

Transportation, Department of

Mission

It is the mission of the New York State Department of Transportation (DOT) to ensure its customers – those who live, work and travel in New York State – have a safe, efficient, balanced, and environmentally sound transportation system.

The DOT directly maintains and improves the State's approximately 44,500 State highway lane miles and nearly 7,900 bridges. In addition, the Department partially funds locally-operated transit systems, local government highway and bridge construction, and rail, airport, and canal programs.

Organization and Staffing

The Department is headed by a Commissioner appointed by the Governor, subject to Senate confirmation. The Department's main office is in Albany, with 11 regional offices in Schenectady, Utica, Syracuse, Rochester, Buffalo, Hornell, Watertown, Poughkeepsie, Binghamton, Hauppauge, and New York City. The Department also maintains highway maintenance and equipment repair facilities across the State.

Employees of the Department perform such activities as highway maintenance, snow and ice removal, highway and bridge design, and construction inspection. In addition, the Department's staff inspects school and charter buses, regulates commercial transportation, oversees public transportation systems and State-owned airports, and provides administrative support for the Department.

Budget Highlights

The FY 2020 Executive Budget recommends more than \$9.4 billion for the Department, a decrease of \$569 million from the FY 2019 budget. This year-to-year change primarily reflects reforms in the budget that transfer previous Aid Trust Account revenues directly to the MTA, and planned scheduling of commitments related to DOT's historic capital plan.

The Executive Budget includes a workforce of 8,520 FTEs for the Department, unchanged from the amount funded in FY 2019.

Major budget actions include:

- The Executive Budget provides funding for the fifth year of DOT's capital plan for the period that began in FY 2016, and reflects significant additional commitments made in the FY 2020 budget. These investments will support needed improvements to New York State's highways, bridges, rail, aviation, and non-MTA transit infrastructure.
- The Executive Budget provides operating support totaling over \$5.8 billion to transit systems. The MTA will receive almost \$5.3 billion (through a combination of appropriated and non-appropriated resources), an increase of \$287 million from FY 2019, and other transit systems will receive a record-high \$568 million of annual operating assistance. The Budget also moves the MTA Aid Trust Account directly to the MTA, and includes an \$11 million appropriation for transit service over the Mario M. Cuomo Bridge.
- The FY 2020 Executive Budget raises new revenues for Upstate transit systems by expanding an auto rental surcharge currently applied just in the Metropolitan Commuter Transportation District (MCTD) to the entire state. New revenues total \$11 million in the coming year (\$22 million in future years), and will be used to provide added support for Upstate systems that serve many of the most economically disadvantaged, disabled, and elderly riders throughout the State.
- The FY 2020 Executive Budget includes legislation to implement Congestion Tolling in Lower Manhattan. This program, while not reflected in State appropriations, will reduce congestion in the area while providing needed funding for MTA subway and other transit capital improvements. The Budget also includes legislation to directly address bus lane violations that have contributed to reduced MTA bus speeds.
- The FY 2020 Executive Budget includes legislation to substantially improve the safety of limousines, buses, taxis, and liveries throughout New York State. The legislation would ban certain types of stretched or modified vehicles, strengthen civil and criminal penalties for violations, and significantly enhance the Department's authority to take dangerous vehicles off the road. The legislation will also establish a \$120 fee on inspections of certain for-profit buses, limousines, and other liveries under the jurisdiction of the Department. The fee will defray DOT's costs of performing the inspections, and disincentivize poor maintenance practices that require repeated inspections of the same vehicle.
- To help the State realize the full value of its assets, the Budget includes legislation that would authorize

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DOT to enter into agreements with fiber-optic utility companies to occupy State rights of way. Such agreements are projected to provide potential revenue to the State of \$15 million in FY 2020, \$30 million in FY 2021, and \$50 million annually thereafter.

- Capital Aid to Local Governments for highway and bridge projects is preserved at FY 2019 levels, with \$438.1 million provided for the Consolidated Highway Improvement Program (CHIPS) and \$39.7 million for the Marchiselli program. The Executive Budget also continues the State's commitment to providing \$100 million for local bridge projects through the BRIDGE NY program, and \$100 million for local highway improvements through the PAVE NY program.

For more information on this agency's budget recommendations located in the Executive Budget Briefing Book, click on the following link:

Program Highlights

Highway Construction and Maintenance: Improving the State's vital transportation infrastructure remains the agency's highest priority. During 2017-18, the Department replaced or rehabilitated a total of 204 State bridges and completed 5,973 corrective and preventive bridge treatments to slow deterioration. In addition, 1,815 lane miles of State highway were resurfaced or reconstructed. Construction of virtually all highway and bridge projects is performed by private firms, while most corrective and preventive maintenance activities are performed by DOT staff.

Engineering: State staff and private-sector consultants perform the planning, property acquisition, design engineering, environmental reviews, surveying, materials and soils testing, and construction inspection necessary to deliver the Department's capital program.

Local Highway Aid: The State makes a significant investment in helping localities maintain safe roads and bridges through the CHIPS, Marchiselli, BRIDGE NY and PAVE NY programs. The CHIPS program provides aid to cities, counties, towns and villages on a formula basis, while the Marchiselli program helps local governments meet required matching requirements on Federally funded projects. The BRIDGE NY and PAVE NY programs were created in the FY 2017 budget and provide additional State aid for local bridge and highway projects.

Transportation Safety and Environmental Compliance: Department staff perform safety inspections of school buses throughout New York State. In addition, the Department administers safety and emissions inspections of commercial trucking on State highways, as well as a comprehensive program to ensure public safety along the State's commercial rail corridors.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available FY 2019	Appropriations Recommended FY 2020	Change From FY 2019	Reappropriations Recommended FY 2020
State Operations	386,550,000	429,211,000	42,661,000	365,582,000
Aid To Localities	4,108,032,600	3,647,228,300	(460,804,300)	482,861,000
Capital Projects	5,554,685,000	5,402,873,000	(151,812,000)	18,294,887,000
Total	10,049,267,600	9,479,312,300	(569,955,300)	19,143,330,000

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ALL FUND TYPES
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM
FILLED ANNUAL SALARIED POSITIONS

Program	FY 2019 Estimated FTEs 03/31/19	FY 2020 Estimated FTEs 03/31/20	FTE Change
Bus Safety			
General Fund	95	95	0
Design and Construction			
Capital Projects Funds - Other	2,558	2,558	0
Motor Carrier Safety			
General Fund	54	54	0
New York Metropolitan Transportation Council			
Special Revenue Funds - Federal	53	53	0
Non-Federally Aided Highway Capital Projects - Administration			
Capital Projects Funds - Other	422	422	0
Operations			
General Fund	2,385	2,385	0
Office of Passenger and Freight Transportation Program			
Special Revenue Funds - Federal	73	73	0
Special Revenue Funds - Other	46	46	0
Planning and Program Management			
Capital Projects Funds - Other	545	545	0
Preventive Maintenance			
Capital Projects Funds - Other	2,113	2,113	0
Rail Safety			
General Fund	11	11	0
Real Estate			
Capital Projects Funds - Other	165	165	0
Total	8,520	8,520	0

STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)

Fund Type	Available FY 2019	Recommended FY 2020	Change
General Fund	339,351,000	380,772,000	41,421,000
Special Revenue Funds - Federal	31,489,000	31,189,000	(300,000)
Special Revenue Funds - Other	15,710,000	17,250,000	1,540,000
Total	386,550,000	429,211,000	42,661,000

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STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)

Program	Available FY 2019	Recommended FY 2020	Change
Bus Safety			
General Fund	7,233,000	8,680,000	1,447,000
Motor Carrier Safety			
General Fund	6,242,000	7,492,000	1,250,000
Office of Passenger and Freight Transportation Program			
Special Revenue Funds - Federal	31,489,000	31,189,000	(300,000)
Special Revenue Funds - Other	12,500,000	14,040,000	1,540,000
Operations			
General Fund	325,084,000	363,648,000	38,564,000
Special Revenue Funds - Other	3,210,000	3,210,000	0
Rail Safety			
General Fund	792,000	952,000	160,000
Total	386,550,000	429,211,000	42,661,000

STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
FY 2020 RECOMMENDED
(dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Bus Safety	7,966,000	1,328,000	7,032,000	1,172,000
Motor Carrier Safety	4,245,000	708,000	4,053,000	676,000
Operations	163,648,000	4,767,000	124,781,000	4,767,000
Rail Safety	847,000	142,000	797,000	133,000
Total	176,706,000	6,945,000	136,663,000	6,748,000

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Bus Safety	0	0	934,000	560,000
Motor Carrier Safety	0	0	192,000	102,000
Operations	4,102,000	0	34,765,000	30,168,000
Rail Safety	0	0	50,000	32,000
Total	4,102,000	0	35,941,000	30,862,000

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STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
FY 2020 RECOMMENDED
(dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Bus Safety	714,000	119,000	30,000	5,000
Motor Carrier Safety	3,247,000	542,000	94,000	16,000
Operations	200,000,000	33,797,000	137,951,000	39,375,000
Rail Safety	105,000	18,000	18,000	3,000
Total	204,066,000	34,476,000	138,093,000	39,399,000

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Bus Safety	498,000	83,000	78,000	13,000
Motor Carrier Safety	120,000	20,000	3,015,000	503,000
Operations	102,000	(2,898,000)	61,400,000	13,284,000
Rail Safety	74,000	13,000	6,000	1,000
Total	794,000	(2,782,000)	64,499,000	13,801,000

Program	Equipment	
	Amount	Change
Bus Safety	108,000	18,000
Motor Carrier Safety	18,000	3,000
Operations	547,000	(15,964,000)
Rail Safety	7,000	1,000
Total	680,000	(15,942,000)

STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
FY 2020 RECOMMENDED
(dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Office of Passenger and Freight Transportation Program	45,229,000	1,240,000	17,907,000	849,000
Operations	3,210,000	0	0	0
Total	48,439,000	1,240,000	17,907,000	849,000

Program	Nonpersonal Service	
	Amount	Change
Office of Passenger and Freight Transportation Program	27,322,000	391,000
Operations	3,210,000	0
Total	30,532,000	391,000

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AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)

Fund Type	Available FY 2019	Recommended FY 2020	Change
General Fund	303,850,800	109,850,800	(194,000,000)
Special Revenue Funds - Federal	73,300,000	73,300,000	0
Special Revenue Funds - Other	3,730,881,800	3,464,077,500	(266,804,300)
Total	4,108,032,600	3,647,228,300	(460,804,300)

AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)

Program	Available FY 2019	Recommended FY 2020	Change
Additional Mass Transportation Assistance Program			
General Fund	259,720,000	65,720,000	(194,000,000)
Dedicated Mass Transportation Trust Fund Program			
Special Revenue Funds - Other	714,256,000	727,146,000	12,890,000
Local Transportation Planning Studies Program			
Special Revenue Funds - Federal	33,500,000	33,500,000	0
Mass Transportation Assistance Program			
General Fund	25,251,000	25,251,000	0
Mass Transportation Operating Assistance Fund Program			
Special Revenue Funds - Other	2,083,147,700	2,257,147,700	174,000,000
Mass Transportation Operating Assistance Program			
General Fund	18,879,800	18,879,800	0
Special Revenue Funds - Other	202,990,100	202,990,100	0
Metropolitan Transportation Authority Support Program			
Special Revenue Funds - Other	730,488,000	276,793,700	(453,694,300)
Office of Passenger and Freight Transportation Program			
Special Revenue Funds - Federal	17,900,000	17,900,000	0
Rural and Small Urban Transit Aid Program			
Special Revenue Funds - Federal	21,900,000	21,900,000	0
Total	4,108,032,600	3,647,228,300	(460,804,300)

CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)

Comprehensive Construction Program	Available FY 2019	Recommended FY 2020	Change	Reappropriations FY 2020
Aviation				
Airport or Aviation Program				
Cap Proj Fund - Infrastructure Renewal (Bondable)	0	0	0	358,000
Capital Projects Fund - Aviation (Bondable)	0	0	0	2,010,000

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Airport or Aviation Program -- Bondable Capital Projects Fund - Aviation (Bondable)	0	0	0	824,000
Airport or Aviation State Program				
Dedicated Highway and Bridge Trust Fund	4,000,000	4,000,000	0	38,848,000
Regional Aviation Fund	0	0	0	8,416,000
Aviation				
Transportation Capital Facilities Bond Fund	0	0	0	3,329,000
Federal Airport or Aviation				
Federal Capital Projects Fund	6,000,000	6,000,000	0	59,705,000
New York Works				
Capital Projects Fund - Authority Bonds	12,500,000	12,500,000	0	196,278,000
Non-Federal Aided Highway Capital Projects				
Dedicated Highway and Bridge Trust Fund	1,186,000	1,243,000	57,000	1,851,000
Transportation Bondable				
Cap Proj Fund - Rebuild Renew NY 2005 (Bondable)	0	0	0	10,021,000
Bond Proceeds				
Rebuild and Renew New York Transportation Bonds of 2005				
Rebuild and Renew New York Transp Bonds of 2005	0	0	0	476,327,000
Canals and Waterways				
Canals and Waterways - Bondable				
Cap Proj Fund - Infrastructure Renewal (Bondable)	0	0	0	484,000
Transportation Bondable				
Cap Proj Fund - Rebuild Renew NY 2005 (Bondable)	0	0	0	16,139,000
Economic Development				
New York Works				
Capital Projects Fund - Authority Bonds	122,000,000	0	(122,000,000)	78,000,000
Non-Federal Aided Highway Capital Projects				
Dedicated Highway and Bridge Trust Fund	0	0	0	171,903,000
Health and Safety				
Dedicated Highway and Bridge Trust Fund	0	0	0	11,059,000
Highway Facilities				
Accelerated Capacity and Transportation Improvements Fund				
Accelerated Capacity and Transport Improvements Fund	0	0	0	23,010,000
Airport or Aviation State Program				
Dedicated Highway and Bridge Trust Fund	0	0	0	27,000
American Recovery and Reinvestment Act				
Federal Stimulus	0	0	0	27,101,000
Engineering Services				
Engineering Services Fund	0	0	0	121,819,000
Federal Operating Grants Fund	19,379,000	19,241,000	(138,000)	93,621,000

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Federal Aid Highways - Bondable Purpose				
Cap Proj Fund - Infrastructure Renewal (Bondable)	0	0	0	6,080,000
Federal Aid Highways - Federal Purpose				
Federal Capital Projects Fund	2,480,000,000	2,520,000,000	40,000,000	8,625,450,000
Highway Facilities				
Dedicated Highway and Bridge Trust Fund	0	0	0	11,918,000
Infrastructure Bond Act Projects				
Cap Proj Fund - Infrastructure Renewal (Bondable)	0	0	0	7,251,000
Maintenance Facilities				
Dedicated Highway and Bridge Trust Fund	5,000,000	5,000,000	0	3,968,000
Multi-Modal				
Dedicated Highway and Bridge Trust Fund	0	0	0	32,851,000
Municipal Highway - Railroad Crossing Alterations				
Dedicated Highway and Bridge Trust Fund	0	0	0	1,567,000
New York State Agency Fund				
Miscellaneous New York State Agency Fund	50,000,000	50,000,000	0	545,326,000
New York Works				
Capital Projects Fund - Authority Bonds	102,904,000	77,214,000	(25,690,000)	1,199,602,000
Dedicated Highway and Bridge Trust Fund	0	0	0	14,324,000
Federal Capital Projects Fund	0	0	0	13,983,000
Non-Federal Aided Highway Capital Projects				
Dedicated Highway and Bridge Trust Fund	1,296,701,000	1,320,902,000	24,201,000	2,629,323,000
Other Highway Aid				
Capital Projects Fund - Authority Bonds	65,000,000	0	(65,000,000)	65,000,000
Dedicated Highway and Bridge Trust Fund	0	0	0	1,150,000
Other Transportation Aid				
Capital Projects Fund - Authority Bonds	478,172,000	477,797,000	(375,000)	816,965,000
Priority Bond Act Projects				
Cap Proj Fund - Infrastructure Renewal (Bondable)	0	0	0	7,056,000
Road and Bridge Improvements - Bondable				
Capital Projects Fund - AC and TI Fund (Bondable)	0	0	0	23,009,000
Small and Minority and Women-Owned Small Business Assistance				
Dedicated Highway and Bridge Trust Fund	0	0	0	3,500,000
Transportation Bondable				
Cap Proj Fund - Rebuild Renew NY 2005 (Bondable)	0	0	0	15,278,000
Transportation Infrastructure Renewal Bond Fund				
Transportation Infrastructure Renewal Bond Fund	0	0	0	29,149,000

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Infrastructure				
New York Works				
Capital Projects Fund - Authority Bonds	356,175,000	346,175,000	(10,000,000)	1,308,684,000
Maintenance Facilities				
Maintenance Facilities				
Dedicated Highway and Bridge Trust Fund	27,405,000	27,405,000	0	82,495,000
Mass Transportation and Rail Freight				
American Recovery and Reinvestment Act				
Federal Stimulus	0	0	0	119,267,000
Mass Transportation				
Capital Projects Fund	38,500,000	38,500,000	0	167,206,000
Mass Transportation and Rail Freight				
Capital Projects Fund	18,500,000	18,500,000	0	239,820,000
Capital Projects Fund - Authority Bonds	20,000,000	0	(20,000,000)	24,123,000
Capital Projects Fund - Energy Conservation (Bondable)	0	0	0	148,000
Capital Projects Fund – Settlement Funds	0	0	0	16,004,000
Dedicated Highway and Bridge Trust Fund	54,330,000	54,330,000	0	173,445,000
Federal Capital Projects Fund	0	0	0	19,573,000
Mass Transportation and Rail Freight Bondable				
Cap Proj Fund - Infrastructure Renewal (Bondable)	0	0	0	2,682,000
New York Works				
Capital Projects Fund - Authority Bonds	45,000,000	45,000,000	0	146,929,000
Other Transportation Aid				
Federal Capital Projects Fund	0	0	0	58,769,000
Rail Freight				
Cap Proj Fund - Infrastructure Renewal (Bondable)	0	0	0	120,000
Capital Projects Fund	0	0	0	1,291,000
Capital Projects Fund - Advances	0	0	0	21,360,000
Rail Preservation and Development Fund				
Rail Preservation Development Bond Fund	0	0	0	164,000
Small and Minority and Women-Owned Small Business Assistance				
Capital Projects Fund	0	0	0	5,000,000
Special Rail and Aviation Program				
Capital Projects Fund	0	0	0	4,651,000
Transportation Bondable				
Cap Proj Fund - Rebuild Renew NY 2005 (Bondable)	0	0	0	26,526,000
Port Development				
Port Development Bondable				
Cap Proj Fund - Infrastructure Renewal (Bondable)	0	0	0	55,000
Transportation Bondable				
Cap Proj Fund - Rebuild Renew NY 2005 (Bondable)	0	0	0	10,448,000

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Preservation of Facilities				
Non-Federal Aided Highway Capital Projects				
Capital Projects Fund	0	0	0	74,000
Dedicated Highway and Bridge Trust Fund	351,933,000	379,066,000	27,133,000	472,173,000
Total	5,554,685,000	5,402,873,000	(151,812,000)	18,294,887,000

Note: Most recent estimates as of 1/15/2019