil  
22 practice law and rules.

23 7. With respect to an application to either the [joint] independent  
24 commission on ethics and lobbying in government or the office of court  
25 administration for an exemption to disclosing the name of a client or  
26 customer in response to questions 8 (b-1), 8 (b-2) and 8 (c), all infor-  
27 mation which is the subject of or a part of such application shall  
28 remain confidential. The name of the client need not be disclosed by the

1 reporting individual unless and until the [joint] independent commission  
2 on ethics and lobbying in government or the office of court adminis-  
3 tration formally advises the reporting individual that he or she must  
4 disclose such names and the reporting individual agrees to represent the  
5 client. Any commissioner or person employed by the [joint] independent  
6 commission on ethics and lobbying in government or any person employed  
7 by the office of court administration who, intentionally and without  
8 authorization from a court of competent jurisdiction releases confiden-  
9 tial information related to a request for an exemption received by the  
10 commission or the office of court administration shall be guilty of a  
11 class A misdemeanor.

12 § 9. Paragraph (d) of subdivision 1 of section 172-e of the executive  
13 law, as added by section 1 of part F of chapter 286 of the laws of 2016,  
14 is amended to read as follows:

15 (d) "Recipient entity" shall mean any corporation or entity that is  
16 qualified as an exempt organization or entity by the United States  
17 Department of the Treasury under I.R.C. 501(c)(4) that is required to  
18 file a source of funding report with the [joint] independent commission  
19 on [public] ethics and lobbying in government pursuant to sections one-h  
20 and one-j of the legislative law.

21 § 10. The closing paragraph of paragraph four of subdivision (c) of  
22 section 1-h of the legislative law, as added by section 1 of part D of  
23 chapter 286 of the laws of 2016, is amended to read as follows:

24 The [joint] independent commission on [public] ethics and lobbying in  
25 government shall promulgate regulations to implement these requirements.

26 § 11. The closing paragraph of paragraph four of subdivision (c) of  
27 section 1-j of the legislative law, as amended by section 2 of part D of  
28 chapter 286 of the laws of 2016, is amended to read as follows:

1 The [joint] independent commission on [public] ethics and lobbying in  
2 government shall promulgate regulations to implement these requirements.

3 § 12. Paragraph (a) of subdivision 1 of section 73 of the public offi-  
4 cers law, as amended by section 1 of part A of chapter 399 of the laws  
5 of 2011, is amended to read as follows:

6 (a) The term "compensation" shall mean any money, thing of value or  
7 financial benefit conferred in return for services rendered or to be  
8 rendered. With regard to matters undertaken by a firm, corporation or  
9 association, compensation shall mean net revenues, as defined in accord-  
10 ance with generally accepted accounting principles as defined by the  
11 [joint] independent commission on [public] ethics and lobbying in  
12 government or legislative ethics commission in relation to persons  
13 subject to their respective jurisdictions.

14 § 13. Paragraph (a) of subdivision 6 of section 73 of the public offi-  
15 cers law, as amended by section 3 of part K of chapter 286 of the laws  
16 of 2016, is amended to read as follows:

17 (a) Every legislative employee not subject to the provisions of  
18 section seventy-three-a of this chapter shall, on and after December  
19 fifteenth and before the following January fifteenth, in each year, file  
20 with the [joint] independent commission on [public] ethics and lobbying  
21 in government and the legislative ethics commission a financial disclo-  
22 sure statement of

23 (1) each financial interest, direct or indirect of himself or herself,  
24 his or her spouse and his or her unemancipated children under the age of  
25 eighteen years in any activity which is subject to the jurisdiction of a  
26 regulatory agency or name of the entity in which the interest is had and  
27 whether such interest is over or under five thousand dollars in value.

1 (2) every office and directorship held by him or her in any corpo-  
2 ration, firm or enterprise which is subject to the jurisdiction of a  
3 regulatory agency, including the name of such corporation, firm or  
4 enterprise.

5 (3) any other interest or relationship which he or she determines in  
6 his or her discretion might reasonably be expected to be particularly  
7 affected by legislative action or in the public interest should be  
8 disclosed.

9 § 14. Paragraph (h) of subdivision 8 of section 73 of the public offi-  
10 cers law, as amended by section 10 of part A of chapter 399 of the laws  
11 of 2011, is amended to read as follows:

12 (h) Notwithstanding the provisions of subparagraphs (i) and (ii) of  
13 paragraph (a) of this subdivision, a former state officer or employee  
14 may contract individually, or as a member or employee of a firm, corpo-  
15 ration or association, to render services to any state agency when the  
16 agency head certifies in writing to the [joint] independent commission  
17 on [public] ethics and lobbying in government that the services of such  
18 former officer or employee are required in connection with the agency's  
19 response to a disaster emergency declared by the governor pursuant to  
20 section twenty-eight of the executive law.

21 § 15. Subdivisions 8-a, 8-b and 10 of section 73 of the public offi-  
22 cers law, subdivision 8-a as amended by chapter 357 of the laws of 2001,  
23 the opening paragraph of subdivision 8-a as amended by section 11 and  
24 subdivision 8-b as amended by section 12 of part A of chapter 399 of the  
25 laws of 2011, and subdivision 10 as amended by section 5 of part K of  
26 chapter 286 of the laws of 2016, are amended to read as follows:

27 8-a. The provisions of subparagraphs (i) and (ii) of paragraph (a) of  
28 subdivision eight of this section shall not apply to any such former



1 state officer or employee engaged in any of the specific permitted  
2 activities defined in this subdivision that are related to any civil  
3 action or proceeding in any state or federal court, provided that the  
4 attorney general has certified in writing to the [joint] independent  
5 commission on [public] ethics and lobbying in government, with a copy to  
6 such former state officer or employee, that the services are rendered on  
7 behalf of the state, a state agency, state officer or employee, or other  
8 person or entity represented by the attorney general, and that such  
9 former state officer or employee has expertise, knowledge or experience  
10 which is unique or outstanding in a field or in a particular matter or  
11 which would otherwise be generally unavailable at a comparable cost to  
12 the state, a state agency, state officer or employee, or other person or  
13 entity represented by the attorney general in such civil action or  
14 proceeding. In those instances where a state agency is not represented  
15 by the attorney general in a civil action or proceeding in state or  
16 federal court, a former state officer or employee may engage in permit-  
17 ted activities provided that the general counsel of the state agency,  
18 after consultation with the [joint] independent commission on [public]  
19 ethics and lobbying in government, provides to the [joint] independent  
20 commission on [public] ethics and lobbying in government a written  
21 certification which meets the requirements of this subdivision. For  
22 purposes of this subdivision the term "permitted activities" shall mean  
23 generally any activity performed at the request of the attorney general  
24 or the attorney general's designee, or in cases where the state agency  
25 is not represented by the attorney general, the general counsel of such  
26 state agency, including without limitation:

27 (a) preparing or giving testimony or executing one or more affidavits;

1 (b) gathering, reviewing or analyzing information, including documen-  
2 tary or oral information concerning facts or opinions, attending deposi-  
3 tions or participating in document review or discovery;

4 (c) performing investigations, examinations, inspections or tests of  
5 persons, documents or things;

6 (d) performing audits, appraisals, compilations or computations, or  
7 reporting about them;

8 (e) identifying information to be sought concerning facts or opinions;  
9 or

10 (f) otherwise assisting in the preparation for, or conduct of, such  
11 litigation.

12 Nothing in this subdivision shall apply to the provision of legal  
13 representation by any former state officer or employee.

14 8-b. Notwithstanding the provisions of subparagraphs (i) and (ii) of  
15 paragraph (a) of subdivision eight of this section, a former state offi-  
16 cer or employee may contract individually, or as a member or employee of  
17 a firm, corporation or association, to render services to any state  
18 agency if, prior to engaging in such service, the agency head certifies  
19 in writing to the [joint] independent commission on [public] ethics and  
20 lobbying in government that such former officer or employee has exper-  
21 tise, knowledge or experience with respect to a particular matter which  
22 meets the needs of the agency and is otherwise unavailable at a compara-  
23 ble cost. Where approval of the contract is required under section one  
24 hundred twelve of the state finance law, the comptroller shall review  
25 and consider the reasons for such certification. The [joint] independent  
26 commission on [public] ethics and lobbying in government must review and  
27 approve all certifications made pursuant to this subdivision.

1 10. Nothing contained in this section, the judiciary law, the educa-  
2 tion law or any other law or disciplinary rule shall be construed or  
3 applied to prohibit any firm, association or corporation, in which any  
4 present or former statewide elected official, state officer or employee,  
5 or political party chairman, member of the legislature or legislative  
6 employee is a member, associate, retired member, of counsel or share-  
7 holder, from appearing, practicing, communicating or otherwise rendering  
8 services in relation to any matter before, or transacting business with  
9 a state agency, or a city agency with respect to a political party  
10 chairman in a county wholly included in a city with a population of more  
11 than one million, otherwise proscribed by this section, the judiciary  
12 law, the education law or any other law or disciplinary rule with  
13 respect to such official, member of the legislature or officer or  
14 employee, or political party chairman, where such statewide elected  
15 official, state officer or employee, member of the legislature or legis-  
16 lative employee, or political party chairman does not share in the net  
17 revenues, as defined in accordance with generally accepted accounting  
18 principles by the [joint] independent commission on [public] ethics and  
19 lobbying in government or by the legislative ethics commission in  
20 relation to persons subject to their respective jurisdictions, resulting  
21 therefrom, or, acting in good faith, reasonably believed that he or she  
22 would not share in the net revenues as so defined; nor shall anything  
23 contained in this section, the judiciary law, the education law or any  
24 other law or disciplinary rule be construed to prohibit any firm, asso-  
25 ciation or corporation in which any present or former statewide elected  
26 official, member of the legislature, legislative employee, full-time  
27 salaried state officer or employee or state officer or employee who is  
28 subject to the provisions of section seventy-three-a of this article is

1 a member, associate, retired member, of counsel or shareholder, from  
2 appearing, practicing, communicating or otherwise rendering services in  
3 relation to any matter before, or transacting business with, the court  
4 of claims, where such statewide elected official, member of the legisla-  
5 ture, legislative employee, full-time salaried state officer or employee  
6 or state officer or employee who is subject to the provisions of section  
7 seventy-three-a of this article does not share in the net revenues, as  
8 defined in accordance with generally accepted accounting principles by  
9 the [joint] independent commission on [public] ethics and lobbying in  
10 government or by the legislative ethics commission in relation to  
11 persons subject to their respective jurisdictions, resulting therefrom,  
12 or, acting in good faith, reasonably believed that he or she would not  
13 share in the net revenues as so defined.

14 § 16. This act shall take effect on the ninetieth day after it shall  
15 have become a law.

16 PART AA

17 Section 1. The criminal procedure law is amended by adding a new  
18 section 160.57 to read as follows:

19 § 160.57 Automatic sealing of convictions.

20 1. For purposes of this section, "expiration of sentence" shall mean  
21 the maximum date on which either any sentence of incarceration, whether  
22 in a local jail or state correctional facility, including any term of  
23 post release supervision, or any sentence of probation would expire if  
24 such sentence were to run from the date such sentence was imposed by the  
25 court without consideration of any conditional or supervised release

1 from custody, credits or reductions a defendant may be due, may earn,  
2 and/or may have earned.

3 2. Convictions for certain traffic infractions and violations or any  
4 crime defined in the laws of this state shall be sealed in accordance  
5 with paragraph (c) of this subdivision as follows:

6 (a) Convictions for subdivision one of section eleven hundred ninety-  
7 two of the vehicle and traffic law shall be sealed three years after the  
8 completion of any sentence imposed including the payment of any fine  
9 imposed.

10 (b) Convictions for misdemeanors and felonies shall be sealed upon  
11 satisfaction of the following conditions:

12 (i) if the conviction to be sealed is a misdemeanor, at least three  
13 years have passed from the expiration of such sentence and if the  
14 conviction to be sealed is a felony at least seven years have passed  
15 since the expiration of sentence;

16 (ii) the conviction is not defined as a sex offense under section one  
17 hundred sixty-eight-a of the correction law;

18 (iii) the defendant is not currently incarcerated or under supervision  
19 of any parole or probation department; and

20 (iv) the defendant does not have a pending criminal case in this  
21 state.

22 (c) Where a conviction is eligible for sealing pursuant to this para-  
23 graph before, on, or after the effective date of this section, the  
24 office of court administration shall immediately notify the division of  
25 criminal justice services, the court of conviction, and the heads of all  
26 appropriate police and sheriff departments that the conviction is  
27 sealed.

1 (d) Records of convictions sealed pursuant to this paragraph shall not  
2 be accessed, made available to any person or public or private agency,  
3 or used by any state agency covered by subdivision three of this section  
4 except for:

5 (i) the defendant and such defendant's attorney;

6 (ii) any court or prosecutor for the purposes of a pending criminal  
7 action;

8 (iii) qualified agencies, as defined in subdivision nine of section  
9 eight hundred thirty-five of the executive law, and federal and state  
10 law enforcement agencies, when acting within the scope of their law  
11 enforcement duties or other specific statutory authority;

12 (iv) the court, prosecutor, and defense counsel if the defendant  
13 becomes a witness in a criminal proceeding, or the claimant and respond-  
14 ent if the defendant becomes a witness in a civil proceeding;

15 (v) when an individual is a defendant in a criminal action and the  
16 sealed records of conviction of a third-party are integral to their  
17 defense. In such instances, use of sealed records shall be requested  
18 upon ex parte motion in any superior court, or in any district court,  
19 city court or the criminal court of the city of New York provided that  
20 such court is where the action is pending. The applicant must demon-  
21 strate to the satisfaction of the court that the records will be used  
22 for the purpose of this subparagraph;

23 (vi) any prospective employer of a police officer or peace officer as  
24 those terms are defined in subdivisions thirty-three and thirty-four of  
25 section 1.20 of this chapter, in relation to an application for employ-  
26 ment as a police officer, provided, however, that every person who is an  
27 applicant shall be furnished with a copy of all records obtained under

1 this paragraph and afforded an opportunity to make an explanation there-  
2 to;

3 (vii) any federal, state or local officer or agency with responsibil-  
4 ity for the issuance of licenses to possess a firearm, rifle or shotgun  
5 or with responsibility for conducting background checks before transfer  
6 or sale of a firearm or explosive, when the officer or agency is acting  
7 pursuant to such responsibility. This includes the criminal justice  
8 information services division of the federal bureau of investigation,  
9 for the purposes of responding to queries to the national instant back-  
10 ground check system regarding attempts to purchase or otherwise take  
11 possession of firearms, rifles or shotguns, as defined in 18 U.S.C. §  
12 921 (A) (3);

13 (viii) for the purposes of civilian investigation or evaluation of a  
14 civilian complaint or civil action concerning law enforcement or prose-  
15 cution actions, upon ex parte motion in any superior court, or in any  
16 district court, city court or the criminal court of the city of New York  
17 provided that such court sealed the record; the applicant must demon-  
18 strate to the satisfaction of the court that the records will be used  
19 for the purposes of this subparagraph; and

20 (ix) for information provided to an individual or entity pursuant to  
21 paragraph (e) of subdivision four of section eight hundred thirty-seven  
22 of the executive law or for bona fide research purposes provided all  
23 identifying information is removed.

24 (e) Where the sealing required by this paragraph has not taken place,  
25 or where supporting court records cannot be located or have been  
26 destroyed, and a defendant or their attorney submits notification of  
27 such fact to the office of the court administration, the conviction  
28 shall be sealed as set forth in this subdivision.

1 3. Where a conviction is eligible for sealing pursuant to this section  
2 the office of court administration shall immediately notify the division  
3 of criminal justice services, the court of conviction and the heads of  
4 all appropriate police departments, prosecutors' offices and law  
5 enforcement agencies that the conviction is sealed. Upon receipt of such  
6 notification, records of or relating to such conviction, including every  
7 photograph of such defendant and photographic plates or proof, and all  
8 palmprints, fingerprints and retina scans taken or made of such individ-  
9 ual pursuant to the provisions of this article in regard to the eligible  
10 conviction, and all duplicates, reproductions, and copies thereof, shall  
11 be sealed and shall not be released except as provided by paragraph (d)  
12 of subdivision two of this section. Every official record and paper and  
13 duplicates and copies thereof, including, but not limited to, judgments  
14 and orders of a court but not including published court decisions or  
15 opinions or records and briefs on appeal, relating to the conviction, on  
16 file with the agency shall be marked as sealed by conspicuously indicat-  
17 ing on the face of the record or at the beginning of the digitized file  
18 of the record that the record has been designated as sealed.

19 4. (a) Nothing in this section requires the destruction of DNA infor-  
20 mation maintained in the New York state DNA database of such individual  
21 pursuant to the provisions of the executive law in regard to the eligi-  
22 ble conviction.

23 (b) Nothing in this section requires the sealing or destruction of  
24 records maintained by the department of motor vehicles, and nothing in  
25 this section shall be construed to contravene the vehicle and traffic  
26 law, the federal driver's privacy protection act (18 U.S.C 2721 et.  
27 seq.), or the Commercial Motor Vehicle Safety Act of 1986 (49 U.S.C.  
28 31311).



1 (c) In any civil action, an official record of a conviction that has  
2 been sealed pursuant to this section may not be introduced as evidence  
3 of negligence against a person or entity that provided employment,  
4 contract labor or services, volunteer work, licensing, tenancy, a home  
5 purchase, a mortgage, an education, a loan, or insurance if such record  
6 was sealed and was not provided to the person or entity by or on behalf  
7 of a governmental entity in accordance with this section in response to  
8 such person's or entity's authorized and timely request for conviction  
9 history information.

10 (d) A person or entity described in this subdivision, acting reason-  
11 ably and in good faith, may not have a duty to investigate the fact of a  
12 prior conviction that has been sealed pursuant to this section.

13 5. No defendant shall be required or permitted to waive eligibility  
14 for sealing pursuant to this section as part of a plea of guilty,  
15 sentence or any agreement related to a conviction for a violation of the  
16 laws of this state. Any such waiver is void and unenforceable.

17 6. Sealing as set forth in subdivision three of this section is with-  
18 out prejudice to a defendant or their attorney seeking further relief  
19 pursuant to section 440.10 of this chapter. Nothing in this section  
20 shall diminish or abrogate any rights or remedies otherwise available to  
21 the defendant.

22 7. All records for a conviction subject to sealing under this section  
23 where the conviction was entered on or before the effective date of this  
24 section shall receive the appropriate relief promptly and, in any event,  
25 no later than two years after such effective date.

26 8. A conviction which is sealed pursuant to this section is included  
27 within the definition of a conviction for the purposes of any criminal

1 proceeding in which the fact of a prior conviction would enhance a  
2 penalty or is an element of the offense charged.

3 § 2. Section 9 of the correction law, as added by section 2 of part 00  
4 of chapter 56 of the laws of 2010, the section heading as amended by  
5 chapter 322 of the laws of 2021, is amended to read as follows:

6 § 9. Access to information of incarcerated individuals via the inter-  
7 net. Notwithstanding any provision of law to the contrary, any informa-  
8 tion relating to the conviction of a person[, except for a person  
9 convicted of an offense that would make such person ineligible for merit  
10 time under section eight hundred three of this chapter or an offense for  
11 which registration as a sex offender is required as set forth in subdi-  
12 vision two or three of section one hundred sixty-eight-a of this chap-  
13 ter,] that is posted on a website maintained by or for the department,  
14 under article six of the public officers law, may be posted on such  
15 website for a period not to exceed five years after the expiration of  
16 such person's sentence of imprisonment and at the conclusion of any  
17 period of parole or post-release supervision[; provided, however, that  
18 in the case of a person who has been committed to the department on more  
19 than one occasion, the department may post conviction information relat-  
20 ing to any prior commitment on such website for a period not to exceed  
21 five years after the expiration of such person's sentence of imprison-  
22 ment and any period of parole or post-release supervision arising from  
23 the most recent commitment to the department].

24 § 3. Severability. If any provision of this act or the application  
25 thereof to any person, corporation or circumstances is held invalid,  
26 such invalidity shall not affect other provisions or applications of the  
27 act which can be given effect without the invalid provision or applica-

1 tion, and to this end the provisions of this act are declared to be  
2 severable.

3 § 4. This act shall take effect eighteen months after it shall have  
4 become a law.

5 PART BB

6 Section 1. Subdivision 2 of section 170 of the correction law, as  
7 amended by chapter 322 of the laws of 2021, is amended to read as  
8 follows:

9 2. Notwithstanding any other provision of law, it shall be lawful for  
10 an incarcerated individual of the department to work in an institution  
11 of the department in the manufacture and production of goods, including  
12 but not limited to, license plates, identification plates and insignia  
13 for vehicles, and for the department to sell or otherwise dispose of for  
14 profit such goods to the government of the United States or to any state  
15 of the United States, or political subdivision thereof, or any public  
16 corporation or eleemosynary association or corporation funded in whole  
17 or in part by any federal, state or local funds. It shall also be lawful  
18 for incarcerated individuals to be employed by and be paid fair and just  
19 compensation by a private sector entity or to be paid as part of a pris-  
20 on industries certification program authorized by section one hundred  
21 seventy-two of this article and certified by the United States depart-  
22 ment of justice in accordance with 18 U.S.C. § 1761.

23 § 2. Subdivisions 1 and 2 of section 171 of the correction law, subdivi-  
24 sion 1 as amended by chapter 322 of the laws of 2021 and subdivision 2  
25 as amended by chapter 364 of the laws of 1983, are amended to read as  
26 follows:

1 1. The commissioner and the superintendents [and officials of all  
2 penitentiaries in the state] may cause incarcerated individuals in the  
3 state correctional facilities [and such penitentiaries] who are phys-  
4 ically capable thereof to be employed for not to exceed eight hours of  
5 each day other than Sundays and public holidays. Notwithstanding any  
6 other provision of this section, however, the commissioner and super-  
7 intendents [of state correctional facilities] may employ incarcerated  
8 individuals on a volunteer basis on Sundays and public holidays in  
9 specialized areas of the facility, including kitchen areas, vehicular  
10 garages, rubbish pickup and grounds maintenance, providing, however,  
11 that incarcerated individuals so employed shall be allowed an alterna-  
12 tive free day within the normal work week.

13 2. Such labor shall be either for the purpose of the production of  
14 supplies for said institutions, or for the state, or any political  
15 subdivision thereof, or for any public institution owned or managed and  
16 controlled by the state, or any political subdivision thereof; or for  
17 the purpose of industrial training and instruction, or partly for one,  
18 and partly for the other of such purposes. It shall also be lawful for  
19 incarcerated individuals to be employed by and be paid fair and just  
20 compensation by a private sector entity, or be paid as part of a prison  
21 industries certification program authorized by section one hundred  
22 seventy-two of this article and certified by the United States depart-  
23 ment of justice in accordance with 18 U.S.C. § 1761.

24 § 3. The correction law is amended by adding a new section 172 to read  
25 as follows:

26 § 172. Employment by private sector entities; prison industries  
27 certification program. 1. The commissioner may enter into contracts  
28 with private sector individuals, partnerships, corporations, or other

1 business entities whereby an area within a correctional facility may be  
2 made available to such entity for use as a work site at which incarcer-  
3 ated individuals who volunteer may be employed by such entities. Except  
4 for the permissible offsets against wages, the laws of the state with  
5 respect to employment conditions shall apply to incarcerated individuals  
6 participating in employment through private sector entities or through a  
7 prison industries certification program.

8 2. The commissioner is hereby authorized and empowered to establish  
9 and implement a prison industries certification program in one or more  
10 correctional facilities of the department as provided for in 18 U.S.C. §  
11 1761. The commissioner shall promulgate rules and regulations consistent  
12 with such federal and state laws which shall include, but not be limited  
13 to, provisions defining incarcerated individual eligibility and ensuring  
14 incarcerated individuals are compensated at wages which are comparable  
15 to the wages paid for work of similar nature in the locality in which  
16 the work is performed, and provisions providing for the gross wages of  
17 incarcerated individuals to be offset in an aggregate amount not to  
18 exceed fifty percent for taxes, support of family, restitution for crime  
19 victims, and other lawful obligations.

20 § 4. Section 177 of the correction law is amended by adding a new  
21 subdivision 8 to read as follows:

22 8. Notwithstanding any other provision of law to the contrary, the  
23 commissioner may enter into a contract or contracts with private sector  
24 individuals, partnerships, corporations or other business entities  
25 whereby an area within a correctional facility may be made available to  
26 such entity for use as a work site at which incarcerated individuals who  
27 volunteer may be employed by such entity or employed as part of a prison

1 industries certification program established pursuant to section one  
2 hundred seventy-two of this article.

3 § 5. Section 178 of the correction law, as amended by chapter 322 of  
4 the laws of 2021, is amended to read as follows:

5 § 178. Participation in work release and other community activities;  
6 employment by private entities or participation in a prison industries  
7 certification program. Nothing contained in this article shall be  
8 construed or applied so as to prohibit private employment of incarcerat-  
9 ed individuals in the community under a work release program, or a resi-  
10 dential treatment facility program formulated pursuant to any provision  
11 of this chapter, or the employment of incarcerated individuals by a  
12 private sector entity or participation in a prison industries program  
13 pursuant to section one hundred seventy-two of this article.

14 § 6. Section 187 of the correction law is amended by adding a new  
15 subdivision 5 to read as follows:

16 5. The compensation paid to incarcerated individuals employed by  
17 private entities under section one hundred seventy-two of this article  
18 shall be set in accordance with rules and regulations adopted by the  
19 commissioner. The compensation paid to incarcerated individuals partic-  
20 ipating in a prison industries program shall be set in accordance with  
21 18 U.S.C. § 1761.

22 § 7. This act shall take effect on the first of January next succeed-  
23 ing the date upon which the people shall approve and ratify amendments  
24 to section 24 of article 3 of the constitution by a majority of the  
25 electors voting thereon relating to authorizing incarcerated individuals  
26 to be employed by and be paid compensation by a private sector entity as  
27 part of a prison industries certification program authorized by the laws  
28 of the United States.

1 § 2. Severability clause. If any clause, sentence, paragraph, subdivi-  
2 sion, section or part of this act shall be adjudged by any court of  
3 competent jurisdiction to be invalid, such judgment shall not affect,  
4 impair, or invalidate the remainder thereof, but shall be confined in  
5 its operation to the clause, sentence, paragraph, subdivision, section  
6 or part thereof directly involved in the controversy in which such judg-  
7 ment shall have been rendered. It is hereby declared to be the intent of  
8 the legislature that this act would have been enacted even if such  
9 invalid provisions had not been included herein.

10 § 3. This act shall take effect immediately provided, however, that  
11 the applicable effective date of Parts A through BB of this act shall be  
12 as specifically set forth in the last section of such Parts.