

## Correction, Commission of

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### Mission

The State Commission of Correction (SCOC) works to provide for a safe, stable and humane correctional system statewide by: monitoring, adjusting, and enhancing prison and jail population capacity; overseeing new jail facility development; assisting jails in the implementation of new correctional technologies; investigating prisoner mortality; providing advice on staffing, health and mental health services, and advising the Governor on correctional policy.

### Organization and Staffing

SCOC consists of a three-member Commission that is appointed by the Governor. The Commission is primarily a field services agency, with various regions in the State being serviced by Correctional Facility Specialists from its Field Operations Bureau. A forensic medical unit, along with legal and support service units complete the agency's staff.

### Budget Highlights

The FY 2020 Executive Budget for SCOC recommends a budget of \$2.9 million for the Commission and a workforce of 32 FTEs, unchanged from FY 2019.

### Program Highlights

#### *Facility Monitoring and Evaluation*

At the beginning of 2016, Commission field staff launched a four-year compliance auditing schedule. Over this period, staff will audit the level of compliance for each one of the 33 minimum standards at every county jail and New York City DOC facility. Those standards identified as being essential to the jail's safe and secure operation (e.g. Security and Supervision, Sanitation and Inmate Grievances) will be assessed on a more frequent basis. Similar auditing schedules have been developed and implemented for OCFS secure facilities and the newly-established specialized secure juvenile detention facilities for older youth.

#### *Facility Reportable Incidents*

In fulfilling its oversight and regulatory responsibilities, the Commission requires that all local correctional facilities report significant incidents that endanger inmates or staff, or disrupt facility operations. Beginning in 2016, incidents are now reported by facilities via the eJusticeNY Integrated Justice Portal, which allows for immediate agency notification and enhanced search capabilities.

#### *Inmate Healthcare and Mortality Investigation*

In conjunction with the Commission's Medical Review Board, the Forensic Medical Unit investigates and reports on inmate deaths. Additionally, the Forensic Unit evaluates the health care provided to inmates in local correctional facilities and provides technical assistance in the development of health care delivery procedures.

#### *Inmate Complaints*

Complaints from inmates, their families, advocates, elected officials, and other State and local agencies are received by the Commission on a daily basis. Prior to review and disposition by Commission field staff, received complaints are classified and electronically logged. Health complaints are referred directly to the Commission's Forensic Medical Unit for review and follow-up when appropriate. An electronic query of the complaints received from a particular facility is generated by field staff as part of their preparation for future site visits.

#### *Inmate Grievances*

Every county correctional facility is required to establish, implement, and maintain a formal inmate grievance program. This program allows inmates a process at the facility level to address their complaints regarding written or unwritten facility policies, procedures, rules, practices, programs, or the action or inaction of any person within the facility. If a grievance is denied at the facility level, inmates have the option to appeal that disposition to the Commission's Citizen's Policy and Complaint Review Council. A similar grievance program has been instituted in the newly-established specialized secure juvenile detention facilities for older youth.

#### *Local Jail Inmate Transfers*

Any transfer of an inmate from one county jail to another, including the NYC Department of Correction, requires

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the approval and written order of the Commission. Often required for reasons related to inmate overcrowding, inmate safety or vital medical and mental health services, there are normally approximately 300 inmates transferred throughout the state at any given time. In cooperation with ITS, the Commission is currently developing an application on the eJusticeNY Integrated Justice Portal, whereby local inmate transfers can be requested, granted and tracked in a more efficient manner.

**ALL FUNDS  
APPROPRIATIONS  
(dollars)**

Category	Available FY 2019	Appropriations Recommended FY 2020	Change From FY 2019	Reappropriations Recommended FY 2020
State Operations	2,955,000	2,955,000	0	0
<b>Total</b>	<b>2,955,000</b>	<b>2,955,000</b>	<b>0</b>	<b>0</b>

**ALL FUND TYPES  
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM  
FILLED ANNUAL SALARIED POSITIONS**

Program	FY 2019 Estimated FTEs 03/31/19	FY 2020 Estimated FTEs 03/31/20	FTE Change
Improvement of Correctional Facilities			
General Fund	32	32	0
<b>Total</b>	<b>32</b>	<b>32</b>	<b>0</b>

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)**

Fund Type	Available FY 2019	Recommended FY 2020	Change
General Fund	2,955,000	2,955,000	0
<b>Total</b>	<b>2,955,000</b>	<b>2,955,000</b>	<b>0</b>

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS  
(dollars)**

Program	Available FY 2019	Recommended FY 2020	Change
Improvement of Correctional Facilities			
General Fund	2,955,000	2,955,000	0
<b>Total</b>	<b>2,955,000</b>	<b>2,955,000</b>	<b>0</b>

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**STATE OPERATIONS - GENERAL FUND**  
**SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES**  
**FY 2020 RECOMMENDED**  
(dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Improvement of Correctional Facilities	2,514,000	0	2,494,000	0
<b>Total</b>	<b>2,514,000</b>	<b>0</b>	<b>2,494,000</b>	<b>0</b>

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Improvement of Correctional Facilities	0	0	20,000	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>20,000</b>	<b>0</b>

**STATE OPERATIONS - GENERAL FUND**  
**SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED**  
**APPROPRIATIONS AND CHANGES**  
**FY 2020 RECOMMENDED**  
(dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Improvement of Correctional Facilities	441,000	0	21,000	0
<b>Total</b>	<b>441,000</b>	<b>0</b>	<b>21,000</b>	<b>0</b>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Improvement of Correctional Facilities	170,000	0	242,000	0
<b>Total</b>	<b>170,000</b>	<b>0</b>	<b>242,000</b>	<b>0</b>

Program	Amount	Equipment	Change
Improvement of Correctional Facilities		8,000	0
<b>Total</b>		<b>8,000</b>	<b>0</b>

Note: Most recent estimates as of 1/15/2019