

People with Developmental Disabilities, Office for

Mission

The mission of the Office for People With Developmental Disabilities (OPWDD) is to help individuals with intellectual and/or developmental disabilities live richer lives. OPWDD's vision is to ensure that individuals enjoy meaningful relationships with family, friends, and others; experience personal health and growth; live in homes of their choice; and fully participate in their communities.

Organization and Staffing

The Executive Budget recommends a year-end workforce target of 18,572 FTEs.

Budget Highlights

The FY 2021 Executive Budget recommends, in total, approximately \$5.0 billion in All Funds appropriations, including \$2.6 billion for Aid to Localities, \$2.2 billion for State Operations and \$109 million for Capital Projects, which represents a \$171 million increase from FY 2020. The increase supports new investments in OPWDD program priorities, expansion of supportive housing capacity, increased costs related to the minimum wage, funding to support targeted compensation increases, and anticipated agency operating costs.

OPWDD will utilize this funding to support a comprehensive system of care that serves 140,000 New Yorkers and their families. These resources will continue to support OPWDD's person-centered model, which delivers services through a network of State-operated and NFP-operated settings.

This Budget continues the State's pledge to support individuals with developmental disabilities in the most appropriate community-based settings, and reflects a 3.5 percent annual increase in appropriation. Specifically, the Executive Budget will:

- **Allocate \$120 Million for Program Priorities, Including New Service Opportunities.** For the seventh consecutive year, the Executive Budget includes State resources that could leverage up to a total of \$120 million in new funding on an annualized basis. This investment supports OPWDD priority program reforms, individuals entering into the system for the first time and seeking to access services and individuals already receiving services but whose needs have changed. OPWDD has leveraged new funding and other resources to:
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 - **Expand the Availability of Certified Housing Supports in the Community.** OPWDD operates a robust community-based residential program, offering residential services and supports based on an individual's needs, goals and preferences in order to support each individual in the most integrated community setting possible. The State and its network of not-for-profit provider agencies offer residential opportunities that provide 24/7 supervision as well as homes that offer less intensive staffing supports in one of the largest community-based residential programs in the country. In total, OPWDD currently supports 37,000 individuals in certified community-based residential programs funded with \$5.1 billion in public resources annually.
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 - **Support More Independent Living.** OPWDD also supports people with developmental disabilities to live as independently as possible by providing rental subsidies to individuals who wish to live in an apartment in their community. Since FY 2013, OPWDD has more than doubled the number of people who are authorized to receive rental subsidies, bringing the total to more than 6,400 individuals.
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 - **Provide More Day Program and Employment Options.** Day and employment services are a crucial aspect of offering participants the personal, social, and vocational supports needed to live in their community. Day and employment services vary depending on the needs and interests of the individual and support them in fully participating in their communities. OPWDD has invested significantly in these services and enhanced the array of available employment readiness programs over the past five years. There are currently almost 74,000 enrollments in day and employment supports.
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 - **Increase Respite Availability.** Respite services provide temporary relief to family caregivers, helping people with developmental disabilities live at home with their families for longer periods. Respite can be

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provided in the home or out of the home, during the day, evenings or overnight and often helps families better meet the needs of their loved one with a developmental disability. Currently, there are nearly 46,000 individuals enrolled in respite services, representing an increase of over 21 percent over the past five years.

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- **Promoting Choice in Service Options.** Self-Direction provides the opportunity for individuals with intellectual and/or developmental disabilities to select a combination of OPWDD-authorized services and supports based on their strengths and needs. This is accomplished by providing enrollees with individualized budgets that are used to purchase the services they choose, the staff and/or organizations that provide them, and a schedule that works for them. Under the leadership of Governor Cuomo, OPWDD has prioritized investments in Self-Direction and increased the number of enrollees to nearly 16,000.
- **Fund Not-for-Profit Provider Salary Increases.** The FY 2021 Executive Budget includes additional funding to leverage \$211 million, an annual increase of \$44 million, to support the minimum wage and related fringe benefit cost increases associated with the movement to a \$15 an hour living wage. Additionally, the Executive Budget includes resources to leverage \$153 million, an annual increase of \$122M, to support compensation increases for direct care and clinical staff at not-for-profits licensed, certified, or otherwise authorized by OPWDD, OMH and OASAS. This reflects the continuation of the FY 2020 Enacted Budget commitment to provide resources to fund the equivalent of a 2 percent salary increase, and related salary-sensitive benefits to direct care and direct support workers beginning January 1, 2020. Also, the equivalent of a 2 percent salary increase and related salary-sensitive benefits to direct care, direct support and clinical staff effective April 1, 2020.
- **Commit an Additional \$15 Million to Develop Housing.** The FY 2021 Executive Budget continues to support the expansion of independent living opportunities for individuals with intellectual and developmental disabilities. Over the last several years, the State has invested in OPWDD's effort to develop safe and accessible residential opportunities. Cumulatively, the State has invested \$80 million for this purpose, including an additional \$15 million in FY 2021. These funds are distinct from resources that are available from the five-year, \$20 billion affordable and supportive housing plan, which is also helping support the development of residential opportunities for people with intellectual and developmental disabilities.
- **OPWDD Transition to Managed Care.** In July 2018, OPWDD successfully transitioned to an enhanced care coordination model through the development of regional Care Coordination Organizations (CCOs), a necessary first step in transforming the service delivery system. Guidance regarding the requirements and standards to serve individuals with intellectual and/or developmental disabilities (I/DD) in Specialized I/DD Plans - Provider Led (SIP-PL) is expected to be released for public comment soon. Responses to this qualifications document will be used to better inform the agency on the system's readiness for Managed Care and the fiscal impacts. The State will assess the potential effectiveness and sustainability of the proposed delivery system to ensure individuals continue receiving appropriate services in the most cost-effective manner.
- **Promote More Efficient Use of State Resources.** In FY 2021, OPWDD will seek to leverage federal Medicaid funding, utilize other supplemental aid where available and take other actions to more cost-effectively support the provision of person-centered programs.
- **Improve Accountability and Oversight.** The Executive Budget also includes legislation that provides OPWDD with the authority to issue operating certificates to providers of certain State Plan Medicaid services that are targeted to providing supports to individuals with intellectual and developmental disabilities. The authority to issue operating certificates will ensure that the highest quality supports are being provided in accordance with programmatic requirements through data reporting and other means.

For more information on this agency's budget recommendations located in the Executive Budget Briefing Book, click on the following link:

Program Highlights

- **Residential Services:** Residential programs are licensed by OPWDD to provide varying levels of housing and related services, and are operated by OPWDD or nonprofit agencies. Residential services include, but are not limited to: adaptive skill development; assistance with activities of daily living; community inclusion and relationship building; training and support for independence in travel; adult educational supports; and development of social, leisure, self-advocacy, informed choice and appropriate behavioral skills.
- **Day Programs:** Day programs include: day habilitation, community habilitation and employment type services, each focused on giving participants the personal, social and vocational supports needed to live in their community. Programming varies depending upon each person's unique needs and interests. These services aim to assist individuals to acquire, retain or improve their self-help, socialization and

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adaptive skills, including communication, travel and other areas of adult education.

- **Clinic Services:** Clinic Services include: physical therapy, occupational therapy, psychology, speech and language pathology, medical/dental services and health care services. These services assist individuals with developmental disabilities in maintaining the effectiveness of the treatment, enabling the individual to remain in his/her current residential setting and enhancing the individual's quality of life.
- **All Other Services:** These include a variety of different service options for individuals and families, such as self-direction, respite, care coordination, and family counseling.

**ALL FUNDS
APPROPRIATIONS
(dollars)**

Category	Available FY 2020	Appropriations Recommended FY 2021	Change From FY 2020	Reappropriations Recommended FY 2021
State Operations	2,244,027,000	2,244,149,000	122,000	2,929,000
Aid To Localities	2,487,307,000	2,649,282,000	161,975,000	2,235,152,000
Capital Projects	99,400,000	108,600,000	9,200,000	557,287,000
Total	4,830,734,000	5,002,031,000	171,297,000	2,795,368,000

**ALL FUND TYPES
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM
FILLED ANNUAL SALARIED POSITIONS**

Program	FY 2020 Estimated FTEs 03/31/20	FY 2021 Estimated FTEs 03/31/21	FTE Change
Central Coordination and Support			
General Fund	623	623	0
Community Services			
General Fund	14,530	14,530	0
Capital Projects Funds - Other	385	385	0
Institutional Services			
General Fund	2,905	2,905	0
Research in Developmental Disabilities			
General Fund	129	129	0
Total	18,572	18,572	0

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available FY 2020	Recommended FY 2021	Change
Enterprise Funds	2,657,000	2,657,000	0
General Fund	2,239,620,000	2,239,620,000	0
Internal Service Funds	348,000	348,000	0
Special Revenue Funds - Federal	751,000	751,000	0
Special Revenue Funds - Other	651,000	773,000	122,000
Total	2,244,027,000	2,244,149,000	122,000

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STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)

Program	Available FY 2020	Recommended FY 2021	Change
Central Coordination and Support			
General Fund	109,103,000	109,103,000	0
Internal Service Funds	348,000	348,000	0
Special Revenue Funds - Federal	751,000	751,000	0
Community Services			
General Fund	1,460,049,000	1,635,245,000	175,196,000
Institutional Services			
Enterprise Funds	2,657,000	2,657,000	0
General Fund	641,498,000	466,302,000	(175,196,000)
Special Revenue Funds - Other	502,000	502,000	0
Research in Developmental Disabilities			
General Fund	28,970,000	28,970,000	0
Special Revenue Funds - Other	149,000	271,000	122,000
Total	2,244,027,000	2,244,149,000	122,000

STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
FY 2021 RECOMMENDED
(dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Central Coordination and Support	51,480,000	0	50,820,000	0
Community Services	972,155,000	175,196,000	824,139,000	76,787,000
Institutional Services	146,166,000	(175,196,000)	130,056,000	(172,019,000)
Research in Developmental Disabilities	16,756,000	0	16,398,000	0
Total	1,186,557,000	0	1,021,413,000	(95,232,000)

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Central Coordination and Support	489,000	0	171,000	0
Community Services	1,813,000	0	146,203,000	98,409,000
Institutional Services	1,078,000	546,000	15,032,000	(3,723,000)
Research in Developmental Disabilities	0	0	358,000	0
Total	3,380,000	546,000	161,764,000	94,686,000

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STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
FY 2021 RECOMMENDED
(dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Central Coordination and Support	57,623,000	0	637,000	0
Community Services	663,090,000	0	45,443,000	0
Institutional Services	320,136,000	0	41,803,000	0
Research in Developmental Disabilities	12,214,000	0	820,000	0
Total	1,053,063,000	0	88,703,000	0

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Central Coordination and Support	2,136,000	0	20,047,000	0
Community Services	5,327,000	0	85,985,000	0
Institutional Services	1,596,000	0	31,563,000	0
Research in Developmental Disabilities	6,000	0	1,108,000	0
Total	9,065,000	0	138,703,000	0

Program	Equipment		General State Charges	
	Amount	Change	Amount	Change
Central Coordination and Support	3,728,000	0	29,763,000	0
Community Services	23,230,000	0	475,211,000	0
Institutional Services	11,459,000	0	209,028,000	0
Research in Developmental Disabilities	154,000	0	9,679,000	0
Total	38,571,000	0	723,681,000	0

Program	Special Departmental Charges	
	Amount	Change
Central Coordination and Support	1,312,000	0
Community Services	27,894,000	0
Institutional Services	24,687,000	0
Research in Developmental Disabilities	447,000	0
Total	54,340,000	0

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STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
FY 2021 RECOMMENDED
(dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Central Coordination and Support	1,099,000	0	0	0
Institutional Services	3,159,000	0	289,000	0
Research in Developmental Disabilities	271,000	122,000	0	0
Total	4,529,000	122,000	289,000	0

Program	Nonpersonal Service	
	Amount	Change
Central Coordination and Support	1,099,000	0
Institutional Services	2,870,000	0
Research in Developmental Disabilities	271,000	122,000
Total	4,240,000	122,000

AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)

Fund Type	Available FY 2020	Recommended FY 2021	Change
General Fund	2,487,307,000	2,649,282,000	161,975,000
Total	2,487,307,000	2,649,282,000	161,975,000
Adjustments:			
Transfer(s) From			
Mental Hygiene, Department of			
General Fund			
(State Operations)	(50,000)		
Appropriated FY 2020	2,487,257,000		

AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)

Program	Available FY 2020	Recommended FY 2021	Change
Community Services			
General Fund	2,487,307,000	2,649,282,000	161,975,000
Total	2,487,307,000	2,649,282,000	161,975,000

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CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM

APPROPRIATIONS
(dollars)

Comprehensive Construction Program	Available FY 2020	Recommended FY 2021	Change	Reappropriations FY 2021
Community and Institutional Services Program				
Capital Projects Fund	31,400,000	39,600,000	8,200,000	33,504,000
MH Capital Improvements - Authority Bonds	15,000,000	15,000,000	0	60,134,000
Design and Construction Supervision				
Capital Projects Fund	6,000,000	6,000,000	0	17,254,000
MH Capital Improvements - Authority Bonds	7,000,000	7,000,000	0	14,485,000
Facilities Maintenance and Operations				
Capital Projects Fund	40,000,000	41,000,000	1,000,000	22,874,000
Institutional Services Program				
Capital Projects Fund	0	0	0	5,450,000
MH Capital Improvements - Authority Bonds	0	0	0	170,092,000
Non-Bondable Projects				
Capital Projects Fund	0	0	0	954,000
State-Operated Community Services Program				
Capital Projects Fund	0	0	0	7,306,000
MH Capital Improvements - Authority Bonds	0	0	0	113,100,000
Voluntary-Operated Community Facilities				
Capital Projects Fund	0	0	0	22,744,000
MH Capital Improvements - Authority Bonds	0	0	0	89,390,000
Total	99,400,000	108,600,000	9,200,000	557,287,000

Note: Most recent estimates as of 01/29/2020