

## Mental Health, Office of

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### Mission

The Office of Mental Health's (OMH) mission is to promote the mental health of all New Yorkers, with a particular focus on facilitating hope and recovery for adults and children with serious mental illness or emotional disturbances.

### Organization and Staffing

The Office of Mental Health (OMH) has a Central Office in Albany, which oversees State Operated and Local Programs, and the State directly operates 24 psychiatric facilities. The Local Programs are delivered by a combination of local government and community-based service providers; oversight is provided by OMH's Central Office program and fiscal staff, and by five regional Field Office Directors, who work with local Directors of Community Services, regional program and fiscal staff, and report to the Commissioner. The overall workforce is projected to increase by 40 FTEs to 13,717.

### Budget Highlights

The Executive Budget provides \$4.4 billion All Funds appropriations, a net increase of \$131 million (3.1%), reflecting increased capital authority, the investment in community-based services, minimum wage and residential stipend enhancements.

The Executive Budget builds on Governor Cuomo's effort to expand community services and transition individuals to more appropriate and cost-effective community settings. With substantial investments in community-based services made in recent years, OMH developed new mobile crisis teams, established first episode psychosis programs, provided additional peer support services, and funded the expansion of supported housing units throughout the State. The expansion in community-based capacity since FY 2015 has resulted in 50,700 new individuals receiving services and funded over 1,305 supported housing beds. Since FY 2015, the success of these community investments has resulted in the reduction of over 650 unnecessary, vacant inpatient beds. The Budget continues to improve quality and expand capacity of services in the community. Specifically, the Budget will:

- **Enhance Support for Existing Residential Programs.** The Budget provides an additional \$10 million for existing supported housing and single residence occupancy programs. This investment will help preserve access and maintain current housing capacity as the State brings new housing units online through the Empire State Supported Housing Initiative. Since FY 2014, funding to enhance support for these existing housing programs has increased by over \$50 million annually.
- **Expand Community-Based Services.** The Budget continues to support the expansion of community-based programs serving individuals in less restrictive settings that are closer to family and other natural supports by efficiencies in operating inpatient programs. New investments offset by equivalent spending efficiencies in inpatient and other services will bring the full annual investment since FY 2015 to more than \$100 million.
- **Support High-Need Individuals.** The Budget provides an additional \$10 million for specialized supports, such as peer support and in-reach, to engage individuals with mental illness who require a higher level of care to transition and live successfully in the community. These resources will be utilized for individuals currently residing in impacted adult homes.
- **Invest in Infrastructure.** The Budget provides an additional appropriation of \$100 million to support the replacement of the Mid-Hudson Forensic Psychiatric Center in Orange County, which includes buildings over 100 years old and not designed for current standards of care. The Budget also includes \$60 million to maintain and preserve community-based residential facilities that allow people with mental illness to live in the most integrated setting possible.
- **Establish Jail-Based Restoration Programs for Certain Defendants.** The Executive Budget establishes the authority to provide mental health restoration services to inmates in jail awaiting trial. Currently, defendants who are deemed incompetent to stand trial are treated at an OMH inpatient psychiatric hospital until they are restored to competency and returned to jail to await trial. This cycle often repeats itself with multiple inpatient stays at a psychiatric hospital, extending the time individuals are detained prior to trial. OMH will work with counties on a voluntary basis to develop specialized residential treatment units within their jails, ultimately reducing the time individuals with mental illness spend in jail awaiting justice. The Executive Budget invests \$850,000 to assist up to two county jails in making any necessary infrastructure improvements to provide these separate treatment units. This program has been identified as a best practice by the National Judicial College, and has been implemented successfully in

ten other states including California, Virginia, and Colorado.

For more information on this agency's budget recommendations located in the Executive Budget Briefing Book, click on the following link:

### Program Highlights

New York State has a large, multi-faceted, public mental health system that serves more than 800,000 individuals each year. OMH operates psychiatric centers across the State, and also regulates, certifies and oversees more than 4,500 programs, which are operated by local governments and nonprofit agencies. These programs fall into four major categories:

- State Operated Services:** OMH operates two world-renowned Research Institutes and 22 psychiatric centers that provide over 3,800 inpatient beds for Adult, Children and Youth, Forensic, and Sex Offender populations. The agency also runs 83 outpatient clinics, 1,298 residential beds, and a range of community programs in State prison settings. As part of their ongoing transformation efforts, OMH continues to transition individuals with mental illness to more integrated community-based settings. These efforts have allowed OMH to decrease State operated census and workforce over the last five years without compromising clinical care.
- Adult Residential Programs:** For individuals with mental illness, safe and affordable housing is an essential element of recovery. OMH oversees a large array of adult housing resources and residential habilitation programs in New York State, including congregate treatment, licensed apartments, single room residences, and supported housing. Each residential setting is designed to provide the supports and services necessary for individuals to live in the least restrictive setting possible.
- Adult Non-Residential Programs:** OMH contracts with local governments and non-profit agencies to provide community-based services and supports for individuals with mental illness. The agency supports outpatient programs, including clinic treatment, crisis intervention, emergency services, advocacy and support, and other community services that promote recovery.
- Children and Youth Programs:** OMH oversees a variety of programs to support children and youth, and their families, including inpatient programs, residential treatment facilities, and community-based services and supports.

### ALL FUNDS APPROPRIATIONS (dollars)

Category	Available FY 2019	Appropriations Recommended FY 2020	Change From FY 2019	Reappropriations Recommended FY 2020
State Operations	2,285,758,000	2,285,033,000	(725,000)	1,815,000
Aid To Localities	1,544,514,000	1,576,049,000	31,535,000	33,792,000
Capital Projects	434,472,000	534,472,000	100,000,000	2,857,910,000
<b>Total</b>	<b>4,264,744,000</b>	<b>4,395,554,000</b>	<b>130,810,000</b>	<b>2,893,517,000</b>

NYS DOB | FY 2020 Executive Budget | Agency Appropriations  
**ALL FUND TYPES**  
**PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM**  
**FILLED ANNUAL SALARIED POSITIONS**

Program	FY 2019 Estimated FTEs 03/31/19	FY 2020 Estimated FTEs 03/31/20	FTE Change
Administration and Finance			
General Fund	275	275	0
Special Revenue Funds - Federal	11	11	0
Internal Service Funds	10	10	0
Adult Services			
General Fund	8,269	8,269	0
Capital Planning			
Capital Projects Funds - Other	683	683	0
Children and Youth Services			
General Fund	1,393	1,393	0
Forensic Services			
General Fund	2,629	2,669	40
Research in Mental Illness			
General Fund	407	407	0
<b>Total</b>	<b>13,677</b>	<b>13,717</b>	<b>40</b>

**STATE OPERATIONS**  
**ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE**  
**APPROPRIATIONS**  
**(dollars)**

Fund Type	Available FY 2019	Recommended FY 2020	Change
Enterprise Funds	8,606,000	8,606,000	0
General Fund	2,255,535,000	2,253,835,000	(1,700,000)
Internal Service Funds	2,597,000	2,597,000	0
Special Revenue Funds - Federal	1,538,000	2,513,000	975,000
Special Revenue Funds - Other	17,482,000	17,482,000	0
<b>Total</b>	<b>2,285,758,000</b>	<b>2,285,033,000</b>	<b>(725,000)</b>
Adjustments:			
Transfer(s) To			
Civil Service, Department of			
General Fund	197,000		
Employee Relations, Office of			
General Fund	442,000		
<b>Appropriated FY 2019</b>	<b>2,286,397,000</b>		

NYS DOB | FY 2020 Executive Budget | Agency Appropriations  
**STATE OPERATIONS**  
**ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM**  
**APPROPRIATIONS**  
(dollars)

Program	Available FY 2019	Recommended FY 2020	Change
Administration and Finance			
Enterprise Funds	8,606,000	8,606,000	0
General Fund	92,567,000	92,567,000	0
Internal Service Funds	2,597,000	2,597,000	0
Special Revenue Funds - Federal	1,538,000	2,513,000	975,000
Special Revenue Funds - Other	3,954,000	4,402,000	448,000
Adult Services			
General Fund	1,492,506,000	1,492,506,000	0
Special Revenue Funds - Other	6,298,000	5,850,000	(448,000)
Children and Youth Services			
General Fund	248,263,000	248,263,000	0
Forensic Services			
General Fund	331,957,000	330,257,000	(1,700,000)
Research in Mental Illness			
General Fund	90,242,000	90,242,000	0
Special Revenue Funds - Other	7,230,000	7,230,000	0
<b>Total</b>	<b>2,285,758,000</b>	<b>2,285,033,000</b>	<b>(725,000)</b>

**STATE OPERATIONS - GENERAL FUND**  
**SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES**  
**FY 2020 RECOMMENDED**  
(dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration and Finance	39,460,000	0	38,362,000	0
Adult Services	769,345,000	0	711,223,000	0
Children and Youth Services	137,499,000	0	125,452,000	0
Forensic Services	196,255,000	(1,500,000)	164,376,000	(1,500,000)
Research in Mental Illness	48,916,000	0	47,965,000	0
<b>Total</b>	<b>1,191,475,000</b>	<b>(1,500,000)</b>	<b>1,087,378,000</b>	<b>(1,500,000)</b>

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Administration and Finance	841,000	0	257,000	0
Adult Services	4,777,000	0	53,345,000	0
Children and Youth Services	2,464,000	0	9,583,000	0
Forensic Services	2,396,000	0	29,483,000	0
Research in Mental Illness	78,000	0	873,000	0
<b>Total</b>	<b>10,556,000</b>	<b>0</b>	<b>93,541,000</b>	<b>0</b>

**STATE OPERATIONS - GENERAL FUND  
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED  
APPROPRIATIONS AND CHANGES  
FY 2020 RECOMMENDED  
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration and Finance	53,107,000	0	1,118,000	0
Adult Services	723,161,000	0	94,500,000	0
Children and Youth Services	110,764,000	0	12,973,000	0
Forensic Services	134,002,000	(200,000)	11,379,000	(200,000)
Research in Mental Illness	41,326,000	0	3,787,000	0
<b>Total</b>	<b>1,062,360,000</b>	<b>(200,000)</b>	<b>123,757,000</b>	<b>(200,000)</b>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration and Finance	979,000	0	26,300,000	0
Adult Services	2,496,000	0	121,227,000	0
Children and Youth Services	680,000	0	14,215,000	0
Forensic Services	600,000	0	6,900,000	0
Research in Mental Illness	30,000	0	8,025,000	0
<b>Total</b>	<b>4,785,000</b>	<b>0</b>	<b>176,667,000</b>	<b>0</b>

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Program	Equipment		General State Charges	
	Amount	Change	Amount	Change
Administration and Finance	800,000	0	22,788,000	0
Adult Services	2,653,000	0	477,558,000	0
Children and Youth Services	864,000	0	78,182,000	0
Forensic Services	1,000,000	0	108,767,000	0
Research in Mental Illness	300,000	0	27,814,000	0
<b>Total</b>	<b>5,617,000</b>	<b>0</b>	<b>715,109,000</b>	<b>0</b>

Program	Special Departmental Charges	
	Amount	Change
Administration and Finance	1,122,000	0
Adult Services	24,727,000	0
Children and Youth Services	3,850,000	0
Forensic Services	5,356,000	0
Research in Mental Illness	1,370,000	0
<b>Total</b>	<b>36,425,000</b>	<b>0</b>

**STATE OPERATIONS - OTHER THAN GENERAL FUND  
SUMMARY OF APPROPRIATIONS AND CHANGES  
FY 2020 RECOMMENDED  
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration and Finance	18,118,000	1,423,000	3,044,000	475,000
Adult Services	5,850,000	(448,000)	0	0
Research in Mental Illness	7,230,000	0	1,915,000	0
<b>Total</b>	<b>31,198,000</b>	<b>975,000</b>	<b>4,959,000</b>	<b>475,000</b>

Program	Nonpersonal Service	
	Amount	Change
Administration and Finance	15,074,000	948,000
Adult Services	5,850,000	(448,000)
Research in Mental Illness	5,315,000	0
<b>Total</b>	<b>26,239,000</b>	<b>500,000</b>

NYS DOB | FY2020 Executive Budget | Agency Appropriations  
**AID TO LOCALITIES**  
**ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE**  
**APPROPRIATIONS**  
(dollars)

Fund Type	Available FY 2019	Recommended FY 2020	Change
General Fund	1,490,408,000	1,511,848,000	21,440,000
Special Revenue Funds - Federal	46,326,000	56,421,000	10,095,000
Special Revenue Funds - Other	7,780,000	7,780,000	0
<b>Total</b>	<b>1,544,514,000</b>	<b>1,576,049,000</b>	<b>31,535,000</b>

**AID TO LOCALITIES**  
**ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM**  
**APPROPRIATIONS**  
(dollars)

Program	Available FY 2019	Recommended FY 2020	Change
<b>Adult Services</b>			
General Fund	1,243,091,000	1,264,531,000	21,440,000
Special Revenue Funds - Federal	38,810,000	48,905,000	10,095,000
Special Revenue Funds - Other	7,780,000	7,780,000	0
<b>Children and Youth Services</b>			
General Fund	247,317,000	247,317,000	0
Special Revenue Funds - Federal	7,516,000	7,516,000	0
<b>Total</b>	<b>1,544,514,000</b>	<b>1,576,049,000</b>	<b>31,535,000</b>

**CAPITAL PROJECTS**  
**ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM**  
**APPROPRIATIONS**  
(dollars)

Comprehensive Construction Program	Available FY 2019	Recommended FY 2020	Change	Reappropriations FY 2020
<b>Community Mental Health Facilities</b>				
Capital Projects Fund	6,000,000	6,000,000	0	41,601,000
MH Capital Improvements - Authority Bonds	65,722,000	65,722,000	0	513,589,000
<b>Executive Direction</b>				
Capital Projects Fund	0	0	0	2,445,000
<b>Maintenance and Improvements of Existing Facilities</b>				
Capital Projects Fund	0	0	0	5,791,000
MH Capital Improvements - Authority Bonds	0	0	0	1,044,201,000
<b>Non-Bondable Projects</b>				
Capital Projects Fund	1,000,000	1,000,000	0	6,045,000
<b>State Mental Health Facilities</b>				
Capital Projects Fund	86,750,000	86,750,000	0	147,428,000
MH Capital Improvements - Authority Bonds	275,000,000	375,000,000	100,000,000	1,096,810,000
<b>Total</b>	<b>434,472,000</b>	<b>534,472,000</b>	<b>100,000,000</b>	<b>2,857,910,000</b>

