

## State, Department of

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### Mission

Established in 1788, the Department of State (DOS) is the State's oldest agency. Historically serving as the State's general recording officer and custodian of the State's "Great Seal", the Department's mission encompasses a broad range of activities that coordinate programs with, and provide services to, local governments, individuals, and businesses. The Department supports public safety through the administration of building and energy code programs; administers programs for community development and local government service activities; supports businesses through various licensing and registration activities; and assists new Americans through community-based support centers.

### Organization and Staffing

The Department is headed by the Secretary of State, who is appointed by the Governor and confirmed by the Senate.

### Budget Highlights

The FY 2023 Executive Budget recommends \$498 million for the Department, an increase of almost \$129 million from the FY 2022 Budget, primarily due to an increase in capital funding for DRI and NY Forward, and enhanced support for the Liberty Defense Program and Office of New Americans.

The Executive Budget recommends a workforce of 612 FTEs for the Department, an increase of 40 FTE's from revised FY 2022 levels, primarily to support the enhancements of the Liberty Defense Program, Office of New Americans, and Code Enforcement Initiatives.

Major budget actions include:

- \$200 million in capital funding for another round of the Downtown Revitalization Initiative (DRI) and implementation of NY Forward.
- \$12 million in funding to support the continuation of the Liberty Defense Program.
- \$8 million in funding to support the continuation of the Office of New Americans.
- An additional 8 FTE's for the Authorities Budget Office (ABO)
- Legislation to amend and strengthen the Brownfield Opportunity Area (BOA) program to benefit participating disadvantaged communities across the State. Legislation to strengthen the State's oversight authority over Local Governments' administration and enforcement of the Uniform Building Code and Energy Code.
- Legislation providing authority to the Department of State to remove barriers to entry into the appearance enhancement industry.

### Program Highlights

The responsibilities of the Department of State are carried out through three programs:

**Local Government And Community Services Program:** Manages New York's building and energy codes; offers planning and management services to local governments; supports land use planning activities in the New York City/Catskill watershed; coordinates New York's coastal resources and waterfront revitalization activities; administers the Department's Federal grant programs, including the Appalachian Regional Commission; and provides a means for achieving economic self-sufficiency through programs designed to improve opportunities for low-income participants.

**Business and Licensing Services Program:** Maintains all certificates on file for businesses and corporations; administers qualifying examinations and licensing for various occupations; and prepares the State Register and other publications.

**Administration:** Provides the basic executive direction, fiscal, personnel, legal and electronic data processing activities that support the Department's operations.

Additionally, the Department's appropriations contain funds for the Lake George Park Commission, the Commission on Uniform State Laws, the State Athletic Commission, and the Authorities Budget Office.

**ALL FUNDS  
APPROPRIATIONS  
(dollars)**

Category	Available FY 2022	Appropriations Recommended FY 2023	Change From FY 2022	Reappropriations Recommended FY 2023
State Operations	108,217,485	118,167,000	9,949,515	89,276,005
Aid To Localities	159,634,000	178,200,000	18,566,000	244,448,800
Capital Projects	102,000,000	202,000,000	100,000,000	482,268,000
<b>Total</b>	<b>369,851,485</b>	<b>498,367,000</b>	<b>128,515,515</b>	<b>815,992,805</b>

**ALL FUND TYPES  
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM  
FILLED ANNUAL SALARIED POSITIONS**

Program	FY 2022 Estimated FTEs 03/31/22	FY 2023 Estimated FTEs 03/31/23	FTE Change
Administration			
General Fund	60	65	5
Authorities Budget Office			
Special Revenue Funds - Other	12	20	8
Code Enforcement			
Special Revenue Funds - Other	12	12	0
Consumer Protection Program			
General Fund	0	5	5
Special Revenue Funds - Other	15	20	5
Business and Licensing Services			
Special Revenue Funds - Other	222	237	15
Local Government and Community Services			
General Fund	133	133	0
Special Revenue Funds - Federal	11	11	0
Special Revenue Funds - Other	81	81	0
Office for New Americans			
General Fund	8	10	2
Special Revenue Funds - Federal	3	3	0
Tug Hill Commission			
General Fund	15	15	0
<b>Total</b>	<b>572</b>	<b>612</b>	<b>40</b>

NYS DOB | FY 2023 Executive Budget | Agency Appropriations  
**STATE OPERATIONS**  
**ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE**  
**APPROPRIATIONS**  
(dollars)

Fund Type	Available FY 2022	Recommended FY 2023	Change
General Fund	13,401,000	11,898,000	(1,503,000)
Special Revenue Funds - Federal	14,598,000	15,051,000	453,000
Special Revenue Funds - Other	80,218,485	91,218,000	10,999,515
<b>Total</b>	<b>108,217,485</b>	<b>118,167,000</b>	<b>9,949,515</b>
Adjustments:			
Transfer(s) From			
Special Pay Bill			
General Fund	(4,116,485)		
<b>Appropriated FY 2022</b>	<b>104,101,000</b>		

**STATE OPERATIONS**  
**ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM**  
**APPROPRIATIONS**  
(dollars)

Program	Available FY 2022	Recommended FY 2023	Change
Administration			
General Fund	4,511,000	3,000,000	(1,511,000)
Authorities Budget Office			
Special Revenue Funds - Other	2,050,000	2,859,000	809,000
Business and Licensing Services			
Special Revenue Funds - Other	52,669,485	57,000,000	4,330,515
Code Enforcement			
Special Revenue Funds - Other	2,165,000	2,165,000	0
Consumer Protection Program			
General Fund	1,586,000	1,586,000	0
Special Revenue Funds - Federal	51,000	51,000	0
Special Revenue Funds - Other	23,130,000	28,990,000	5,860,000
Local Government and Community Services			
General Fund	5,560,000	5,560,000	0
Special Revenue Funds - Federal	14,547,000	15,000,000	453,000
Special Revenue Funds - Other	154,000	154,000	0
Office for New Americans			
General Fund	442,000	500,000	58,000
State of NY Commission on Uniform State Laws			
General Fund	155,000	155,000	0
Tug Hill Commission			
General Fund	1,147,000	1,097,000	(50,000)
Special Revenue Funds - Other	50,000	50,000	0
<b>Total</b>	<b>108,217,485</b>	<b>118,167,000</b>	<b>9,949,515</b>

NYS DOB | FY 2023 Executive Budget | Agency Appropriations  
**STATE OPERATIONS - GENERAL FUND**  
**SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES**  
**FY 2023 RECOMMENDED**  
(dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	3,000,000	(1,511,000)	2,900,000	(1,570,000)
Consumer Protection Program	1,586,000	0	1,586,000	0
Local Government and Community Services	5,560,000	0	5,526,000	0
Office for New Americans	500,000	58,000	500,000	58,000
Tug Hill Commission	989,000	(50,000)	989,000	(50,000)
<b>Total</b>	<b>11,635,000</b>	<b>(1,503,000)</b>	<b>11,501,000</b>	<b>(1,562,000)</b>

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Administration	90,000	54,000	10,000	5,000
Local Government and Community Services	30,000	0	4,000	0
<b>Total</b>	<b>120,000</b>	<b>54,000</b>	<b>14,000</b>	<b>5,000</b>

**STATE OPERATIONS - GENERAL FUND**  
**SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED**  
**APPROPRIATIONS AND CHANGES**  
**FY 2023 RECOMMENDED**  
(dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
State of NY Commission on Uniform State Laws	155,000	0	0	0
Tug Hill Commission	108,000	0	13,000	0
<b>Total</b>	<b>263,000</b>	<b>0</b>	<b>13,000</b>	<b>0</b>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
State of NY Commission on Uniform State Laws	0	0	135,000	0
Tug Hill Commission	8,000	0	85,000	0
<b>Total</b>	<b>8,000</b>	<b>0</b>	<b>220,000</b>	<b>0</b>

Program	Amount	Equipment	Change
Tug Hill Commission		2,000	0

**NYS DOB | FY 2023 Executive Budget | Agency Appropriations**

<b>Total</b>	<b>2,000</b>	<b>0</b>
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<b>Program</b>	<b>Maintenance Undistributed</b>	
	<b>Amount</b>	<b>Change</b>
State of NY Commission on Uniform State Laws	20,000	0
<b>Total</b>	<b>20,000</b>	<b>0</b>

**STATE OPERATIONS - OTHER THAN GENERAL FUND  
SUMMARY OF APPROPRIATIONS AND CHANGES  
FY 2023 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Personal Service</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Authorities Budget Office	2,859,000	809,000	1,591,000	476,000
Business and Licensing Services	57,000,000	4,330,515	24,000,000	1,374,515
Code Enforcement	2,165,000	0	900,000	0
Consumer Protection Program	29,041,000	5,860,000	10,697,000	6,520,000
Local Government and Community Services	15,154,000	453,000	9,809,000	253,000
Tug Hill Commission	50,000	0	0	0
<b>Total</b>	<b>106,269,000</b>	<b>11,452,515</b>	<b>46,997,000</b>	<b>8,623,515</b>

<b>Program</b>	<b>Nonpersonal Service</b>		<b>Maintenance Undistributed</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Authorities Budget Office	1,268,000	333,000	0	0
Business and Licensing Services	33,000,000	2,956,000	0	0
Code Enforcement	1,265,000	0	0	0
Consumer Protection Program	18,344,000	9,340,000	0	(10,000,000)
Local Government and Community Services	5,345,000	200,000	0	0
Tug Hill Commission	50,000	0	0	0
<b>Total</b>	<b>59,272,000</b>	<b>12,829,000</b>	<b>0</b>	<b>(10,000,000)</b>

**AID TO LOCALITIES  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)**

<b>Fund Type</b>	<b>Available FY 2022</b>	<b>Recommended FY 2023</b>	<b>Change</b>
General Fund	28,934,000	45,000,000	16,066,000
Special Revenue Funds - Federal	130,700,000	131,700,000	1,000,000
Special Revenue Funds - Other	0	1,500,000	1,500,000

<b>Total</b>	<b>159,634,000</b>	<b>178,200,000</b>	<b>18,566,000</b>
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**AID TO LOCALITIES  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS  
(dollars)**

<b>Program</b>	<b>Available FY 2022</b>	<b>Recommended FY 2023</b>	<b>Change</b>
<b>Local Government and Community Services</b>			
General Fund	2,494,000	25,000,000	22,506,000
Special Revenue Funds - Federal	130,700,000	131,700,000	1,000,000
<b>Office for New Americans</b>			
General Fund	26,440,000	20,000,000	(6,440,000)
Special Revenue Funds - Other	0	1,500,000	1,500,000
<b>Total</b>	<b>159,634,000</b>	<b>178,200,000</b>	<b>18,566,000</b>

**CAPITAL PROJECTS  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS  
(dollars)**

<b>Comprehensive Construction Program</b>	<b>Available FY 2022</b>	<b>Recommended FY 2023</b>	<b>Change</b>	<b>Reappropriations FY 2023</b>
<b>Downtown Revitalization</b>				
Capital Projects Fund - Authority Bonds	100,000,000	200,000,000	100,000,000	391,673,000
Infrastructure Investment – Settlement Funds	0	0	0	87,648,000
<b>Maintenance and Repair</b>				
Capital Projects Fund	2,000,000	2,000,000	0	2,160,000
<b>Solid and Hazardous Waste Management</b>				
Hazardous Waste Remedial Fund	0	0	0	787,000
<b>Total</b>	<b>102,000,000</b>	<b>202,000,000</b>	<b>100,000,000</b>	<b>482,268,000</b>

Note: Most recent estimates as of 05/11/2020