

State, Department of

Mission

Established in 1788, the Department of State (DOS) is the State’s oldest agency. Historically serving as the State’s general recording officer and custodian of the State’s “Great Seal”, the Department’s mission encompasses a broad range of activities that coordinate programs with, and provide services to, local governments, individuals, and businesses. The Department supports public safety through the administration of building and energy code programs; administers programs for community development and local government service activities; and supports businesses through various licensing and registration activities.

Organization and Staffing

The Department is headed by the Secretary of State, who is appointed by the Governor and confirmed by the Senate.

Budget Highlights

The FY 2019 Executive Budget recommends \$247.7 million for the Department, an increase of \$90.5 million from the FY 2018 budget. This change results from the addition of capital funding for a third round of the Downtown Revitalization Initiative (\$100 million) coupled with adjustments for general salary increases offset by a one-time FY 2018 appropriation for the Liberty Defense Program. The 2018 Liberty Defense Program moneys will be reappropriated in 2019.

The Executive Budget recommends a workforce of 526 FTEs for the Department, an increase of 1 FTE from FY 2018 FTE levels.

Program Highlights

The responsibilities of the Department of State are carried out through three programs:

Local Government And Community Services Program: Manages New York’s building and energy codes; offers planning and management services to local governments; supports land use planning activities in the New York City/Catskill watershed; coordinates New York’s coastal resources and waterfront revitalization activities; administers the Department’s Federal grant programs, including the Appalachian Regional Commission; and provides a means for achieving economic self-sufficiency through programs designed to improve opportunities for low-income participants.

Business and Licensing Services Program: Maintains all certificates on file for businesses and corporations; administers qualifying examinations and licensing for various occupations; and prepares the State Register and other publications.

Administration: Provides the basic executive direction, fiscal, personnel, legal and electronic data processing activities that support the Department’s operations.

Additionally, the Department’s appropriations contain funds for the Lake George Park Commission, the Commission on Uniform State Laws, the State Athletic Commission, and the Authorities Budget Office.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available FY 2018	Appropriations Recommended FY 2019	Change From FY 2018	Reappropriations Recommended FY 2019
State Operations	69,972,000	70,466,000	494,000	28,958,000
Aid To Localities	85,284,000	77,279,000	(8,005,000)	123,389,000
Capital Projects	2,000,000	100,000,000	98,000,000	109,674,000
Total	157,256,000	247,745,000	90,489,000	262,021,000

NYS DOB | FY2019 Executive Budget | Agency Appropriations
ALL FUND TYPES
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM
FILLED ANNUAL SALARIED POSITIONS

Program	FY 2018 Estimated FTEs 03/31/18	FY 2019 Estimated FTEs 03/31/19	FTE Change
Administration			
General Fund	61	61	0
Authorities Budget Office			
Special Revenue Funds - Other	12	12	0
Consumer Protection Program			
Special Revenue Funds - Other	14	15	1
Lake George Park Commission			
Special Revenue Funds - Other	10	10	0
Business and Licensing Services			
Special Revenue Funds - Other	252	252	0
Local Government and Community Services			
General Fund	55	55	0
Special Revenue Funds - Federal	17	17	0
Special Revenue Funds - Other	81	81	0
Office for New Americans			
General Fund	8	8	0
Tug Hill Commission			
General Fund	15	15	0
Total	525	526	1

STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)

Fund Type	Available FY 2018	Recommended FY 2019	Change
General Fund	10,858,000	10,858,000	0
Special Revenue Funds - Federal	9,050,000	9,101,000	51,000
Special Revenue Funds - Other	50,064,000	50,507,000	443,000
Total	69,972,000	70,466,000	494,000

NYS DOB | FY2019 Executive Budget | Agency Appropriations
STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)

Program	Available FY 2018	Recommended FY 2019	Change
Administration			
General Fund	2,058,000	2,058,000	0
Authorities Budget Office			
Special Revenue Funds - Other	1,815,000	1,936,000	121,000
Consumer Protection Program			
General Fund	1,586,000	1,586,000	0
Special Revenue Funds - Federal	0	51,000	51,000
Special Revenue Funds - Other	2,808,000	3,130,000	322,000
Lake George Park Commission			
Special Revenue Funds - Other	2,032,000	2,032,000	0
Business and Licensing Services			
Special Revenue Funds - Other	43,205,000	43,205,000	0
Local Government and Community Services			
General Fund	5,560,000	5,560,000	0
Special Revenue Funds - Federal	9,050,000	9,050,000	0
Special Revenue Funds - Other	154,000	154,000	0
Office for New Americans			
General Fund	442,000	442,000	0
Tug Hill Commission			
General Fund	1,077,000	1,077,000	0
Special Revenue Funds - Other	50,000	50,000	0
State of NY Commission on Uniform State Laws			
General Fund	135,000	135,000	0
Total	69,972,000	70,466,000	494,000

STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
FY 2019 RECOMMENDED
(dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	2,058,000	0	2,017,000	0
Consumer Protection Program	1,586,000	0	1,586,000	0
Local Government and Community Services	5,560,000	0	5,526,000	0
Office for New Americans	442,000	0	442,000	0
Tug Hill Commission	969,000	0	969,000	0
Total	10,615,000	0	10,540,000	0

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Administration	36,000	0	5,000	0
Local Government and Community Services	30,000	0	4,000	0
Total	66,000	0	9,000	0

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
FY 2019 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Tug Hill Commission	108,000	0	13,000	0
State of NY Commission on Uniform State Laws	135,000	0	0	0
Total	243,000	0	13,000	0

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Tug Hill Commission	8,000	0	85,000	0
State of NY Commission on Uniform State Laws	0	0	135,000	0
Total	8,000	0	220,000	0

Program	Equipment	
	Amount	Change
Tug Hill Commission	2,000	0
Total	2,000	0

NYS DOB | FY2019 Executive Budget | Agency Appropriations
STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
FY 2019 RECOMMENDED
(dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Authorities Budget Office	1,936,000	121,000	1,093,000	72,000
Consumer Protection Program	3,181,000	373,000	1,177,000	127,000
Lake George Park Commission	2,032,000	0	712,000	0
Business and Licensing Services	43,205,000	0	18,329,000	0
Local Government and Community Services	9,204,000	0	5,584,000	0
Tug Hill Commission	50,000	0	0	0
Total	59,608,000	494,000	26,895,000	199,000

Program	Nonpersonal Service	
	Amount	Change
Authorities Budget Office	843,000	49,000
Consumer Protection Program	2,004,000	246,000
Lake George Park Commission	1,320,000	0
Business and Licensing Services	24,876,000	0
Local Government and Community Services	3,620,000	0
Tug Hill Commission	50,000	0
Total	32,713,000	295,000

AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)

Fund Type	Available FY 2018	Recommended FY 2019	Change
General Fund	16,945,000	6,440,000	(10,505,000)
Special Revenue Funds - Federal	67,400,000	69,900,000	2,500,000
Special Revenue Funds - Other	939,000	939,000	0
Total	85,284,000	77,279,000	(8,005,000)

NYS DOB | FY2019 Executive Budget | Agency Appropriations
AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)

Program	Available FY 2018	Recommended FY 2019	Change
Business and Licensing Services			
Special Revenue Funds - Other	939,000	939,000	0
Local Government and Community Services			
General Fund	505,000	0	(505,000)
Special Revenue Funds - Federal	67,400,000	69,900,000	2,500,000
Office for New Americans			
General Fund	16,440,000	6,440,000	(10,000,000)
Total	85,284,000	77,279,000	(8,005,000)

CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)

Comprehensive Construction Program	Available FY 2018	Recommended FY 2019	Change	Reappropriations FY 2019
Maintenance and Repair				
Capital Projects Fund	2,000,000	0	(2,000,000)	2,000,000
Downtown Revitalization				
Capital Projects Fund - Authority Bonds	0	100,000,000	100,000,000	0
Infrastructure Investment Account	0	0	0	100,000,000
Solid and Hazardous Waste Management				
Haz Waste Remedial Fund - Oversight & Assessment	0	0	0	7,674,000
Total	2,000,000	100,000,000	98,000,000	109,674,000

Note: Most recent estimates as of 01/16/2018