2015-16 NEW YORK STATE EXECUTIVE BUDGET PUBLIC PROTECTION AND GENERAL GOVERNMENT ARTICLE VII LEGISLATION

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Legislative Bill Drafting Commission 12570-01-5

S. ------Senate

IN SENATE -- Introduced by Sen

--read twice and ordered printed, and when printed to be committed to the Committee on

Assembly

IN ASSEMBLY -- Introduced by M. of A.

with M. of A. as co-sponsors

--read once and referred to the Committee on

BUDGBI

(Enacts into law major components of legislation necessary to implement the state public protection and general government budget for the 2015-2016 state fiscal year)

Exec. decision on medical parole

AN ACT

to amend the executive law, in relation to authorizing the commissioner of corrections and community supervision to make the final decision on medical parole for certain eligible non-violent inmates (Part A); to amend chapter 887 of the laws of 1983, amending the correction law relating to the psychological testing of candidates, in relation to the effectiveness thereof; to amend

IN SENATE__

Senate introducer's signature

The senators whose names are circled below wish to join me in the sponsorship of this proposal:

s15 Addabbo	s49 Farley	s63 Kennedy	s40 Murphy	s10 Sanders
s46 Amedore	s17 Felder	s34 Klein	s54 Nozzolio	s23 Savino
s11 Avella	s02 Flanagan	s28 Krueger	s58 O'Mara	s41 Serino
s42 Bonacic	s55 Funke	s24 Lanza	s62 Ortt	s29 Serrano
s04 Boyle	s59 Gallivan	s39 Larkin	s60 Panepinto	s51 Seward
s44 Breslin	s12 Gianaris	s37 Latimer	s21 Parker	s09 Skelos
s38 Carlucci	s22 Golden	s01 LaValle	s13 Peralta	s26 Squadron
s14 Comrie	s47 Griffo	s52 Libous	s30 Perkins	s16 Stavisky
s03 Croci	s20 Hamilton	s45 Little	s61 Ranzenhofer	s35 Stewart-
s50 DeFrancisco	s06 Hannon	s05 Marcellino	s48 Ritchie	Cousins
s32 Diaz	s36 Hassell-	s43 Marchione	s33 Rivera	s53 Valesky
s18 Dilan	Thompson	s07 Martins	s56 Robach	s08 Venditto
s31 Espaillat	s27 Hoylman	s25 Montgomery	s19 Sampson	s57 Young

IN ASSEMBLY_

Assembly introducer's signature

The Members of the Assembly whose names are circled below wish to join me in the multi-sponsorship of this proposal:

a049 Abbate	a045 Cymbrowitz	a135 Johns	a003 Murray	a016 Schimel
a092 Abinanti	a053 Davila	a077 Joyner	a133 Nojay	a140 Schimminger
a084 Arroyo	a034 DenDekker	a020 Kaminsky	a037 Nolan	a076 Seawright
a035 Aubry	a054 Dilan	a094 Katz	a130 Oaks	a087 Sepulveda
a120 Barclay	a081 Dinowitz	a074 Kavanagh	a069 O'Donnell	a065 Silver
a106 Barrett	a147 DiPietro	a142 Kearns	a051 Ortiz	a027 Simanowitz
a060 Barron	a115 Duprey	a040 Kim	a091 Otis	a052 Simon
a082 Benedetto	a004 Englebright	a131 Kolb	a132 Palmesano	a036 Simotas
a042 Bichotte	a109 Fahy	a105 Lalor	a002 Palumbo	a104 Skartados
a079 Blake	a071 Farrell	a013 Lavine	a088 Paulin	a099 Skoufis
a117 Blankenbush	a126 Finch	a134 Lawrence	a141 Peoples-	a022 Solages
a062 Borelli	a008 Fitzpatrick	a050 Lentol	Stokes	al 14 Stec
a098 Brabenec	a124 Friend	a125 Lifton	a058 Perry	al 10 Steck
a026 Braunstein	a095 Galef	a072 Linares	a059 Persaud	a127 Stirpe
a044 Brennan	a137 Gantt	a102 Lopez	a086 Pichardo	a112 Tedisco
a119 Brindisi	a007 Garbarino	a123 Lupardo	a089 Pretlow	a101 Tenney
a138 Bronson	a148 Giglio	a010 Lupinacci	a073 Quart	a001 Thiele
a046 Brook-Krasny	a080 Gjonaj	a121 Magee	a019 Ra	a061 Titone
a093 Buchwald	a066 Glick	a129 Magnarelli	a012 Raia	a031 Titus
a118 Butler	a023 Goldfeder	a064 Malliotakis	a006 Ramos	a055 Walker
a103 Cahill	a150 Goodell	a030 Markey	a078 Rivera	a146 Walter
a043 Camara	a075 Gottfried	a090 Mayer	a128 Roberts	a041 Weinstein
a145 Ceretto	a005 Graf	a108 McDonald	a056 Robinson	a024 Weprin
a033 Clark	a100 Gunther	a014 McDonough	a068 Rodriguez	a113 Woerner
a047 Colton	a139 Hawley	a017 McKevitt	a067 Rosenthal	a143 Wozniak
a032 Cook	a083 Heastie	a107 McLaughlin	a025 Rozic	a070 Wright
a144 Corwin	a028 Hevesi	a038 Miller	a116 Russell	a096 Zebrowski
a085 Crespo	a048 Hikind	a015 Montesano	a149 Ryan	
a122 Crouch	a018 Hooper	a136 Morelle	a009 Saladino	
a021 Curran	a097 Jaffee	a057 Mosley	a111 Santabarbara	
a063 Cusick	a011 Jean-Pierre	a039 Moya	a029 Scarborough	

- 1) Single House Bill (introduced and printed separately in either or both houses). Uni-Bill (introduced simultaneously in both houses and printed as one bill. Senate and Assembly introducer sign the same copy of the bill).
- 2) Circle names of co-sponsors and return to introduction clerk with 2 signed copies of bill and 4 copies of memorandum in support (single house); or 4 signed copies of bill and 8 copies of memorandum in support (uni-bill).

chapter 428 of the laws of 1999, amending the executive law and the criminal procedure law relating to expanding the geographic area of employment of certain police officers, in relation to extending the expiration of such chapter; to amend chapter 886 of the laws of 1972, amending the correction law and the penal law relating to prisoner furloughs in certain cases and the crime of absconding therefrom, relation to the effectiveness thereof; to amend chapter 261 of the laws of 1987, amending chapters 50, 53 and 54 of the laws of 1987, the correction law, the penal law and other chapters and laws relating to correctional facilities, in relation to the effectiveness thereof; to amend chapter 339 of the laws of 1972, amending the correction law and the penal law relating to inmate work release, furlough and leave, in relation to the effectiveness thereof; to amend chapter 60 of the laws of 1994 relating to certain provisions which impact upon expenditure of certain appropriations made by chapter 50 of the laws of 1994 enacting the state operations budget, in relation to the effectiveness thereof; to amend chapter 3 of the 1995, amending laws of correction law and other laws relating to the incarceration fee, in relation to extending the expiration of certain provisions of such chapter; to amend chapter 62 of the laws of 2011, amending the correction law and the executive law, relating to merging the department of correctional services and division of parole into the department of corrections and community vision, in relation to the effectiveness thereof; to amend chapter 55 of the laws of 1992, amending the tax law and other laws relating to taxes, surcharges, fees and funding, in relation to extending the expiration of certain provisions of such chapter; to amend chapter 907 of the of 1984, amending correction law, the New York city criminal court act and the executive

law relating to prison and jail housing and alternatives detention and incarceration programs, in relation to extending the expiration of certain provisions of such chapter; to amend chapter 166 of the laws of 1991, amending the tax law and other laws relating to taxes, in relation to extending the expiration of certain provisions of such chapter; to amend the vehicle and traffic law, in relation to extending the expiration of mandatory surcharge and victim assistance fee; to amend chapter 713 of the laws of 1988, amending the vehicle and traffic law relating to ignition interlock device program, in relation to extending the expiration thereof; to amend chapter 435 of the laws of 1997, amending the military law and other laws relating to various provisions, in relation to extending the expiration date of the merit provisions of the correction law and the penal law of such chapter; to amend chapter 412 of the laws of 1999, amending the civil practice law and rules and the court of claims act relating to prisoner litigation reform, relation to extending the expiration of the inmate filing fee provisions of the civil practice law and rules and general filing fee provision and inmate property claims exhaustion requirement of the court of claims act of such chapter; to amend chapter 222 of the laws of 1994 constituting the family protection and domestic violence intervention act of 1994, in relation to extending the expiration of certain provisions of the criminal procedure requiring the arrest of certain persons engaged in family violence; to amend chapter 505 of the laws of 1985, amending the criminal procedure law relating to the use of closed-circuit television and other protective measures for certain child witnesses, in relation extending the expiration of the provisions thereof; to amend chapter 3 of the laws of 1995, enacting the sentencing reform act of 1995, in

relation to extending the expiration of certain provisions of such chapter; to amend chapter 689 of the laws of 1993 amending the criminal procedure law relating to electronic court appearance in certain counties, in relation to extending the expiration thereof; to amend chapter 688 of the laws of 2003, amending the executive law relating to enacting the interstate compact for adult offender supervision, in relation to the effectiveness thereof; to amend part H of chapter 56 of the laws of 2009, amending the correction law relating to limiting the closing of certain correctional facilities, providing for the custody by the department of correctional services of inmates serving definite sentences, providing for custody of federal prisoners and requiring the closing of certain correctional facilities, in relation to effectiveness of such chapter; to amend part C of chapter 152 of the laws of 2001, amending the military law relating to military funds of the organized militia, in relation to the effectiveness thereof; to amend chapter 554 of the laws of 1986 amending the correction law and the penal law relating to providing for community treatment facilities and establishing the crime absconding from the community treatment facility, in relation to the effectiveness thereof; and to amend chapter 503 of the laws of 2009, relating to the disposition monies recovered by county district attorneys before the filing of an accusatory instrument, in relation to the effectiveness thereof (Part B); relating to transferring certain employees of the division of state police to the office of general services (Part C); to amend the workers' compensation law, relation to eliminating certain arbitration and license fees; and to repeal paragraph (c) of subdivision 1 and subparagraph (iii) of paragraph (b) of subdivision 3 section 13-c of the workers' compensation law relating to payment of

license fees (Part D); to amend the election law, in relation to campaign finance reform; to amend the election law, in relation to campaign contribution limits penalties for violations; to amend the election law, in relation to campaign receipts and expenditures; to amend the election law, relation to contribution and receipt limitations; to amend the election law, in relation to public financing; to amend the state finance law, in relation to the New York state campaign finance fund; and to amend the tax law, in relation to the New York state campaign finance fund check-off (Part E); to amend the election law, in relation to eliminating certain publishing requirements by state and local boards of election; and to repeal certain provisions of such law relating thereto (Part F); to amend the civil service law, in relation to supporting the previous consolidation of information technology services within the state office of information technology services (ITS) and permit term appointments for eligible, high-demand ITS positions without examination (Part G); to amend civil service law and the the correction law, in relation to salaries (Part H); establishing commission on executive and legislative compensation, and providing for the powers and duties of the commission and for the dissolution of the commission (Part I); to amend the civil service law, in relation to auditing enrollee information in the New York State Health Insurance Program (Part J); to amend the state finance law, in relation to increasing the allowable balance in the rainy day reserve fund, and relation to updating consulting services reporting; to amend the retirement and social security law, in relation to requiring pension system reporting; and to repeal certain provisions of the state finance law relating thereto (Part K); to amend the state finance law, in relation to a program of aid to

municipalities in which a video lottery terminal facility is located (Part L); to amend chapter 674 of laws of 1993, amending the public buildings law relating to value limitations on contracts, in relation to extending the effectiveness thereof; and to amend public buildings law, in relation to increasing the value limitation to one million dollars on emergency contracts (Part M); to amend the public buildings law, in relation to increasing the threshold of small capital projects delegated by OGS to one hundred fifty thousand dollars (Part N); to amend the state finance law, in relation to the creation of a new dedicated infrastructure investment fund (Part O); and to provide for the administration of certain funds and accounts related to the 2014-15 budget, authorizing certain payments and transfers; to amend the state finance law, in relation to school tax relief fund; to amend the state finance law, in relation to payments, transfers and deposits; to amend the state finance in relation to the issuance of bonds and notes; to amend the New York state urban development corporation act, in relation to funding project costs for certain capital projects; to amend chapter 389 of the laws of 1997, relating to the financing of the correctional facilities improvement fund and the youth facility improvement fund, relation to the issuance of bonds; to amend the private housing finance law, in relation to housing program bonds and notes; to amend chapter 329 of the laws of 1991, amending the state finance law and other laws relating to the establishment of the dedicated highway and bridge trust fund, in relation to the issuance of bonds; to amend the public authorities law, in relation to the dormitory authority; to amend chapter 61 of the laws of 2005, providing for the administration of certain funds accounts related 2005-2006 budget, in relation to issuance of bonds by the urban

development corporation; to amend the New York state urban development corporation act, in relation to funding project costs for the Binghamton university school of pharmacy, New York power electronic manufacturing consortium and the nonprofit infrastructure capital investment program; to amend the public authorities law, in relation to the state environmental infrastructure projects; to amend the New York state urban development corporation act, in relation to authorizing the urban development corporation to issue bonds to project costs for the implementation a NY - CUNY challenge grant program; to amend chapter 81 of the laws of 2002, providing for the administration of certain funds and accounts related to the 2002-2003 budget, in relation to increasing the aggregate amount of bonds to be issued by the New York state urban development corporation; to amend the public authorities law, relation to financing of peace bridge and transportation capital projects; to amend the public authorities law, in relation dormitories at certain educational institutions other than state operated institutions and statutory or contract colleges under the jurisdiction of the state university of New York; to amend the public law, in relation to authorities authorization for the issuance of bonds for the capital restructuring bond finance program and the health facility care transformation program; to amend chapter 389 of the laws of 1997, relating to financing of the correctional facilities improvement fund and the youth facility improvement fund, relation to the issuance of bonds; to amend the New York state medical care facilities finance agency act, in relation to bonds and mental health facilities improvement notes; to amend chapter 174 of the laws of 1968, constituting the New York state urban development corporation act, in relation to the aggregate

amount of and issuance of certain bonds; and to amend chapter 63 of the laws of 2005, relating to the composition and responsibilities of the New York state higher education capital matching grant board, in relation to increasing the amount of authorized matching capital grants; to amend the smart schools bond act of 2014, in relation to the issuance of bonds; to amend the public authorities law, in relation to the financing of hazardous waste site remediation projects, financing of the metropolitan transportation authority transportation facilities; and providing for the repeal of certain provisions upon expiration thereof (Part P)

The People of the State of New York, represented in Senate and Assembly, do enact as follows:

Section 1. This act enacts into law major components of legislation
which are necessary to implement the state fiscal plan for the 2015-2016
state fiscal year. Each component is wholly contained within a Part
identified as Parts A through P. The effective date for each particular
provision contained within such Part is set forth in the last section of
such Part. Any provision in any section contained within a Part, including the effective date of the Part, which makes a reference to a section
"of this act", when used in connection with that particular component,
shall be deemed to mean and refer to the corresponding section of the
Part in which it is found. Section three of this act sets forth the
general effective date of this act.

12 PART A

26

Section 1. Section 259-r of the executive law is amended by adding a 13 new subdivision 10 to read as follows: 14 15 10. Notwithstanding any other provision of law, in the case of an 16 inmate whose terminal condition, disease or syndrome meets the criteria 17 for medical parole as set forth in paragraph (a) of subdivision one of this section, and who is not serving a sentence for one or more offenses set forth in paragraph (i) of subdivision one of section eight hundred 19 20 six of the correction law which would render such inmate ineligible for 21 presumptive release, the granting of medical parole shall be determined by the commissioner instead of the board of parole. In such case, the 22 23 provisions that would have applied to and the procedures that would have 24 been followed by the board of parole pursuant to this section shall 25 apply to and be followed by the commissioner, except that any decision

made by the commissioner pursuant to this section may not be appealed.

- 1 Any action by the commissioner pursuant to this section shall be deemed
- 2 <u>a judicial function and shall not be reviewable if done in accordance</u>
- 3 with law.
- 4 § 2. This act shall take effect immediately.
- 5 PART B
- 6 Section 1. Section 2 of chapter 887 of the laws of 1983, amending the
- 7 correction law relating to the psychological testing of candidates, as
- 8 amended by section 1 of part E of chapter 55 of the laws of 2013, is
- 9 amended to read as follows:
- 10 § 2. This act shall take effect on the one hundred eightieth day after
- 11 it shall have become a law and shall remain in effect until September 1,
- 12 [2015] <u>2017</u>.
- 13 § 2. Section 3 of chapter 428 of the laws of 1999, amending the execu-
- 14 tive law and the criminal procedure law relating to expanding the
- 15 geographic area of employment of certain police officers, as amended by
- 16 section 2 of part E of chapter 55 of the laws of 2013, is amended to
- 17 read as follows:
- 18 § 3. This act shall take effect on the first day of November next
- 19 succeeding the date on which it shall have become a law, and shall
- 20 remain in effect until the first day of September, [2015] 2017, when it
- 21 shall expire and be deemed repealed.
- 22 § 3. Section 3 of chapter 886 of the laws of 1972, amending the
- 23 correction law and the penal law relating to prisoner furloughs in
- 24 certain cases and the crime of absconding therefrom, as amended by
- 25 section 3 of part E of chapter 55 of the laws of 2013, is amended to
- 26 read as follows:

- 1 § 3. This act shall take effect 60 days after it shall have become a
- 2 law and shall remain in effect until September 1, [2015] 2017.
- 3 § 4. Section 20 of chapter 261 of the laws of 1987, amending chapters
- 4 50, 53 and 54 of the laws of 1987, the correction law, the penal law and
- 5 other chapters and laws relating to correctional facilities, as amended
- 6 by section 4 of part E of chapter 55 of the laws of 2013, is amended to
- 7 read as follows:
- 8 § 20. This act shall take effect immediately except that section thir-
- 9 teen of this act shall expire and be of no further force or effect on
- 10 and after September 1, [2015] 2017 and shall not apply to persons
- 11 committed to the custody of the department after such date, and provided
- 12 further that the commissioner of [correctional services] corrections and
- 13 community supervision shall report each January first and July first
- 14 during such time as the earned eligibility program is in effect, to the
- 15 chairmen of the senate crime victims, crime and correction committee,
- 16 the senate codes committee, the assembly correction committee, and the
- 17 assembly codes committee, the standards in effect for earned eligibility
- 18 during the prior six-month period, the number of inmates subject to the
- 19 provisions of earned eligibility, the number who actually received
- 20 certificates of earned eligibility during that period of time, the
- 21 number of inmates with certificates who are granted parole upon their
- 22 first consideration for parole, the number with certificates who are
- 23 denied parole upon their first consideration, and the number of individ-
- 24 uals granted and denied parole who did not have earned eligibility
- 25 certificates.
- 26 § 5. Subdivision (q) of section 427 of chapter 55 of the laws of 1992,
- 27 amending the tax law and other laws relating to taxes, surcharges, fees

- 1 and funding, as amended by section 5 of part E of chapter 55 of the laws
- 2 of 2013, is amended to read as follows:
- 3 (q) the provisions of section two hundred eighty-four of this act
- 4 shall remain in effect until September 1, [2015] 2017 and be applicable
- 5 to all persons entering the program on or before August 31, [2015] 2017.
- 6 § 6. Section 10 of chapter 339 of the laws of 1972, amending the
- 7 correction law and the penal law relating to inmate work release,
- 8 furlough and leave, as amended by section 6 of part E of chapter 55 of
- 9 the laws of 2013, is amended to read as follows:
- 10 § 10. This act shall take effect 30 days after it shall have become a
- 11 law and shall remain in effect until September 1, [2015] 2017, and
- 12 provided further that the commissioner of correctional services shall
- 13 report each January first, and July first, to the chairman of the senate
- 14 crime victims, crime and correction committee, the senate codes commit-
- 15 tee, the assembly correction committee, and the assembly codes commit-
- 16 tee, the number of eligible inmates in each facility under the custody
- 17 and control of the commissioner who have applied for participation in
- 18 any program offered under the provisions of work release, furlough, or
- 19 leave, and the number of such inmates who have been approved for partic-
- 20 ipation.
- § 7. Subdivision (c) of section 46 of chapter 60 of the laws of 1994
- 22 relating to certain provisions which impact upon expenditure of certain
- 23 appropriations made by chapter 50 of the laws of 1994 enacting the state
- 24 operations budget, as amended by section 7 of part E of chapter 55 of
- 25 the laws of 2013, is amended to read as follows:
- 26 (c) sections forty-one and forty-two of this act shall expire Septem-
- 27 ber 1, [2015] $\underline{2017}$; provided, that the provisions of section forty-two

1 of this act shall apply to inmates entering the work release program on

- 2 or after such effective date; and
- 3 § 8. Subdivision h of section 74 of chapter 3 of the laws of 1995,
- 4 amending the correction law and other laws relating to the incarceration
- 5 fee, as amended by section 8 of part E of chapter 55 of the laws of
- 6 2013, is amended to read as follows:
- 7 h. Section fifty-two of this act shall be deemed to have been in full
- 8 force and effect on and after April 1, 1995; provided, however, that the
- 9 provisions of section 189 of the correction law, as amended by section
- 10 fifty-five of this act, subdivision 5 of section 60.35 of the penal law,
- 11 as amended by section fifty-six of this act, and section fifty-seven of
- 12 this act shall expire September 1, [2015] 2017, when upon such date the
- 13 amendments to the correction law and penal law made by sections fifty-
- 14 five and fifty-six of this act shall revert to and be read as if the
- 15 provisions of this act had not been enacted; provided, however, that
- 16 sections sixty-two, sixty-three and sixty-four of this act shall be
- 17 deemed to have been in full force and effect on and after March 1, 1995
- 18 and shall be deemed repealed April 1, 1996 and upon such date the
- 19 provisions of subsection (e) of section 9110 of the insurance law and
- 20 subdivision 2 of section 89-d of the state finance law shall revert to
- 21 and be read as set out in law on the date immediately preceding the
- 22 effective date of sections sixty-two and sixty-three of this act;
- 23 § 9. Subdivision (c) of section 49 of subpart A of part C of chapter
- 24 62 of the laws of 2011 amending the correction law and the executive
- 25 law, relating to merging the department of correctional services and
- 26 division of parole into the department of corrections and community
- 27 supervision, as amended by section 9 of part E of chapter 55 of the laws
- 28 of 2013, is amended to read as follows:

- 1 (c) that the amendments to subdivision 9 of section 201 of the
- 2 correction law as added by section thirty-two of this act shall remain
- 3 in effect until September 1, [2015] 2017, when it shall expire and be
- 4 deemed repealed;
- 5 § 10. Subdivision (aa) of section 427 of chapter 55 of the laws of
- 6 1992, amending the tax law and other laws relating to taxes, surcharges,
- 7 fees and funding, as amended by section 10 of part E of chapter 55 of
- 8 the laws of 2013, is amended to read as follows:
- 9 (aa) the provisions of sections three hundred eighty-two, three
- 10 hundred eighty-three and three hundred eighty-four of this act shall
- 11 expire on September 1, [2015] 2017;
- 12 § 11. Section 12 of chapter 907 of the laws of 1984, amending the
- 13 correction law, the New York city criminal court act and the executive
- 14 law relating to prison and jail housing and alternatives to detention
- 15 and incarceration programs, as amended by section 11 of part E of chap-
- 16 ter 55 of the laws of 2013, is amended to read as follows:
- 17 § 12. This act shall take effect immediately, except that the
- 18 provisions of sections one through ten of this act shall remain in full
- 19 force and effect until September 1, [2015] 2017 on which date those
- 20 provisions shall be deemed to be repealed.
- 21 § 12. Subdivision (p) of section 406 of chapter 166 of the laws of
- 22 1991, amending the tax law and other laws relating to taxes, as amended
- 23 by section 12 of part E of chapter 55 of the laws of 2013, is amended to
- 24 read as follows:
- 25 (p) The amendments to section 1809 of the vehicle and traffic law made
- 26 by sections three hundred thirty-seven and three hundred thirty-eight of
- 27 this act shall not apply to any offense committed prior to such effec-
- 28 tive date; provided, further, that section three hundred forty-one of

1 this act shall take effect immediately and shall expire November 1, 1993 at which time it shall be deemed repealed; sections three hundred forty-five and three hundred forty-six of this act shall take effect 3 July 1, 1991; sections three hundred fifty-five, three hundred fiftysix, three hundred fifty-seven and three hundred fifty-nine of this act shall take effect immediately and shall expire June 30, 1995 and shall revert to and be read as if this act had not been enacted; section three hundred fifty-eight of this act shall take effect immediately and shall expire June 30, 1998 and shall revert to and be read as if this act had 10 not been enacted; section three hundred sixty-four through three hundred sixty-seven of this act shall apply to claims filed on or after such 11 12 effective date; sections three hundred sixty-nine, three hundred seventy-two, three hundred seventy-three, three hundred seventy-four, three 13 hundred seventy-five and three hundred seventy-six of this act shall 14 remain in effect until September 1, [2015] 2017, at which time they 15 be deemed repealed; provided, however, that the mandatory 16 shall surcharge provided in section three hundred seventy-four of this act 17 shall apply to parking violations occurring on or after said effective 18 19 date; and provided further that the amendments made to section 235 of 20 the vehicle and traffic law by section three hundred seventy-two of this act, the amendments made to section 1809 of the vehicle and traffic law by sections three hundred thirty-seven and three hundred thirty-eight of this act and the amendments made to section 215-a of the labor law by 23 section three hundred seventy-five of this act shall expire on September 24 [2015] 2017 and upon such date the provisions of such subdivisions 25 and sections shall revert to and be read as if the provisions of this 26 27 act had not been enacted; the amendments to subdivisions 2 and 3 of section 400.05 of the penal law made by sections three hundred seventy-28

seven and three hundred seventy-eight of this act shall expire on July 1, 1992 and upon such date the provisions of such subdivisions shall revert and shall be read as if the provisions of this act had not been 3 enacted; the state board of law examiners shall take such action as is necessary to assure that all applicants for examination for admission to 5 practice as an attorney and counsellor at law shall pay the increased 6 7 examination fee provided for by the amendment made to section 465 of the judiciary law by section three hundred eighty of this act for any examination given on or after the effective date of this act notwithstanding 10 that an applicant for such examination may have prepaid a lesser fee for such examination as required by the provisions of such section 465 as of 11 12 the date prior to the effective date of this act; the provisions of section 306-a of the civil practice law and rules as added by section 13 three hundred eighty-one of this act shall apply to all actions pending 14 on or commenced on or after September 1, 1991, provided, however, that 15 for the purposes of this section service of such summons made prior to 16 17 such date shall be deemed to have been completed on September 1, 1991; the provisions of section three hundred eighty-three of this act shall 18 apply to all money deposited in connection with a cash bail or a 19 20 partially secured bail bond on or after such effective date; and the provisions of sections three hundred eighty-four and three hundred 22 eighty-five of this act shall apply only to jury service commenced 23 during a judicial term beginning on or after the effective date of this 24 act; provided, however, that nothing contained herein shall be deemed to affect the application, qualification, expiration or repeal of any 25 26 provision of law amended by any section of this act and such provisions 27 shall be applied or qualified or shall expire or be deemed repealed in

- 1 the same manner, to the same extent and on the same date as the case may
- 2 be as otherwise provided by law;
- 3 § 13. Subdivision 8 of section 1809 of the vehicle and traffic law, as
- 4 amended by section 13 of part E of chapter 55 of the laws of 2013, is
- 5 amended to read as follows:
- 6 8. The provisions of this section shall only apply to offenses commit-
- 7 ted on or before September first, two thousand [fifteen] seventeen.
- 8 § 14. Section 6 of chapter 713 of the laws of 1988, amending the vehi-
- 9 cle and traffic law relating to the ignition interlock device program,
- 10 as amended by section 14 of part E of chapter 55 of the laws of 2013, is
- 11 amended to read as follows:
- 12 § 6. This act shall take effect on the first day of April next
- 13 succeeding the date on which it shall have become a law; provided,
- 14 however, that effective immediately, the addition, amendment or repeal
- 15 of any rule or regulation necessary for the implementation of the fore-
- 16 going sections of this act on their effective date is authorized and
- 17 directed to be made and completed on or before such effective date and
- 18 shall remain in full force and effect until the first day of September,
- 19 [2015] 2017 when upon such date the provisions of this act shall be
- 20 deemed repealed.
- § 15. Paragraph a of subdivision 6 of section 76 of chapter 435 of the
- 22 laws of 1997, amending the military law and other laws relating to vari-
- 23 ous provisions, as amended by section 15 of part E of chapter 55 of the
- 24 laws of 2013, is amended to read as follows:
- 25 a. sections forty-three through forty-five of this act shall expire
- 26 and be deemed repealed on September 1, [2015] 2017;
- 27 § 16. Section 4 of part D of chapter 412 of the laws of 1999, amending
- 28 the civil practice law and rules and the court of claims act relating to

- 1 prisoner litigation reform, as amended by section 16 of part E of chap-
- 2 ter 55 of the laws of 2013, is amended to read as follows:
- 3 § 4. This act shall take effect 120 days after it shall have become a
- 4 law and shall remain in full force and effect until September 1, [2015]
- 5 2017, when upon such date it shall expire.
- 6 § 17. Subdivision 2 of section 59 of chapter 222 of the laws of 1994,
- 7 constituting the family protection and domestic violence intervention
- 8 act of 1994, as amended by section 17 of part E of chapter 55 of the
- 9 laws of 2013, is amended to read as follows:
- 10 2. Subdivision 4 of section 140.10 of the criminal procedure law as
- 11 added by section thirty-two of this act shall take effect January 1,
- 12 1996 and shall expire and be deemed repealed on September 1, [2015]
- 13 <u>2017</u>.
- 14 § 18. Section 5 of chapter 505 of the laws of 1985, amending the crim-
- 15 inal procedure law relating to the use of closed-circuit television and
- 16 other protective measures for certain child witnesses, as amended by
- 17 section 18 of part E of chapter 55 of the laws of 2013, is amended to
- 18 read as follows:
- 19 § 5. This act shall take effect immediately and shall apply to all
- 20 criminal actions and proceedings commenced prior to the effective date
- 21 of this act but still pending on such date as well as all criminal
- 22 actions and proceedings commenced on or after such effective date and
- 23 its provisions shall expire on September 1, [2015] 2017, when upon such
- 24 date the provisions of this act shall be deemed repealed.
- 25 § 19. Subdivision d of section 74 of chapter 3 of the laws of 1995,
- 26 enacting the sentencing reform act of 1995, as amended by section 19 of
- 27 part E of chapter 55 of the laws of 2013, is amended to read as follows:

- 1 d. Sections one-a through twenty, twenty-four through twenty-eight,
- 2 thirty through thirty-nine, forty-two and forty-four of this act shall
- 3 be deemed repealed on September 1, [2015] 2017;
- 4 § 20. Section 2 of chapter 689 of the laws of 1993 amending the crimi-
- 5 nal procedure law relating to electronic court appearance in certain
- 6 counties, as amended by section 20 of part E of chapter 55 of the laws
- 7 of 2013, is amended to read as follows:
- 8 § 2. This act shall take effect immediately, except that the
- 9 provisions of this act shall be deemed to have been in full force and
- 10 effect since July 1, 1992 and the provisions of this act shall expire
- 11 September 1, [2015] 2017 when upon such date the provisions of this act
- 12 shall be deemed repealed.
- 13 § 21. Section 3 of chapter 688 of the laws of 2003, amending the exec-
- 14 utive law relating to enacting the interstate compact for adult offender
- 15 supervision, as amended by section 21 of part E of chapter 55 of the
- 16 laws of 2013, is amended to read as follows:
- 17 § 3. This act shall take effect immediately, except that section one
- 18 of this act shall take effect on the first of January next succeeding
- 19 the date on which it shall have become a law, and shall remain in effect
- 20 until the first of September, [2015] 2017, upon which date this act
- 21 shall be deemed repealed and have no further force and effect; provided
- 22 that section one of this act shall only take effect with respect to any
- 23 compacting state which has enacted an interstate compact entitled
- 24 "Interstate compact for adult offender supervision" and having an iden-
- 25 tical effect to that added by section one of this act and provided
- 26 further that with respect to any such compacting state, upon the effec-
- 27 tive date of section one of this act, section 259-m of the executive law
- 28 is hereby deemed REPEALED and section 259-mm of the executive law, as

- 1 added by section one of this act, shall take effect; and provided
- 2 further that with respect to any state which has not enacted an inter-
- 3 state compact entitled "Interstate compact for adult offender super-
- 4 vision" and having an identical effect to that added by section one of
- 5 this act, section 259-m of the executive law shall take effect and the
- 6 provisions of section one of this act, with respect to any such state,
- 7 shall have no force or effect until such time as such state shall adopt
- 8 an interstate compact entitled "Interstate compact for adult offender
- 9 supervision" and having an identical effect to that added by section one
- 10 of this act in which case, with respect to such state, effective imme-
- 11 diately, section 259-m of the executive law is deemed repealed and
- 12 section 259-mm of the executive law, as added by section one of this
- 13 act, shall take effect.
- 14 § 22. Section 8 of part H of chapter 56 of the laws of 2009, amending
- 15 the correction law relating to limiting the closing of certain correc-
- 16 tional facilities, providing for the custody by the department of
- 17 correctional services of inmates serving definite sentences, providing
- 18 for custody of federal prisoners and requiring the closing of certain
- 19 correctional facilities, as amended by section 22 of part E of chapter
- 20 55 of the laws of 2013, is amended to read as follows:
- 21 § 8. This act shall take effect immediately; provided, however that
- 22 sections five and six of this act shall expire and be deemed repealed
- 23 September 1, [2015] 2017.
- 24 § 23. Section 3 of part C of chapter 152 of the laws of 2001 amending
- 25 the military law relating to military funds of the organized militia, as
- 26 amended by section 23 of part E of chapter 55 of the laws of 2013, is
- 27 amended to read as follows:

- 1 § 3. This act shall take effect on the same date as the reversion of
- 2 subdivision 5 of section 183 and subdivision 1 of section 221 of the
- 3 military law as provided by section 76 of chapter 435 of the laws of
- 4 1997, as amended by section 1 of chapter 19 of the laws of 1999 notwith-
- 5 standing this act shall be deemed to have been in full force and effect
- 6 on and after July 31, 2005 and shall remain in full force and effect
- 7 until September 1, [2015] 2017 when upon such date this act shall
- 8 expire.
- 9 § 24. Section 5 of chapter 554 of the laws of 1986, amending the
- 10 correction law and the penal law relating to providing for community
- 11 treatment facilities and establishing the crime of absconding from the
- 12 community treatment facility, as amended by section 24 of part E of
- 13 chapter 55 of the laws of 2013, is amended to read as follows:
- 14 § 5. This act shall take effect immediately and shall remain in full
- 15 force and effect until September 1, [2015] 2017, and provided further
- 16 that the commissioner of correctional services shall report each January
- 17 first and July first during such time as this legislation is in effect,
- 18 to the chairmen of the senate crime victims, crime and correction
- 19 committee, the senate codes committee, the assembly correction commit-
- 20 tee, and the assembly codes committee, the number of individuals who are
- 21 released to community treatment facilities during the previous six-month
- 22 period, including the total number for each date at each facility who
- 23 are not residing within the facility, but who are required to report to
- 24 the facility on a daily or less frequent basis.
- 25 § 25. Section 2 of part H of chapter 503 of the laws of 2009 relating
- 26 to the disposition of monies recovered by county district attorneys
- 27 before the filing of an accusatory instrument, as amended by section 1

- 1 of part C of chapter 55 of the laws of 2014, is amended to read as
- 2 follows:
- 3 § 2. This act shall take effect immediately and shall remain in full
- 4 force and effect until March 31, [2015] 2017, when it shall expire and
- 5 be deemed repealed.
- 6 § 26. This act shall take effect immediately, provided however that
- 7 section twenty-five of this act shall be deemed to have been in full
- 8 force and effect on and after March 31, 2015.
- 9 PART C
- 10 Section 1. Employees of the division of state police in the unclassi-
- 11 fied service of the state, who are substantially engaged in the perform-
- 12 ance of duties to support business and financial services, administra-
- 13 tive services, payroll administration, time and attendance, benefit
- 14 administration, and other transactional human resources functions, may
- 15 be transferred to the office of general services in accordance with the
- 16 provisions of section 45 of the civil service law as if the state had
- 17 taken over a private entity. No employee who is transferred pursuant to
- 18 this act shall suffer a reduction in basic annual salary as a result of
- 19 the transfer.
- 20 § 2. This act shall take effect immediately.
- 21 PART D
- 22 Section 1. Paragraph (c) of subdivision 1 of section 13-c of the work-
- 23 ers' compensation law is REPEALED.

- 1 § 2. Subparagraph (iii) of paragraph (b) of subdivision 3 of section
- 2 13-c of the workers' compensation law is REPEALED.
- 3 § 3. Subdivision 4 of section 13-g of the workers' compensation law,
- 4 as amended by section 4 of part GG of chapter 57 of the laws of 2013, is
- 5 amended to read as follows:
- 6 (4) A provider initiating an arbitration, including a single arbitra-
- 7 tor process, pursuant to this section shall not pay a fee [as determined
- 8 by regulations promulgated by the chair, to be used] to cover the costs
- 9 related to the conduct of such arbitration. [Upon resolution in favor of
- 10 such party, the amount due, based upon the bill in dispute, shall be
- 11 increased by the amount of the fee paid by such party. Where a partial
- 12 award is made, the amount due, based upon the bill in dispute, shall be
- 13 increased by a part of such fee.] Each member of an arbitration commit-
- 14 tee for medical bills, and each member of an arbitration committee for
- 15 hospital bills shall be entitled to receive and shall be paid a fee for
- 16 each day's attendance at an arbitration session in any one count in an
- 17 amount fixed by the chair of the workers' compensation board.
- 18 § 4. Paragraph (b) of subdivision 3-b of section 50 of the workers'
- 19 compensation law, as amended by chapter 139 of the laws of 2008, is
- 20 amended to read as follows:
- 21 (b) The board, in its rules, may provide for the issuance of licenses
- 22 to persons, firms or corporations, upon such proof of character and
- 23 fitness as it may deem necessary, [and may provide for a license fee in
- 24 an amount not exceeding one hundred dollars a year, and an annual
- 25 authorization fee in an amount not exceeding five hundred dollars a year
- 26 for each designated representative] without annual license fee, and for
- 27 the giving of a bond running to the people of the state of New York,
- 28 conditioned upon the faithful performance of all duties required of such

- 1 person, firm or corporation, and in an amount to be fixed by the board
- 2 in its rules. Such bond shall be approved by the board as to form and
- 3 sufficiency and shall be filed with it. [All license and authorization
- 4 fees collected under the provisions of this section shall be paid into
- 5 the state treasury.]
- 6 § 5. Paragraph (e) of subdivision 7 of section 13-m of the workers'
- 7 compensation law, as amended by section 7 of part GG of chapter 57 of
- 8 the laws of 2013, is amended to read as follows:
- 9 (e) A provider initiating an arbitration, including a single arbitra-
- 10 tor process, pursuant to this section shall not be required to pay a
- 11 fee[, as determined by regulations promulgated by the chair, to be used]
- 12 to cover the costs related to the conduct of such arbitration. [Upon
- 13 resolution in favor of such party, the amount due, based upon the bill
- 14 in dispute, shall be increased by the amount of the fee paid by such
- 15 party. Where a partial award is made, the amount due, based upon the
- 16 bill in dispute, shall be increased by a part of such fee.]
- 17 § 6. Paragraph (e) of subdivision 6 of section 13-1 of the workers'
- 18 compensation law, as amended by section 6 of part GG of chapter 57 of
- 19 the laws of 2013, is amended to read as follows:
- 20 (e) A provider initiating an arbitration, including a single arbitra-
- 21 tor process, pursuant to this section shall not pay a fee[, as deter-
- 22 mined by regulations promulgated by the chair, to be used] to cover the
- 23 costs related to the conduct of such arbitration. [Upon resolution in
- 24 favor of such party, the amount due, based upon the bill in dispute,
- 25 shall be increased by the amount of the fee paid by such party. Where a
- 26 partial award is made, the amount due, based upon the bill in dispute,
- 27 shall be increased by a part of such fee.]

- 1 § 7. Paragraph (e) of subdivision 6 of section 13-k of the workers'
- 2 compensation law, as amended by section 5 of part GG of chapter 57 of
- 3 the laws of 2013, is amended to read as follows:
- 4 (e) A provider initiating an arbitration, including a single arbi-
- 5 tration process, pursuant to this section shall not be required to pay a
- 6 fee[, as determined by regulations promulgated by the chair, to be used
- 7 to cover the costs] related to the conduct of such arbitration. [Upon
- 8 resolution in favor of such party, the amount due, based upon the bill
- 9 in dispute, shall be increased by the amount of the fee paid by such
- 10 party. Where a partial award is made, the amount due, based upon the
- 11 bill in dispute shall be increased by a part of such fee.] Each member
- 12 of the arbitration committee shall be entitled to receive and shall be
- 13 paid a fee for each day's attendance at an arbitration session in an
- 14 amount fixed by the chair of the workers' compensation board.
- 15 § 8. Section 24-a of the workers' compensation law, as amended by
- 16 chapter 133 of the laws of 1982, subdivision 1 as amended by chapter 61
- 17 of the laws of 1989, subdivision 2 as amended and subdivision 5 as added
- 18 by chapter 347 of the laws of 1987, is amended to read as follows:
- 19 § 24-a. Representation before the workers' compensation board. 1. No
- 20 person, firm or corporation, other than an attorney and counsellor-at-
- 21 law, shall appear on behalf of any claimant or person entitled to the
- 22 benefits of this chapter, before the board or any officer, agent or
- 23 employee of the board assigned to conduct any hearing, investigation or
- 24 inquiry relative to a claim for compensation or benefits under this
- 25 chapter, unless he or she shall be a citizen of the United States or an
- 26 alien lawfully admitted for permanent residence in the United States,
- 27 and shall have obtained from the board a license authorizing him or her
- 28 to appear in matters or proceedings before the board. Such license shall

1 be issued by the board in accordance with the rules established by it.

- Any person, firm or corporation violating the aforesaid provisions shall
- 3 be guilty of a misdemeanor. The board, in its rules, shall provide for
- 4 the issuance of licenses to representatives of charitable and welfare
- 5 organizations, and to associations who employ a representative to appear
- 6 for members of such association, upon certification of the proper offi-
- 7 cer of such association or organization, which licenses shall issue
- 8 without charge; and may provide for a license <u>without</u> fee in the case of
- 9 all other persons, firms or corporations in an amount to be fixed by
- 10 said rules[, not exceeding the sum of one hundred dollars a year. All
- 11 license fees collected under the provisions of this section shall be
- 12 paid into the state treasury]. The board shall have such tests of char-
- 13 acter and fitness with respect to applicants for licenses, and such
- 14 rules governing the conduct of those licensed, as aforesaid, as it may
- 15 deem necessary.
- 16 2. There shall be maintained in each office of the board a registry or
- 17 list of persons to whom licenses have been issued as provided herein,
- 18 which list shall be corrected as often as licenses are issued or
- 19 revoked. Absence of a record of a license issued as herein provided
- 20 shall be prima facie evidence that a person, firm or corporation is not
- 21 licensed to represent claimants. Any such license may be revoked by the
- 22 board, for cause, after a hearing before the board. No license hereunder
- 23 shall be issued for a period longer than three years from the date of
- 24 its issuance.
- 25 [3. No fee or allowance, in accordance with the provisions of section
- 26 twenty-four of this chapter, shall be made for services rendered by any
- 27 such person, firm or corporation who has received a license hereunder
- 28 without payment of a license fee.

- 1 4.] 3. Refusal by any person to whom a license has been issued author-
- 2 izing him to appear on behalf of any claimant to answer, upon request of
- 3 the board, or other duly authorized officer, board or committee of the
- 4 state, any legal question or to produce any relevant book or paper
- 5 concerning his conduct under such license, shall constitute adequate
- 6 cause for revocation thereof.
- 7 [5.] 4. Only an attorney, or a representative licensed in accordance
- 8 with rules established by the board pursuant to subdivisions three-b and
- 9 three-d of section fifty of this chapter, shall appear on behalf of an
- 10 employer or an insurance carrier regarding a claim for compensation or
- 11 any benefits under this chapter before the board or any officer, agent
- 12 or employee of the board assigned to conduct any hearing relative to a
- 13 claim for compensation or benefits under this chapter. The provisions of
- 14 this subdivision shall not apply to a designated regular employee of a
- 15 self-insured employer, or of an insurance carrier appearing on behalf of
- 16 his or her employer, but the board may prohibit the appearance of any
- 17 such employee for cause.
- 18 § 9. This act shall take effect April 1, 2015.
- 19 PART E
- 20 Section 1. The article heading of article 14 of the election law is
- 21 amended to read as follows:
- 22 [Campaign Receipts and Expenditures] <u>CAMPAIGN RECEIPTS AND EXPENDI-</u>
- 23 TURES; PUBLIC FINANCING
- 24 § 2. Section 14-100 of the election law is amended by adding two new
- 25 subdivisions 15 and 16 to read as follows:

- 1 15. "intermediary" means an individual, corporation, partnership,
- 2 political committee, labor organization, or other entity which, other
- 3 than in the regular course of business as a postal, delivery, or messen-
- 4 ger service, delivers any contribution from another person or entity to
- 5 <u>a candidate or an authorized committee.</u>
- 6 "Intermediary" shall not include spouses, parents, children, or
- 7 siblings of the person making such contribution.
- 8 16. "authorized committee" means the single political committee desig-
- 9 nated by a candidate to receive all contributions authorized by this
- 10 title.
- 11 § 3. Subdivision 1 of section 14-102 of the election law, as amended
- 12 by chapter 8 and as redesignated by chapter 9 of the laws of 1978, is
- 13 amended to read as follows:
- 14 1. The treasurer of every political committee which, or any officer,
- 15 member or agent of any such committee who, in connection with any
- 16 election, receives or expends any money or other valuable thing or
- 17 incurs any liability to pay money or its equivalent shall file state-
- 18 ments sworn, or subscribed and bearing a form notice that false state-
- 19 ments made therein are punishable as a class A misdemeanor pursuant to
- 20 section 210.45 of the penal law, at the times prescribed by this [arti-
- 21 cle] title setting forth all the receipts, contributions to and the
- 22 expenditures by and liabilities of the committee, and of its officers,
- 23 members and agents in its behalf. Such statements shall include the
- 24 dollar amount of any receipt, contribution or transfer, or the fair
- 25 market value of any receipt, contribution or transfer, which is other
- 26 than of money, the name and address of the transferor, contributor_
- 27 intermediary, or person from whom received, and if the transferor,
- 28 contributor, intermediary, or person is a political committee; the name

of and the political unit represented by the committee, the date of its receipt, the dollar amount of every expenditure, the name and address of the person to whom it was made or the name of and the political unit 3 4 represented by the committee to which it was made and the date thereof, and shall state clearly the purpose of such expenditure. An intermediary 5 need not be reported for a contribution that was collected from a 6 7 contributor in connection with a party or other candidate-related event held at the residence of the person delivering the contribution, unless the expenses of such event at such residence for such candidate exceed 10 five hundred dollars or the aggregate contributions received from that contributor at such event exceed five hundred dollars. Any statement 11 reporting a loan shall have attached to it a copy of the evidence of 12 indebtedness. Expenditures in sums under fifty dollars need not be 13 specifically accounted for by separate items in said statements, and 14 and contributions aggregating not more than ninety-nine 15 receipts dollars, from any one contributor need not be specifically accounted for 16 17 by separate items in said statements, provided however, that such expenditures, receipts and contributions shall be subject to the other 18 19 provisions of section 14-118 of this [article] title. 20 § 4. Subdivision 3 of section 14-124 of the election law, as amended by chapter 71 of the laws of 1988, is amended to read as follows: 21 22 3. The contribution and receipt limits of this article shall not apply to monies received and expenditures made by a party committee or consti-23

tuted committee to maintain a permanent headquarters and staff and carry
on ordinary activities which are not for the express purpose of promoting the candidacy of specific candidates, except that contributions made
for such activities to a party committee or constituted committee shall

- 1 be limited to twenty-five thousand dollars in the aggregate from each
- 2 contributor in each year.
- 3 § 5. Subdivision 2 of section 14-108 of the election law, as amended
- 4 by chapter 109 of the laws of 1997, is amended to read as follows:
- 5 2. Each statement shall cover the period up to and including the
- 6 fourth day next preceding the day specified for the filing thereof[;
- 7 provided, however, that] . The receipt of any contribution or loan in
- 8 excess of one thousand dollars shall be disclosed within forty-eight
- 9 hours of receipt, and shall be reported in the same manner as any other
- 10 contribution or loan on the next applicable statement. However, any
- 11 contribution or loan in excess of one thousand dollars, if received
- 12 after the close of the period to be covered in the last statement filed
- 13 before any primary, general or special election but before such
- 14 election, shall be reported, in the same manner as other contributions,
- 15 within twenty-four hours after receipt.
- 16 § 6. Subdivisions 1 and 10 of section 14-114 of the election law,
- 17 subdivision 1 as amended and subdivision 10 as added by chapter 79 of
- 18 the laws of 1992 and paragraphs a and b of subdivision 1 as amended by
- 19 chapter 659 of the laws of 1994, are amended to read as follows:
- 20 1. The following limitations apply to all contributions to candidates
- 21 for election to any public office or for nomination for any such office,
- 22 or for election to any party positions, and to all contributions to
- 23 political committees working directly or indirectly with any candidate
- 24 to aid or participate in such candidate's nomination or election, other
- 25 than any contributions to any party committee or constituted committee:
- 26 a. In any election for a public office to be voted on by the voters of
- 27 the entire state, or for nomination to any such office, no contributor
- 28 may make a contribution to any candidate or political committee partic-

ipating in the state's public campaign financing system as defined in title two of this article, and no such candidate or political committee may accept any contribution from any contributor, which is in the aggre-3 gate amount greater than: (i) in the case of any nomination to public office, the product of the total number of enrolled voters in the candidate's party in the state, excluding voters in inactive status, multiplied by \$.005, but such amount shall be not [less than four thousand dollars nor] more than [twelve] six thousand dollars [as increased or decreased by the cost of living adjustment described in paragraph c of 10 this subdivision, and (ii) in the case of any election to [a] such public office, [twenty-five] six thousand dollars [as increased or 11 12 decreased by the cost of living adjustment described in paragraph c of this subdivision]; provided however, that the maximum amount which may 13 be so contributed or accepted, in the aggregate, from any candidate's 14 child, parent, grandparent, brother and sister, and the spouse of any 15 such persons, shall not exceed in the case of any nomination to public 16 17 office an amount equivalent to the product of the number of enrolled voters in the candidate's party in the state, excluding voters in inac-18 tive status, multiplied by \$.025, and in the case of any election for a 19 20 public office, an amount equivalent to the product of the number of registered voters in the state excluding voters in inactive status, 22 multiplied by \$.025. b. In any other election for party position or for election to a 23 public office or for nomination for any such office, no contributor may 24 make a contribution to any candidate or political committee participat-25 26 ing in the state's public campaign financing system defined in title two of this article (for those offices or positions covered by that system) 27 and no such candidate or political committee may accept any contribution

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1 from any contributor, which is in the aggregate amount greater than: (i) in the case of any election for party position, or for nomination to public office, the product of the total number of enrolled voters in the 3 candidate's party in the district in which he is a candidate, excluding voters in inactive status, multiplied by \$.05, and (ii) in the case of 5 any election for a public office, the product of the total number of 7 registered voters in the district, excluding voters in inactive status, multiplied by \$.05, however in the case of a nomination within the city of New York for the office of mayor, public advocate or comptroller, 10 such amount shall be not less than four thousand dollars nor more than twelve thousand dollars as increased or decreased by the cost of living 11 12 adjustment described in paragraph [c] e of this subdivision; in the case of an election within the city of New York for the office of mayor, 13 public advocate or comptroller, twenty-five thousand 14 dollars increased or decreased by the cost of living adjustment described in 15 paragraph [c] e of this subdivision; in the case of a nomination or 16 17 election for state senator, four thousand dollars [as increased or decreased by the cost of living adjustment described in paragraph c of 18 19 this subdivision; in the case of an election for state senator, six 20 thousand two hundred fifty dollars as increased or decreased by the cost of living adjustment described in paragraph c of this subdivision]; in 22 the case of an election or nomination for a member of the assembly, [twenty-five hundred] two thousand dollars [as increased or decreased by 23 24 the cost of living adjustment described in paragraph c of this subdivision; but in no event shall any such maximum exceed fifty thousand 25 dollars or be less than one thousand dollars]; provided however, that 26 27 the maximum amount which may be so contributed or accepted, in the aggregate, from any candidate's child, parent, grandparent, brother and 28

1 sister, and the spouse of any such persons, shall not exceed in the case of any election for party position or nomination for public office an amount equivalent to the number of enrolled voters in the candidate's 3 party in the district in which he is a candidate, excluding voters in inactive status, multiplied by \$.25 and in the case of any election to public office, an amount equivalent to the number of registered voters in the district, excluding voters in inactive status, multiplied by \$.25; or twelve hundred fifty dollars, whichever is greater, or in the case of a nomination or election of a state senator, twenty thousand dollars, whichever is greater, or in the case of a nomination or election of a member of the assembly twelve thousand five hundred 11 12 dollars, whichever is greater, but in no event shall any such maximum exceed one hundred thousand dollars. 13 14 c. In any election for a public office to be voted on by the voters 15 of the entire state, or for nomination to any such office, no contributor may make a contribution to any candidate or political committee in 16 17 connection with a candidate who is not a participating candidate as 18 defined in subdivision fourteen of section 14-200-a of this article, and 19 no such candidate or political committee may accept any contribution 20 from any contributor, which is in the aggregate amount greater than: (i) in the case of any nomination to public office, the product of the 22 total number of enrolled voters in the candidate's party in the state, 23 excluding voters in inactive status, multiplied by \$.005, but such amount shall be not less than four thousand dollars nor more than ten 24 25 thousand dollars, and (ii) in the case of any election to a public 26 office, fifteen thousand dollars; provided however, that the maximum 27 amount which may be so contributed or accepted, in the aggregate, from any candidate's child, parent, grandparent, brother and sister, and the 28

l spouse of any such persons, shall not exceed in the case of any nomi-

- 2 nation to public office an amount equivalent to the product of the
- 3 number of enrolled voters in the candidate's party in the state, exclud-
- 4 ing voters in inactive status, multiplied by \$.025, and in the case of
- 5 any election for a public office, an amount equivalent to the product of
- 6 the number of registered voters in the state excluding voters in inac-
- 7 tive status, multiplied by \$.025.
- 8 d. In any other election for party position or for election to a
- 9 public office or for nomination for any such office, no contributor may
- 10 make a contribution to any candidate or political committee in
- 11 connection with a candidate who is not a participating candidate as
- 12 <u>defined</u> in subdivision fourteen of section 14-200-a of this article and
- 13 no such candidate or political committee may accept any contribution
- 14 from any contributor, which is in the aggregate amount greater than: (i)
- 15 in the case of any election for party position, or for nomination to
- 16 public office, the product of the total number of enrolled voters in the
- 17 candidate's party in the district in which he is a candidate, excluding
- 18 voters in inactive status, multiplied by \$.05, and (ii) in the case of
- 19 any election for a public office, the product of the total number of
- 20 registered voters in the district, excluding voters in inactive status,
- 21 multiplied by \$.05, however in the case of a nomination within the city
- 22 of New York for the office of mayor, public advocate or comptroller,
- 23 such amount shall be not less than four thousand dollars nor more than
- 24 twelve thousand dollars as increased or decreased by the cost of living
- 25 adjustment described in paragraph e of this subdivision; in the case of
- 26 an election within the city of New York for the office of mayor, public
- 27 <u>advocate or comptroller, twenty-five thousand dollars as increased or</u>
- 28 <u>decreased</u> by the cost of living adjustment described in paragraph e of

this subdivision; in the case of a nomination or election for state 2 senator, five thousand dollars; in the case of an election or nomination 3 for a member of the assembly, three thousand dollars; provided however, that the maximum amount which may be so contributed or accepted, in the aggregate, from any candidate's child, parent, grandparent, brother and sister, and the spouse of any such persons, shall not exceed in the case 6 of any election for party position or nomination for public office an amount equivalent to the number of enrolled voters in the candidate's party in the district in which he is a candidate, excluding voters in inactive status, multiplied by \$.25 and in the case of any election to 10 11 public office, an amount equivalent to the number of registered voters 12 in the district, excluding voters in inactive status, multiplied by \$.25; or twelve hundred fifty dollars, whichever is greater, or in the 13 14 case of a nomination or election of a state senator, twenty thousand 15 dollars, whichever is greater, or in the case of a nomination or 16 election of a member of the assembly twelve thousand five hundred 17 dollars, whichever is greater, but in no event shall any such maximum 18 exceed one hundred thousand dollars. e. At the beginning of each fourth calendar year, commencing in [nine-19 20 teen hundred ninety-five] two thousand twenty-one, the state board shall determine the percentage of the difference between the most recent 22 available monthly consumer price index for all urban consumers published 23 by the United States bureau of labor statistics and such consumer price index published for the same month four years previously. The amount of 24 each contribution limit fixed and expressly identified for adjustment in 25 this subdivision shall be adjusted by the amount of such percentage 26 difference to the closest one hundred dollars by the state board which, 27 not later than the first day of February in each such year, shall issue

- 1 a regulation publishing the amount of each such contribution limit. Each
- 2 contribution limit as so adjusted shall be the contribution limit in
- 3 effect for any election held before the next such adjustment.
- 4 f. Each party or constituted committee may transfer to, or spend to
- 5 elect or oppose a candidate, or transfer to another party or constituted
- 6 committee, no more than five thousand dollars per election, except that
- 7 <u>such committee may in addition to such transfers or expenditures:</u>
- 8 (i) in a general or special election transfer to, or spend to elect or
- 9 oppose a candidate, no more than five hundred dollars received from each
- 10 contributor; and
- 11 (ii) in any election spend without limitation for non-candidate
- 12 expenditures not designed or intended to elect a particular candidate or
- 13 candidates.
- 14 g. Notwithstanding any other contribution limit in this section,
- 15 participating candidates as defined in subdivision fourteen of section
- 16 14-200-a of this article may contribute, out of their own money, three
- 17 times the applicable contribution limit to their own authorized commit-
- 18 tee.
- 19 10. [a.] No contributor may make a contribution to a party or consti-
- 20 tuted committee and no such committee may accept a contribution from any
- 21 contributor which, in the aggregate, is greater than [sixty-two thousand
- 22 five hundred] twenty-five thousand dollars per annum.
- 23 [b. At the beginning of each fourth calendar year, commencing in nine-
- 24 teen hundred ninety-five, the state board shall determine the percentage
- 25 of the difference between the most recent available monthly consumer
- 26 price index for all urban consumers published by the United States
- 27 bureau of labor statistics and such consumer price index published for
- 28 the same month four years previously. The amount of such contribution

- 1 limit fixed in paragraph a of this subdivision shall be adjusted by the
- 2 amount of such percentage difference to the closest one hundred dollars
- 3 by the state board which, not later than the first day of February in
- 4 each such year, shall issue a regulation publishing the amount of such
- 5 contribution limit. Such contribution limit as so adjusted shall be the
- 6 contribution limit in effect for any election held before the next such
- 7 adjustment.]
- 8 § 7. Section 14-116 of the election law, subdivision 1 as redesignated
- 9 by chapter 9 of the laws of 1978 and subdivision 2 as amended by chapter
- 10 260 of the laws of 1981, is amended to read as follows:
- 11 § 14-116. Political contributions by certain organizations. 1. No
- 12 corporation, limited liability company, or joint-stock association doing
- 13 business in this state, except a corporation or association organized or
- 14 maintained for political purposes only, shall directly or indirectly pay
- 15 or use or offer, consent or agree to pay or use any money or property
- 16 for or in aid of any political party, committee or organization, or for,
- 17 or in aid of, any corporation, limited liability company, joint-stock or
- 18 other association organized or maintained for political purposes, or
- 19 for, or in aid of, any candidate for political office or for nomination
- 20 for such office, or for any political purpose whatever, or for the
- 21 reimbursement or indemnification of any person for moneys or property so
- 22 used. Any officer, director, stock-holder, attorney or agent of any
- 23 corporation, limited liability company, or joint-stock association which
- 24 violates any of the provisions of this section, who participates in,
- 25 aids, abets or advises or consents to any such violations, and any
- 26 person who solicits or knowingly receives any money or property in
- 27 violation of this section, shall be guilty of a misdemeanor.

- 1 2. Notwithstanding the provisions of subdivision one of this section,
- 2 any corporation or an organization financially supported in whole or in
- 3 part, by such corporation may make expenditures, including contrib-
- 4 utions, not otherwise prohibited by law, for political purposes, in an
- 5 amount not to exceed [five] one thousand dollars in the aggregate in any
- 6 calendar year; provided that no public utility shall use revenues
- 7 received from the rendition of public service within the state for
- 8 contributions for political purposes unless such cost is charged to the
- 9 shareholders of such a public service corporation.
- 10 § 8. Section 14-130 of the election law, as added by chapter 152 of
- 11 the laws of 1985, is amended to read as follows:
- 12 § 14-130. Campaign funds for personal use. 1. Contributions received
- 13 by a candidate or a political committee may be expended for any lawful
- 14 purpose that is directly related to promoting the nomination or election
- 15 of a candidate or the execution of duties associated with the holding of
- 16 <u>a public office or party position</u>. Such funds shall not be converted by
- 17 any person to a personal use [which is unrelated to a political campaign
- 18 or the holding of a public office or party position].
- 19 2. No contribution shall be used to pay interest or any other finance
- 20 charges upon monies loaned to the campaign by such candidate or the
- 21 spouse of such candidate.
- 22 3. (a) As used in this section, expenditures for "personal use" are
- 23 defined as expenditures that are exclusively for the personal benefit of
- 24 the candidate or any other individual, and are used to fulfill any
- 25 commitment, obligation, or expense of a person that would exist irre-
- 26 spective of the candidate's election campaign or the execution of the
- 27 <u>duties of public office or the execution of the duties of a party offi-</u>
- 28 <u>cial.</u>

- 1 (b) Expenditures for personal use shall include, but are not limited
- 2 to, expenses for the following:
- 3 (i) any residential or household items, supplies or expenditures,
- 4 including mortgage, rent or utility payments for any part of any
- 5 personal residence of a candidate or officeholder or a member of the
- 6 candidate's or officeholder's family that are not incurred as a result
- 7 of, or to facilitate, the individual's campaign, or the execution of his
- 8 or her public duties. In the event that any property or building is used
- 9 for both personal and campaign use, personal use shall constitute
- 10 expenses that exceed the pro-rated amount for such expenses based on
- 11 <u>fair-market value</u>.
- 12 (ii) mortgage, rent, or utility payments for any part of any non-
- 13 residential property that is owned by a candidate or officeholder or a
- 14 member of a candidate's or officeholder's family and used for campaign
- 15 purposes, to the extent the payments exceed the fair market value of the
- 16 property's usage for campaign activities;
- 17 (iii) clothing, other than items that are used in the campaign;
- 18 (iv) tuition payments;
- 19 (v) childcare costs;
- 20 (vi) dues, fees, or gratuities at a country club, health club, recre-
- 21 <u>ational facility or other nonpolitical organization, unless they are</u>
- 22 part of a specific fundraising event that takes place on the organiza-
- 23 <u>tion's premises;</u>
- 24 (vii) salary payments or other compensation provided to any person
- 25 whose services are not solely for campaign purposes or provided in
- 26 connection with the execution of the duties of public office;
- 27 (viii) salary payments or other compensation provided to a member of a
- 28 candidate's family, unless the family member is providing bona fide

- 1 services to the campaign. If a family member provides bona fide services
- 2 to a campaign, any salary payments or other compensation in excess of
- 3 the fair market value of the services provided shall be considered
- 4 payments for personal use;
- 5 (ix) admission to a sporting event, concert, theater, or other form of
- 6 entertainment, unless such event is part of a campaign or officeholder
- 7 activity;
- 8 (x) payment of any fines or penalties assessed pursuant to this chap-
- 9 ter or in connection with a criminal conviction or by the joint commis-
- 10 sion for public ethics or the legislative ethics commission;
- 11 (xi) travel expenses including automobile purchases or leases, unless
- 12 used solely for campaign purposes or in connection with the execution of
- 13 the duties of public office. If a candidate uses campaign funds to pay
- 14 expenses associated with travel that involves both personal activities
- 15 and campaign activities or official duties, the incremental expenses
- 16 that result from the personal activities shall be considered for
- 17 personal use unless the person or persons benefiting from the use reim-
- 18 burse or reimburses the campaign account within ninety days for the full
- 19 amount of the incremental expenses; and
- 20 (xii) any other expenditure designated by the state board of elections
- 21 as constituting personal use.
- 22 4. Nothing in this section shall prohibit a candidate from purchasing
- 23 equipment or property from his or her personal funds and leasing or
- 24 renting such equipment or property to a committee working directly or
- 25 <u>indirectly with him to aid or participate in his or her nomination or</u>
- 26 election, including an exploratory committee, provided that the candi-
- 27 <u>date and his or her campaign treasurer sign a written lease or rental</u>
- 28 agreement. Such agreement shall include the lease or rental price, which

- 1 shall not exceed the fair lease or rental value of the equipment. The
- 2 candidate shall not receive lease or rental payments which, in the
- 3 aggregate, exceed the cost of purchasing the equipment or property.
- 4 5. Nothing in this section shall prohibit an elected public office-
- 5 holder from using campaign contributions to facilitate, support, or
- 6 otherwise assist in the execution or performance of the duties of his or
- 7 <u>her public office.</u>
- 8 6. The state board of elections shall issue advisory opinions from
- 9 time to time upon request to address the application of this section.
- 10 § 9. Article 14 of the election law is amended by adding a new title
- 11 II to read as follows:
- 12 TITLE II
- 13 <u>PUBLIC FINANCING</u>
- 14 Section 14-200. Legislative findings and intent.
- 15 <u>14-200-a. Definitions.</u>
- 16 <u>14-201</u>. Reporting requirements.
- 17 14-202. Contributions.
- 18 <u>14-203.</u> Proof of compliance.
- 19 <u>14-204</u>. Eligibility.
- 20 <u>14-205</u>. <u>Limits on public financing</u>.
- 21 14-206. Payment of public matching funds.
- 22 14-207. Use of public matching funds; qualified campaign
- 23 <u>expenditures.</u>
- 24 <u>14-208. Powers and duties of board.</u>
- 25 <u>14-209</u>. Audits and repayments.
- 26 14-210. Enforcement and penalties for violations and other
- 27 <u>proceedings.</u>
- 28 <u>14-211.</u> Reports.

- 1 14-212. Debates for candidates for statewide office.
- 2 <u>14-213.</u> Severability.
- 3 § 14-200. Legislative findings and intent. The legislature finds that
- 4 reform of New York state's campaign finance system is crucial to improv-
- 5 ing public confidence in the state's democratic processes and continuing
- 6 to ensure a government that is accountable to all of the voters of the
- 7 state regardless of wealth or position. The legislature finds that New
- 8 York's current system of campaign finance, with its large contributions
- 9 to candidates for office and party committees, has created the potential
- 10 for and the appearance of corruption. The legislature further finds
- 11 that, whether or not this system creates actual corruption, the appear-
- 12 ance of such corruption can give rise to a distrust in government and
- 13 citizen apathy that undermine the democratic operation of the political
- 14 process.
- 15 The legislature also finds that the high cost of running for office in
- 16 New York discourages qualified candidates from running for office and
- 17 creates an electoral system that encourages candidates to spend too much
- 18 time raising money rather than attending to the duties of their office,
- 19 representing the needs of their constituents, and communicating with
- 20 <u>voters.</u>
- 21 The legislature amends this chapter creating a new title two to arti-
- 22 <u>cle fourteen of this chapter to reduce the possibility and appearance</u>
- 23 that special interests exercise undue influence over state officials; to
- 24 increase the actual and apparent responsiveness of elected officials to
- 25 <u>all voters; to encourage qualified candidates to run for office; and to</u>
- 26 reduce the pressure on candidates to spend large amounts of time raising
- 27 <u>large contributions for their campaigns.</u>

- 1 The legislature finds that this article's limitations on contributions
- 2 <u>further the government's interest in reducing real and apparent</u>
- 3 corruption and in building trust in government. The legislature finds
- 4 that the contribution levels are sufficiently high to allow candidates
- 5 and political parties to raise enough money to run effective campaigns.
- 6 In addition, the legislature finds that graduated contribution limita-
- 7 tions reflect the campaign needs of candidates for different offices.
- 8 The legislature also finds that the system of voluntary public financ-
- 9 ing furthers the government's interest in encouraging qualified candi-
- 10 dates to run for office. The legislature finds that the voluntary public
- 11 funding program will enlarge the public debate and increase partic-
- 12 ipation in the democratic process. In addition, the legislature finds
- 13 that the voluntary expenditure limitations and matching fund program
- 14 reduce the burden on candidates and officeholders to spend time raising
- 15 money for their campaigns.
- 16 Therefore, the legislature declares that these amendments further the
- 17 important and valid government interests of reducing voter apathy,
- 18 building confidence in government, reducing the reality and appearance
- 19 of corruption, and encouraging qualified candidates to run for office,
- 20 while reducing candidates' and officeholders' fundraising burdens.
- 21 § 14-200-a. Definitions. For the purposes of this title, the follow-
- 22 <u>ing terms shall have the following meanings:</u>
- 23 <u>1. The term "authorized committee" shall mean the single committee</u>
- 24 <u>designated by a candidate pursuant to section 14-201 of this title to</u>
- 25 receive contributions and make expenditures in support of the candi-
- 26 <u>date's campaign</u>.
- 27 2. The term "board" shall mean the state board of elections.

- 1 3. The term "contribution" shall have the same meaning as appears in
- 2 <u>subdivision nine of section 14-100 of this article.</u>
- 3 4. The term "contributor" shall mean any person or entity that makes a
- 4 contribution.
- 5. The term "covered election" shall mean any primary, general, or
- 6 special election for nomination for election, or election, to the office
- 7 of governor, lieutenant governor, attorney general, state comptroller,
- 8 state senator, or member of the assembly.
- 9 6. The term "election cycle" shall mean the two year period starting
- 10 the day after the last general election for candidates for the state
- 11 legislature and shall mean the four year period starting after the day
- 12 after the last general election for candidates for statewide office.
- 13 7. The term "expenditure" shall mean any gift, subscription, advance,
- 14 payment, or deposit of money or anything of value, or a contract to make
- 15 any gift, subscription, payment, or deposit of money or anything of
- 16 value, made in connection with the nomination for election, or election,
- 17 of any candidate. Expenditures made by contract are deemed made when
- 18 <u>such funds are obligated.</u>
- 19 8. The term "fund" shall mean the New York state campaign finance
- 20 <u>fund</u>.
- 21 9. The term "immediate family" shall mean a spouse, child, sibling or
- 22 parent.
- 23 10. The term "intermediary" shall mean an individual, corporation,
- 24 partnership, political committee, employee organization or other entity
- 25 which bundles, causes to be delivered or otherwise delivers any contrib-
- 26 ution from another person or entity to a candidate or authorized commit-
- 27 tee, other than in the regular course of business as a postal, delivery
- 28 or messenger service. Provided, however, that an "intermediary" shall

- 1 not include spouses, domestic partners, parents, children or siblings of
- 2 the person making such contribution or a staff member or volunteer of
- 3 the campaign identified in writing to the state board of elections. Here
- 4 "causes to be delivered" shall include providing postage, envelopes or
- 5 other shipping materials for the use of delivering the contribution to
- 6 the ultimate recipient.
- 7 11. The term "item with significant intrinsic and enduring value"
- 8 shall mean any item, including tickets to an event, that are valued at
- 9 twenty-five dollars or more.
- 10 12. (a) The term "matchable contribution" shall mean a contribution,
- 11 contributions or a portion of a contribution or contributions for any
- 12 covered elections held in the same election cycle, made by a natural
- 13 person who is a United States citizen and resident in the state of New
- 14 York to a participating candidate, that has been reported in full to the
- 15 board in accordance with sections 14-102 and 14-104 of this article by
- 16 the candidate's authorized committee and has been contributed on or
- 17 before the day of the applicable primary, general, runoff or special
- 18 election. Any contribution, contributions, or a portion of a contrib-
- 19 ution determined to be invalid for matching funds by the board may not
- 20 be treated as a matchable contribution for any purpose.
- 21 (b) The following contributions are not matchable:
- 22 <u>(i) loans;</u>
- 23 (ii) in-kind contributions of property, goods, or services;
- 24 (iii) contributions in the form of the purchase price paid for an item
- 25 with significant intrinsic and enduring value;
- 26 (iv) transfers from a party or constituted committee;
- 27 (v) anonymous contributions or contributions whose source is not item-
- 28 <u>ized as required by section 14-201 of this title;</u>

- 1 (vi) contributions gathered during a previous election cycle;
- 2 (vii) illegal contributions;
- 3 (viii) contributions from minors;
- 4 (ix) contributions from vendors for campaigns; and
- 5 (x) contributions from lobbyists registered pursuant to subdivision
- 6 (a) of section one-c of the legislative law.
- 7 13. The term "nonparticipating candidate" shall mean a candidate for a
- 8 covered election who fails to file a written certification in the form
- 9 of an affidavit under section 14-204 of this title by the applicable
- 10 deadline.
- 11 14. The term "participating candidate" shall mean any candidate for
- 12 nomination for election, or election, to the office of governor, lieu-
- 13 tenant governor, attorney general, State comptroller, state senator, or
- 14 member of the assembly who files a written certification in the form of
- 15 an affidavit pursuant to section 14-204 of this title.
- 16 15. The term "post-election period" shall mean the five years follow-
- 17 ing an election when a candidate is subject to an audit.
- 18 16. The term "qualified campaign expenditure" shall mean an expendi-
- 19 ture for which public matching funds may be used.
- 20 17. The term "threshold for eligibility" shall mean the amount of
- 21 matchable contributions that a candidate's authorized committee must
- 22 receive in total in order for such candidate to qualify for voluntary
- 23 <u>public financing under this title.</u>
- 24 18. The term "transfer" shall mean any exchange of funds between a
- 25 party or constituted committee and a candidate or any of his or her
- 26 <u>authorized committees.</u>
- 27 § 14-201. Reporting requirements. 1. Political committee registra-
- 28 tion. Political committees as defined pursuant to subdivision one of

- 1 section 14-100 of this article shall register with the board before
- 2 making any contribution or expenditure. The board shall publish a cumu-
- 3 lative list of political committees that have registered, including on
- 4 <u>its webpage</u>, and regularly update it.
- 5 2. Only one authorized committee per candidate per elective office
- 6 sought. Before receiving any contribution or making any expenditure for
- 7 a covered election, each candidate shall notify the board as to the
- 8 existence of his or her authorized committee that has been approved by
- 9 such candidate. Each candidate shall have one and only one authorized
- 10 committee per elective office sought. Each authorized committee shall
- 11 have a treasurer and is subject to the restrictions found in section
- 12 <u>14-112 of this article.</u>
- 3. Disclosure reports. (a) Detailed reporting. In addition to each
- 14 authorized and political committee reporting to the board every contrib-
- 15 ution and loan received and every expenditure made in the time and
- 16 manner prescribed by sections 14-102, 14-104 and 14-108 of this article,
- 17 <u>each authorized and political committee shall also submit disclosure</u>
- 18 reports on March fifteenth and May fifteenth of each election year
- 19 reporting to the board every contribution and loan received and every
- 20 expenditure made. For contributors who make contributions of five
- 21 hundred dollars or more, each authorized and political committee shall
- 22 report to the board the occupation, and business address of each
- 23 contributor, lender, and intermediary. The board shall revise, prepare
- 24 and post forms on its webpage that facilitate compliance with the
- 25 requirements of this section.
- 26 (b) Board review. The board shall review each disclosure report filed
- 27 and shall inform authorized and political committees of relevant ques-
- 28 tions it has concerning: (i) compliance with requirements of this title

- 1 and of the rules issued by the board; and (ii) qualification for receiv-
- 2 ing public matching funds pursuant to this title. In the course of this
- 3 review, it shall give authorized and political committees an opportunity
- 4 to respond to and correct potential violations and give candidates an
- 5 opportunity to address questions it has concerning their matchable
- 6 contribution claims or other issues concerning eligibility for receiving
- 7 public matching funds pursuant to this title. Nothing in this paragraph
- 8 shall preclude the chief enforcement counsel from subsequently reviewing
- 9 such disclosure reports and taking any action otherwise authorized under
- 10 this title.
- 11 (c) Itemization. Contributions that are not itemized in reports filed
- 12 with the board shall not be matchable.
- 13 (d) Option to file more frequently. Participating candidates may file
- 14 reports of contributions as frequently as once a week on Monday so that
- 15 their matching funds may be paid at the earliest allowable date.
- 16 § 14-202. Contributions. Recipients of funds pursuant to this title
- 17 shall be subject to the applicable contribution limits set forth in
- 18 section 14-114 of this article.
- 19 § 14-203. Proof of compliance. Authorized and political committees
- 20 shall maintain such records of receipts and expenditures for a covered
- 21 <u>election</u> as required by the board. Authorized and political committees
- 22 shall obtain and furnish to the public financing unit any information it
- 23 may request relating to financial transactions or contributions and
- 24 <u>furnish such documentation and other proof of compliance with this title</u>
- 25 as may be requested. In compliance with section 14-108 of this article,
- 26 <u>authorized and political committees shall maintain copies of such</u>
- 27 <u>records for a period of five years.</u>

- 1 § 14-204. Eligibility. 1. Terms and conditions. To be eligible for
- 2 voluntary public financing under this title, a candidate must:
- 3 (a) be a candidate in a covered election;
- 4 (b) meet all the requirements of law to have his or her name on the
- 5 ballot;
- 6 (c) in the case of a covered general or special election, be opposed
- 7 by another candidate on the ballot who is not a write-in candidate;
- 8 (d) submit a certification in the form of an affidavit, in such form
- 9 as may be prescribed by the board, that sets forth his or her acceptance
- 10 of and agreement to comply with the terms and conditions for the
- 11 provision of such funds in each covered election and such certification
- 12 shall be submitted at least four months before the election pursuant to
- 13 <u>a schedule promulgated by the board;</u>
- 14 (e) be certified as a participating candidate by the board;
- 15 (f) not make, and not have made, expenditures from or use his or her
- 16 personal funds or property or the personal funds or property jointly
- 17 held with his or her spouse, or unemancipated children in connection
- 18 with his or her nomination election or election to a covered office, but
- 19 may make a contribution to his or her authorized committee in an amount
- 20 that does not exceed three times the applicable contribution limit from
- 21 an individual contributor to candidates for the office that he or she is
- 22 seeking;
- 23 (g) meet the threshold for eligibility set forth in subdivision two of
- 24 this section; and
- 25 (h) continue to abide by all requirements during the post-election
- 26 period.
- 27 2. Threshold for eligibility. (a) The threshold for eligibility for
- 28 public funding for participating candidates shall be in the case of:

- 1 (i) Governor, not less than six hundred fifty thousand dollars in
- 2 matchable contributions including at least six thousand five hundred
- 3 matchable contributions comprised of sums between ten and one hundred
- 4 seventy-five dollars per contributor, from residents of New York state;
- 5 (ii) Lieutenant governor, attorney general, and comptroller, not less
- 6 than two hundred thousand dollars in matchable contributions including
- 7 at least two thousand matchable contributions comprised of sums between
- 8 ten and one hundred seventy-five dollars per contributor, from residents
- 9 of New York state;
- 10 (iii) State senator, not less than twenty thousand dollars in matcha-
- 11 ble contributions including at least two hundred matchable contributions
- 12 comprised of sums between ten and one hundred seventy-five dollars per
- 13 contributor, from residents of the district in which the seat is to be
- 14 <u>filled; and</u>
- 15 (iv) Member of the assembly, not less than ten thousand dollars in
- 16 <u>matchable contributions including at least one hundred matchable</u>
- 17 contributions comprised of sums between ten and one hundred seventy-five
- 18 dollars per contributor, from residents of the district in which the
- 19 <u>seat is to be filled.</u>
- 20 (b) Any participating candidate meeting the threshold for eligibility
- 21 in a primary election for one of the foregoing offices shall be deemed
- 22 to have met the threshold for eligibility for such office in any other
- 23 <u>subsequent election held in the same calendar year.</u>
- 24 § 14-205. Limits on public financing. The following limitations apply
- 25 to the total amounts of public funds that may be provided to a partic-
- 26 <u>ipating candidate's authorized committee for an election cycle:</u>
- 27 1. In any primary election, receipt of public funds by participating
- 28 candidates and by their participating committees shall not exceed:

- 1 (i) for governor, the sum of eight million dollars;
- 2 (ii) for lieutenant governor, comptroller or attorney general, the sum
- 3 of four million dollars;
- 4 (iii) for senator, the sum of three hundred seventy-five thousand
- 5 <u>dollars;</u>
- 6 (iv) for member of the assembly, the sum of one hundred seventy-five
- 7 <u>thousand dollars.</u>
- 8 2. In any general or special election, receipt of public funds by a
- 9 participating candidate's authorized committees shall not exceed the
- 10 <u>following amounts:</u>
- 11 <u>Candidates for election to the office of:</u>
- 12 Governor and lieutenant governor (combined) \$10,000,000
- 13 <u>Attorney general</u> <u>\$4,000,000</u>
- 14 <u>Comptroller</u> <u>\$4,000,000</u>
- 15 <u>Member of senate</u> <u>\$375,000</u>
- 16 Member of assembly \$175,000
- 17 3. No participating candidate for nomination for an office who is not
- 18 opposed by a candidate on the ballot in a primary election shall be
- 19 entitled to payment of public matching funds, except that, where there
- 20 is a contest in such primary election for the nomination of at least one
- 21 of the two political parties with the highest and second highest number
- 22 of enrolled members for such office, a participating candidate who is
- 23 unopposed in the primary election may receive public funds before the
- 24 primary election, for expenses incurred on or before the date of such
- 25 primary election, in an amount equal to up to half the sum set forth in
- 26 paragraph one of this section.
- 27 § 14-206. Payment of public matching funds. 1. Determination of eligi-
- 28 bility. No public matching funds shall be paid to an authorized commit-

l tee unless the board determines that the participating candidate has met

- 2 the eligibility requirements of this title. Payment shall not exceed the
- 3 amounts specified in subdivision two of this section, and shall be made
- 4 only in accordance with the provisions of this title. Such payment may
- 5 be made only to the participating candidate's authorized committee. No
- 6 public matching funds shall be used except as reimbursement or payment
- 7 for qualified campaign expenditures actually and lawfully incurred or to
- 8 repay loans used to pay qualified campaign expenditures.
- 9 2. Calculation of payment. If the threshold for eligibility is met,
- 10 the participating candidate's authorized committee shall receive payment
- 11 for qualified campaign expenditures of six dollars of public matching
- 12 funds for each one dollar of matchable contributions, for the first one
- 13 hundred seventy-five dollars of eligible private funds per contributor,
- 14 obtained and reported to the board in accordance with the provisions of
- 15 this title. The maximum payment of public matching funds shall be limit-
- 16 ed to the amounts set forth in section 14-205 of this title for the
- 17 <u>covered election</u>.
- 18 3. Timing of payment. The board shall make any payment of public
- 19 matching funds to participating candidates as soon as is practicable.
- 20 But in all cases, it shall verify eligibility for public matching funds
- 21 within four days, excluding weekends and holidays, of receiving a
- 22 campaign contribution report filed in compliance with section 14-104 of
- 23 this article. Within two days of determining that a candidate for a
- 24 covered office is eligible for public matching funds, it shall authorize
- 25 payment of the applicable matching funds owed to the candidate. However,
- 26 it shall not make any payments of public money earlier than the earliest
- 27 dates for making such payments as provided by this title. If any of

- 1 such payments would require payment on a weekend or federal holiday,
- 2 payment shall be made on the next business day.
- 3 4. Electronic funds transfer. The board shall, in consultation with
- 4 the office of the comptroller, promulgate rules to facilitate electronic
- 5 funds transfers directly from the campaign finance fund into an author-
- 6 <u>ized committee's bank account.</u>
- 7 <u>5. Irregularly scheduled elections. Notwithstanding any other</u>
- 8 provision of this title, the board shall promulgate rules to provide for
- 9 the prompt issuance of public matching funds to eligible participating
- 10 candidates for qualified campaign expenditures in the case of any other
- 11 covered election held on a day different from that than originally sche-
- 12 <u>duled including special elections</u>. But in all cases, the board shall (a)
- 13 within four days, excluding weekends and holidays, of receiving a report
- 14 of contributions from a candidate for a covered office claiming eligi-
- 15 bility for public matching funds verify that candidate's eligibility for
- 16 public matching funds; and (b) within two days of determining that the
- 17 candidate for a covered office is eligible for public matching funds, it
- 18 shall authorize payment of the applicable matching funds owed to the
- 19 <u>candidate.</u>
- 20 § 14-207. Use of public matching funds; qualified campaign expendi-
- 21 tures. 1. Public matching funds provided under the provisions of this
- 22 title may be used only by an authorized committee for expenditures to
- 23 <u>further the participating candidate's nomination for election or</u>
- 24 election, including paying for debts incurred within one year prior to
- 25 <u>an election to further the participating candidate's nomination for</u>
- 26 <u>election or election</u>.
- 27 2. Such public matching funds may not be used for:
- 28 (a) an expenditure in violation of any law;

- 1 (b) an expenditure in excess of the fair market value of services,
- 2 materials, facilities or other things of value received in exchange;
- 3 (c) an expenditure made after the candidate has been finally disquali-
- 4 fied from the ballot;
- 5 (d) an expenditure made after the only remaining opponent of the
- 6 candidate has been finally disqualified from the general or special
- 7 election ballot;
- 8 (e) an expenditure made by cash payment;
- 9 (f) a contribution or loan or transfer made to or expenditure to
- 10 support another candidate or political committee or party, committee or
- 11 constituted committee;
- 12 (g) an expenditure to support or oppose a candidate for an office
- 13 other than that which the participating candidate seeks;
- 14 (h) gifts, except brochures, buttons, signs and other printed campaign
- 15 <u>material;</u>
- 16 (i) legal fees to defend against a criminal charge;
- 17 (j) payments to immediate family members of the participating candi-
- 18 date; or
- 19 (k) any expenditure made to challenge the validity of any petition of
- 20 designation or nomination or any certificate of nomination, acceptance,
- 21 <u>authorization</u>, <u>declination</u> or <u>substitution</u>.
- 22 § 14-208. Powers and duties of board. 1. Advisory opinions. The board
- 23 shall render advisory opinions with respect to questions arising under
- 24 this title upon the written request of a candidate, an officer of a
- 25 political committee or member of the public, or upon its own initiative.
- 26 The board shall promulgate rules regarding reasonable times to respond
- 27 to such requests. The board shall make public the questions of interpre-
- 28 tation for which advisory opinions will be considered by the board and

- 1 its advisory opinions, including by publication on its webpage with
- 2 identifying information redacted as the board determines to be appropri-
- 3 ate.
- 4 2. Public information and candidate education. The board shall develop
- 5 a program for informing candidates and the public as to the purpose and
- 6 effect of the provisions of this title, including by means of a webpage.
- 7 The board shall prepare in plain language and make available educational
- 8 materials, including compliance manuals and summaries and explanations
- 9 of the purposes and provisions of this title. The board shall prepare or
- 10 have prepared and make available materials, including, to the extent
- 11 feasible, computer software, to facilitate the task of compliance with
- 12 the disclosure and record-keeping requirements of this title.
- 13 3. Rules and regulations. The board shall have the authority to
- 14 promulgate such rules and regulations and provide such forms as it deems
- 15 necessary for the administration of this title.
- 16 <u>4. Database. The board shall develop an interactive, searchable</u>
- 17 computer database that shall contain all information necessary for the
- 18 proper administration of this Title including information on contrib-
- 19 utions to and expenditures by candidates and their authorized committee,
- 20 <u>independent expenditures in support or opposition of candidates for</u>
- 21 covered offices, and distributions of moneys from the fund. Such data-
- 22 base shall be accessible to the public on the board's webpage.
- 23 5. The board shall work with the chief enforcement counsel to enforce
- 24 this section.
- 25 § 14-209. Audits and repayments. 1. Audits. The board shall audit and
- 26 examine all matters relating to the proper administration of this title
- 27 and shall complete such audit no later than two years after the election
- 28 in question. Every candidate who receives public funds under this title

- 1 shall be audited by the board. The cost of complying with a post-elec-
- 2 tion audit shall be borne by the candidate's authorized committee using
- 3 public funds, private funds or any combination of such funds. Candi-
- 4 dates who run in any primary or general election must maintain a reserve
- 5 of three percent of the public funds received to comply with the post-e-
- 6 <u>lection audit. The board shall issue to each campaign audited a final</u>
- 7 <u>audit report that details its findings.</u>
- 8 2. Repayments. (a) If the board determines that any portion of the
- 9 payment made to a candidate's authorized committee from the fund was in
- 10 excess of the aggregate amount of payments that such candidate was
- 11 eligible to receive pursuant to this title, it shall notify such commit-
- 12 tee and such committee shall pay to the board an amount equal to the
- 13 amount of excess payments. Provided, however, that if the erroneous
- 14 payment was the result of an error by the board, then the erroneous
- 15 payment will be deducted from any future payment, if any, and if no
- 16 payment is to be made then neither the candidate nor the committee shall
- 17 be liable to repay the excess amount to the board. The candidate, the
- 18 treasurer and the candidate's authorized committee are jointly and
- 19 <u>severably liable for any repayments to the board.</u>
- 20 (b) If the board determines that any portion of the payment made to a
- 21 <u>candidate's authorized committee from the fund was used for purposes</u>
- 22 other than qualified campaign expenditures and such expenditures were
- 23 not approved by the board, it shall notify such committee of the amount
- 24 so disqualified and such committee shall pay to the board an amount
- 25 equal to such disqualified amount. The candidate, the treasurer and the
- 26 <u>candidate's authorized committee</u> are jointly and severably liable for
- 27 any repayments to the board.

1 (c) If the total of payments from the fund received by a participating 2 candidate and his or her authorized committee exceed the total campaign 3 expenditures of such candidate and authorized committee for all covered elections held in the same calendar year or for a special election to fill a vacancy, such candidate and committee shall use such excess funds to reimburse the fund for payments received by such authorized committee 7 from the fund during such calendar year or for such special election. Participating candidates shall pay to the board unspent public campaign funds from an election not later than twenty-seven days after all liabilities for the election have been paid and in any event, not later 10 11 than the day on which the board issues its final audit report for the 12 participating candidate's authorized committee; provided, however, that all unspent public campaign funds for a participating candidate shall be 13 14 immediately due and payable to the board upon a determination by the 15 board that the participant has delayed the post-election audit. A participating candidate may make post-election expenditures with public 16 17 funds only for routine activities involving nominal cost associated with 18 winding up a campaign and responding to the post-election audit. Noth-19 ing in this title shall be construed to prevent a candidate or his or 20 her authorized committee from using campaign contributions received from private contributors for otherwise lawful expenditures. 21 22 3. The board shall promulgate regulations for the certification of the 23 amount of funds payable by the comptroller, from the fund established pursuant to section ninety-two-t of the state finance law, to a partic-24 25 ipating candidate that has qualified to receive such payment. These 26 regulations shall include the promulgation and distribution of forms on which contributions and expenditures are to be reported, the periods 27 during which such reports must be filed and the verification required.

28

- 1 The board shall institute procedures which will make possible payment by
- 2 the fund within four business days after receipt of the required forms
- 3 and verifications.
- 4 § 14-210. Enforcement and penalties for violations and other
- 5 proceedings. 1. Civil penalties. Violations of any provision of this
- 6 title or rule promulgated pursuant to this title shall be subject to a
- 7 civil penalty in an amount not in excess of fifteen thousand dollars.
- 8 2. Notice of violation and opportunity to contest. The board shall:
- 9 (a) determine whether a violation of any provision of this title or
- 10 rule promulgated hereunder has been committed;
- 11 (b) give written notice and the opportunity to contest before an inde-
- 12 pendent hearing officer to each person or entity it has reason to
- 13 believe has committed a violation; and
- 14 (c) if appropriate, assess penalties for violations, following such
- 15 <u>notice and opportunity to contest.</u>
- 16 3. Criminal conduct. Any person who knowingly and willfully furnishes
- 17 or submits false statements or information to the board in connection
- 18 with its administration of this title, shall be guilty of a misdemeanor
- 19 in addition to any other penalty as may be imposed under this chapter or
- 20 pursuant to any other law. The chief enforcement counsel shall seek to
- 21 recover any public matching funds obtained as a result of such criminal
- 22 conduct.
- 23 4. Proceedings as to public financing. (a) The determination of eligi-
- 24 bility pursuant to this title and any question or issue relating to
- 25 payments for campaign expenditures pursuant to this title may be
- 26 contested in a proceeding instituted in the Supreme court, Albany coun-
- 27 ty, by any aggrieved candidate.

- 1 (b) A proceeding with respect to such a determination of eligibility
- 2 or payment for qualified campaign expenditures pursuant to this chapter
- 3 shall be instituted within fourteen days after such determination was
- 4 made. The board shall be made a party to any such proceeding.
- 5 (c) Upon the board's failure to receive the amount due from a partic-
- 6 ipating candidate or such candidate's authorized committee after the
- 7 issuance of written notice of such amount due, as required by this
- 8 title, the chief enforcement counsel is authorized to institute a
- 9 special proceeding or civil action in Supreme Court, Albany county, to
- 10 obtain a judgment for any amounts determined to be payable to the board
- 11 as a result of an examination and audit made pursuant to this title or
- 12 to obtain such amounts directly from the candidate or authorized commit-
- 13 tee after a hearing at the state board of elections.
- 14 (d) The chief enforcement counsel is authorized to institute a special
- 15 proceeding or civil action in Supreme Court, Albany county, to obtain a
- 16 judgment for civil penalties determined to be payable to the board
- 17 pursuant to this title or to impose such penalty directly after a hear-
- 18 ing at the state board of elections.
- 19 § 14-211. Reports. The board shall review and evaluate the effect of
- 20 this title upon the conduct of election campaigns and shall submit a
- 21 report to the legislature on or before January first, two thousand twen-
- 22 ty, and every third year thereafter, and at any other time upon the
- 23 request of the governor and at such other times as the board deems
- 24 appropriate. These reports shall include:
- 25 <u>1. a list of the participating and nonparticipating candidates in</u>
- 26 covered elections and the votes received by each candidate in those
- 27 <u>elections;</u>

- 1 2. the amount of contributions and loans received, and expenditures
- 2 made, on behalf of these candidates;
- 3. the amount of public matching funds each participating candidate
- 4 received, spent, and repaid pursuant to this title;
- 5 4. analysis of the effect of this title on political campaigns,
- 6 including its effect on the sources and amounts of private financing,
- 7 the level of campaign expenditures, voter participation, the number of
- 8 candidates, the candidates' ability to campaign effectively for public
- 9 office, and the diversity of candidates seeking and elected to office;
- 10 and
- 11 5. recommendations for amendments to this title, including changes in
- 12 contribution limits, thresholds for eligibility, and any other features
- 13 of the system.
- 14 § 14-212. Debates for candidates for statewide office. The board
- 15 shall promulgate regulations to facilitate debates among participating
- 16 candidates who seek election to statewide office. Participating candi-
- 17 dates are required to participate in one debate before each election for
- 18 which the candidate receives public funds, unless the participating
- 19 candidate is running unopposed. Nonparticipating candidates may partic-
- 20 <u>ipate in such debates.</u>
- 21 § 14-213. Severability. If any clause, sentence, subdivision, para-
- 22 graph, section or part of this title be adjudged by any court of compe-
- 23 tent jurisdiction to be invalid, such judgment shall not affect, impair
- 24 or invalidate the remainder thereof, but shall be confined in its opera-
- 25 tion to the clause, sentence, subdivision, paragraph, section or part
- 26 thereof directly involved in the controversy in which such judgment
- 27 <u>shall have been rendered.</u>

- 1 § 10. The state finance law is amended by adding a new section 92-t to
- 2 read as follows:
- 3 § 92-t. New York state campaign finance fund. 1. There is hereby
- 4 established in the joint custody of the state comptroller and the
- 5 commissioner of taxation and finance a fund to be known as the New York
- 6 state campaign finance fund.
- 7 2. Such fund shall consist of all revenues received from the New York
- 8 state campaign finance fund check-off pursuant to subsection (h) of
- 9 section six hundred fifty-eight of the tax law, from the abandoned prop-
- 10 erty fund pursuant to section ninety-five of this article, from the
- 11 general fund, and from all other moneys credited or transferred thereto
- 12 from any other fund or source pursuant to law. Such fund shall also
- 13 receive contributions from private individuals, organizations, or other
- 14 persons to fulfill the purposes of the public financing system.
- 15 3. Moneys of the fund, following appropriation by the legislature, may
- 16 be expended for the purposes of making payments to candidates pursuant
- 17 to title II of article fourteen of the election law and for administra-
- 18 tive expenses related to the implementation of article fourteen of the
- 19 election law. Moneys shall be paid out of the fund by the state comp-
- 20 troller on vouchers certified or approved by the state board of
- 21 elections, or its duly designated representative, in the manner
- 22 prescribed by law, not more than five working days after such voucher is
- 23 <u>received by the state comptroller.</u>
- 24 4. Notwithstanding any provision of law to the contrary, if, in any
- 25 state fiscal year, the state campaign finance fund lacks the amount of
- 26 money to pay all claims vouchered by eligible candidates and certified
- 27 or approved by the state board of elections, any such deficiency shall
- 28 be paid by the state comptroller, from funds deposited in the general

- 1 fund of the state not more than four working days after such voucher is
- 2 received by the state comptroller.
- 3 5. Commencing in two thousand nineteen, if the surplus in the fund on
- 4 April first of the year after a year in which a governor is elected
- 5 exceeds twenty-five percent of the disbursements from the fund over the
- 6 previous four years, the excess shall revert to the general fund of the
- 7 state.
- 8 6. No public funds shall be paid to any participating candidates in a
- 9 primary election any earlier than thirty days after designating
- 10 petitions or certificates of nomination have been filed and not later
- 11 than thirty days after such primary election.
- 12 7. No public funds shall be paid to any participating candidates in a
- 13 general election any earlier than the day after the day of the primary
- 14 election held to nominate candidates for such election.
- 15 8. No public funds shall be paid to any participating candidates in a
- 16 special election any earlier than the day after the last day to file
- 17 <u>certificates of party nomination for such special election.</u>
- 18 9. No public funds shall be paid to any participating candidate who
- 19 has been disqualified or whose designating petitions have been declared
- 20 invalid by the appropriate board of elections or a court of competent
- 21 jurisdiction until and unless such finding is reversed by a higher court
- 22 in a final judgment. No payment from the fund in the possession of such
- 23 a candidate or such candidate's participating committee on the date of
- 24 such disqualification or invalidation may thereafter be expended for any
- 25 purpose except the payment of liabilities incurred before such date.
- 26 All such moneys shall be repaid to the fund.
- 27 § 11. Section 95 of the state finance law is amended by adding a new
- 28 subdivision 5 to read as follows:

- 1 5. (a) As often as necessary, the co-chairs of the state board of
- 2 elections shall certify the amount such co-chairs have determined neces-
- 3 sary to fund estimated payments from the fund established by section
- 4 ninety-two-t of this article for the primary, general or special
- 5 <u>election</u>.
- 6 (b) Notwithstanding any provision of this section authorizing the
- 7 transfer of any moneys in the abandoned property fund to the general
- 8 fund, the comptroller, after receiving amounts sufficient to pay claims
- 9 against the abandoned property fund, shall, based upon a certification
- 10 of the board of elections pursuant to paragraph (a) of this subdivision,
- 11 and at the direction of the director of the budget, transfer the
- 12 requested amount from remaining available monies in the abandoned prop-
- 13 erty fund to the campaign finance fund established by section ninety-
- 14 two-t of this article.
- 15 § 12. Section 658 of the tax law is amended by adding a new subsection
- 16 (h) to read as follows:
- 17 (h) New York state campaign finance fund check-off. (1) For each taxa-
- 18 ble year beginning on and after January first, two thousand sixteen,
- 19 every resident taxpayer whose New York state income tax liability for
- 20 the taxable year for which the return is filed is forty dollars or more
- 21 may designate on such return that forty dollars be paid into the New
- 22 York state campaign finance fund established by section ninety-two-t of
- 23 the state finance law. Where a husband and wife file a joint return and
- 24 have a New York state income tax liability for the taxable year for
- 25 which the return is filed is eighty dollars or more, or file separate
- 26 returns on a single form, each such taxpayer may make separate desig-
- 27 nations on such return of forty dollars to be paid into the New York
- 28 <u>state campaign finance fund.</u>

- 1 (2) The commissioner shall transfer to the New York state campaign
- 2 finance fund, established pursuant to section ninety-two-t of the state
- 3 finance law, an amount equal to forty dollars multiplied by the number
- 4 <u>of designations.</u>
- 5 (3) For purposes of this subsection, the income tax liability of an
- 6 individual for any taxable year is the amount of tax imposed under this
- 7 article reduced by the sum of the credits (as shown in his or her
- 8 return) allowable under this article.
- 9 (4) The department shall include a place on every personal income tax
- 10 return form to be filed by an individual for a tax year beginning on or
- 11 after January first, two thousand sixteen, for such taxpayer to make the
- 12 designations described in paragraph one of this subsection. Such return
- 13 form shall contain a concise explanation of the purpose of such optional
- 14 <u>designations</u>.
- 15 § 13. Severability. If any clause, sentence, subdivision, paragraph,
- 16 section or part of title II of article 14 of the election law, as added
- 17 by section seven of this act be adjudged by any court of competent
- 18 jurisdiction to be invalid, such judgment shall not affect, impair or
- 19 invalidate the remainder thereof, but shall be confined in its operation
- 20 to the clause, sentence, subdivision, paragraph, section or part thereof
- 21 directly involved in the controversy in which such judgment shall have
- 22 been rendered.
- 23 § 14. This act shall take effect immediately; provided, however, all
- 24 affected candidates will be eligible to participate in voluntary public
- 25 financing beginning with the 2018 primary election.

26 PART F

- 1 Section 1. Subdivision 2 of section 4-126 of the election law is
- 2 REPEALED.
- 3 § 2. Subdivision 2 of section 9-212 of the election law, as amended by
- 4 chapter 635 of the laws of 1990, is amended to read as follows:
- 5 2. All such determinations shall be in writing and signed by the
- 6 members of the canvassing board or a majority of them and filed and
- 7 recorded in the office of the board of elections. [Except in the city of
- 8 New York and in the counties of Nassau, Orange and Westchester, the] The
- 9 board of elections shall cause a copy of such determinations, and of the
- 10 statements filed in its office upon which such determinations were
- 11 based, to be [published once in each of the newspapers designated to
- 12 publish election notices and the official canvass] posted on its website
- 13 for a minimum period of three days. The statement of canvass to be
- 14 [published] posted, however, shall not give the vote by election
- 15 districts but shall contain only the total vote for a person, or the
- 16 total vote for and the total vote against a ballot proposal, cast within
- 17 the county, or within the portion thereof, if any, in which an office is
- 18 filled or ballot proposal is decided by the voters if the canvass of the
- 19 vote thereon devolves upon the county board of canvassers. Such totals
- 20 shall be expressed in arabic numerals.
- 21 § 3. Section 4-116 of the election law, the section heading as amended
- 22 by chapter 234 of the laws of 1976, subdivision 1 as amended by chapter
- 23 341 of the laws of 1995 and subdivisions 2 and 3 as amended by chapter
- 24 60 of the laws of 1993, is amended to read as follows:
- 25 § 4-116. Constitutional amendments and questions; publication of by
- 26 state board of elections and secretary of state. 1. The secretary of
- 27 state shall cause each concurrent resolution of the two houses of the
- 28 legislature agreeing to a proposed amendment to the constitution that

- 1 has been referred to the legislature to be chosen at the next general
- 2 election to be [published] posted on its website at least once in each
- 3 of the three months next preceding such election for a minimum of three
- 4 days. Such [publication] posting shall include the information that such
- 5 amendment has been so referred.
- 6 2. The state board of elections shall [publish once] post on its
- 7 website for a minimum of three days in the week preceding any election
- 8 at which proposed constitutional amendments or other propositions or
- 9 questions are to be submitted to the voters of the state an abstract of
- 10 such amendment or question, a brief statement of the law or proceedings
- 11 authorizing such submission, a statement that such submission will be
- 12 made and the form in which it is to be submitted.
- 13 [3. Publication required by subdivision two of this section shall be
- 14 in one newspaper of general circulation in each county.]
- 15 § 4. This act shall take effect April 1, 2015.
- 16 PART G
- 17 Section 1. The civil service law is amended by adding a new section
- 18 66 to read as follows:
- 19 § 66. Term appointments in information technology positions. 1.
- 20 Notwithstanding any other provision of law, the department may authorize
- 21 term appointments without examination to temporary positions requiring
- 22 special expertise or qualifications in information technology. Such
- 23 appointments may be authorized only in such cases where the office of
- 24 information technology services certifies to the department that because
- 25 of the type of services to be rendered or the temporary or occasional
- 26 character of such services, it would not be practicable to hold an exam-

ination of any kind. Such certification shall be a public document pursuant to the public officers law and shall identify the special expertise or qualifications that are required and why they cannot be 3 obtained through an appointment from an eligible list. The maximum period for a term appointment established pursuant to this subdivision shall not exceed sixty months and shall not be extended, and the maximum number of such appointments shall not exceed three hundred. At least fifteen days prior to making a term appointment pursuant to this section the appointing authority shall publicly and conspicuously post in its offices information about the temporary position and the required quali-10 11 fications and shall allow any qualified employee to apply for said posi-12 tion. An employee appointed pursuant to this provision who has completed two years of continuous service under this provision shall be able to 13 14 compete in one promotional examination that is also open to employees 15 who have permanent civil service appointments and appropriate qualifications. 16 17 2. A temporary position established pursuant to subdivision one of 18 this section may be abolished for reasons of economy, consolidation or 19 abolition of functions, curtailment of activities or otherwise. Upon 20 such abolition or at the end of the term of the appointment, the provisions of sections seventy-eight, seventy-nine, eighty and eighty-21 22 one of this chapter shall not apply. In the event of a reduction of 23 workforce pursuant to section eighty of this chapter affecting information technology positions, the term appointments pursuant to this 24 25 section at the office of information technology services shall be abol-26 ished prior to the abolition of permanent competitive class information technology positions at the office of information technology services 27 involving comparable skills and responsibilities. 28

- 1 3. (a) Notwithstanding any provision of law to the contrary, the
- 2 department may limit certification from the following eligible lists to
- 3 those eligibles identified as having knowledge, skills or certif-
- 4 ications, or any combination thereof, identified by the appointing
- 5 authority as necessary to perform the duties of any of the following
- 6 positions:
- 7 35-382 Information Technology Specialist 4 G-25;
- 8 35-383 Information Technology Specialist 4 (Data Communications) G-25;
- 9 35-384 Information Technology Specialist 4 (Database) G-25;
- 10 <u>35-386 Information Technology Specialist 4 (Systems Programming) G-25;</u>
- 11 35-387 Manager Information Technology Services 1 G-27;
- 12 <u>35-388 Manager Information Technology Services 1 (Data Communications)</u>
- 13 <u>G-27;</u>
- 14 35-389 Manager Information Technology Services 1 (Database) G-27;
- 15 <u>35-391 Manager Information Technology Services 1 (Systems Programming)</u>
- 16 <u>G-27; or</u>
- 17 <u>35-392 Manager Information Technology Services 1 (Technical) G-27.</u>
- 18 (b) No such limitation on certification shall occur until a skill-set
- 19 inventory is conducted for all persons on any list so limited.
- 20 § 2. Notwithstanding any provision of law to the contrary, the civil
- 21 service department may re-classify any person employed in a permanent,
- 22 classified, competitive position immediately prior to being transferred
- 23 to the office of information technology services pursuant to subdivision
- 24 2 of section 70 of the civil service law to align with the duties and
- 25 responsibilities of their positions upon transfer. Permanent employees
- 26 whose positions are subsequently reclassified to align with the duties
- 27 and responsibilities of their positions upon being transferred to the
- 28 office of information technology services pursuant to subdivision 2 of

1 section 70 of the civil service law shall hold such positions without

- 2 further examination or qualification. Notwithstanding any other
- 3 provision of this act, the names of those competitive permanent employ-
- 4 ees on promotion eligible lists in their former agency or department
- 5 shall be added and interfiled on a promotion eligible list in the new
- 6 department, as the state civil service department deems appropriate.
- 7 § 3. (a) Notwithstanding any provision of law to the contrary, the
- 8 civil service department may re-classify any person employed in an
- 9 exempt or non-competitive class position immediately prior to being
- 10 transferred to the office of information technology services pursuant to
- 11 subdivision 2 of section 70 of the civil service law to align with the
- 12 duties and responsibilities of their positions upon transfer. Permanent
- 13 employees whose positions are subsequently re-classified to align with
- 14 the duties and responsibilities of their positions upon being trans-
- 15 ferred to the office of information technology services pursuant to
- 16 subdivision 2 of section 70 of the civil service law shall hold such
- 17 positions without further examination or qualification.
- 18 (b) No employee whose position is re-classified pursuant to this
- 19 section or section two of this act shall suffer a reduction in basic
- 20 salary as a result of such re-classification and shall continue to
- 21 receive, at a minimum, the salary that such employee received while
- 22 employed at their prior agency.
- § 4. This act shall take effect immediately.

24

- 1 Section 1. Paragraph d of subdivision 1 of section 130 of the civil
- 2 service law is amended by adding four new subparagraphs 4, 5, 6 and 7 to
- 3 read as follows:

4 (4) Effective July first, two thousand fifteen:

5	GRADE	HIRING	<u>JOB</u>
6		RATE	RATE
7	<u>M/C 3</u>	<u>\$23,927</u>	\$30,588
8	<u>M/C 4</u>	<u>\$24,983</u>	\$31,977
9	<u>M/C 5</u>	\$26,482	\$33,528
10	M/C 6	\$27,606	\$35,248
11	<u>M/C 7</u>	<u>\$29,198</u>	<u>\$37,156</u>
12	M/C 8	\$30,800	\$39,071
13	<u>M/C 9</u>	\$32,560	\$41,150
14	M/C 10	\$34,315	\$43,433
15	M/C 11	<u>\$36,396</u>	\$45,844
16	M/C 12	\$38,316	\$48,249
17	M/C 13	\$40,546	\$50,929
18	M/C 14	\$42,955	\$53,731
19	M/C 15	\$45,345	\$56,632
20	M/C 16	\$47,901	\$59,653
21	M/C 17	\$50,618	\$62,942
22	M/C 18	\$50,887	\$63,146
23	M/C 19	\$53,616	\$66,429
24	M/C 20	\$56,349	\$69,761
25	M/C 21	\$59,388	\$73,364
26	M/C 22	\$62,580	\$77,218
27	M/C 23	\$65,788	\$82,195
28	<u>M 1</u>	<u>\$71,009</u>	\$89,758

1	<u>M 2</u>	<u>\$78,752</u>	\$99,545
2	<u>M 3</u>	\$87,404	<u>\$110,451</u>
3	<u>M 4</u>	\$96,672	<u>\$121,997</u>
4	<u>M 5</u>	\$107,340	\$135,616
5	<u>M 6</u>	\$118,847	\$149,486
6	<u>M 7</u>	<u>\$131,002</u>	\$162,244
7	<u>M 8</u>	<u>\$110,453+</u>	
8	(5) Effectiv	e April first,	two thousand sixteen:
9	GRADE	HIRING	<u>JOB</u>
10		RATE	RATE
11	<u>M/C 3</u>	\$24,406	\$31,200
12	M/C 4	\$25,483	\$32,617
13	M/C 5	\$27,012	\$34,199
14	M/C 6	\$28,158	\$35,953
15	<u>M/C 7</u>	\$29,782	\$37,899
16	M/C 8	\$31,416	\$39,852
17	M/C 9	\$33,211	\$41,973
18	M/C 10	\$35,001	\$44,302
19	M/C 11	\$37,124	\$46,761
20	M/C 12	\$39,082	\$49,214
21	M/C 13	\$41,357	\$51,948
22	M/C 14	\$43,814	\$54,806
23	M/C 15	\$46,252	\$57,76 <u>5</u>
24	M/C 16	\$48,859	\$60,846
25	M/C 17	\$51,630	\$64,201
26	M/C 18	<u>\$51,905</u>	\$64,409
27	M/C 19	\$54,688	\$67,758
28	M/C 20	\$57,476	\$71,156

1	M/C 21	\$60,576	<u>\$74,831</u>
2	M/C 22	\$63,832	\$78,762
3	M/C 23	\$67,104	\$83,839
4	<u>M 1</u>	\$72,429	\$91,553
5	<u>M 2</u>	\$80,327	<u>\$101,536</u>
6	<u>M 3</u>	\$89,152	<u>\$112,660</u>
7	<u>M 4</u>	\$98,605	\$124,437
8	<u>M 5</u>	\$109,487	<u>\$138,328</u>
9	<u>M 6</u>	\$121,224	<u>\$152,476</u>
10	<u>m 7</u>	\$133,622	<u>\$165,489</u>
11	<u>M 8</u>	<u>\$112,662+</u>	
12	(6) Effectiv	e April first,	two thousand seventeen:
13	GRADE	<u>HIRING</u>	<u>JOB</u>
14		RATE	RATE
15	<u>M/C 3</u>	<u>\$24,894</u>	<u>\$31,824</u>
16	M/C 4	\$25,993	\$33,269
17	<u>M/C 5</u>	<u>\$27,552</u>	\$34,883
18	<u>M/C 6</u>	\$28,721	\$36,672
19	<u>M/C 7</u>	\$30,378	\$38,657
20	<u>M/C 8</u>	\$32,044	\$40,649
21	<u>M/C 9</u>	<u>\$33,875</u>	\$42,812
22	M/C 10	<u>\$35,701</u>	<u>\$45,188</u>
23	M/C 11	\$37,866	<u>\$47,696</u>
24	M/C 12	\$39,864	\$50,198
25	M/C 13	\$42,184	<u>\$52,987</u>
26	M/C 14	\$44,690	\$55,902
27	M/C 15	<u>\$47,177</u>	\$58,920
28	M/C 16	\$49,836	<u>\$62,063</u>

1	M/C 17	<u>\$52,663</u>	\$65,485
2	M/C 18	\$52,943	\$65,697
3	M/C 19	<u>\$55,782</u>	\$69,113
4	M/C 20	<u>\$58,626</u>	<u>\$72,579</u>
5	M/C 21	\$61,788	\$76,328
6	M/C 22	<u>\$65,109</u>	\$80,337
7	M/C 23	\$68,446	\$85,516
8	<u>M 1</u>	<u>\$73,878</u>	<u>\$93,384</u>
9	<u>M 2</u>	<u>\$81,934</u>	\$103,567
10	<u>M 3</u>	<u>\$90,935</u>	<u>\$114,913</u>
11	<u>M 4</u>	\$100,577	<u>\$126,926</u>
12	<u>M 5</u>	<u>\$111,677</u>	<u>\$141,095</u>
13	<u>M 6</u>	\$123,648	<u>\$155,526</u>
14	<u>M 7</u>	<u>\$136,294</u>	<u>\$168,799</u>
15	<u>M 8</u>	<u>\$114,915+</u>	
16	(7) Effectiv	e April first,	two thousand eighteen:
17	<u>GRADE</u>	<u>HIRING</u>	JOB
18		RATE	RATE
19	<u>M/C 3</u>	\$25,143	\$32,142
20	<u>M/C 4</u>	+0.5.0=0	
21	=-/	<u>\$26,253</u>	<u>\$33,602</u>
21	M/C 5	\$26,253 \$27,828	\$33,602 \$35,232
22	<u>M/C 5</u>	<u>\$27,828</u>	\$35,23 <u>2</u>
22	M/C 5 M/C 6	\$27,828 \$29,008	\$35,232 \$37,039
22	M/C 5 M/C 6 M/C 7 M/C 8	\$27,828 \$29,008 \$30,682	\$35,232 \$37,039 \$39,044
22232425	M/C 5 M/C 6 M/C 7 M/C 8	\$27,828 \$29,008 \$30,682 \$32,364	\$35,232 \$37,039 \$39,044 \$41,055
2223242526	M/C 5 M/C 6 M/C 7 M/C 8 M/C 9	\$27,828 \$29,008 \$30,682 \$32,364 \$34,214	\$35,232 \$37,039 \$39,044 \$41,055 \$43,240

1	M/C 13	\$42,606	\$53,517
2	M/C 14	<u>\$45,137</u>	\$56,461
3	M/C 15	\$47,649	\$59,509
4	M/C 16	<u>\$50,334</u>	\$62,684
5	M/C 17	<u>\$53,190</u>	\$66,140
6	M/C 18	<u>\$53,472</u>	\$66,354
7	M/C 19	<u>\$56,340</u>	\$69,804
8	M/C 20	<u>\$59,212</u>	<u>\$73,305</u>
9	M/C 21	\$62,406	<u>\$77,091</u>
10	M/C 22	\$65,760	\$81,140
11	M/C 23	\$69,130	\$86,371
12	<u>M 1</u>	<u>\$74,617</u>	\$94,318
13	<u>M 2</u>	\$82,753	\$104,603
14	<u>M 3</u>	<u>\$91,844</u>	\$116,062
15	<u>M 4</u>	<u>\$101,583</u>	\$128,195
16	<u>M 5</u>	<u>\$112,794</u>	\$142,506
17	<u>M 6</u>	\$124,884	\$157,081
18	<u>M 7</u>	<u>\$137,657</u>	\$170,487
19	<u>M 8</u>	<u>\$116,064+</u>	

- 20 § 2. Subdivision 1 of section 19 of the correction law, as added by
- 21 section 2 of part B of chapter 491 of the laws of 2011, is amended to
- 22 read as follows:
- 1. This section shall apply to each superintendent of a correctional
- 24 facility appointed on or after August ninth, nineteen hundred seventy-
- 25 five and any superintendent heretofore appointed who elects to be
- 26 covered by the provisions thereof by filing such election with the
- 27 commissioner.

- 1 a. The salary schedule for superintendents of a correctional facility
- 2 with an inmate population capacity of four hundred or more inmates shall
- 3 be as follows:
- 4 Effective April first, two thousand eleven:
- 5 Hiring Rate Job Rate
- 6 \$105,913 \$144,535
- 7 Effective April first, two thousand fourteen:
- 8 Hiring Rate Job Rate
- 9 \$108,031 \$147,426
- 10 Effective April first, two thousand fifteen:
- 11 Hiring Rate Job Rate
- 12 \$110,192 \$150,375
- 13 Effective July first, two thousand fifteen:
- 14 <u>Hiring Rate</u> <u>Job Rate</u>
- \$112,396 \$153,383
- 16 Effective April first, two thousand sixteen:
- 17 <u>Hiring Rate</u> <u>Job Rate</u>
- 18 <u>\$114,644</u> <u>\$156,451</u>
- 19 Effective April first, two thousand seventeen:
- 20 <u>Hiring Rate</u> <u>Job Rate</u>
- <u>\$116,937</u> <u>\$159,580</u>
- 22 Effective April first, two thousand eighteen:
- 23 <u>Hiring Rate</u> <u>Job Rate</u>
- 24 <u>\$118,106</u> <u>\$161,176</u>
- 25 b. The salary schedule for superintendents of correctional facilities
- 26 with an inmate population capacity of fewer than four hundred inmates
- 27 shall be as follows:
- 28 Effective April first, two thousand eleven:

1 Hiring Rate Job Rate

2 \$82,363 \$104,081

3 Effective April first, two thousand fourteen:

4 Hiring Rate Job Rate

5 \$84,010 \$106,163

6 Effective April first, two thousand fifteen:

7 Hiring Rate Job Rate

8 \$85,690 \$108,286

9 Effective July first, two thousand fifteen:

10 <u>Hiring Rate</u> <u>Job Rate</u>

<u>\$87,404</u> <u>\$110,452</u>

12 Effective April first, two thousand sixteen:

13 <u>Hiring Rate</u> <u>Job Rate</u>

14 \$89,152 \$112,661

15 Effective April first, two thousand seventeen:

16 <u>Hiring Rate</u> <u>Job Rate</u>

17 <u>\$90,935</u> <u>\$114,914</u>

18 Effective April first, two thousand eighteen:

19 <u>Hiring Rate</u> <u>Job Rate</u>

20 \$91,844 \$116,063

- 21 § 3. Compensation for certain state officers and employees. 1. The
- 22 provisions of this section, except subdivision 10 of this section, shall
- 23 apply to the following full-time state officers and employees. The
- 24 provisions of subdivision 10 shall apply only to those individuals spec-
- 25 ified therein.
- 26 (a) officers and employees whose positions are designated managerial
- 27 or confidential pursuant to article 14 of the civil service law;

- 1 (b) civilian state employees of the division of military and naval
- 2 affairs in the executive department whose positions are not in, or are
- 3 excluded from representation rights in, any recognized or certified
- 4 negotiating unit;
- 5 (c) officers and employees excluded from representation rights under
- 6 article 14 of the civil service law pursuant to rules or regulations of
- 7 the public employment relations board;
- 8 (d) officers and employees whose salaries are prescribed by section 19
- 9 of the correction law;
- 10 (e) officers and employees whose salaries are provided for by para-
- 11 graph (a) of subdivision 1 of section 215 of the executive law.
- 12 2. For such officers and employees the following increases shall
- 13 apply:
- 14 (a) Effective July 1, 2015, the basic annual salary of officers and
- 15 employees to whom the provisions of this subdivision apply shall be
- 16 increased by two percent adjusted to the nearest whole dollar amount.
- 17 (b) Effective April 1, 2016, the basic annual salary of officers and
- 18 employees to whom the provisions of this subdivision apply shall be
- 19 increased by two percent adjusted to the nearest whole dollar amount.
- 20 (c) Effective April 1, 2017, the basic annual salary of officers and
- 21 employees to whom the provisions of this subdivision apply shall be
- 22 increased by two percent adjusted to the nearest whole dollar amount.
- 23 (d) Effective April 1, 2018, the basic annual salary of officers and
- 24 employees to whom the provisions of this subdivision apply shall be
- 25 increased by one percent adjusted to the nearest whole dollar amount.
- 26 3. If an unencumbered position is one that, if encumbered, would be
- 27 subject to the provisions of this section, the salary of such position
- 28 shall be increased by the salary increase amounts specified in this

- 1 section. If a position is created and is filled by the appointment of an
- 2 officer or employee who is subject to the provisions of this section,
- 3 the salary otherwise provided for such position shall be increased in
- 4 the same manner as though such position had been in existence but unen-
- 5 cumbered.
- 6 4. The increases in salary pursuant to this section shall apply on a
- 7 prorated basis in accordance with guidelines issued by the director of
- 8 the budget to officers and employees otherwise eligible to receive an
- 9 increase in salary pursuant to this act who are paid on an hourly or per
- 10 diem basis, employees serving on a part-time or seasonal basis, and
- 11 employees paid on any basis other than at an annual salary rate.
- 12 5. Notwithstanding any of the foregoing provisions of this section,
- 13 the provisions of this section shall not apply to the following except
- 14 as otherwise provided by law:
- (a) officers or employees paid on a fee schedule basis;
- 16 (b) officers or employees whose salaries are prescribed by section 40,
- 17 60, or 169 of the executive law;
- 18 (c) officers or employees in collective negotiating units established
- 19 pursuant to article 14 of the civil service law.
- 20 (d) those officers or employees in subdivision 1 of this section who,
- 21 upon promotion or appointment to a position covered by this act that is
- 22 designated managerial or confidential, or one otherwise excluded from
- 23 representation under article 14 of the civil service law, were in a
- 24 position or are newly appointed to a position in a collective negotiat-
- 25 ing unit established pursuant to article 14 of the civil service law and
- 26 whose current or future salaries reflect the effect of the three percent
- 27 general salary increase effective April 1, 2009 and/or the four percent
- 28 general salary increase effective April 1, 2010 that they would have

- 1 received or will benefit from while a member of such bargaining unit. In
- 2 no event, however, should this exception result in the salary of an
- 3 officer or employee falling below the hiring rate for their respective
- 4 salary grade.
- 5 6. Officers and employees to whom the provisions of this section apply
- 6 who are incumbents of positions that are not allocated to salary grades
- 7 specified in paragraph d of subdivision 1 of section 130 of the civil
- 8 service law and whose salary is not prescribed in any other statute
- 9 shall receive the salary increases specified in subdivision two of this
- 10 section.
- 11 7. In order to provide performance advancements, merit awards, longev-
- 12 ity payments, in lieu payments and special achievement awards for the
- 13 officers and employees to whom this section applies who are not allo-
- 14 cated to salary grades in proportion to those provided to persons to
- 15 whom this section applies who are allocated to salary grades, the direc-
- 16 tor of the budget is authorized to add appropriate adjustments to the
- 17 compensation that such officers and employees are otherwise entitled to
- 18 receive. The director of the budget shall amend each agency's personal
- 19 service certificate to reflect the increases made pursuant to the
- 20 provisions of this subdivision, and the updated certificate will contin-
- 21 ue to be available to the state comptroller, the department of civil
- 22 service, the chairman of the senate finance committee and the chairman
- 23 of the assembly ways and means committee.
- 24 8. Notwithstanding any of the foregoing provisions of this section,
- 25 any increase in compensation for any officer or employee appointed to a
- 26 lower graded position from a redeployment list pursuant to subdivision 1
- 27 of section 79 of the civil service law who continues to receive his or
- 28 her former salary pursuant to such subdivision shall be determined on

- 1 the basis of such lower graded position provided, however, that the
- 2 increases in salary provided in subdivision two of this section shall
- 3 not cause such officer's or employee's salary to exceed the job rate of
- 4 any such lower graded position at salary grade.
- 5 9. Notwithstanding any of the foregoing provisions of this section or
- 6 of any law to the contrary, the director of the budget may reduce the
- 7 salary of any position which is vacant or which becomes vacant, so long
- 8 as the position, if encumbered, would be subject to the provisions of
- 9 this section. The director of the budget does not need to provide a
- 10 reason for such reduction.
- 11 10. Compensation for certain state employees in the state university
- 12 and certain employees of contract colleges at Cornell and Alfred univer-
- 13 sities.
- 14 (a) Effective July 1, 2015, April 1, 2016, April 1, 2017 and April 1,
- 15 2018, the basic annual salary of incumbents of positions in the profes-
- 16 sional service in the state university that are designated, stipulated,
- 17 or excluded from negotiating units as managerial or confidential as
- 18 defined pursuant to article 14 of the civil service law, may be
- 19 increased pursuant to plans approved by the state university trustees.
- 20 Such increases in basic annual salary rates shall not exceed in the
- 21 aggregate two percent of the total basic annual salary rates in effect
- 22 on June 30, 2015, two percent of the total basic annual salary rates in
- 23 effect on March 31, 2016, two percent of the total basic annual salary
- 24 rates in effect on March 31, 2017 and one percent of the total basic
- 25 annual salary rates in effect on March 31, 2018.
- 26 (b) Effective July 1, 2015, April 1, 2016, April 1, 2017 and April 1,
- 27 2018, the basic annual salary of incumbents of positions in the insti-
- 28 tutions under the management and control of Cornell and Alfred universi-

ties as representatives of the board of trustees of the state university that, in the opinion of the director of employee relations, would be designated managerial or confidential were they subject to article 14 of 3 the civil service law may be increased pursuant to plans approved by the state university trustees. Such increases in basic annual salary rates 5 shall not exceed in the aggregate two percent of the total basic annual 7 salary rates in effect on June 30, 2015, two percent of the total basic annual salary rates in effect on March 31, 2016, two percent of the total basic annual salary rates in effect on March 31, 2017 and one percent of the total basic annual salary rates in effect on March 31, 2018. 11 12 (c) During the period July 1, 2015 through March 31, 2019, the basic annual salary of incumbents of positions in the non-professional service 13 that, in the opinion of the director of employee relations, would be 14 15 designated managerial or confidential were they subject to article 14 of the civil service law, except those positions in the Cornell service and 16 17 maintenance unit that are subject to the terms of a collective bargaining agreement between Cornell university and the employee organization 18 19 representing employees in such positions and except those positions in 20 the Alfred service and maintenance unit that are subject to the terms of a collective bargaining agreement between Alfred university and the 21 22 employee organization representing employees in such positions, in institutions under the management and control of Cornell and Alfred 23 universities as representatives of the board of trustees of the state 24 25 university may be increased pursuant to plans approved by the state 26 university trustees. Such plans may include new salary schedules which 27 shall supersede the salary schedules then in effect applicable to such employees. Such plans shall provide for increases in basic annual sala-28

- 1 ries, which, exclusive of performance advancement payments or merit
- 2 recognition payments, shall not exceed in the aggregate two percent of
- 3 the total basic annual salary rates in effect on June 30, 2015, two
- 4 percent of the total basic annual salary rates in effect on March 31,
- 5 2016, two percent of the total basic annual salary rates in effect on
- 6 March 31, 2017 and one percent of the total basic annual salary rates in
- 7 effect on March 31, 2018.
- 8 (d) For the purposes of this subdivision, the basic annual salary of
- 9 an employee is that salary that is obtained through direct appropriation
- 10 of state moneys for the purpose of paying wages. Nothing in this part
- 11 shall prevent increasing amounts paid to incumbents of such positions in
- 12 the professional service in addition to the basic annual salary,
- 13 provided, however, that the amounts required for such increase and the
- 14 cost of fringe benefits attributable to such increase, as determined by
- 15 the comptroller, are made available to the state in accordance with the
- 16 procedures established by the state university, with the approval of the
- 17 director of the budget, for such purposes.
- 18 (e) Notwithstanding any of the foregoing provisions of this section or
- 19 any law to the contrary, any increase in compensation may be withheld in
- 20 whole or in part from any employee to whom the provisions of this
- 21 section apply pursuant to section seven of this act.
- 22 § 4. Use of appropriations. The comptroller is authorized to pay any
- 23 amounts required during the fiscal year commencing April 1, 2015 by the
- 24 foregoing provisions of this act for any state department or agency from
- 25 any appropriation or other funds available to such state department or
- 26 agency for personal service or for other related employee benefits
- 27 during such fiscal year. To the extent that such appropriations in any
- 28 fund, or combinations of funds, are insufficient to accomplish the

1 purposes herein set forth, the director of the budget is authorized to

- 2 allocate to any department and agency funds, from any appropriations
- 3 available in any other department's or agency's fund or funds, the
- 4 amounts necessary to pay such amounts.
- 5 § 5. Effect of participation in special annuity program. No officer or
- 6 employee participating in a special annuity program pursuant to the
- 7 provision of article 8-C of the education law shall, by reason of an
- 8 increase in compensation pursuant to this act, suffer any reduction of
- 9 the salary adjustment to which that employee would otherwise be entitled
- 10 by reason of participation in such program, and such salary adjustment
- 11 shall be based upon the salary of such officer or employee without
- 12 regard to the reduction authorized by such article.
- 13 § 6. Date of entitlement to salary increase. Notwithstanding the
- 14 provisions of this act or of any other law, the increase in salary or
- 15 compensation of any officer or employee provided by this act shall be
- 16 added to the salary or compensation of such officer or employee at the
- 17 beginning of that payroll period the first day of which is nearest to
- 18 the effective date of such increase as provided in this act, or at the
- 19 beginning of the earlier of two payroll periods the first days of which
- 20 are nearest but equally near to the effective date of such increase as
- 21 provided in this act, provided, however, that for the purposes of deter-
- 22 mining the salary of such officer or employee upon reclassification,
- 23 reallocation, appointment, promotion, transfer, demotion, reinstatement
- 24 or other change of status, such salary increase shall be deemed to be
- 25 effective on the date thereof as prescribed in this act, and the payment
- 26 thereof pursuant to this section on a date prior thereto, instead of on
- 27 such effective date, shall not operate to confer any additional salary
- 28 rights or benefits on such officer or employee.

§ 7. 1. Notwithstanding the provisions of any other section of this 1 act or any other provision of law to the contrary, any increase in compensation, provided: (a) in this act, or (b) as a result of a 3 promotion, appointment, or advancement to a position in a higher salary grade, or (c) pursuant to paragraph (c) of subdivision 6 of section 131 of the civil service law, or (d) pursuant to paragraph (b) of subdivision 8 of section 130 of the civil service law, or (e) pursuant to paragraph (a) of subdivision 3 of section 13 of chapter 732 of the laws of 1988, as amended, may be withheld in whole or in part from any officer 10 or employee when, in the opinion of the director of the budget, such withholding is necessary to reflect the job performance of such officer 11 or employee, or to maintain appropriate salary relationships among offi-12 13 cers or employees of the state, or to reduce state expenditures to acceptable levels or when, in the opinion of the director of the budget, 14 15 such increase is not warranted or is not appropriate.

- 2. Notwithstanding the provisions of any other section of this act the salary increases provided for in this act shall not be implemented until the director of the budget delivers notice to the comptroller that such amounts may be paid.
- 20 § 8. This act shall take effect immediately and shall be deemed to 21 have been in full force and effect on and after April 1, 2015.

22 PART I

Section 1. 1. On the first of June of every fourth year, commencing
June 1, 2015, there shall be established for such year a commission on
executive and legislative compensation to examine, evaluate and make
recommendations with respect to adequate levels of compensation and

- 1 non-salary benefits for the governor, lieutenant governor, attorney
- 2 general, comptroller, those state officers referred to in section 169 of
- 3 the executive law and members of the legislature.
- 4 2. In accordance with the provisions of this section, the commission
- 5 shall examine the prevailing adequacy of pay levels and other benefits,
- 6 including without limitation the necessity for and level of per diem and
- 7 reimbursements for expenses, and allowances for legislators permitted
- 8 pursuant to section 5-a of the legislative law, received by the gover-
- 9 nor, lieutenant governor, attorney general, comptroller, those state
- 10 officers referred to in section 169 of the executive law and members of
- 11 the legislature and determine whether any of such pay levels and other
- 12 benefits warrant elimination or adjustment.
- 13 3. In discharging its responsibilities under subdivision two of this
- 14 section, the commission shall take into account all appropriate factors
- 15 including, but not limited to: the overall economic climate; rates of
- 16 inflation; changes in public-sector spending; the levels of compensation
- 17 and non-salary benefits received by executive branch officials and
- 18 legislators of other states and of the federal government; the levels of
- 19 compensation and non-salary benefits received by professionals in
- 20 government, academia and private and nonprofit enterprise; and the
- 21 state's ability to fund increases in compensation and non-salary bene-
- 22 fits.
- 23 4. (a) In so discharging its duties, in the event the commission
- 24 determines that the pay level for members of the legislature warrants an
- 25 adjustment, then such adjustment shall consist of a two-tiered level of
- 26 pay. The first tier shall be a salary for members of the legislature who
- 27 agree to not receive income from compensated employment, directorships
- 28 and other fiduciary positions, contractual arrangements, and partner-

- 1 ships (collectively referred to as "income from outside sources") other
- 2 than the salary received as a legislator for the upcoming legislative
- 3 session; the second tier shall be a salary set lower than the aforemen-
- 4 tioned salary for members of the legislature who elect to receive income
- 5 from outside sources for the upcoming legislative session.
- 6 (b) The commission shall consider whether there should be a cap on
- 7 income from outside sources a legislator may receive and may recommend
- 8 the imposition of such a cap as a condition to receiving a second tier
- 9 adjustment in pay. Notwithstanding any limitations in section 73 or
- 10 73-a of the public officers law to the contrary, in responding to ques-
- 11 tions 8 and 13 of the statutorily mandated financial disclosure state-
- 12 ment, to receive a second tier adjustment in pay, a legislator must
- 13 disclose, without limitation, the source of all such income and the
- 14 names of all clients, if any, for whom such services were performed, and
- 15 shall be barred from representing any person or entity before any state
- 16 agency.
- 17 (c) A legislator must declare and attest prior to entering upon the
- 18 term of office beginning with the legislative session beginning in Janu-
- 19 ary 2017, whether he or she will elect to receive a salary based on the
- 20 receipt of income from outside sources or not and such salary shall be
- 21 set forth for that individual for two years until the commencement of
- 22 the next legislative session.
- 23 § 2. 1. The commission shall consist of three members to be appointed
- 24 as follows: one shall be appointed by the governor and shall serve as
- 25 chair of the commission; one shall be appointed by the temporary presi-
- 26 dent of the senate; and one shall be appointed by the speaker of the
- 27 assembly. Vacancies in the commission shall be filled in the same
- 28 manner as original appointments. To the extent practicable, members of

- 1 the commission shall have experience in one or more of the following:
- 2 determination of executive compensation, human resource administration
- 3 or financial management.
- 4 2. The commission shall only meet within the state, may hold public
- 5 hearings and shall have all the powers of a legislative committee pursu-
- 6 ant to the legislative law. It shall be governed by articles 6, 6-A and
- 7 7 of the public officers law. The commission shall hold at least four
- 8 public hearings each of which shall be held at a different site in New
- 9 York in order to gather input from the people of New York around the
- 10 state.
- 11 3. The members of the commission shall receive no compensation for
- 12 their services but shall be allowed their actual and necessary expenses
- 13 incurred in the performance of their duties hereunder.
- 14 4. No member of the commission shall be disqualified from holding any
- 15 other public office or employment, nor shall he or she forfeit any such
- 16 office or employment by reason of his or her appointment pursuant to
- 17 this section, notwithstanding the provisions of any general, special or
- 18 local law, regulation, ordinance or city charter.
- 19 5. To the maximum extent feasible, the commission shall be entitled to
- 20 request and receive and shall utilize and be provided with such facili-
- 21 ties, resources and data of any court, department, division, board,
- 22 bureau, commission, agency or public authority of the state or any poli-
- 23 tical subdivision thereof as it may reasonably request to carry out
- 24 properly its powers and duties pursuant to this section.
- 25 6. The commission may request, and shall receive, reasonable assist-
- 26 ance from state agency personnel as necessary for the performance of its
- 27 function.

- 1 7. The commission shall make a report to the governor and the legisla-
- 2 ture and shall publish on the internet its findings, conclusions, deter-
- 3 minations and recommendations, if any, not later than one hundred fifty
- 4 days after its establishment. The entire report must be agreed to by
- 5 unanimous vote of the members of the commission for the report to
- 6 constitute a report of the commission. Only upon such approval, shall
- 7 the commission draft legislation necessary to implement its recommenda-
- 8 tions and send such legislation to the governor and to the legislature
- 9 for consideration.
- 10 8. Upon the making of its report as provided in subdivision seven of
- 11 this section, each commission established pursuant to this section shall
- 12 be deemed dissolved.
- 13 § 3. This act shall take effect immediately and shall be deemed to
- 14 have been in full force and effect on and after April 1, 2015.

15 PART J

- 16 Section 1. Subdivision 2 of section 164 of the civil service law, as
- 17 added by section 1 of part W of chapter 56 of the laws of 2008, is
- 18 amended to read as follows:
- 19 2. [During the fiscal year two thousand eight--two thousand nine, the]
- 20 The president [shall] may establish an amnesty period [not to exceed
- 21 sixty days]. During [this] an amnesty period when any employee enrolled
- 22 in the plan voluntarily identifies any ineligible dependent:
- 23 (a) the termination of the ineligible dependent's coverage resulting
- 24 from such employee's timely compliance shall be made on a current basis;
- 25 (b) the plan shall not seek recovery of any claims paid based on the
- 26 coverage of the ineligible dependent;

- 1 (c) the employee shall not be entitled to any refund of premium paid
- 2 on behalf of any such ineligible dependent; and
- 3 (d) the employee shall not be subject to any disciplinary, civil or
- 4 criminal action, directly as a result of the coverage of the ineligible
- 5 dependent.
- 6 § 2. This act shall take effect immediately.

7 PART K

- 8 Section 1. Subdivisions 2 and 3 of section 92-cc of the state finance
- 9 law, subdivision 2 as amended by section 17 of part U of chapter 59 of
- 10 the laws of 2012 and subdivision 3 as added by chapter 1 of the laws of
- 11 2007, are amended to read as follows:
- 12 2. Such fund shall have a maximum balance not to exceed [three] eight
- 13 per centum of the aggregate amount projected to be disbursed from the
- 14 general fund during the fiscal year immediately following the then-cur-
- 15 rent fiscal year. At the request of the director of the budget, the
- 16 state comptroller shall transfer monies to the rainy day reserve fund up
- 17 to and including an amount equivalent to [three-tenths of] one per
- 18 centum of the aggregate amount projected to be disbursed from the gener-
- 19 al fund during the then-current fiscal year, unless such transfer would
- 20 increase the rainy day reserve fund to an amount in excess of [three]
- 21 eight per centum of the aggregate amount projected to be disbursed from
- 22 the general fund during the fiscal year immediately following the then-
- 23 current fiscal year, in which event such transfer shall be limited to
- 24 such amount as will increase the rainy day reserve fund to such [three]
- 25 eight per centum limitation.

1 3. a. The amounts available in such reserve may be used if the follow-

ing conditions are met:

27

3 (i) Economic downturn. The commissioner of labor shall calculate and publish, on or before the fifteenth day of each month, a composite index of business cycle indicators. Such index shall be calculated using monthly data on New York state employment, total manufacturing hours 7 worked, and unemployment prepared by the department of labor or its successor agency, and total sales tax collected net of law changes, prepared by the department of taxation and finance or its successor 10 agency. Such index shall be constructed in accordance with the procedures for calculating composite indexes issued by the conference board 11 12 or its successor organization, and adjusted for seasonal variations in accordance with the procedures issued by the census bureau of the United 13 States department of commerce or its successor agency. If the composite 14 15 index declines for [five] three consecutive months, the commissioner of labor shall notify the governor, the speaker of the assembly, the tempo-16 17 rary president of the senate, and the minority leaders of the assembly and the senate. Upon such notification, the director of the budget may 18 19 authorize and direct the comptroller to transfer from the rainy day 20 reserve fund to the general fund such amounts as the director of the budget deems necessary to meet the requirements of the state financial 22 plan. The authority to transfer funds under the provisions of this 23 subdivision shall lapse when the composite index shall have increased 24 [five] three consecutive months or twelve months from the original notification of the commissioner of labor, whichever occurs earlier. 25 Provided, however, that for every additional and consecutive monthly 26 decline succeeding the [five] three month decline so noted by the

- 1 commissioner of labor, the twelve month lapse date shall be extended by
- 2 one additional month; or
- 3 (ii) Catastrophic events. In the event of a need to repel invasion,
- 4 suppress insurrection, defend the state in war, or to respond to any
- 5 other emergency resulting from a disaster, including but not limited to,
- 6 a disaster caused by an act of terrorism, the director of the budget may
- 7 authorize and direct the comptroller to transfer from the rainy day
- 8 reserve fund to the general fund such amounts as the director of the
- 9 budget deems necessary to meet the requirements of the state financial
- 10 plan.
- 11 b. Prior to authorizing any transfer from the rainy day reserve fund
- 12 pursuant to the provisions of this section, the director of the budget
- 13 shall notify the speaker of the assembly, the temporary president of the
- 14 senate, and the minority leaders of the assembly and the senate. Such
- 15 letter shall specify the reasons for the transfer and the amount there-
- 16 of. Any amounts transferred from the rainy day reserve fund to the
- 17 general fund shall be subject to all the repayment provisions of this
- 18 section.
- 19 § 2. Paragraphs a-1 and a-2 of subdivision 3 of section 22 of the
- 20 state finance law are REPEALED, a new paragraph a-1 is added, and para-
- 21 graph a-3, as added by chapter 10 of the laws of 2006, is renumbered
- 22 paragraph a-2 and amended to read as follows:
- 23 a-1. For each state agency, the disbursements for the prior two state
- 24 fiscal years and the disbursements estimated to be made before the close
- 25 of the current state fiscal year related to state agency contracts for
- 26 consulting services made for state purposes.
- 27 a-2. For each state agency, the estimated number of full-time equiv-
- 28 <u>alent</u> employees hired for the current fiscal year [and anticipated to be

1 hired during the ensuing fiscal year] pursuant to contracts for services

- 2 made for state purposes based upon planned and annual employment reports
- 3 submitted by contractors pursuant to section one hundred sixty-three of
- 4 this chapter.
- 5 § 3. The retirement and social security law is amended by adding a new
- 6 section 809 to read as follows:
- 7 § 809. Retirement system reporting. The New York state and local
- 8 employees' retirement system, the New York state police and fire retire-
- 9 ment system, the New York state teachers' retirement system, the New
- 10 York city employees' retirement system, the New York city teachers'
- 11 retirement system, the New York city police pension fund, the New York
- 12 city fire pension fund, and the New York city board of education retire-
- 13 ment system shall report estimated employer pension contribution rates
- 14 expressed as a percentage of employer payroll for the next fiscal year
- 15 and two ensuing fiscal years, or next school year and two ensuing school
- 16 years, as applicable to such retirement systems and as appropriate for
- 17 <u>all participating employers. Such retirement system shall file the</u>
- 18 appropriate report with the director of the budget and chairperson of
- 19 the senate finance committee and assembly ways and means committee and
- 20 also make the report available on their public internet website. Such
- 21 reporting shall occur annually by September first of the current year
- 22 and shall be in addition to any other reporting requirement in law.
- § 4. This act shall take effect immediately.

24 PART L

- 1 Section 1. Paragraph b of subdivision 2 of section 54-1 of the state
- 2 finance law, as amended by section 1 of part X of chapter 55 of the laws
- 3 of 2014, is amended to read as follows:
- 4 b. Within the amounts appropriated therefor, eligible municipalities
- 5 shall receive an amount equal to [seventy] fifty-five percent of the
- 6 state aid payment received in the state fiscal year commencing April
- 7 first, two thousand eight from an appropriation for aid to munici-
- 8 palities with video lottery gaming facilities.
- 9 § 2. This act shall take effect immediately.

10 PART M

- 11 Section 1. Section 3 of chapter 674 of the laws of 1993, amending the
- 12 public buildings law relating to value limitations on contracts, as
- 13 amended by chapter 61 of the laws of 2013, is amended to read as
- 14 follows:
- 15 § 3. This act shall take effect immediately and shall remain in full
- 16 force and effect only until June 30, [2015] 2017.
- 17 § 2. Subdivision 2 of section 9 of the public buildings law, as
- 18 amended by chapter 84 of the laws of 2007, is amended to read as
- 19 follows:
- 20 2. Notwithstanding any other provision of this law or any general or
- 21 special law, where there is a construction emergency, as defined by
- 22 subdivision one of this section, the commissioner of general services
- 23 may, upon written notice of such construction emergency from an author-
- 24 ized officer of the department or agency having jurisdiction of the
- 25 property, let emergency contracts for public work or the purchase of
- 26 supplies, materials or equipment without complying with formal compet-

- 1 itive bidding requirements, provided that all such contracts shall be
- 2 subject to the approval of the attorney general and the comptroller and
- 3 that no such contract shall exceed [three hundred thousand] one million
- 4 dollars. Such emergency contracts shall be let only for work necessary
- 5 to remedy or ameliorate a construction emergency.
- 6 § 3. This act shall take effect immediately; provided, however, that
- 7 the amendments to subdivision 2 of section 9 of the public buildings law
- 8 made by section two of this act shall not affect the expiration of such
- 9 subdivision and shall be deemed to expire therewith.

10 PART N

- 11 Section 1. The second undesignated paragraph of section 6 of the
- 12 public buildings law, as amended by chapter 237 of the laws of 1992, is
- 13 amended to read as follows:
- 14 Notwithstanding any inconsistent provisions of law, the commissioner
- 15 of general services may by rules delegate to the agency or department
- 16 having custody of any public building full responsibility for the prepa-
- 17 ration of plans and specifications and the supervision of minor, routine
- 18 or uncomplicated construction, reconstruction, alteration, improvement
- 19 or repair of any such building, providing the value of such work shall
- 20 not exceed one hundred fifty thousand dollars.
- § 2. This act shall take effect immediately.
- 22 PART O
- 23 Section 1. The state finance law is amended by adding a new section
- 24 93-b to read as follows:

- 1 § 93-b. Dedicated infrastructure investment fund. 1. Dedicated infras-
- 2 tructure investment fund. (a) There is hereby established in the joint
- 3 custody of the state comptroller and the commissioner of taxation and
- 4 finance a special fund to be known as the "dedicated infrastructure
- 5 <u>investment fund".</u>
- 6 (b) Accounts. The dedicated infrastructure investment fund shall
- 7 consist of two separate and distinct accounts: (i) the "upstate revital-
- 8 ization account", and (ii) the "special infrastructure account". Moneys
- 9 in each account shall be kept separate and not commingled with any other
- 10 moneys in the custody of the comptroller.
- 11 (c) Sources of funds. The sources of funds shall consist of all moneys
- 12 collected therefor, or moneys credited, appropriated or transferred
- 13 thereto from any other fund or source pursuant to law or any other
- 14 moneys made available for the purposes of the fund. Any interest
- 15 received by the comptroller on moneys on deposit shall be retained and
- 16 become part of the fund, unless otherwise directed by law.
- 17 2. Uses of funds. (a) Upstate revitalization account. Following appro-
- 18 priation by the legislature, moneys in the upstate revitalization
- 19 account shall be available to finance projects, works, activities or
- 20 purposes necessary to promote economic development. Nothing contained in
- 21 this section shall be construed to limit in any way the projects, works,
- 22 <u>activities or purposes that can be financed from this account.</u>
- 23 (b) Special infrastructure account. Following appropriation by the
- 24 legislature, moneys in the special infrastructure account shall be
- 25 available to finance projects, works, activities or purposes necessary
- 26 to support statewide investments. Nothing contained in this section
- 27 <u>shall be construed to limit in any way the projects, works, activities</u>
- 28 or purposes that can be financed from this account, including but not

1 <u>limited to loans of money to public corporations or authorities under</u>

- 2 terms approved by the director of the budget.
- 3. Transfers. Notwithstanding any other provisions of law to the
- 4 contrary, for the state fiscal year commencing on April first, two thou-
- 5 sand fifteen, the comptroller is hereby authorized to transfer monies
- 6 from the dedicated infrastructure investment fund to the general fund,
- 7 and from the general fund to the dedicated infrastructure investment
- 8 fund, in an amount determined by the director of the budget to the
- 9 extent moneys are available in the fund; provided, however, that the
- 10 comptroller is only authorized to transfer monies from the dedicated
- 11 infrastructure investment fund to the general fund in the event of an
- 12 economic downturn as described in paragraph (a) of this subdivision; for
- 13 the purpose of disaster readiness, response and resiliency as described
- 14 in paragraph (b) of this subdivision; and/or to offset declines in
- 15 federal medicare and medicaid revenues in excess of one hundred million
- 16 dollars from anticipated levels, as determined by the director of the
- 17 budget and described in paragraph (c) of this subdivision.
- 18 (a) Economic downturn. Notwithstanding any law to the contrary, for
- 19 the purpose of this section, the commissioner of labor shall calculate
- 20 and publish, on or before the fifteenth day of each month, a composite
- 21 index of business cycle indicators. Such index shall be calculated using
- 22 monthly data on New York state employment, total manufacturing hours
- 23 worked, and unemployment prepared by the department of labor or its
- 24 successor agency, and total sales tax collected net of law changes,
- 25 prepared by the department of taxation and finance or its successor
- 26 agency. Such index shall be constructed in accordance with the proce-
- 27 <u>dures for calculating composite indexes issued by the conference board</u>
- 28 or its successor organization, and adjusted for seasonal variations in

1 accordance with the procedures issued by the census bureau of the United

- 2 States department of commerce or its successor agency. If the composite
- 3 index declines for three consecutive months, the commissioner of labor
- 4 shall notify the governor, the speaker of the assembly, the temporary
- 5 president of the senate, and the minority leaders of the assembly and
- 6 the senate. Upon such notification, the director of the budget may
- 7 authorize and direct the comptroller to transfer from the dedicated
- 8 infrastructure investment fund to the general fund such amounts as the
- 9 director of the budget deems necessary to meet the requirements of the
- 10 state financial plan.
- 11 (b) Disaster readiness, response and resiliency. Notwithstanding any
- 12 law to the contrary, in order to prepare for, prevent, deter or respond
- 13 to acts of terrorism; natural or man-made disasters; public safety,
- 14 health, and/or other emergencies, the director of the budget may author-
- 15 ize and direct the comptroller to transfer from the dedicated infras-
- 16 tructure investment fund to the general fund such amounts as the direc-
- 17 tor of the budget deems necessary to meet the requirements of the state
- 18 financial plan.
- 19 (c) Federal medicare and medicaid revenues. Notwithstanding any law to
- 20 the contrary, the director of the budget may authorize and direct the
- 21 comptroller to transfer from the dedicated infrastructure investment
- 22 fund to the general fund an amount not to exceed the decline from antic-
- 23 ipated levels of federal medicare and medicaid revenues. In the event
- 24 this authorization is utilized, the director of the budget may authorize
- 25 and direct the comptroller to transfer such amount and the concomitant
- 26 reduction in state share medicare and medicaid revenues from the general
- 27 <u>fund to the miscellaneous special revenue fund, mental hygiene program</u>

- 1 fund (21907), and the miscellaneous special revenue fund, patient income
- 2 account (21909).
- 3 (d) Prior to authorizing any transfer from the dedicated infrastruc-
- 4 ture investment fund accounts pursuant to the provisions of this
- 5 section, the director of the budget shall notify the speaker of the
- 6 assembly, the temporary president of the senate, and the minority lead-
- 7 ers of the assembly and the senate. Such letter shall specify the
- 8 reasons for the transfer and the amount thereof.
- 9 § 2. This act shall take effect immediately.
- 10 PART P
- 11 Section 1. The state comptroller is hereby authorized and directed to
- 12 loan money in accordance with the provisions set forth in subdivision 5
- 13 of section 4 of the state finance law to the following funds and/or
- 14 accounts:
- 15 1. Tuition reimbursement account (20451).
- 16 2. Proprietary vocational school supervision account (20452).
- 17 3. Local government records management account (20501).
- 18 4. Child health plus program account (20810).
- 19 5. EPIC premium account (20818).
- 20 6. Education New (20901).
- 7. VLT Sound basic education fund (20904).
- 22 8. Sewage treatment program management and administration fund
- 23 (21000).
- 9. Hazardous bulk storage account (21061).
- 25 10. Federal grants indirect cost recovery account (21065).
- 26 11. Low level radioactive waste account (21066).

- 1 12. Recreation account (21067).
- 2 13. Public safety recovery account (21077).
- 3 14. Environmental regulatory account (21081).
- 4 15. Natural resource account (21082).
- 5 16. Mined land reclamation program account (21084).
- 6 17. Great lakes restoration initiative account (21087).
- 7 18. Environmental protection and oil spill compensation fund (21200).
- 8 19. Public transportation systems account (21401).
- 9 20. Metropolitan mass transportation (21402).
- 10 21. Operating permit program account (21451).
- 11 22. Mobile source account (21452).
- 12 23. Statewide planning and research cooperative system account
- 13 (21902).
- 14 24. OPWDD provider of service account (21903).
- 15 25. Mental hygiene program fund account (21907).
- 16 26. Mental hygiene patient income account (21909).
- 17 27. Financial control board account (21911).
- 18 28. Regulation of racing account (21912).
- 19 29. New York Metropolitan Transportation Council account (21913).
- 30. State university dormitory income reimbursable account (21937).
- 21 31. Energy research account (21943).
- 32. Criminal justice improvement account (21945).
- 23 33. Fingerprint identification and technology account (21950).
- 24 34. Environmental laboratory reference fee account (21959).
- 25 35. Clinical laboratory reference system assessment account (21962).
- 26 36. Indirect cost recovery account (21978).
- 27 37. High school equivalency program account (21979).
- 28 38. Multi-agency training account (21989).

- 1 39. Bell jar collection account (22003).
- 2 40. Industry and utility service account (22004).
- 3 41. Real property disposition account (22006).
- 4 42. Parking account (22007).
- 5 43. Asbestos safety training program account (22009).
- 6 44. Batavia school for the blind account (22032).
- 7 45. Investment services account (22034).
- 8 46. Surplus property account (22036).
- 9 47. Financial oversight account (22039).
- 10 48. Regulation of indian gaming account (22046).
- 11 49. Rome school for the deaf account (22053).
- 12 50. Seized assets account (22054).
- 13 51. Administrative adjudication account (22055).
- 14 52. Federal salary sharing account (22056).
- 15 53. New York City assessment account (22062).
- 16 54. Cultural education account (22063).
- 17 55. Local services account (22078).
- 18 56. DHCR mortgage servicing account (22085).
- 19 57. Department of motor vehicles compulsory insurance account (22087).
- 20 58. Housing indirect cost recovery account (22090).
- 21 59. Accident prevention course program account (22094).
- 22 60. DHCR-HCA application fee account (22100).
- 23 61. Low income housing monitoring account (22130).
- 24 62. Corporation administration account (22135).
- 25 63. Montrose veteran's home account (22144).
- 26 64. Deferred compensation administration account (22151).
- 27 65. Rent revenue other New York City account (22156).
- 28 66. Rent revenue account (22158).

- 1 67. Tax revenue arrearage account (22168).
- 2 68. State university general income offset account (22654).
- 3 69. State police motor vehicle law enforcement account (22802).
- 4 70. Highway safety program account (23001).
- 5 71. EFC drinking water program account (23101).
- 6 72. DOH drinking water program account (23102).
- 7 73. NYCCC operating offset account (23151).
- 8 74. Commercial gaming revenue account (23701).
- 9 75. Commercial gaming regulation account (23702).
- 10 76. Highway and bridge capital account (30051).
- 11 77. State university residence hall rehabilitation fund (30100).
- 78. State parks infrastructure account (30351).
- 79. Clean water/clean air implementation fund (30500).
- 14 80. Hazardous waste remedial cleanup account (31506).
- 15 81. Youth facilities improvement account (31701).
- 16 82. Housing assistance fund (31800).
- 17 83. Housing program fund (31850).
- 18 84. Highway facility purpose account (31951).
- 19 85. Information technology capital financing account (32215).
- 20 86. New York racing account (32213).
- 21 87. Mental hygiene facilities capital improvement fund (32300).
- 22 88. Correctional facilities capital improvement fund (32350).
- 23 89. New York State Storm Recovery Capital Fund (33000).
- 90. OGS convention center account (50318).
- 91. Centralized services fund (55000).
- 92. Archives records management account (55052).
- 93. Federal single audit account (55053).
- 28 94. Civil service law section II administrative account (55055).

- 1 95. Civil service EHS occupational health program account (55056).
- 96. Banking services account (55057).
- 3 97. Cultural resources survey account (55058).
- 4 98. Neighborhood work project (55059).
- 5 99. Automation & printing chargeback account (55060).
- 6 100. OFT NYT account (55061).
- 7 101. Data center account (55062).
- 8 102. Intrusion detection account (55066).
- 9 103. Domestic violence grant account (55067).
- 10 104. Centralized technology services account (55069).
- 11 105. Labor contact center account (55071).
- 12 106. Human services contact center account (55072).
- 13 107. Tax contact center account (55073).
- 14 108. Executive direction internal audit account (55251).
- 15 109. CIO Information technology centralized services account (55252).
- 16 110. Health insurance internal service account (55300).
- 17 111. Civil service employee benefits division administrative account
- 18 (55301).
- 19 112. Correctional industries revolving fund (55350).
- 20 113. Employees health insurance account (60201).
- 21 114. Medicaid management information system escrow fund (60900).
- 22 § 1-a. The state comptroller is hereby authorized and directed to loan
- 23 money in accordance with the provisions set forth in subdivision 5 of
- 24 section 4 of the state finance law to any account within the following
- 25 federal funds, provided the comptroller has made a determination that
- 26 sufficient federal grant award authority is available to reimburse such
- 27 loans:
- Federal USDA-food and nutrition services fund (25000).

- 1 2. Federal health and human services fund (25100).
- 3. Federal education fund (25200).
- 3 4. Federal block grant fund (25250).
- 4 5. Federal miscellaneous operating grants fund (25300).
- 5 6. Federal unemployment insurance administration fund (25900).
- 6 7. Federal unemployment insurance occupational training fund (25950).
- 7 8. Federal emergency employment act fund (26000).
- 9. Federal capital projects fund (31350).
- 9 § 2. Notwithstanding any law to the contrary, and in accordance with
- 10 section 4 of the state finance law, the comptroller is hereby authorized
- 11 and directed to transfer, upon request of the director of the budget, on
- 12 or before March 31, 2016, up to the unencumbered balance or the follow-
- 13 ing amounts:
- 14 Economic Development and Public Authorities:
- 15 1. \$175,000 from the miscellaneous special revenue fund, underground
- 16 facilities safety training account (22172), to the general fund.
- 17 2. An amount up to the unencumbered balance from the miscellaneous
- 18 special revenue fund, business and licensing services account (21977),
- 19 to the general fund.
- 20 3. \$14,810,000 from the miscellaneous special revenue fund, code
- 21 enforcement account (21904), to the general fund.
- 22 4. \$3,000,000 from the general fund to the miscellaneous special
- 23 revenue fund, tax revenue arrearage account (22168).
- 24 5. \$552,000 from the miscellaneous special revenue fund, consumer food
- 25 industry account (21966), to the general fund.
- 26 Education:
- 27 1. \$2,219,000,000 from the general fund to the state lottery fund,
- 28 education account (20901), as reimbursement for disbursements made from

- 1 such fund for supplemental aid to education pursuant to section 92-c of
- 2 the state finance law that are in excess of the amounts deposited in
- 3 such fund for such purposes pursuant to section 1612 of the tax law.
- 4 2. \$952,000,000 from the general fund to the state lottery fund, VLT
- 5 education account (20904), as reimbursement for disbursements made from
- 6 such fund for supplemental aid to education pursuant to section 92-c of
- 7 the state finance law that are in excess of the amounts deposited in
- 8 such fund for such purposes pursuant to section 1612 of the tax law.
- 9 3. Moneys from the state lottery fund up to an amount deposited in
- 10 such fund pursuant to section 1612 of the tax law in excess of the
- 11 current year appropriation for supplemental aid to education pursuant to
- 12 section 92-c of the state finance law.
- 13 4. \$300,000 from the local government records management improvement
- 14 fund (20500) to the archives partnership trust fund (20350).
- 15 5. \$900,000 from the general fund to the miscellaneous special revenue
- 16 fund, Batavia school for the blind account (22032).
- 17 6. \$900,000 from the general fund to the miscellaneous special revenue
- 18 fund, Rome school for the deaf account (22053).
- 19 7. \$343,400,000 from the state university dormitory income fund
- 20 (40350) to the miscellaneous special revenue fund, state university
- 21 dormitory income reimbursable account (21937).
- 22 8. \$24,000,000 from any of the state education department special
- 23 revenue and internal service funds to the miscellaneous special revenue
- 24 fund, indirect cost recovery account (21978).
- 9. \$8,318,000 from the general fund to the state university income
- 26 fund, state university income offset account (22654), for the state's
- 27 share of repayment of the STIP loan.

- 1 10. \$45,000,000 from the state university income fund, state universi-
- 2 ty hospitals income reimbursable account (22656) to the general fund for
- 3 hospital debt service for the period April 1, 2015 through March 31,
- 4 2016.
- 5 Environmental Affairs:
- 6 1. \$16,000,000 from any of the department of environmental conserva-
- 7 tion's special revenue federal funds to the environmental conservation
- 8 special revenue fund, federal indirect recovery account (21065).
- 9 2. \$2,000,000 from any of the department of environmental conserva-
- 10 tion's special revenue federal funds to the conservation fund as neces-
- 11 sary to avoid diversion of conservation funds.
- 12 3. \$3,000,000 from any of the office of parks, recreation and historic
- 13 preservation capital projects federal funds and special revenue federal
- 14 funds to the miscellaneous special revenue fund, federal grant indirect
- 15 cost recovery account (22188).
- 4. \$1,000,000 from any of the office of parks, recreation and historic
- 17 preservation special revenue federal funds to the miscellaneous special
- 18 revenue fund, I love NY water account (21930).
- 19 5. \$18,000,000 from the general fund to the environmental protection
- 20 fund, environmental protection fund transfer account (30451).
- 21 6. \$8,500,000 from the general fund to the hazardous waste remedial
- 22 fund, hazardous waste oversight and assistance account (31505).
- 7. \$25,000,000 from the environmental protection fund, environmental
- 24 protection transfer account (30451), to the general fund.
- 25 Family Assistance:
- 26 1. \$10,000,000 from any of the office of children and family services,
- 27 office of temporary and disability assistance, or department of health
- 28 special revenue federal funds and the general fund, in accordance with

- 1 agreements with social services districts, to the miscellaneous special
- 2 revenue fund, office of human resources development state match account
- 3 (21967).
- 4 2. \$3,000,000 from any of the office of children and family services
- 5 or office of temporary and disability assistance special revenue federal
- 6 funds to the miscellaneous special revenue fund, family preservation and
- 7 support services and family violence services account (22082).
- 8 3. \$18,670,000 from any of the office of children and family services,
- 9 office of temporary and disability assistance, or department of health
- 10 special revenue federal funds and any other miscellaneous revenues
- 11 generated from the operation of office of children and family services
- 12 programs to the general fund.
- 13 4. \$166,000,000 from any of the office of temporary and disability
- 14 assistance or department of health special revenue funds to the general
- 15 fund.
- 16 5. \$2,500,000 from any of the office of temporary and disability
- 17 assistance or office of children and family services special revenue
- 18 federal funds to the miscellaneous special revenue fund, office of
- 19 temporary and disability assistance program account (21980).
- 6. \$35,000,000 from any of the office of children and family services,
- 21 office of temporary and disability assistance, department of labor, and
- 22 department of health special revenue federal funds to the office of
- 23 children and family services miscellaneous special revenue fund, multi-
- 24 agency training contract account (21989).
- 25 7. \$65,000,000 from the miscellaneous special revenue fund, youth
- 26 facility per diem account (22186), to the general fund.
- 27 8. \$621,850 from the general fund to the combined gifts, grants, and
- 28 bequests fund, WB Hoyt Memorial account (20128).

- 1 9. \$3,100,000 from the miscellaneous special revenue fund, state
- 2 central registry (22028), to the general fund.
- 3 General Government:
- 4 1. \$1,566,000 from the miscellaneous special revenue fund, examination
- 5 and miscellaneous revenue account (22065) to the general fund.
- 6 2. \$12,500,000 from the general fund to the health insurance revolving
- 7 fund (55300).
- 8 3. \$192,400,000 from the health insurance reserve receipts fund
- 9 (60550) to the general fund.
- 4. \$150,000 from the general fund to the not-for-profit revolving loan
- 11 fund (20650).
- 12 5. \$150,000 from the not-for-profit revolving loan fund (20650) to the
- 13 general fund.
- 14 6. \$3,000,000 from the miscellaneous special revenue fund, surplus
- 15 property account (22036), to the general fund.
- 7. \$19,900,000 from the general fund to the miscellaneous special
- 17 revenue fund, alcoholic beverage control account (22033).
- 18 8. \$23,000,000 from the miscellaneous special revenue fund, revenue
- 19 arrearage account (22024), to the general fund.
- 9. \$1,826,000 from the miscellaneous special revenue fund, revenue
- 21 arrearage account (22024), to the miscellaneous special revenue fund,
- 22 authority budget office account (22138).
- 23 10. \$1,000,000 from the miscellaneous special revenue fund, parking
- 24 services account (22007), to the general fund, for the purpose of reim-
- 25 bursing the costs of debt service related to state parking facilities.
- 26 11. \$21,794,000 from the general fund to the internal service fund,
- 27 COPS account (55013).

- 1 12. \$8,360,000 from the general fund to the agencies internal service
- 2 fund, central technology services account (55069), for the purpose of
- 3 enterprise technology projects.
- 4 13. \$5,000,000 from the miscellaneous special revenue fund, workers'
- 5 compensation account (21995), to the miscellaneous capital projects
- 6 fund, workers' compensation board IT business process design fund.
- 7 Health:
- 8 1. \$30,000,000 from the miscellaneous special revenue fund, quality of
- 9 care account (21915), to the general fund.
- 10 2. \$1,000,000 from the general fund to the combined gifts, grants and
- 11 bequests fund, breast cancer research and education account (20155), an
- 12 amount equal to the monies collected and deposited into that account in
- 13 the previous fiscal year.
- 14 3. \$250,000 from the general fund to the combined gifts, grants and
- 15 bequests fund, prostate cancer research, detection, and education
- 16 account (20183), an amount equal to the moneys collected and deposited
- 17 into that account in the previous fiscal year.
- 18 4. \$500,000 from the general fund to the combined gifts, grants and
- 19 bequests fund, Alzheimer's disease research and assistance account
- 20 (20143), an amount equal to the moneys collected and deposited into that
- 21 account in the previous fiscal year.
- 22 5. \$30,295,000 from the HCRA resources fund (20800) to the miscella-
- 23 neous special revenue fund, empire state stem cell trust fund account
- 24 (22161).
- 25 6. \$30,000,000 from any of the department of health accounts within
- 26 the federal health and human services fund to the miscellaneous special
- 27 revenue fund, quality of care account (21915).

- 1 7. \$6,000,000 from the miscellaneous special revenue fund, certificate
- 2 of need account (21920), to the miscellaneous capital projects fund,
- 3 healthcare IT capital subfund.
- 4 8. \$1,000,000 from the miscellaneous special revenue fund, adminis-
- 5 tration program account (21982), to the miscellaneous capital projects
- 6 fund, healthcare IT capital account (32216).
- 7 9. \$1,000,000 from the miscellaneous special revenue fund, vital
- 8 records account (22103), to the miscellaneous capital projects fund,
- 9 healthcare IT capital account (32216).
- 10 10. \$55,000,000 from the HCRA resources fund (20800) to the capital
- 11 projects fund (30000).
- 12 11. \$3,700,000 from the miscellaneous New York state agency fund,
- 13 Medicaid recoveries account (60615), to the general fund.
- 14 12. \$6,740,000 from the general fund to the medical marihuana trust
- 15 fund, medical marihuana DOH account.
- 16 13. \$4,096,000 from the HCRA resources fund (20800), to the miscella-
- 17 neous special revenue fund, cigarette strike force account.
- 18 14. \$3,086,000 from the miscellaneous special revenue fund, certif-
- 19 icate of need account (21920), to the general fund.
- 20 Labor:
- 1. \$400,000 from the miscellaneous special revenue fund, DOL fee and
- 22 penalty account (21923), to the child performer's protection fund, child
- 23 performer protection account (20401).
- 24 2. \$8,400,000 from the miscellaneous special revenue fund, DOL fee and
- 25 penalty account (21923), to the general fund.
- 26 3. \$3,300,000 from the unemployment insurance interest and penalty
- 27 fund, unemployment insurance special interest and penalty account
- 28 (23601), to the general fund.

- 1 Mental Hygiene:
- 2 1. \$10,000,000 from the miscellaneous special revenue fund, mental
- 3 hygiene patient income account (21909), to the miscellaneous special
- 4 revenue fund, federal salary sharing account (22056).
- 5 2. \$15,000,000 from the miscellaneous special revenue fund, mental
- 6 hygiene patient income account (21909), to the miscellaneous special
- 7 revenue fund, provider of service accounts (21903).
- 8 3. \$15,000,000 from the miscellaneous special revenue fund, mental
- 9 hygiene program fund account (21907), to the miscellaneous special
- 10 revenue fund, provider of service account (21903).
- 11 4. \$1,400,000,000 from the general fund to the miscellaneous special
- 12 revenue fund, mental hygiene patient income account (21909).
- 13 5. \$1,850,000,000 from the general fund to the miscellaneous special
- 14 revenue fund, mental hygiene program fund account (21907).
- 15 6. \$100,000,000 from the miscellaneous special revenue fund, mental
- 16 hygiene program fund account (21907), to the general fund.
- 17 7. \$100,000,000 from the miscellaneous special revenue fund, mental
- 18 hygiene patient income account (21909), to the general fund.
- 19 Public Protection:
- 20 1. \$1,350,000 from the miscellaneous special revenue fund, emergency
- 21 management account (21944), to the general fund.
- 22 2. \$3,300,000 from the general fund to the miscellaneous special
- 23 revenue fund, recruitment incentive account (22171).
- 24 3. \$13,000,000 from the general fund to the correctional industries
- 25 revolving fund, correctional industries internal service account
- 26 (55350).
- 27 4. \$3,000,000 from the federal miscellaneous operating grants fund,
- 28 DMNA damage account (25324), to the general fund.

- 1 5. \$14,300,000 from the general fund to the miscellaneous special
- 2 revenue fund, crimes against revenue program account (22015).
- 3 6. \$22,900,000 from the miscellaneous special revenue fund, criminal
- 4 justice improvement account (21945), to the general fund.
- 5 7. \$50,000,000 from the miscellaneous special revenue fund, statewide
- 6 public safety communications account (22123), to the general fund.
- 7 8. \$106,000,000 from the state police motor vehicle law enforcement
- 8 and motor vehicle theft and insurance fraud prevention fund, state
- 9 police motor vehicle enforcement account (22802), to the general fund
- 10 for state operation expenses of the division of state police.
- 11 9. \$21,500,000 from the general fund to the correctional facilities
- 12 capital improvement fund (32350).
- 13 10. \$5,000,000 from the general fund to the dedicated highway and
- 14 bridge trust fund (30050) for the purpose of work zone safety activities
- 15 provided by the division of state police for the department of transpor-
- 16 tation.
- 17 11. \$5,000,000 from the miscellaneous special revenue fund, statewide
- 18 public safety communications account (22123), to the capital projects
- 19 fund (30000).
- 20 12. \$2,900,000 from the miscellaneous special revenue fund, legal
- 21 services assistance account (22096), to the general fund.
- 22 13. \$300,000 from the state police motor vehicle law enforcement and
- 23 motor vehicle theft and insurance fraud prevention fund, motor vehicle
- 24 theft and insurance fraud account (22801), to the general fund.
- 25 Transportation:
- 1. \$17,672,000 from the federal miscellaneous operating grants fund to
- 27 the miscellaneous special revenue fund, New York Metropolitan Transpor-
- 28 tation Council account (21913).

- 1 2. \$20,147,000 from the federal capital projects fund to the miscella-
- 2 neous special revenue fund, New York Metropolitan Transportation Council
- 3 account (21913).
- 4 3. \$15,700,000 from the miscellaneous special revenue fund, compulsory
- 5 insurance account (22087), to the general fund.
- 6 4. \$14,878,096 from the general fund to the mass transportation oper-
- 7 ating assistance fund, public transportation systems operating assist-
- 8 ance account (21401), of which \$12,000,000 constitutes the base need for
- 9 operations.
- 10 5. \$685,609,000 from the general fund to the dedicated highway and
- 11 bridge trust fund (30050).
- 12 6. \$606,000 from the miscellaneous special revenue fund, accident
- 13 prevention course program account (22094), to the general fund.
- 14 7. \$6,000 from the miscellaneous special revenue fund, motorcycle
- 15 safety account (21976), to the general fund.
- 16 8. \$309,250,000 from the general fund to the MTA financial assistance
- 17 fund, mobility tax trust account (23651).
- 18 9. \$20,000,000 from the mass transportation operating assistance fund,
- 19 metropolitan mass transportation operating assistance account (21402),
- 20 to the general debt service fund (40151), for reimbursement of the
- 21 state's expenses in connection with payments of debt service and related
- 22 expenses for the metropolitan transportation authority's state service
- 23 contract bonds.
- 24 10. \$5,000,000 from the miscellaneous special revenue fund, transpor-
- 25 tation regulation account (22067) to the dedicated highway and bridge
- 26 trust fund (30050), for disbursements made from such fund for motor
- 27 carrier safety that are in excess of the amounts deposited in the dedi-

- 1 cated highway and bridge trust fund (30050) for such purpose pursuant to
- 2 section 94 of the transportation law.
- 3 11. \$121,548,000 from the mass transportation operating assistance
- 4 fund, metropolitan mass transportation operating assistance account
- 5 (21402), to the transit assistance for capital investments fund, metro-
- 6 politan transit assistance for capital investments account, for
- 7 disbursements made from such fund pursuant to a chapter of the laws of
- 8 2015.
- 9 Miscellaneous:
- 10 1. \$200,000,000 from the general fund to any funds or accounts for the
- 11 purpose of reimbursing certain outstanding accounts receivable balances.
- 12 2. \$1,000,000,000 from the general fund to the debt reduction reserve
- 13 fund (40000).
- 14 3. \$450,000,000 from the New York state storm recovery capital fund
- 15 (33000) to the revenue bond tax fund (40152).
- 16 4. \$15,500,000 from the general fund, community projects account GG
- 17 (10256), to the general fund, state purposes account (10050).
- 18 5. \$1,500,000,000 from the general fund to the dedicated infrastruc-
- 19 ture investment fund, upstate revitalization account.
- 20 6. \$3,050,000,000 from the general fund to the dedicated infrastruc-
- 21 ture investment fund, special infrastructure account.
- 22 § 3. Notwithstanding any law to the contrary, and in accordance with
- 23 section 4 of the state finance law, the comptroller is hereby authorized
- 24 and directed to transfer, on or before March 31, 2016:
- 1. Upon request of the commissioner of environmental conservation, up
- 26 to \$11,354,000 from revenues credited to any of the department of envi-
- 27 ronmental conservation special revenue funds, including \$3,285,400 from
- 28 the environmental protection and oil spill compensation fund (21200),

- 1 and \$1,779,600 from the conservation fund (21150), to the environmental
- 2 conservation special revenue fund, indirect charges account (21060).
- 3 2. Upon request of the commissioner of agriculture and markets, up to
- 4 \$3,000,000 from any special revenue fund or enterprise fund within the
- 5 department of agriculture and markets to the general fund, to pay appro-
- 6 priate administrative expenses.
- 7 3. Upon request of the commissioner of agriculture and markets, up to
- 8 \$2,000,000 from the state exposition special fund, state fair receipts
- 9 account (50051) to the miscellaneous capital projects fund, state fair
- 10 capital improvement account (32208).
- 11 4. Upon request of the commissioner of the division of housing and
- 12 community renewal, up to \$6,221,000 from revenues credited to any divi-
- 13 sion of housing and community renewal federal or miscellaneous special
- 14 revenue fund to the miscellaneous special revenue fund, housing indirect
- 15 cost recovery account (22090).
- 16 5. Upon request of the commissioner of the division of housing and
- 17 community renewal, up to \$5,500,000 may be transferred from any miscel-
- 18 laneous special revenue fund account, to any miscellaneous special
- 19 revenue fund.
- 20 6. Upon request of the commissioner of health up to \$5,000,000 from
- 21 revenues credited to any of the department of health's special revenue
- 22 funds, to the miscellaneous special revenue fund, administration account
- 23 (21982).
- § 4. On or before March 31, 2016, the comptroller is hereby authorized
- 25 and directed to deposit earnings that would otherwise accrue to the
- 26 general fund that are attributable to the operation of section 98-a of
- 27 the state finance law, to the agencies internal service fund, banking

1 services account (55057), for the purpose of meeting direct payments

- 2 from such account.
- 3 § 5. Notwithstanding any law to the contrary, upon the direction of
- 4 the director of the budget and upon requisition by the state university
- 5 of New York, the dormitory authority of the state of New York is
- 6 directed to transfer, up to \$22,000,000 in revenues generated from the
- 7 sale of notes or bonds, to the state university of New York for
- 8 reimbursement of bondable equipment for further transfer to the state's
- 9 general fund.
- 10 § 6. Notwithstanding any law to the contrary, and in accordance with
- 11 section 4 of the state finance law, the comptroller is hereby authorized
- 12 and directed to transfer, upon request of the director of the budget and
- 13 upon consultation with the state university chancellor or his or her
- 14 designee, on or before March 31, 2016, up to \$16,000,000 from the state
- 15 university income fund general revenue account (22653) to the state
- 16 general fund for debt service costs related to campus supported capital
- 17 project costs for the NY-SUNY 2020 challenge grant program at the
- 18 University at Buffalo.
- 19 § 7. Notwithstanding any law to the contrary, and in accordance with
- 20 section 4 of the state finance law, the comptroller is hereby authorized
- 21 and directed to transfer, upon request of the director of the budget and
- 22 upon consultation with the state university chancellor or his or her
- 23 designee, on or before March 31, 2016, up to \$6,500,000 from the state
- 24 university income fund general revenue account (22653) to the state
- 25 general fund for debt service costs related to campus supported capital
- 26 project costs for the NY-SUNY 2020 challenge grant program at the
- 27 University at Albany.

- 1 § 8. Notwithstanding any law to the contrary, the state university
- 2 chancellor or his or her designee is authorized and directed to transfer
- 3 estimated tuition revenue balances from the state university collection
- 4 fund (61000) to the state university income fund, state university
- 5 general revenue offset account (22655) on or before March 31, 2016.
- 6 § 9. Notwithstanding any law to the contrary, and in accordance with
- 7 section 4 of the state finance law, the comptroller is hereby authorized
- 8 and directed to transfer, upon request of the director of the budget, up
- 9 to \$69,264,000 from the general fund to the state university income
- 10 fund, state university hospitals income reimbursable account (22656)
- 11 during the period July 1, 2015 through June 30, 2016 to reflect ongoing
- 12 state subsidy of SUNY hospitals and to pay costs attributable to the
- 13 SUNY hospitals' state agency status.
- 14 § 10. Notwithstanding any law to the contrary, and in accordance with
- 15 section 4 of the state finance law, the comptroller is hereby authorized
- 16 and directed to transfer, upon request of the director of the budget, up
- 17 to \$987,050,300 from the general fund to the state university income
- 18 fund, state university general revenue offset account (22655) during the
- 19 period of July 1, 2015 through June 30, 2016 to support operations at
- 20 the state university.
- 21 § 11. Notwithstanding any law to the contrary, and in accordance with
- 22 section 4 of the state finance law, the comptroller is hereby authorized
- 23 and directed to transfer, upon request of the director of the budget, up
- 24 to \$3,370,000 from the general fund to the state university income fund,
- 25 state university general revenue offset account (22655) during the peri-
- 26 od of April 1, 2015 through June 30, 2015 to support operations at the
- 27 state university.

§ 12. Notwithstanding any law to the contrary, and in accordance with 1 section 4 of the state finance law, the comptroller is hereby authorized and directed to transfer, upon request of the state university chancel-3 lor or his or her designee, up to \$55,000,000 from the state university income fund, state university hospitals income reimbursable account 5 (22656), for services and expenses of hospital operations and capital 6 7 expenditures at the state university hospitals; and the state university income fund, Long Island veterans' home account (22652) to the state university capital projects fund (32400) on or before June 30, 2016. 10 § 13. Notwithstanding any law to the contrary, and in accordance with section 4 of the state finance law, the comptroller, after consultation 11 12 with the state university chancellor or his or her designee, is hereby authorized and directed to transfer moneys, in the first instance, from 13 the state university collection fund, Stony Brook hospital collection 14 account (61006), Brooklyn hospital collection account (61007), and Syra-15 cuse hospital collection account (61008) to the state university income 16 17 fund, state university hospitals income reimbursable account (22656) in the event insufficient funds are available in the state university 18 19 income fund, state university hospitals income reimbursable account 20 (22656) to permit the full transfer of moneys authorized for transfer, to the general fund for payment of debt service related to the SUNY 22 hospitals. Notwithstanding any law to the contrary, the comptroller is also hereby authorized and directed, after consultation with the state 23 24 university chancellor or his or her designee, to transfer moneys from the state university income fund to the state university income fund, 25 state university hospitals income reimbursable account (22656) in the 26 27 event insufficient funds are available in the state university income fund, state university hospitals income reimbursable account (22656) to 28

- 1 pay hospital operating costs or to permit the full transfer of moneys
- 2 authorized for transfer, to the general fund for payment of debt service
- 3 related to the SUNY hospitals on or before March 31, 2016.
- 4 § 14. Notwithstanding any law to the contrary, upon the direction of
- 5 the director of the budget and the chancellor of the state university of
- 6 New York or his or her designee, and in accordance with section 4 of the
- 7 state finance law, the comptroller is hereby authorized and directed to
- 8 transfer monies from the state university dormitory income fund (40350)
- 9 to the state university residence hall rehabilitation fund (30100), and
- 10 from the state university residence hall rehabilitation fund (30100) to
- 11 the state university dormitory income fund (40350), in a net amount not
- 12 to exceed \$80 million.
- 13 § 15. Notwithstanding any law to the contrary, and in accordance with
- 14 section 4 of the state finance law, the comptroller is hereby authorized
- 15 and directed to transfer monies, upon request of the director of the
- 16 budget, on or before March 31, 2016, from and to any of the following
- 17 accounts: the miscellaneous special revenue fund, patient income account
- 18 (21909), the miscellaneous special revenue fund, mental hygiene program
- 19 fund account (21907), the miscellaneous special revenue fund, federal
- 20 salary sharing account (22056), or the general fund in any combination,
- 21 the aggregate of which shall not exceed \$350 million.
- 22 § 16. Notwithstanding any law to the contrary, and in accordance with
- 23 section 4 of the state finance law, the comptroller is hereby authorized
- 24 and directed to transfer, at the request of the director of the budget,
- 25 up to \$500 million from the unencumbered balance of any special revenue
- 26 fund or account, agency fund or account, internal service fund or
- 27 account, enterprise fund or account, or any combination of such funds
- 28 and accounts, to the general fund. The amounts transferred pursuant to

1 this authorization shall be in addition to any other transfers expressly

2 authorized in the 2015-16 budget. Transfers from federal funds, debt

3 service funds, capital projects funds, the community projects fund, or

funds that would result in the loss of eligibility for federal benefits

5 or federal funds pursuant to federal law, rule, or regulation as assent-

6 ed to in chapter 683 of the laws of 1938 and chapter 700 of the laws of

7 1951 are not permitted pursuant to this authorization.

8 § 17. Notwithstanding any law to the contrary, and in accordance with section 4 of the state finance law, the comptroller is hereby authorized 10 and directed to transfer, at the request of the director of the budget, to \$100 million from any non-general fund or account, or combination 11 12 of funds and accounts, to the miscellaneous special revenue fund, technology financing account (22207) or the miscellaneous capital projects 13 fund, information technology capital financing account (32215), for the 14 purpose of consolidating technology procurement and services. 15 amounts transferred to the miscellaneous special revenue fund, technolo-16 17 gy financing account (22207) pursuant to this authorization shall be equal to or less than the amount of such monies intended to support 18 information technology costs which are attributable, according to a 19 20 plan, to such account made in pursuance to an appropriation by law. Transfers to the technology financing account shall be completed from 21 22 amounts collected by non-general funds or accounts pursuant to a fund 23 deposit schedule or permanent statute, and shall be transferred to the technology financing account pursuant to a schedule agreed upon by the 24 affected agency commissioner. Transfers from funds that would result in 25 26 the loss of eligibility for federal benefits or federal funds pursuant 27 to federal law, rule, or regulation as assented to in chapter 683 of the

1 laws of 1938 and chapter 700 of the laws of 1951 are not permitted

- 2 pursuant to this authorization.
- 3 § 18. Notwithstanding any law to the contrary, and in accordance with
- 4 section 4 of the state finance law, the comptroller is hereby authorized
- 5 and directed to transfer, at the request of the director of the budget,
- 6 up to \$300 million from any non-general fund or account, or combination
- 7 of funds and accounts, to the general fund for the purpose of consol-
- 8 idating technology procurement and services. The amounts transferred
- 9 pursuant to this authorization shall be equal to or less than the amount
- 10 of such monies intended to support information technology costs which
- 11 are attributable, according to a plan, to such account made in pursuance
- 12 to an appropriation by law. Transfers to the general fund shall be
- 13 completed from amounts collected by non-general funds or accounts pursu-
- 14 ant to a fund deposit schedule. Transfers from funds that would result
- 15 in the loss of eligibility for federal benefits or federal funds pursu-
- 16 ant to federal law, rule, or regulation as assented to in chapter 683 of
- 17 the laws of 1938 and chapter 700 of the laws of 1951 are not permitted
- 18 pursuant to this authorization.
- 19 § 19. Notwithstanding any provision of law to the contrary, as deemed
- 20 feasible and advisable by its trustees, the power authority of the state
- 21 of New York is authorized and directed to (i) make a contribution to the
- 22 state treasury to the credit of the general fund, or as otherwise
- 23 directed in writing by the director of the budget, in an amount of up to
- 24 \$90,000,000 for the state fiscal year commencing April 1, 2015, the
- 25 proceeds of which will be utilized to support energy-related initiatives
- 26 of the state, or for economic development purposes, and (ii) transfer up
- 27 to \$25,000,000 of any such contribution by June 30, 2015 and the remain-
- 28 der of any such contribution by March 31, 2016. Such economic develop-

1 ment purposes may include, but shall not be limited to, efforts to

- ? attract and expand business investment and job creation in New York
- 3 state through the Open for Business program, provided that in the event
- 4 any contributed funds are used by a state agency or public authority for
- 5 the purpose of advertising and promoting the benefits of the START-UP NY
- 6 program, no more than sixty percent of the contributed funds used for
- 7 such purpose shall be used for advertising and promotion outside the
- 8 state of New York.
- 9 § 20. Notwithstanding any provision of law, rule or regulation to the
- 10 contrary, the New York State energy research and development authority
- 11 is authorized and directed to make a contribution to the state treasury
- 12 to the credit of the general fund in the amount of \$36,000,000 from
- 13 proceeds collected by the authority from the auction or sale of carbon
- 14 dioxide emission allowances allocated by the department of environmental
- 15 conservation under the Regional Greenhouse Gas Initiative on or before
- 16 March 31, 2016.
- 17 § 21. Subdivision 5 of section 97-rrr of the state finance law, as
- 18 amended by section 20 of part I of chapter 55 of the laws of 2014, is
- 19 amended to read as follows:
- 20 5. Notwithstanding the provisions of section one hundred seventy-one-a
- 21 of the tax law, as separately amended by chapters four hundred eighty-
- 22 one and four hundred eighty-four of the laws of nineteen hundred eight-
- 23 y-one, and notwithstanding the provisions of chapter ninety-four of the
- 24 laws of two thousand eleven, or any other provisions of law to the
- 25 contrary, during the fiscal year beginning April first, two thousand
- 26 [fourteen] fifteen, the state comptroller is hereby authorized and
- 27 directed to deposit to the fund created pursuant to this section from
- 28 amounts collected pursuant to article twenty-two of the tax law and

l pursuant to a schedule submitted by the director of the budget, up to

2 [\$3,429,375,000] <u>\$3,230,679,000</u>, as may be certified in such schedule as

3 necessary to meet the purposes of such fund for the fiscal year begin-

ning April first, two thousand [fourteen] fifteen. 5 § 22. The comptroller is authorized and directed to deposit to the general fund-state purposes account reimbursements from moneys appropri-6 7 ated or reappropriated to the correctional facilities capital improvement fund by a chapter of the laws of 2015. Reimbursements shall be available for spending from appropriations made to the department of 10 corrections and community supervision in the general fund-state purposes accounts by a chapter of the laws of 2015 for costs associated with the 11 12 administration and security of capital projects and for other costs which are attributable, according to a plan, to such capital projects. 13 § 23. Notwithstanding any other law, rule, or regulation to the 14 15 contrary, the state comptroller is hereby authorized and directed to use any balance remaining in the mental health services fund debt service 16 17 appropriation, after payment by the state comptroller of all obligations required pursuant to any lease, sublease, or other financing arrangement 18 19 between the dormitory authority of the state of New York as successor to 20 the New York state medical care facilities finance agency, and the facilities development corporation pursuant to chapter 83 of the laws of 22 1995 and the department of mental hygiene for the purpose of making payments to the dormitory authority of the state of New York for the 23 24 amount of the earnings for the investment of monies deposited in the mental health services fund that such agency determines will or may have 25 26 to be rebated to the federal government pursuant to the provisions of

the internal revenue code of 1986, as amended, in order to enable such

agency to maintain the exemption from federal income taxation on the

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- 1 interest paid to the holders of such agency's mental services facilities
- 2 improvement revenue bonds. Annually on or before each June 30th, such
- 3 agency shall certify to the state comptroller its determination of the
- 4 amounts received in the mental health services fund as a result of the
- 5 investment of monies deposited therein that will or may have to be
- 6 rebated to the federal government pursuant to the provisions of the
- 7 internal revenue code of 1986, as amended.
- 8 § 24. Subdivision 8 of section 68-b of the state finance law, as
- 9 amended by section 44 of part HH of chapter 57 of the laws of 2013, is
- 10 amended to read as follows:
- 11 8. Revenue bonds may only be issued for authorized purposes, as
- 12 defined in section sixty-eight-a of this article. Notwithstanding the
- 13 foregoing, [the dormitory authority of the state of New York and the
- 14 urban development corporation] any authorized issuer may issue revenue
- 15 bonds for any authorized purpose [of any other such authorized issuer
- 16 through March thirty-first, two thousand fifteen]. The authorized
- 17 issuers shall not issue any revenue bonds in an amount in excess of
- 18 statutory authorizations for such authorized purposes. Authorizations
- 19 for such authorized purposes shall be reduced in an amount equal to the
- 20 amount of revenue bonds issued for such authorized purposes under this
- 21 article. Such reduction shall not be made in relation to revenue bonds
- 22 issued to fund reserve funds, if any, and costs of issuance, if these
- 23 items are not counted under existing authorizations, nor shall revenue
- 24 bonds issued to refund bonds issued under existing authorizations reduce
- 25 the amount of such authorizations.
- 26 § 25. Subdivision 1 of section 47 of section 1 of chapter 174 of the
- 27 laws of 1968, constituting the New York state urban development corpo-

1 ration act, as amended by section 28 of part I of chapter 55 of the laws

- 2 of 2014, is amended to read as follows:
- 3 1. Notwithstanding the provisions of any other law to the contrary,
- 4 the dormitory authority and the corporation are hereby authorized to
- 5 issue bonds or notes in one or more series for the purpose of funding
- 6 project costs for the office of information technology services, depart-
- 7 ment of law, and other state costs associated with such capital
- 8 projects. The aggregate principal amount of bonds authorized to be
- 9 issued pursuant to this section shall not exceed [one] two hundred
- 10 [eighty-two] sixty-nine million [four] one hundred forty thousand
- 11 dollars, excluding bonds issued to fund one or more debt service reserve
- 12 funds, to pay costs of issuance of such bonds, and bonds or notes issued
- 13 to refund or otherwise repay such bonds or notes previously issued. Such
- 14 bonds and notes of the dormitory authority and the corporation shall not
- 15 be a debt of the state, and the state shall not be liable thereon, nor
- 16 shall they be payable out of any funds other than those appropriated by
- 17 the state to the dormitory authority and the corporation for principal,
- 18 interest, and related expenses pursuant to a service contract and such
- 19 bonds and notes shall contain on the face thereof a statement to such
- 20 effect. Except for purposes of complying with the internal revenue code,
- 21 any interest income earned on bond proceeds shall only be used to pay
- 22 debt service on such bonds.
- 23 § 26. Section 1 of chapter 174 of the laws of 1968, constituting the
- 24 New York state urban development corporation act, is amended by adding a
- 25 new section 51 to read as follows:
- 26 § 51. 1. Notwithstanding the provisions of any other law to the
- 27 contrary, the dormitory authority and the urban development corporation
- 28 are hereby authorized to issue bonds or notes in one or more series for

the purpose of funding project costs for the nonprofit infrastructure 2 capital investment program and other state costs associated with such capital projects. The aggregate principal amount of bonds authorized to 3 be issued pursuant to this section shall not exceed fifty million dollars, excluding bonds issued to fund one or more debt service reserve funds, to pay costs of issuance of such bonds, and bonds or notes issued to refund or otherwise repay such bonds or notes previously issued. Such bonds and notes of the dormitory authority and the urban development corporation shall not be a debt of the state, and the state shall not be liable thereon, nor shall they be payable out of any funds other than 10 11 those appropriated by the state to the dormitory authority and the urban 12 development corporation for principal, interest, and related expenses pursuant to a service contract and such bonds and notes shall contain on 13 14 the face thereof a statement to such effect. Except for purposes of 15 complying with the internal revenue code, any interest income earned on bond proceeds shall only be used to pay debt service on such bonds. 16 17 2. Notwithstanding any other provision of law to the contrary, in 18 order to assist the dormitory authority and the urban development corpo-19 ration in undertaking the financing for project costs for the nonprofit infrastructure capital investment program and other state costs associated with such capital projects, the director of the budget is hereby 21 22 authorized to enter into one or more service contracts with the dormito-23 ry authority and the urban development corporation, none of which shall 24 exceed thirty years in duration, upon such terms and conditions as the 25 director of the budget and the dormitory authority and the urban devel-26 opment corporation agree, so as to annually provide to the dormitory authority and the urban development corporation, in the aggregate, a sum 27 not to exceed the principal, interest, and related expenses required for such bonds and notes. Any service contract entered into pursuant to this

- 2 section shall provide that the obligation of the state to pay the amount
- 3 therein provided shall not constitute a debt of the state within the
- 4 meaning of any constitutional or statutory provision and shall be deemed
- 5 executory only to the extent of monies available and that no liability
- 6 shall be incurred by the state beyond the monies available for such
- 7 purpose, subject to annual appropriation by the legislature. Any such
- 8 contract or any payments made or to be made thereunder may be assigned
- 9 and pledged by the dormitory authority and the urban development corpo-
- 10 ration as security for its bonds and notes, as authorized by this
- 11 section.
- 12 § 27. Subdivision 1 of section 16 of part D of chapter 389 of the laws
- 13 of 1997, relating to the financing of the correctional facilities
- 14 improvement fund and the youth facility improvement fund, as amended by
- 15 section 29 of part I of chapter 55 of the laws of 2014, is amended to
- 16 read as follows:
- 17 1. Subject to the provisions of chapter 59 of the laws of 2000, but
- 18 notwithstanding the provisions of section 18 of section 1 of chapter 174
- 19 of the laws of 1968, the New York state urban development corporation is
- 20 hereby authorized to issue bonds, notes and other obligations in an
- 21 aggregate principal amount not to exceed seven billion one hundred
- 22 [forty-eight] sixty-three million three hundred sixty-nine thousand
- 23 dollars [\$7,148,069,000] <u>\$7,163,369,000</u>, and shall include all bonds,
- 24 notes and other obligations issued pursuant to chapter 56 of the laws of
- 25 1983, as amended or supplemented. The proceeds of such bonds, notes or
- 26 other obligations shall be paid to the state, for deposit in the correc-
- 27 tional facilities capital improvement fund to pay for all or any portion
- 28 of the amount or amounts paid by the state from appropriations or reap-

propriations made to the department of corrections and community supervision from the correctional facilities capital improvement fund for capital projects. The aggregate amount of bonds, notes or other obli-3 gations authorized to be issued pursuant to this section shall exclude bonds, notes or other obligations issued to refund or otherwise repay bonds, notes or other obligations theretofore issued, the proceeds of 7 which were paid to the state for all or a portion of the amounts expended by the state from appropriations or reappropriations made to the department of corrections and community supervision; provided, 10 however, that upon any such refunding or repayment the total aggregate principal amount of outstanding bonds, notes or other obligations may be 11 12 greater than seven billion one hundred [forty-eight] sixty-three million hundred sixty-nine thousand dollars [\$7,148,069,000] 13 three \$7,163,369,000, only if the present value of the aggregate debt service 14 15 of the refunding or repayment bonds, notes or other obligations to be issued shall not exceed the present value of the aggregate debt service 16 17 of the bonds, notes or other obligations so to be refunded or repaid. For the purposes hereof, the present value of the aggregate debt service 18 19 of the refunding or repayment bonds, notes or other obligations and of 20 the aggregate debt service of the bonds, notes or other obligations so refunded or repaid, shall be calculated by utilizing the effective 22 interest rate of the refunding or repayment bonds, notes or other obli-23 gations, which shall be that rate arrived at by doubling the semi-annual 24 interest rate (compounded semi-annually) necessary to discount the debt service payments on the refunding or repayment bonds, notes or other 25 26 obligations from the payment dates thereof to the date of issue of the 27 refunding or repayment bonds, notes or other obligations and to the price bid including estimated accrued interest or proceeds received by

1 the corporation including estimated accrued interest from the sale ther-

- 2 eof.
- 3 § 28. Paragraph (a) of subdivision 2 of section 47-e of the private
- 4 housing finance law, as amended by section 30 of part I of chapter 55 of
- 5 the laws of 2014, is amended to read as follows:
- 6 (a) Subject to the provisions of chapter fifty-nine of the laws of two
- 7 thousand, in order to enhance and encourage the promotion of housing
- 8 programs and thereby achieve the stated purposes and objectives of such
- 9 housing programs, the agency shall have the power and is hereby author-
- 10 ized from time to time to issue negotiable housing program bonds and
- 11 notes in such principal amount as shall be necessary to provide suffi-
- 12 cient funds for the repayment of amounts disbursed (and not previously
- 13 reimbursed) pursuant to law or any prior year making capital appropri-
- 14 ations or reappropriations for the purposes of the housing program;
- 15 provided, however, that the agency may issue such bonds and notes in an
- 16 aggregate principal amount not exceeding [two] three billion [nine] one
- 17 hundred [ninety-nine] fifty-three million seven hundred ninety-nine
- 18 thousand dollars, plus a principal amount of bonds issued to fund the
- 19 debt service reserve fund in accordance with the debt service reserve
- 20 fund requirement established by the agency and to fund any other
- 21 reserves that the agency reasonably deems necessary for the security or
- 22 marketability of such bonds and to provide for the payment of fees and
- 23 other charges and expenses, including underwriters' discount, trustee
- 24 and rating agency fees, bond insurance, credit enhancement and liquidity
- 25 enhancement related to the issuance of such bonds and notes. No reserve
- 26 fund securing the housing program bonds shall be entitled or eligible to
- 27 receive state funds apportioned or appropriated to maintain or restore
- 28 such reserve fund at or to a particular level, except to the extent of

- 1 any deficiency resulting directly or indirectly from a failure of the
- 2 state to appropriate or pay the agreed amount under any of the contracts
- 3 provided for in subdivision four of this section.
- 4 § 29. Subdivision (b) of section 11 of chapter 329 of the laws of
- 5 1991, amending the state finance law and other laws relating to the
- 6 establishment of the dedicated highway and bridge trust fund, as amended
- 7 by section 31 of part I of chapter 55 of the laws of 2014, is amended to
- 8 read as follows:
- 9 (b) Any service contract or contracts for projects authorized pursuant
- 10 to sections 10-c, 10-f, 10-g and 80-b of the highway law and section
- 11 14-k of the transportation law, and entered into pursuant to subdivision
- 12 (a) of this section, shall provide for state commitments to provide
- 13 annually to the thruway authority a sum or sums, upon such terms and
- 14 conditions as shall be deemed appropriate by the director of the budget,
- 15 to fund, or fund the debt service requirements of any bonds or any obli-
- 16 gations of the thruway authority issued to fund or to reimburse the
- 17 state for funding such projects having a cost not in excess of
- 18 [\$8,120,728,000] <u>\$8,608,881,000</u> cumulatively by the end of fiscal year
- 19 [2014-15] <u>2015-16</u>.
- 20 § 30. Subdivision 1 of section 1689-i of the public authorities law,
- 21 as amended by section 32 of part I of chapter 55 of the laws of 2014, is
- 22 amended to read as follows:
- 23 1. The dormitory authority is authorized to issue bonds, at the
- 24 request of the commissioner of education, to finance eligible library
- 25 construction projects pursuant to section two hundred seventy-three-a of
- 26 the education law, in amounts certified by such commissioner not to
- 27 exceed a total principal amount of [one hundred twenty-six] one hundred
- 28 <u>forty</u> million dollars.

§ 31. Subdivision (a) of section 27 of part Y of chapter 61 of the 1 laws of 2005, providing for the administration of certain funds and accounts related to the 2005-2006 budget, as amended by section 33 of 3 part I of chapter 55 of the laws of 2014, is amended to read as follows: 5 Subject to the provisions of chapter 59 of the laws of 2000, but notwithstanding any provisions of law to the contrary, the urban devel-7 opment corporation is hereby authorized to issue bonds or notes in one or more series in an aggregate principal amount not to [\$149,600,000] <u>\$155,600,000</u>, excluding bonds issued to finance one or 10 more debt service reserve funds, to pay costs of issuance of such bonds, and bonds or notes issued to refund or otherwise repay such bonds or 11 12 notes previously issued, for the purpose of financing capital projects including IT initiatives for the division of state police, debt service 13 and leases; and to reimburse the state general fund for disbursements 14 made therefor. Such bonds and notes of such authorized issuer shall not 15 be a debt of the state, and the state shall not be liable thereon, nor 16 17 shall they be payable out of any funds other than those appropriated by the state to such authorized issuer for debt service and related 18 19 expenses pursuant to any service contract executed pursuant to subdivi-20 sion (b) of this section and such bonds and notes shall contain on the face thereof a statement to such effect. Except for purposes of comply-22 ing with the internal revenue code, any interest income earned on bond proceeds shall only be used to pay debt service on such bonds. 23 24 § 32. Section 44 of section 1 of chapter 174 of the laws of constituting the New York state urban development corporation act, as 25 amended by section 34 of part I of chapter 55 of the laws of 2014, is 26

27

amended to read as follows:

§ 44. Issuance of certain bonds or notes. 1. Notwithstanding the 1 provisions of any other law to the contrary, the dormitory authority and the corporation are hereby authorized to issue bonds or notes in one or 3 more series for the purpose of funding project costs for the regional economic development council initiative, the economic transformation 5 program, state university of New York college for nanoscale and science 6 7 engineering, projects within the city of Buffalo or surrounding environs, the New York works economic development fund, projects for the retention of professional football in western New York, the empire state 10 economic development fund, the clarkson-trudeau partnership, the New York genome center, the cornell university college of veterinary medi-11 12 cine, the olympic regional development authority, a project at nano Utica, onondaga county revitalization projects, Binghamton university 13 school of pharmacy, New York power electronics manufacturing consortium, 14 15 and other state costs associated with such projects. The aggregate principal amount of bonds authorized to be issued pursuant to this section 16 17 shall not exceed two billion [two] four hundred [three] eighty-eight million two hundred fifty-seven thousand dollars, excluding bonds issued 18 19 to fund one or more debt service reserve funds, to pay costs of issuance 20 of such bonds, and bonds or notes issued to refund or otherwise repay such bonds or notes previously issued. Such bonds and notes of the 22 dormitory authority and the corporation shall not be a debt of the state, and the state shall not be liable thereon, nor shall they be 23 24 payable out of any funds other than those appropriated by the state to the dormitory authority and the corporation for principal, interest, and 25 26 related expenses pursuant to a service contract and such bonds and notes 27 shall contain on the face thereof a statement to such effect. Except for purposes of complying with the internal revenue code, any interest 28

1 income earned on bond proceeds shall only be used to pay debt service on

2 such bonds.

2. Notwithstanding any other provision of law to the contrary, in 3 order to assist the dormitory authority and the corporation in undertaking the financing for project costs for the regional economic development council initiative, the economic transformation program, state university of New York college for nanoscale and science engineering, projects within the city of Buffalo or surrounding environs, the New York works economic development fund, projects for the retention of professional football in western New York, the empire state economic development fund, the clarkson-trudeau partnership, the New York genome 11 12 center, the cornell university college of veterinary medicine, the olympic regional development authority, a project at nano Utica, onondaga 13 county revitalization projects, Binghamton university school of pharma-14 15 cy, New York power electronics manufacturing consortium, and other state costs associated with such projects, the director of the budget is here-16 17 by authorized to enter into one or more service contracts with the dormitory authority and the corporation, none of which shall exceed 18 19 thirty years in duration, upon such terms and conditions as the director 20 of the budget and the dormitory authority and the corporation agree, so as to annually provide to the dormitory authority and the corporation, 22 in the aggregate, a sum not to exceed the principal, interest, and related expenses required for such bonds and notes. Any service contract 23 24 entered into pursuant to this section shall provide that the obligation of the state to pay the amount therein provided shall not constitute a 25 26 debt of the state within the meaning of any constitutional or statutory provision and shall be deemed executory only to the extent of monies 27 28 available and that no liability shall be incurred by the state beyond

- 1 the monies available for such purpose, subject to annual appropriation
- 2 by the legislature. Any such contract or any payments made or to be made
- 3 thereunder may be assigned and pledged by the dormitory authority and
- 4 the corporation as security for its bonds and notes, as authorized by
- 5 this section.
- 6 § 33. Subdivision 3 of section 1285-p of the public authorities law,
- 7 as amended by section 35 of part I of chapter 55 of the laws of 2014, is
- 8 amended to read as follows:
- 9 3. The maximum amount of bonds that may be issued for the purpose of
- 10 financing environmental infrastructure projects authorized by this
- 11 section shall be one billion [three] five hundred [ninety-eight] seven-
- 12 ty-five million [two] seven hundred sixty thousand dollars, exclusive of
- 13 bonds issued to fund any debt service reserve funds, pay costs of issu-
- 14 ance of such bonds, and bonds or notes issued to refund or otherwise
- 15 repay bonds or notes previously issued. Such bonds and notes of the
- 16 corporation shall not be a debt of the state, and the state shall not be
- 17 liable thereon, nor shall they be payable out of any funds other than
- 18 those appropriated by the state to the corporation for debt service and
- 19 related expenses pursuant to any service contracts executed pursuant to
- 20 subdivision one of this section, and such bonds and notes shall contain
- 21 on the face thereof a statement to such effect.
- 22 § 34. Subdivision 1 of section 45 of section 1 of chapter 174 of the
- 23 laws of 1968, constituting the New York state urban development corpo-
- 24 ration act, as amended by section 37 of part I of chapter 55 of the laws
- 25 of 2014, is amended to read as follows:
- 26 1. Notwithstanding the provisions of any other law to the contrary,
- 27 the urban development corporation of the state of New York is hereby
- 28 authorized to issue bonds or notes in one or more series for the purpose

of funding project costs for the implementation of a NY-SUNY and NY-CUNY 2020 challenge grant program subject to the approval of a NY-SUNY and NY-CUNY 2020 plan or plans by the governor and either the chancellor of 3 the state university of New York or the chancellor of the city university of New York, as applicable. The aggregate principal amount of bonds 5 authorized to be issued pursuant to this section shall not exceed 7 [\$330,000,000] \$440,000,000, excluding bonds issued to fund one or more debt service reserve funds, to pay costs of issuance of such bonds, and bonds or notes issued to refund or otherwise repay such bonds or notes previously issued. Such bonds and notes of the corporation shall not be a debt of the state, and the state shall not be liable thereon, nor 11 12 shall they be payable out of any funds other than those appropriated by the state to the corporation for principal, interest, and related 13 expenses pursuant to a service contract and such bonds and notes shall 14 contain on the face thereof a statement to such effect. Except for 15 purposes of complying with the internal revenue code, any interest 16 17 income earned on bond proceeds shall only be used to pay debt service on such bonds. 18 § 35. Subdivision (a) of section 48 of part K of chapter 81 of the 19 20 laws of 2002, providing for the administration of certain funds and accounts related to the 2002-2003 budget, as amended by section 38 of part I of chapter 55 of the laws of 2014, is amended to read as follows: (a) Subject to the provisions of chapter 59 of the laws of 2000 but 23 notwithstanding the provisions of section 18 of the urban development 24 corporation act, the corporation is hereby authorized to issue bonds or 25 26 notes in one or more series in an aggregate principal amount not to 27 exceed \$197,000,000 excluding bonds issued to fund one or more debt service reserve funds, to pay costs of issuance of such bonds, and bonds 28

or notes issued to refund or otherwise repay such bonds or notes previously issued, for the purpose of financing capital costs related to homeland security and training facilities for the division of state 3 police, the division of military and naval affairs, and any other state agency, including the reimbursement of any disbursements made from the state capital projects fund, and is hereby authorized to issue bonds or notes in one or more series in an aggregate principal amount not to exceed [\$317,800,000] \$469,800,000, excluding bonds issued to fund one or more debt service reserve funds, to pay costs of issuance of such 10 bonds, and bonds or notes issued to refund or otherwise repay such bonds or notes previously issued, for the purpose of financing improvements to 11 12 State office buildings and other facilities located statewide, including the reimbursement of any disbursements made from the state capital 13 14 projects fund. Such bonds and notes of the corporation shall not be a 15 debt of the state, and the state shall not be liable thereon, nor shall they be payable out of any funds other than those appropriated by the 16 17 state to the corporation for debt service and related expenses pursuant to any service contracts executed pursuant to subdivision (b) of this 18 19 section, and such bonds and notes shall contain on the face thereof a 20 statement to such effect. § 36. Subdivision 1 of section 386-b of the public authorities law, as

- 21
- 22 amended by section 39 of part I of chapter 55 of the laws of 2014, is
- amended to read as follows: 23
- 24 1. Notwithstanding any other provision of law to the contrary, the
- authority, the dormitory authority and the urban development corporation 25
- 26 are hereby authorized to issue bonds or notes in one or more series for
- 27 the purpose of financing peace bridge projects and capital costs of
- state and local highways, parkways, bridges, the New York state thruway, 28

1 Indian reservation roads, and facilities, and transportation infrastruc-

- ? ture projects including aviation projects, non-MTA mass transit
- 3 projects, and rail service preservation projects, including work appur-
- 4 tenant and ancillary thereto. The aggregate principal amount of bonds
- 5 authorized to be issued pursuant to this section shall not exceed one
- 6 billion four hundred [sixty-five] forty million dollars [(\$465,000,000)]
- 7 \$1,440,000,000, excluding bonds issued to fund one or more debt service
- 8 reserve funds, to pay costs of issuance of such bonds, and to refund or
- 9 otherwise repay such bonds or notes previously issued. Such bonds and
- 10 notes of the authority, the dormitory authority and the urban develop-
- 11 ment corporation shall not be a debt of the state, and the state shall
- 12 not be liable thereon, nor shall they be payable out of any funds other
- 13 than those appropriated by the state to the authority, the dormitory
- 14 authority and the urban development corporation for principal, interest,
- 15 and related expenses pursuant to a service contract and such bonds and
- 16 notes shall contain on the face thereof a statement to such effect.
- 17 Except for purposes of complying with the internal revenue code, any
- 18 interest income earned on bond proceeds shall only be used to pay debt
- 19 service on such bonds.
- 20 § 37. Paragraph (c) of subdivision 19 of section 1680 of the public
- 21 authorities law, as amended by section 40 of part I of chapter 55 of the
- 22 laws of 2014, is amended to read as follows:
- 23 (c) Subject to the provisions of chapter fifty-nine of the laws of two
- 24 thousand, the dormitory authority shall not issue any bonds for state
- 25 university educational facilities purposes if the principal amount of
- 26 bonds to be issued when added to the aggregate principal amount of bonds
- 27 issued by the dormitory authority on and after July first, nineteen
- 28 hundred eighty-eight for state university educational facilities will

exceed [ten] eleven billion [nine] two hundred [eighty-four] twentyeight million dollars; provided, however, that bonds issued or to be issued shall be excluded from such limitation if: (1) such bonds are 3 issued to refund state university construction bonds and state university construction notes previously issued by the housing finance agency; or (2) such bonds are issued to refund bonds of the authority or other 6 7 obligations issued for state university educational facilities purposes and the present value of the aggregate debt service on the refunding bonds does not exceed the present value of the aggregate debt service on 10 the bonds refunded thereby; provided, further that upon certification by the director of the budget that the issuance of refunding bonds or other 11 12 obligations issued between April first, nineteen hundred ninety-two and March thirty-first, nineteen hundred ninety-three will generate long 13 term economic benefits to the state, as assessed on a present value 14 15 basis, such issuance will be deemed to have met the present value test noted above. For purposes of this subdivision, the present value of the 16 17 aggregate debt service of the refunding bonds and the aggregate debt service of the bonds refunded, shall be calculated by utilizing the true 18 19 interest cost of the refunding bonds, which shall be that rate arrived 20 at by doubling the semi-annual interest rate (compounded semi-annually) necessary to discount the debt service payments on the refunding bonds 22 from the payment dates thereof to the date of issue of the refunding 23 bonds to the purchase price of the refunding bonds, including interest accrued thereon prior to the issuance thereof. The maturity of such 24 bonds, other than bonds issued to refund outstanding bonds, shall not 25 exceed the weighted average economic life, as certified by the state 26 27 university construction fund, of the facilities in connection with which the bonds are issued, and in any case not later than the earlier of 28

1 thirty years or the expiration of the term of any lease, sublease or

- other agreement relating thereto; provided that no note, including
- 3 renewals thereof, shall mature later than five years after the date of
- 4 issuance of such note. The legislature reserves the right to amend or
- 5 repeal such limit, and the state of New York, the dormitory authority,
- 6 the state university of New York, and the state university construction
- 7 fund are prohibited from covenanting or making any other agreements with
- 8 or for the benefit of bondholders which might in any way affect such
- 9 right.
- 10 § 38. Paragraph (c) of subdivision 14 of section 1680 of the public
- 11 authorities law, as amended by section 41 of part I of chapter 55 of the
- 12 laws of 2014, is amended to read as follows:
- 13 (c) Subject to the provisions of chapter fifty-nine of the laws of two
- 14 thousand, (i) the dormitory authority shall not deliver a series of
- 15 bonds for city university community college facilities, except to refund
- 16 or to be substituted for or in lieu of other bonds in relation to city
- 17 university community college facilities pursuant to a resolution of the
- 18 dormitory authority adopted before July first, nineteen hundred eighty-
- 19 five or any resolution supplemental thereto, if the principal amount of
- 20 bonds so to be issued when added to all principal amounts of bonds
- 21 previously issued by the dormitory authority for city university commu-
- 22 nity college facilities, except to refund or to be substituted in lieu
- 23 of other bonds in relation to city university community college facili-
- 24 ties will exceed the sum of four hundred twenty-five million dollars and
- 25 (ii) the dormitory authority shall not deliver a series of bonds issued
- 26 for city university facilities, including community college facilities,
- 27 pursuant to a resolution of the dormitory authority adopted on or after
- 28 July first, nineteen hundred eighty-five, except to refund or to be

1 substituted for or in lieu of other bonds in relation to city university

- ? facilities and except for bonds issued pursuant to a resolution supple-
- 3 mental to a resolution of the dormitory authority adopted prior to July
- 4 first, nineteen hundred eighty-five, if the principal amount of bonds so
- 5 to be issued when added to the principal amount of bonds previously
- 6 issued pursuant to any such resolution, except bonds issued to refund or
- 7 to be substituted for or in lieu of other bonds in relation to city
- 8 university facilities, will exceed seven billion [two] three hundred
- 9 [seventy-three] <u>ninety-two</u> million [three] <u>seven</u> hundred [thirty-one]
- 10 fifty-three thousand dollars. The legislature reserves the right to
- 11 amend or repeal such limit, and the state of New York, the dormitory
- 12 authority, the city university, and the fund are prohibited from coven-
- 13 anting or making any other agreements with or for the benefit of bond-
- 14 holders which might in any way affect such right.
- 15 § 39. Subdivision 10-a of section 1680 of the public authorities law,
- 16 as amended by section 42 of part I of chapter 55 of the laws of 2014, is
- 17 amended to read as follows:
- 18 10-a. Subject to the provisions of chapter fifty-nine of the laws of
- 19 two thousand, but notwithstanding any other provision of the law to the
- 20 contrary, the maximum amount of bonds and notes to be issued after March
- 21 thirty-first, two thousand two, on behalf of the state, in relation to
- 22 any locally sponsored community college, shall be [seven] eight hundred
- 23 [seventy-six] thirty-eight million [three] four hundred [five] fifty-
- 24 eight thousand dollars. Such amount shall be exclusive of bonds and
- 25 notes issued to fund any reserve fund or funds, costs of issuance and to
- 26 refund any outstanding bonds and notes, issued on behalf of the state,
- 27 relating to a locally sponsored community college.

1 § 40. Section 1680-r of the public authorities law, as added by

section 43 of part I of chapter 55 of the laws of 2014, is amended to

3 read as follows:

4 § 1680-r. Authorization for the issuance of bonds for the capital

5 restructuring financing program and the health care facility transforma-

6 tion program. 1. Notwithstanding the provisions of any other law to the

7 contrary, the dormitory authority and the urban development corporation

are hereby authorized to issue bonds or notes in one or more series for

9 the purpose of funding project costs for the capital restructuring

10 financing program for health care and related facilities licensed pursu-

11 ant to the public health law or the mental hygiene law and other state

12 costs associated with such capital projects and the health care facility

13 transformation program. The aggregate principal amount of bonds author-

14 ized to be issued pursuant to this section shall not exceed [one] two

15 billion two hundred million dollars, excluding bonds issued to fund one

16 or more debt service reserve funds, to pay costs of issuance of such

17 bonds, and bonds or notes issued to refund or otherwise repay such bonds

18 or notes previously issued. Such bonds and notes of the dormitory

19 authority and the urban development corporation shall not be a debt of

20 the state, and the state shall not be liable thereon, nor shall they be

21 payable out of any funds other than those appropriated by the state to

22 the dormitory authority and the urban development corporation for prin-

23 cipal, interest, and related expenses pursuant to a service contract and

24 such bonds and notes shall contain on the face thereof a statement to

25 such effect. Except for purposes of complying with the internal revenue

26 code, any interest income earned on bond proceeds shall only be used to

27 pay debt service on such bonds.

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2. Notwithstanding any other provision of law to the contrary, in order to assist the dormitory authority and the urban development corporation in undertaking the financing for project costs for the capital 3 restructuring financing program for health care and related facilities licensed pursuant to the public health law or the mental hygiene law and other state costs associated with such capital projects and the health 7 care facility transformation program, the director of the budget is hereby authorized to enter into one or more service contracts with the dormitory authority and the urban development corporation, none of which 10 shall exceed thirty years in duration, upon such terms and conditions as the director of the budget and the dormitory authority and the urban 11 12 development corporation agree, so as to annually provide to the dormitory authority and the urban development corporation, in the aggregate, a 13 sum not to exceed the principal, interest, and related expenses required 14 15 for such bonds and notes. Any service contract entered into pursuant to this section shall provide that the obligation of the state to pay the 16 17 amount therein provided shall not constitute a debt of the state within the meaning of any constitutional or statutory provision and shall be 18 deemed executory only to the extent of monies available and that no 19 20 liability shall be incurred by the state beyond the monies available for such purpose, subject to annual appropriation by the legislature. Any 21 22 such contract or any payments made or to be made thereunder may be 23 assigned and pledged by the dormitory authority and the urban development corporation as security for its bonds and notes, as authorized by 24 this section. 25 § 41. Subdivision 1 of section 17 of part D of chapter 389 of the laws 26 27 of 1997, relating to the financing of the correctional facilities

improvement fund and the youth facility improvement fund, as amended by

1 section 44 of part I of chapter 55 of the laws of 2014, is amended to

2 read as follows:

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3 Subject to the provisions of chapter 59 of the laws of 2000, but notwithstanding the provisions of section 18 of section 1 of chapter 174 of the laws of 1968, the New York state urban development corporation is hereby authorized to issue bonds, notes and other obligations in an 7 aggregate principal amount not to exceed [four] six hundred [sixty-five] eleven million [three] two hundred [sixty-five] fifteen thousand dollars [(\$465,365,000)] (\$611,215,000), which authorization increases the 10 aggregate principal amount of bonds, notes and other obligations authorized by section 40 of chapter 309 of the laws of 1996, and shall include 11 12 all bonds, notes and other obligations issued pursuant to chapter 211 of the laws of 1990, as amended or supplemented. The proceeds of such 13 bonds, notes or other obligations shall be paid to the state, for depos-14 it in the youth facilities improvement fund, to pay for all or any 15 portion of the amount or amounts paid by the state from appropriations 16 17 or reappropriations made to the office of children and family services from the youth facilities improvement fund for capital projects. 18 19 aggregate amount of bonds, notes and other obligations authorized to be 20 issued pursuant to this section shall exclude bonds, notes or other 21 obligations issued to refund or otherwise repay bonds, notes or other 22 obligations theretofore issued, the proceeds of which were paid to the 23 state for all or a portion of the amounts expended by the state from 24 appropriations or reappropriations made to the office of children and family services; provided, however, that upon any such refunding or 25 repayment the total aggregate principal amount of outstanding bonds, 26 27 notes or other obligations may be greater than [four] six hundred

[sixty-five] eleven million [three] two hundred [sixty-five] fifteen

1 thousand dollars [(\$465,365,000)] (\$611,215,000), only if the present

- 2 value of the aggregate debt service of the refunding or repayment bonds,
- 3 notes or other obligations to be issued shall not exceed the present
- 4 value of the aggregate debt service of the bonds, notes or other obli-
- 5 gations so to be refunded or repaid. For the purposes hereof, the pres-
- 6 ent value of the aggregate debt service of the refunding or repayment
- 7 bonds, notes or other obligations and of the aggregate debt service of
- 8 the bonds, notes or other obligations so refunded or repaid, shall be
- 9 calculated by utilizing the effective interest rate of the refunding or
- 10 repayment bonds, notes or other obligations, which shall be that rate
- 11 arrived at by doubling the semi-annual interest rate (compounded semi-
- 12 annually) necessary to discount the debt service payments on the refund-
- 13 ing or repayment bonds, notes or other obligations from the payment
- 14 dates thereof to the date of issue of the refunding or repayment bonds,
- 15 notes or other obligations and to the price bid including estimated
- 16 accrued interest or proceeds received by the corporation including esti-
- 17 mated accrued interest from the sale thereof.
- 18 § 42. Paragraph b of subdivision 2 of section 9-a of section 1 of
- 19 chapter 392 of the laws of 1973, constituting the New York state medical
- 20 care facilities finance agency act, as amended by section 46 of part I
- 21 of chapter 55 of the laws of 2014, is amended to read as follows:
- 22 b. The agency shall have power and is hereby authorized from time to
- 23 time to issue negotiable bonds and notes in conformity with applicable
- 24 provisions of the uniform commercial code in such principal amount as,
- 25 in the opinion of the agency, shall be necessary, after taking into
- 26 account other moneys which may be available for the purpose, to provide
- 27 sufficient funds to the facilities development corporation, or any
- 28 successor agency, for the financing or refinancing of or for the design,

construction, acquisition, reconstruction, rehabilitation or improvement of mental health services facilities pursuant to paragraph a of this subdivision, the payment of interest on mental health services improve-3 ment bonds and mental health services improvement notes issued for such purposes, the establishment of reserves to secure such bonds and notes, 5 the cost or premium of bond insurance or the costs of any financial 6 7 mechanisms which may be used to reduce the debt service that would be payable by the agency on its mental health services facilities improvement bonds and notes and all other expenditures of the agency incident 10 to and necessary or convenient to providing the facilities development corporation, or any successor agency, with funds for the financing or 11 12 refinancing of or for any such design, construction, acquisition, reconstruction, rehabilitation or improvement and for the refunding of mental 13 hygiene improvement bonds issued pursuant to section 47-b of the private 14 housing finance law; provided, however, that the agency shall not issue 15 mental health services facilities improvement bonds and mental health 16 17 services facilities improvement notes in an aggregate principal amount exceeding seven billion [four] seven hundred [thirty-five] twenty-two 18 19 million eight hundred fifteen thousand dollars, excluding mental health 20 services facilities improvement bonds and mental health services facilities improvement notes issued to refund outstanding mental health 21 22 services facilities improvement bonds and mental health services facilities improvement notes; provided, however, that upon any such refunding 23 or repayment of mental health services facilities improvement bonds 24 and/or mental health services facilities improvement notes the total 25 26 aggregate principal amount of outstanding mental health services facili-27 ties improvement bonds and mental health facilities improvement notes may be greater than seven billion [four] seven hundred [thirty-five] 28

twenty-two million eight hundred fifteen thousand dollars only if, 2 except as hereinafter provided with respect to mental health services facilities bonds and mental health services facilities notes issued to 3 refund mental hygiene improvement bonds authorized to be issued pursuant to the provisions of section 47-b of the private housing finance law, 5 the present value of the aggregate debt service of the refunding or 6 7 repayment bonds to be issued shall not exceed the present value of the aggregate debt service of the bonds to be refunded or repaid. For purposes hereof, the present values of the aggregate debt service of the 10 refunding or repayment bonds, notes or other obligations and of the aggregate debt service of the bonds, notes or other obligations so 11 12 refunded or repaid, shall be calculated by utilizing the effective interest rate of the refunding or repayment bonds, notes or other obli-13 gations, which shall be that rate arrived at by doubling the semi-annual 14 interest rate (compounded semi-annually) necessary to discount the debt 15 service payments on the refunding or repayment bonds, notes or other 16 17 obligations from the payment dates thereof to the date of issue of the refunding or repayment bonds, notes or other obligations and to 18 19 price bid including estimated accrued interest or proceeds received by 20 the authority including estimated accrued interest from the sale there-21 of. Such bonds, other than bonds issued to refund outstanding bonds, 22 shall be scheduled to mature over a term not to exceed the average 23 useful life, as certified by the facilities development corporation, of 24 the projects for which the bonds are issued, and in any case shall not exceed thirty years and the maximum maturity of notes or any renewals 25 26 thereof shall not exceed five years from the date of the original issue 27 of such notes. Notwithstanding the provisions of this section, the agency shall have the power and is hereby authorized to issue mental health 28

1 services facilities improvement bonds and/or mental health services

- facilities improvement notes to refund outstanding mental hygiene
- 3 improvement bonds authorized to be issued pursuant to the provisions of
- 4 section 47-b of the private housing finance law and the amount of bonds
- 5 issued or outstanding for such purposes shall not be included for
- 6 purposes of determining the amount of bonds issued pursuant to this
- 7 section. The director of the budget shall allocate the aggregate princi-
- 8 pal authorized to be issued by the agency among the office of mental
- 9 health, office for people with developmental disabilities, and the
- 10 office of alcoholism and substance abuse services, in consultation with
- 11 their respective commissioners to finance bondable appropriations previ-
- 12 ously approved by the legislature.
- 13 § 43. Paragraph (b) of subdivision 3 of section 1 and clause (B) of
- 14 subparagraph (iii) of paragraph (j) of subdivision 4 of section 1 of
- 15 part D of chapter 63 of the laws of 2005 relating to the composition and
- 16 responsibilities of the New York state higher education capital matching
- 17 grant board, as amended by section 46-c of part I of chapter 55 of the
- 18 laws of 2014, is amended to read as follows:
- 19 (b) Within amounts appropriated therefor, the board is hereby author-
- 20 ized and directed to award matching capital grants totaling [180] 210
- 21 million dollars. Each college shall be eligible for a grant award amount
- 22 as determined by the calculations pursuant to subdivision five of this
- 23 section. In addition, such colleges shall be eligible to compete for
- 24 additional funds pursuant to paragraph (h) of subdivision four of this
- 25 section.
- 26 (B) The dormitory authority shall not issue any bonds or notes in an
- 27 amount in excess of [180] 210 million dollars for the purposes of this
- 28 section; excluding bonds or notes issued to fund one or more debt

1 service reserve funds, to pay costs of issuance of such bonds, and bonds

- 2 or notes issued to refund or otherwise repay such bonds or notes previ-
- 3 ously issued. Except for purposes of complying with the internal revenue
- 4 code, any interest on bond proceeds shall only be used to pay debt
- 5 service on such bonds.
- 6 § 44. Section 3 of part B of chapter 56 of the laws of 2014, consti-
- 7 tuting the smart schools bond act of 2014, is amended to read as
- 8 follows:
- 9 § 3. Bonds of the state. (a) The state comptroller is hereby author-
- 10 ized and empowered to issue and sell bonds of the state up to the aggre-
- 11 gate amount of two billion dollars (\$2,000,000,000) for the purposes of
- 12 this act, subject to the provisions of article five of the state finance
- 13 law. The aggregate principal amount of such bonds shall not exceed two
- 14 billion dollars (\$2,000,000,000) excluding bonds issued to refund or
- 15 otherwise repay bonds heretofore issued for such purpose; provided,
- 16 however, that upon any such refunding or repayment, the total aggregate
- 17 principal amount of outstanding bonds may be greater than two billion
- 18 dollars (\$2,000,000,000) only if the present value of the aggregate debt
- 19 service of the refunding or repayment bonds to be issued shall not
- 20 exceed the present value of the aggregate debt service of the bonds to
- 21 be refunded or repaid. The method for calculating present value shall be
- 22 determined by law.
- 23 (b) Notwithstanding the foregoing or any other provision of law to the
- 24 contrary the dormitory authority and the urban development corporation
- 25 may also issue bonds pursuant to article 5-c and article 5-f of the
- 26 state finance law to finance such smart schools bond act purposes. Any
- 27 bonds issued pursuant to this authorization shall be subject to the same
- 28 aggregate principal limitation contained in paragraph (a) of this

- 1 section, including bonds of the state issued by the state comptroller,
- 2 and are otherwise subject to any and all of the provisions applicable by
- 3 article 5-c and article 5-f of the state finance law.
- 4 § 45. Subdivisions 1 and 3 of section 1285-q of the public authorities
- 5 law, as added by section 6 of part I of chapter 1 of the laws of 2003,
- 6 are amended to read as follows:
- 7 1. Subject to chapter fifty-nine of the laws of two thousand, but
- 8 notwithstanding any other provisions of law to the contrary, in order to
- 9 assist the corporation in undertaking the administration and the financ-
- 10 ing of hazardous waste site remediation projects for payment of the
- 11 state's share of the costs of the remediation of hazardous waste sites,
- 12 in accordance with title thirteen of article twenty-seven of the envi-
- 13 ronmental conservation law and section ninety-seven-b of the state
- 14 finance law, and for payment of state costs associated with the remedi-
- 15 ation of offsite contamination at significant threat sites as provided
- 16 in section 27-1411 of the environmental conservation law, and beginning
- 17 in state fiscal year two thousand fifteen two thousand sixteen for
- 18 environmental restoration projects pursuant to title five of article
- 19 fifty-six of the environmental conservation law pursuant to capital
- 20 appropriations made to the department of environmental conservation, the
- 21 director of the division of budget and the corporation are each author-
- 22 ized to enter into one or more service contracts, none of which shall
- 23 exceed twenty years in duration, upon such terms and conditions as the
- 24 director and the corporation may agree, so as to annually provide to the
- 25 corporation in the aggregate, a sum not to exceed the annual debt
- 26 service payments and related expenses required for any bonds and notes
- 27 authorized pursuant to section twelve hundred ninety of this title. Any
- 28 service contract entered into pursuant to this section shall provide

1 that the obligation of the state to fund or to pay the amounts therein

Provided for shall not constitute a debt of the state within the meaning

3 of any constitutional or statutory provision and shall be deemed execu-

l tory only to the extent of moneys available for such purposes, subject

5 to annual appropriation by the legislature. Any such service contract or

6 any payments made or to be made thereunder may be assigned and pledged

7 by the corporation as security for its bonds and notes, as authorized

B pursuant to section twelve hundred ninety of this title.

3. The maximum amount of bonds that may be issued for the purpose of financing hazardous waste site remediation projects and environmental restoration projects authorized by this section shall not exceed one 11 12 billion [two] three hundred million dollars and shall not exceed one hundred twenty million dollars for appropriations enacted for any state 13 fiscal year, provided that the bonds not issued for such appropriations 14 may be issued pursuant to reappropriation in subsequent fiscal years. 15 [No bonds shall be issued for the repayment of any new appropriation 16 17 enacted after March thirty-first, two thousand thirteen for hazardous waste site remediation projects authorized by this section.] Amounts 18 authorized to be issued by this section shall be exclusive of bonds 19 20 issued to fund any debt service reserve funds, pay costs of issuance of such bonds, and bonds or notes issued to refund or otherwise repay bonds 21 22 or notes previously issued. Such bonds and notes of the corporation shall not be a debt of the state, and the state shall not be liable 23 24 thereon, nor shall they be payable out of any funds other than those appropriated by this state to the corporation for debt service and 25 26 related expenses pursuant to any service contracts executed pursuant to 27 subdivision one of this section, and such bonds and notes shall contain on the face thereof a statement to such effect. 28

1 § 46. Subdivision 1 of section 386-a of the public authorities law, as

2 added by section 46 of part U of chapter 59 of the laws of 2012, is

3 amended to read as follows:

23

24

1. Notwithstanding any other provision of law to the contrary, the 4 authority, the dormitory authority and the urban development corporation 5 6 are hereby authorized to issue bonds or notes in one or more series for 7 the purpose of assisting the metropolitan transportation authority in the financing of transportation facilities as defined in subdivision seventeen of section twelve hundred sixty-one of this chapter. The 10 aggregate principal amount of bonds authorized to be issued pursuant to this section shall not exceed one billion [seven] five hundred [seventy] 11 12 <u>twenty</u> million dollars [(\$770,000,000)] (\$1,520,000,000), excluding bonds issued to fund one or more debt service reserve funds, to pay 13 costs of issuance of such bonds, and to refund or otherwise repay such 14 15 bonds or notes previously issued. Such bonds and notes of the authority, the dormitory authority and the urban development corporation shall not 16 17 be a debt of the state, and the state shall not be liable thereon, nor shall they be payable out of any funds other than those appropriated by 18 19 the state to the authority, the dormitory authority and the urban devel-20 opment corporation for principal, interest, and related expenses pursuant to a service contract and such bonds and notes shall contain on the 21 22 face thereof a statement to such effect. Except for purposes of comply-

§ 47. This act shall take effect immediately and shall be deemed to have been in full force and effect on and after April 1, 2015; provided, however, that the provisions of sections one through eight and sections

proceeds shall only be used to pay debt service on such bonds.

ing with the internal revenue code, any interest income earned on bond

- 1 thirteen through twenty of this act shall expire March 31, 2016, when
- 2 upon such date the provisions of such sections shall be deemed repealed.
- 3 § 2. Severability clause. If any clause, sentence, paragraph, subdivi-
- 4 sion, section or part of this act shall be adjudged by any court of
- 5 competent jurisdiction to be invalid, such judgment shall not affect,
- 6 impair, or invalidate the remainder thereof, but shall be confined in
- 7 its operation to the clause, sentence, paragraph, subdivision, section
- 8 or part thereof directly involved in the controversy in which such judg-
- 9 ment shall have been rendered. It is hereby declared to be the intent of
- 10 the legislature that this act would have been enacted even if such
- 11 invalid provisions had not been included herein.
- 12 § 3. This act shall take effect immediately provided, however, that
- 13 the applicable effective date of Parts A through P of this act shall be
- 14 as specifically set forth in the last section of such Parts.