

OFFICE FOR PEOPLE WITH DEVELOPMENTAL DISABILITIES

MISSION

The mission of the Office for People with Developmental Disabilities (OPWDD) is to help people with developmental disabilities live richer lives. The agency's vision is to ensure that people with developmental disabilities enjoy meaningful relationships with family, friends, and others in their lives; experience personal health and growth; and live in homes and fully participate in the communities of their choice.

ORGANIZATION AND STAFFING

Under the direction of a Commissioner appointed by the Governor and subject to Senate confirmation, OPWDD currently operates through 13 district offices called Developmental Disabilities Services Offices (DDSO). Its Central Office oversees and supports operations of the district offices. OPWDD also operates the Institute for Basic Research in Developmental Disabilities (IBR) on Staten Island. By the end of 2011-12, there will be approximately 37,000 individuals with developmental disabilities residing in community settings funded by the State, with 300 individuals living in developmental centers and approximately 1,000 in special units. Over the past two decades, the developmental center population has declined by more than 9,000 and the current plan is to move all remaining 300 individuals in developmental centers to the community by 2014.

BUDGET HIGHLIGHTS

The 2011-12 Executive Budget recommends almost **\$4.6 billion** All Funds appropriations (\$1.4 billion General Fund; \$3.2 billion Other Funds) for OPWDD to continue to support a comprehensive system of care serving the more than 126,000 persons with developmental disabilities and their families. This is a decrease of **\$167 million (-3.5 percent)** from 2010-11, which is largely attributable to the actions outlined below. The Executive Budget recommendations for 2011-12 require a number of savings actions in both State Operations and Local Assistance, while recognizing the need to maintain essential direct supports and services and maintain the high quality of care for individuals with developmental disabilities in both not-for-profit and OPWDD-operated programs.

The Executive Budget reduces each agency's General Fund State Operations budget by 10 percent. These savings are intended to be achieved through administrative efficiencies in non-personal service and negotiated workforce savings that minimize layoffs to the extent possible.

The overall recommendation maintains the State's commitment to support a wide range of New Yorkers with developmental disabilities, including those who are medically frail, behaviorally challenged - especially those with autism - those who have been placed out-of-State or who are "aging out" of education or other child care systems, as well as those living at home. However, OPWDD continues to improve the efficiency and cost-effectiveness of its operations and the network of not-for-profit providers it oversees and financially supports. In addition to a 10 percent reduction in State Operations costs, major new budget actions to promote cost efficiency, streamline operations, restructure programs and services, and rationalize reimbursement include:

PEOPLE WITH DEVELOPMENTAL DISABILITIES

- **Deferring Cost-of-Living Adjustment (COLA).** Defer the planned 1.2 percent human services COLA for one year. However, to continue the State's long-term commitment, a three-year human services COLA is planned to commence April 1, 2012 and continue through the 2014-15 fiscal year.
- **Reforming of Various Programs.** Reform the financing of various OPWDD programs through rate, price and contract adjustments to both residential and non-residential services to reflect efficiencies, program restructurings and other cost savings. These reforms include more aggressive reviews of providers' overall surplus/loss analysis, as well as further constraints on administrative and non-personal service costs. Funding for workshop, day training and other day services will be reduced to encourage placements into other more effective community-based integrated day and employment programs. In addition, funding for transportation services and residential habilitation services delivered in supervised Individualized Residential Alternative programs will be reduced to encourage efficiencies.
- **Managing the Development of Community Residential Opportunities.** Delay the development of OPWDD community adult and children residential opportunities while investing funding to add 2,300 lower-cost residential and/or non-residential opportunities to support individuals and their families during this period. Continue the 1.1 percent local aid reduction begun in 2010-11.

PROGRAM HIGHLIGHTS

OPWDD serves more than 126,000 New Yorkers with developmental disabilities, which include primarily developmental disabilities, autism, epilepsy, cerebral palsy, and neurological impairments. In recent years, New York has made great strides in improving its methods of delivering services to this vulnerable population, moving more people from institutions to the community than any other state in the nation. In addition, OPWDD continues to place greater emphasis on individual choice, opportunities that support greater independence and integration into the community, improved sustainability, and satisfaction, and has instituted a recurring survey designed by individuals, families and other stakeholders, which is used to evaluate individuals' satisfaction and the success of residential opportunities under the NYS-CARES program.

Under a 1991 Federal Medicaid waiver, individuals with developmental disabilities have the opportunity to receive individualized services in less restrictive settings. With the aid of trained service coordinators, individuals choose the services they need and receive them in appropriate settings. The HCBS waiver promotes smaller, more natural home environments and people living with families or independently with appropriate supports. Home size has continued to decrease, thereby supporting individualized, quality services. Today, two-thirds of the homes in the community accommodate six people or less; of these, two-thirds accommodate four people or less.

Maintaining a statewide system of services, delivered through both the State and not-for-profit providers, OPWDD:

- Provides over 38,000 persons with certified community and institutional residential services. Since 1998, more than 18,000 people have left the residential registration list for homes in the community;
- Provides over 58,000 people with community day services; and
- Supports another 43,000 people who live with their families.

An overview of these services is provided below.

PEOPLE WITH DEVELOPMENTAL DISABILITIES

RESIDENTIAL SERVICES

Residential services are offered through a continuum of programs in both community and institutional settings. The alternative that provides the most intensive services in a community setting is the Intermediate Care Facility for the Developmentally Disabled (ICF/DD). These facilities – approximately 6,000 beds operated statewide by both State and not-for-profit providers – are appropriate for individuals requiring intensive 24-hour care.

The most common residential opportunity for persons under the waiver is in Individual Residential Alternatives (IRAs) – homes, typically for four to eight people, operated by either the State or not-for-profit providers in the community. This budget supports more than 28,000 individuals with developmental disabilities living in this setting with accompanying service coordination. Other community living arrangements include Community Residences and Family Care homes, which serve more than 2,800 people.

In addition to these community-based residential programs, OPWDD operates nine campuses across the State. Approximately 1,300 people will be served in these settings by the end of 2011-12, of which more than 75 percent will be receiving specialized services. OPWDD remains committed to providing community residential opportunities for all those in State institutions who can receive appropriate care in the community. Currently, New York serves more persons in community residential settings than any other state, with the exception of California.

DAY SERVICES

OPWDD supports an array of day services for persons with developmental disabilities, which also vary depending on the needs of the individuals. The major programs include:

- Day treatment, which provides diagnostic, treatment and rehabilitative services;
- Day habilitation, a smaller, more individualized service for persons under the Federal Medicaid waiver for home and community based services;
- Supported employment, which provides the opportunity for individuals to work in competitive positions, usually in integrated settings in the private sector;
- Sheltered workshops, which provide basic, non-competitive work opportunities; and,
- Day training, which develops the knowledge and skills that enable persons with developmental disabilities to improve their personal, social, and vocational skills and to function independently.

SUPPORT FOR INDIVIDUALS LIVING IN THEIR OWN HOMES

OPWDD services also support families and individuals with developmental disabilities in their own homes.

The Family Support Services program currently assists nearly 43,000 persons with developmental disabilities and their families, enabling these individuals to remain at home and receive over 73,000 individualized services. Services offered include respite, crisis intervention, case management, recreation, information and referral, and home care. Funding for services to individuals with developmental disabilities and families has also been expanded within Family Support Services.

PEOPLE WITH DEVELOPMENTAL DISABILITIES

The Individualized Support Services program provides services to nearly 2,200 individuals with developmental disabilities who live independently. In addition, nearly 11,000 individuals living in their own homes receive residential habilitation under the Federal Medicaid waiver with funding to support an additional 1,500 individuals with developmental disabilities. The Care-at-Home program provides a third alternative, designed to preserve family settings for persons under 18 years of age with developmental disabilities who are medically frail. This program allows the parents of young persons with severe disabilities to maintain the child at home, regardless of family income level, thereby preventing more costly out-of-home placements.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2010-11	Appropriations Recommended 2011-12	Change	Reappropriations Recommended 2011-12
State Operations	2,263,789,000	2,150,820,700	(112,968,300)	3,714,000
Aid To Localities	2,363,796,000	2,296,901,500	(66,894,500)	0
Capital Projects	139,560,000	151,995,000	12,435,000	380,330,000
Total	<u>4,767,145,000</u>	<u>4,599,717,200</u>	<u>(167,427,800)</u>	<u>384,044,000</u>

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2010-11 Estimated FTEs 03/31/11	2011-12 Estimated FTEs 03/31/12	FTE Change
Central Coordination and Support			
Special Revenue Funds - Other	745	739	(6)
Community Services			
Special Revenue Funds - Other	14,721	14,600	(121)
Institutional Services			
Special Revenue Funds - Other	5,743	5,696	(47)
Research in Mental Retardation			
Special Revenue Funds - Other	140	139	(1)
Total	<u>21,349</u>	<u>21,174</u>	<u>(175)</u>

The above table does not reflect layoffs that may be necessary in the absence of negotiated workforce savings.

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2010-11	Recommended 2011-12	Change
Special Revenue Funds - Federal	751,000	751,000	0
Special Revenue Funds - Other	2,260,020,000	2,147,051,700	(112,968,300)
Enterprise Funds	2,668,000	2,668,000	0
Internal Service Funds	350,000	350,000	0
Total	<u>2,263,789,000</u>	<u>2,150,820,700</u>	<u>(112,968,300)</u>
Adjustments:			
Transfer(s) From			
Special Pay Bill			
Special Revenue Funds - Other	(4,328,000)		
Appropriated 2010-11	<u>2,259,461,000</u>		

PEOPLE WITH DEVELOPMENTAL DISABILITIES

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2010-11	Recommended 2011-12	Change
Central Coordination and Support			
Special Revenue Funds - Federal	751,000	751,000	0
Special Revenue Funds - Other	120,390,000	114,370,500	(6,019,500)
Internal Service Funds	350,000	350,000	0
Community Services			
Special Revenue Funds - Other	1,372,491,000	1,303,866,450	(68,624,550)
Institutional Services			
Special Revenue Funds - Other	740,245,000	703,257,950	(36,987,050)
Enterprise Funds	2,668,000	2,668,000	0
Research in Mental Retardation			
Special Revenue Funds - Other	26,894,000	25,556,800	(1,337,200)
Total	<u>2,263,789,000</u>	<u>2,150,820,700</u>	<u>(112,968,300)</u>

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2011-12 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Central Coordination and Support	115,471,500	(6,019,500)	59,397,800	(3,126,200)
Community Services	1,303,866,450	(68,624,550)	771,132,100	(40,585,900)
Institutional Services	705,925,950	(36,987,050)	321,096,450	(16,884,550)
Research in Mental Retardation	25,556,800	(1,337,200)	15,677,850	(825,150)
Total	<u>2,150,820,700</u>	<u>(112,968,300)</u>	<u>1,167,304,200</u>	<u>(61,421,800)</u>

Program	Nonpersonal Service	
	Amount	Change
Central Coordination and Support	56,073,700	(2,893,300)
Community Services	532,734,350	(28,038,650)
Institutional Services	384,829,500	(20,102,500)
Research in Mental Retardation	9,878,950	(512,050)
Total	<u>983,516,500</u>	<u>(51,546,500)</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2010-11	Recommended 2011-12	Change
General Fund	1,472,023,000	1,430,364,800	(41,658,200)
Special Revenue Funds - Other	891,773,000	866,536,700	(25,236,300)
Total	<u>2,363,796,000</u>	<u>2,296,901,500</u>	<u>(66,894,500)</u>

Adjustments:			
Transfer(s) From			
People with Developmental Disabilities, Office for General Fund	(129,799,000)		
Transfer(s) To			
People with Developmental Disabilities, Office for Special Revenue Funds - Other	129,799,000		
Appropriated 2010-11	<u>2,363,796,000</u>		

PEOPLE WITH DEVELOPMENTAL DISABILITIES

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2010-11	Recommended 2011-12	Change
Community Services			
General Fund	1,472,023,000	1,430,364,800	(41,658,200)
Special Revenue Funds - Other	891,773,000	866,536,700	(25,236,300)
Total	<u>2,363,796,000</u>	<u>2,296,901,500</u>	<u>(66,894,500)</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Comprehensive Construction Program	Available 2010-11	Recommended 2011-12	Change	Reappropriations 2011-12
Design and Construction Supervision				
Capital Projects Fund	5,000,000	5,000,000	0	0
MH Capital Improvements - Authority Bonds	6,000,000	6,000,000	0	3,724,000
Voluntary-Operated Community Facilities				
Capital Projects Fund	10,070,000	10,370,000	300,000	10,070,000
MH Capital Improvements - Authority Bonds	6,710,000	7,000,000	290,000	13,160,000
State-Operated Community Services Program				
Capital Projects Fund	24,255,000	28,235,000	3,980,000	33,063,000
MH Capital Improvements - Authority Bonds	29,325,000	32,000,000	2,675,000	86,570,000
Institutional Services Program				
Capital Projects Fund	16,700,000	17,535,000	835,000	62,003,000
MH Capital Improvements - Authority Bonds	40,500,000	44,855,000	4,355,000	171,740,000
Non-Bondable				
Capital Projects Fund	1,000,000	1,000,000	0	0
Total	<u>139,560,000</u>	<u>151,995,000</u>	<u>12,435,000</u>	<u>380,330,000</u>