

Corrections and Community Supervision, Department of

Mission

The Department of Corrections and Community Supervision (DOCCS) is responsible for providing services that incarcerated individuals need in safe and secure facilities, preparing incarcerated individuals for release, and ensuring they receive appropriate monitoring and support while under community supervision. The goal of the Department is to enhance public safety by ensuring the successful completion of an incarcerated individual's sentence to lower the risk of reoffending.

Organization and Staffing

The Department of Corrections and Community Supervision operates 50 correctional facilities across the State. Each facility is managed by a Superintendent, who reports to the Commissioner. More than 66 percent of the Department's staff are security personnel with the remaining staff primarily dedicated to the delivery of incarcerated programs, health services, community supervision, and facility operations.

The Parole Board operates as an independent decision and rulemaking authority within DOCCS. The members of the Board are appointed by the Governor and confirmed by the Senate. One member is designated by the Governor to serve as the Board's Chair. Board members review the cases of incarcerated individuals eligible for parole and determine if the incarcerated individual should be released to parole supervision.

Budget Highlights

The FY 2023 Executive Budget recommends All Funds appropriations of \$3.4 billion for the Department, an increase of \$40 million from FY 2022. This includes increases of \$4 million for "Jail to Job" initiatives, \$106 million for general salary increases, and \$42 million in capital. These increases are offset by a reduction of \$112 million to State Operations as a result of rightsizing the Department by eliminating excess capacity in the system. The Executive Budget recommends a workforce of 26,423, a decrease of 1,096 from FY 2022.

The FY 2023 Executive Budget includes \$4 million for the "Jails to Jobs" initiative to provide incarcerated and formerly incarcerated New Yorkers opportunities to successfully re-enter the workforce and reduce recidivism. Key elements of the initiative are: Parole Officer Training; Transitional Housing for Post-Incarceration Individuals; Eliminate Parole Supervision Fees; and Fully Staff the Parole Board.

Program Highlights

Correctional Services

The Department of Corrections and Community Supervision's (DOCCS) incarcerated population continues to decline and is currently at approximately 31,000 incarcerated, down from a high of over 72,000 in 1999. Since last year, the State incarcerated population has decreased by 2,918 or 8%. This decline can be largely attributed to the dramatic drop in the State's crime rate over the last decade, and the administrative COVID-19 response actions taken by DOCCS to release low level technical parole violators from DOCCS custody.

As soon as an incarcerated individual enters prison, an assessment is conducted to determine the need for rehabilitative programs. The Department offers a variety of programs designed to improve the prospects of an incarcerated individual's successful re-entry into the community. These include educational programming, with the minimum goal of assisting incarcerated individuals who do not have high school diplomas to receive New York State High School Equivalency Diplomas; apprenticeships; substance abuse treatment services; anger management therapy; and sex offender treatment programs.

Meeting the critical need of providing appropriate levels of medical services is important to the safety of the prison system and to the general public when an incarcerated individual is released. The Department acts quickly to diagnose and begin treatment, if necessary, when an incarcerated individual enters prison, and provides the greatest degree of services possible to seek a cure. The Department also offers mental health services at several facilities and operates state-of-the-art Residential Mental Health Units to treat incarcerated individuals with serious mental illness and disciplinary sanctions.

Community Supervision

The focus of the Community Supervision Program is to provide supportive services for the successful reentry of incarcerated individuals into the community and to supervise parolees released from prison. Immediately upon being released from prison, parolees are assigned to a Parole Officer. Parole Officers supervise parolees by monitoring behavior, reviewing employment, referring to appropriate treatment programs, evaluating treatment progress, and administering drug tests. In addition to closely monitoring offenders in the community, the Department helps parolees avoid reverting to a life of crime by contracting for various services to support their

NYS DOB | FY2023 Executive Budget | Agency Appropriations

return to society, such as supportive housing and employment training.

**ALL FUNDS
APPROPRIATIONS
(dollars)**

Category	Available FY 2022	Appropriations Recommended FY 2023	Change From FY 2022	Reappropriations Recommended FY 2023
State Operations	2,964,155,000	2,959,917,000	(4,238,000)	208,222,000
Aid To Localities	34,493,000	37,013,000	2,520,000	74,480,000
Capital Projects	437,000,000	479,100,000	42,100,000	979,676,000
Total	3,435,648,000	3,476,030,000	40,382,000	1,262,378,000

**ALL FUND TYPES
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM
FILLED ANNUAL SALARIED POSITIONS**

Program	FY 2022 Estimated FTEs 03/31/22	FY 2023 Estimated FTEs 03/31/23	FTE Change
Administration			
General Fund	162	162	0
Special Revenue Funds - Federal	646	646	0
Enterprise Funds	7	7	0
Community Supervision			
General Fund	1,365	1,365	0
Correctional Industries			
Enterprise Funds	3	3	0
Internal Service Funds	280	280	0
Facilities Planning and Development			
Capital Projects Funds - Other	1,009	1,009	0
Health Services			
General Fund	1,579	1,509	(70)
Parole Board			
General Fund	76	80	4
Program Services			
General Fund	2,809	2,739	(70)
Supervision of Incarcerated Individuals			
General Fund	18,032	17,142	(890)
Support Services			
General Fund	1,547	1,477	(70)
Special Revenue Funds - Other	4	4	0
Total	27,519	26,423	(1,096)

NYS DOB | FY 2023 Executive Budget | Agency Appropriations
STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)

Fund Type	Available FY 2022	Recommended FY 2023	Change
Enterprise Funds	58,443,000	58,443,000	0
General Fund	2,756,462,000	2,752,224,000	(4,238,000)
Internal Service Funds	74,895,000	74,895,000	0
Special Revenue Funds - Federal	40,500,000	40,500,000	0
Special Revenue Funds - Other	33,855,000	33,855,000	0
Total	2,964,155,000	2,959,917,000	(4,238,000)

STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)

Program	Available FY 2022	Recommended FY 2023	Change
Administration			
Enterprise Funds	2,701,000	2,701,000	0
General Fund	13,564,000	14,144,000	580,000
Special Revenue Funds - Federal	40,500,000	40,500,000	0
Special Revenue Funds - Other	25,700,000	26,100,000	400,000
Community Supervision			
General Fund	134,614,000	140,640,000	6,026,000
Special Revenue Funds - Other	1,425,000	1,025,000	(400,000)
Correctional Industries			
Enterprise Funds	742,000	742,000	0
Internal Service Funds	74,895,000	74,895,000	0
Health Services			
General Fund	394,290,000	402,336,000	8,046,000
Parole Board			
General Fund	7,100,000	8,101,000	1,001,000
Program Services			
Enterprise Funds	55,000,000	55,000,000	0
General Fund	230,505,000	217,383,000	(13,122,000)
Special Revenue Funds - Other	3,000,000	3,000,000	0
Supervision of Incarcerated Individuals			
General Fund	1,635,479,000	1,644,184,000	8,705,000
Support Services			
General Fund	340,910,000	325,436,000	(15,474,000)
Special Revenue Funds - Other	3,730,000	3,730,000	0
Total	2,964,155,000	2,959,917,000	(4,238,000)

NYS DOB | FY 2023 Executive Budget | Agency Appropriations
STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
FY 2023 RECOMMENDED
(dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	12,461,000	580,000	12,354,000	575,000
Community Supervision	114,680,000	5,341,000	106,919,000	4,980,000
Health Services	146,109,000	3,863,000	127,803,000	3,010,000
Parole Board	7,568,000	1,001,000	7,505,000	998,000
Program Services	190,130,000	(1,420,000)	184,094,000	(1,702,000)
Supervision of Incarcerated Individuals	1,624,308,000	8,992,000	1,366,046,000	(3,037,000)
Support Services	90,520,000	(8,944,000)	84,020,000	(9,247,000)
Total	2,185,776,000	9,413,000	1,888,741,000	(4,423,000)

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Administration	0	0	107,000	5,000
Community Supervision	0	0	7,761,000	361,000
Health Services	7,398,000	345,000	10,908,000	508,000
Parole Board	0	0	63,000	3,000
Program Services	4,629,000	216,000	1,407,000	66,000
Supervision of Incarcerated Individuals	14,989,000	699,000	243,273,000	11,330,000
Support Services	0	0	6,500,000	303,000
Total	27,016,000	1,260,000	270,019,000	12,576,000

STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
FY 2023 RECOMMENDED
(dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	1,683,000	0	338,000	0
Community Supervision	25,960,000	685,000	1,600,000	0
Health Services	256,227,000	4,183,000	118,724,000	(3,287,000)
Parole Board	533,000	0	43,000	0
Program Services	27,253,000	(11,702,000)	5,956,000	(153,000)
Supervision of Incarcerated Individuals	19,876,000	(287,000)	10,064,000	(148,000)
Support Services	234,916,000	(6,530,000)	170,443,000	(4,741,000)
Total	566,448,000	(13,651,000)	307,168,000	(8,329,000)

NYS DOB | FY2023 Executive Budget | Agency Appropriations

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	214,000	0	1,018,000	0
Community Supervision	2,258,000	0	21,497,000	685,000
Health Services	265,000	(5,000)	132,525,000	7,629,000
Parole Board	390,000	0	87,000	0
Program Services	356,000	(10,000)	20,215,000	(11,519,000)
Supervision of Incarcerated Individuals	2,358,000	(35,000)	5,325,000	(79,000)
Support Services	1,985,000	(54,000)	50,804,000	(1,409,000)
Total	7,826,000	(104,000)	231,471,000	(4,693,000)

Program	Equipment		General State Charges	
	Amount	Change	Amount	Change
Administration	113,000	0	0	0
Community Supervision	605,000	0	0	0
Health Services	4,713,000	(124,000)	0	0
Parole Board	3,000	0	10,000	0
Program Services	726,000	(20,000)	0	0
Supervision of Incarcerated Individuals	2,129,000	(25,000)	0	0
Support Services	11,590,000	(321,000)	94,000	(5,000)
Total	19,879,000	(490,000)	104,000	(5,000)

Program	Maintenance Undistributed	
	Amount	Change
Health Services	0	(30,000)
Total	0	(30,000)

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
FY 2023 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	69,301,000	400,000	49,900,000	0
Community Supervision	1,025,000	(400,000)	0	0
Correctional Industries	75,637,000	0	25,563,000	0
Program Services	58,000,000	0	0	0
Support Services	3,730,000	0	214,000	0
Total	207,693,000	0	75,677,000	0

Program	Nonpersonal Service	
	Amount	Change
Administration	19,401,000	400,000
Community Supervision	1,025,000	(400,000)
Correctional Industries	50,074,000	0
Program Services	58,000,000	0
Support Services	3,516,000	0
Total	132,016,000	0

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available FY 2022	Recommended FY 2023	Change
General Fund	25,493,000	28,013,000	2,520,000
Internal Service Funds	9,000,000	9,000,000	0
Total	34,493,000	37,013,000	2,520,000

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available FY 2022	Recommended FY 2023	Change
Community Supervision			
General Fund	5,613,000	8,133,000	2,520,000
Internal Service Funds	9,000,000	9,000,000	0
Health Services			
General Fund	14,000,000	14,000,000	0
Program Services			
General Fund	680,000	680,000	0
Support Services			
General Fund	5,200,000	5,200,000	0
Total	34,493,000	37,013,000	2,520,000

**CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Comprehensive Construction Program	Available FY 2022	Recommended FY 2023	Change	Reappropriations FY 2023
Facilities Maintenance and Operations				
Correctional Facilities Capital Improvement Fund	105,000,000	107,100,000	2,100,000	85,745,000
Maintenance and Improvement of Existing Facilities				
Correctional Facilities Capital Improvement Fund	332,000,000	372,000,000	40,000,000	893,931,000

NYS DOB | FY2023 Executive Budget | Agency Appropriations

Total	437,000,000	479,100,000	42,100,000	979,676,000
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Note: Most recent estimates as of 05/11/2020