

## Elections, State Board of

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### Mission

The New York State Board of Elections executes and enforces all laws relating to elections, oversees the conduct of elections as well as the disclosure of campaign financing activities. The Board also strives to promote the full participation of the State's eligible voters and to maintain citizens' confidence in each stage of the process of elections.

The Board is responsible for reviewing the practices of all 62 local boards of elections; facilitating access to the ballot for State offices; approving voting systems for use within the State; maintaining the statewide voter registration database; conducting compliance reviews of campaign financing disclosure reports; training filers; and implementing various Federal voting programs including the Help America Vote Act (HAVA), National Voter Registration Act (NVRA) and the Military and Overseas Voter Empowerment Act (MOVE).

The Division of Election Law Enforcement is responsible for responding to complaints filed with the State Board and for the enforcement of the provisions of the Election Law.

### Organization and Staffing

The State Board of Elections is comprised of four commissioners and is organized into three main business units; Compliance, Enforcement and Election Operations. The workforce is comprised of administrative/clerical staff and investigators.

### Budget Highlights

The FY 2020 Executive Budget recommends \$11.6 million in All Funds appropriations. This is a \$29 million reduction from the previous fiscal year. This decrease is reflective of Federal and State elections cyber security funding being reappropriated. The Board will increase its workforce to 85 FTEs. This is an increase of four FTEs from the previous year. These positions will assist in securing election infrastructure from cyber related threats. Reappropriations totaling \$61.6 million continue to be available to meet ongoing Federal and State election mandates.

### Program Highlights

#### Compliance

The Compliance Unit, under the supervision of the Joint Counsel's Office, is responsible for the registration and termination of committees, receiving and processing campaign financial disclosure reports, operating a call center for Election Law and filing inquiries, and calculating contribution limits. The Board regularly receives and reviews campaign financial disclosure filings from over 16,000 state and local filers, performs audits of filings, assists campaign treasurers in bringing their filings into compliance and provides statewide training. The Board has also undertaken the redesign and redevelopment of systems used by campaign treasurers to report disclosures for public review. This multi-year project modernizes an outdated system to make campaign finance information easier to disclose and more transparent to the public.

#### Enforcement

The Board's Division of Election Law Enforcement is tasked with the investigation of complaints alleging violations of Election Law as well as potential violations of campaign finance reporting requirements. Based on the evidence, the Chief Enforcement Counsel makes a determination whether the Division should close the matter, proceed with civil enforcement action, or seek criminal prosecution.

#### Election Operations

The Board provides oversight and assistance to each county board of elections and the Board of Elections in the City of New York in the facilitation of ballot access efforts by candidates for a variety of public offices and party positions, as well as ensuring compliance with all state and federal laws, regularly monitoring their list maintenance activities to ensure the integrity and accuracy of the voter rolls, and visiting each board on a biannual basis to ensure that proper procedures are in place and are being followed. The Board reviews any new voting systems or changes to existing systems for testing and possible certification for use in New York State. The Board is responsible for maintenance of the NYSVoter statewide voter list, required under HAVA, which is securely connected to each county, receives data on new and updated voters and provides information to counties for list maintenance activities.

**ALL FUNDS  
APPROPRIATIONS  
(dollars)**

Category	Available FY 2019	Appropriations Recommended FY 2020	Change From FY 2019	Reappropriations Recommended FY 2020
State Operations	40,559,000	11,559,000	(29,000,000)	37,422,000
Aid To Localities	0	0	0	24,138,000
<b>Total</b>	<b>40,559,000</b>	<b>11,559,000</b>	<b>(29,000,000)</b>	<b>61,560,000</b>

**ALL FUND TYPES  
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM  
FILLED ANNUAL SALARIED POSITIONS**

Program	FY 2019 Estimated FTEs 03/31/19	FY 2020 Estimated FTEs 03/31/20	FTE Change
Regulation of Elections			
General Fund	64	66	2
Special Revenue Funds - Federal	6	8	2
Election Enforcement			
General Fund	11	11	0
<b>Total</b>	<b>81</b>	<b>85</b>	<b>4</b>

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)**

Fund Type	Available FY 2019	Recommended FY 2020	Change
General Fund	13,559,000	8,559,000	(5,000,000)
Special Revenue Funds - Federal	23,000,000	0	(23,000,000)
Special Revenue Funds - Other	4,000,000	3,000,000	(1,000,000)
<b>Total</b>	<b>40,559,000</b>	<b>11,559,000</b>	<b>(29,000,000)</b>

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS  
(dollars)**

Program	Available FY 2019	Recommended FY 2020	Change
Election Enforcement			
General Fund	3,960,000	3,960,000	0
Regulation of Elections			
General Fund	9,599,000	4,599,000	(5,000,000)
Special Revenue Funds - Federal	23,000,000	0	(23,000,000)
Special Revenue Funds - Other	4,000,000	3,000,000	(1,000,000)
<b>Total</b>	<b>40,559,000</b>	<b>11,559,000</b>	<b>(29,000,000)</b>

NYS DOB | FY 2020 Executive Budget | Agency Appropriations  
**STATE OPERATIONS - GENERAL FUND**  
**SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES**  
**FY 2020 RECOMMENDED**  
(dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Election Enforcement	2,135,000	0	2,135,000	0
Regulation of Elections	3,025,000	0	2,976,000	0
<b>Total</b>	<b>5,160,000</b>	<b>0</b>	<b>5,111,000</b>	<b>0</b>

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Regulation of Elections	45,000	0	4,000	0
<b>Total</b>	<b>45,000</b>	<b>0</b>	<b>4,000</b>	<b>0</b>

**STATE OPERATIONS - GENERAL FUND**  
**SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED**  
**APPROPRIATIONS AND CHANGES**  
**FY 2020 RECOMMENDED**  
(dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Election Enforcement	1,825,000	0	0	0
Regulation of Elections	1,574,000	(5,000,000)	128,000	0
<b>Total</b>	<b>3,399,000</b>	<b>(5,000,000)</b>	<b>128,000</b>	<b>0</b>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Election Enforcement	0	0	1,825,000	0
Regulation of Elections	26,000	0	1,343,000	(5,000,000)
<b>Total</b>	<b>26,000</b>	<b>0</b>	<b>3,168,000</b>	<b>(5,000,000)</b>

Program	Equipment	
	Amount	Change
Regulation of Elections	77,000	0
<b>Total</b>	<b>77,000</b>	<b>0</b>

NYS DOB | FY2020 Executive Budget | Agency Appropriations  
**STATE OPERATIONS - OTHER THAN GENERAL FUND**  
**SUMMARY OF APPROPRIATIONS AND CHANGES**  
**FY 2020 RECOMMENDED**  
**(dollars)**

Program	Total		Nonpersonal Service	
	Amount	Change	Amount	Change
Regulation of Elections	3,000,000	(24,000,000)	3,000,000	(1,000,000)
<b>Total</b>	<b>3,000,000</b>	<b>(24,000,000)</b>	<b>3,000,000</b>	<b>(1,000,000)</b>

Program	Maintenance Undistributed	
	Amount	Change
Regulation of Elections	0	(23,000,000)
<b>Total</b>	<b>0</b>	<b>(23,000,000)</b>

Note: Most recent estimates as of 1/15/2019