

DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION

MISSION

The Department of Corrections and Community Supervision – the combined entity which will result from the proposed merger of the Department of Correctional Services and the Division of Parole – will be responsible for the safe and secure confinement of convicted felons, preparing these individuals for successful reintegration into the community upon release, setting the conditions of release, supervising offenders in the community, and assisting parolees toward successful completion of their sentence.

ORGANIZATION AND STAFFING

The 2011-12 Executive Budget redesigns the provision of State services and recalibrates State spending by merging the Department of Correctional Services and the Division of Parole into the new Department of Corrections and Community Supervision. The agency will have a new unified mission – to provide offenders support throughout the period of incarceration and reintegration into the community, thereby increasing their ability to successfully return to the community. By blending the operations of the formerly separate agencies, the focus on re-entry is strengthened, and the integration of programming both inside and outside the prisons is improved. By enhancing the prospects of offenders successfully returning to life in their home communities, the agency lowers the risk of new crimes, makes the community safer, and reduces the costs of returning offenders to prison.

The Department oversees the nation's fourth largest state prison system, currently operating 67 institutions, grouped within nine regional hubs. Each of the 65 correctional facilities, as well as the Willard Drug Treatment Campus in Seneca County and including Edgecombe Residential Treatment Facility in Manhattan – both of which are operated by the Department in cooperation with the Office of Alcoholism and Substance Abuse Services, is managed by a Superintendent, who reports to the Commissioner. More than 19,800 – or 64 percent – of the new combined Department's staff are security personnel, with remaining staff primarily dedicated to the delivery of inmate programs, health services, community supervision and facility operations. Community supervision staff is located across the State in 38 community-based field supervision offices.

The Parole Board will continue as an independent body, housed within and receiving administrative support from the new agency. The members of the Board are appointed by the Governor, and confirmed by the Senate. One member is designated by the Governor to serve as the Board's Chair. Board members review the cases of offenders eligible for parole release and determine if the offender should be released to parole supervision.

BUDGET HIGHLIGHTS

The Executive Budget recommends **\$2.9 billion** All Funds (\$2.4 billion General Fund; \$39 million Federal Funds; \$30 million Other Funds; \$74 million Internal Service Funds; \$43 million Enterprise Funds; \$320 million in Capital Projects Funds) for the Department of Corrections and Community Supervision. There is a decrease of \$271 million in General Fund State Operations appropriations (**-10 percent**) from the combined 2010-11 totals of the Department of Correctional Services and the Division of

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Parole. The Executive Budget reduces each agency's General Fund State Operations budget by 10 percent. Generally these savings are intended to be achieved through administrative efficiencies in non-personal service and negotiated workforce savings that minimize layoffs to the extent possible. In this Department, savings are also anticipated from the closure of prison facilities, reduced central office management staff, and the merger.

Despite the efforts of the Department of Correctional Services to consolidate and achieve savings, the system continues to maintain more facilities than are necessary to house the State's prison population safely. With the closure of only five small facilities during the past several years, excess capacity remains. Total capacity in medium and minimum general confinement facilities is approximately 36,400 beds, of which at least 3,500 are not needed. The transfer of inmates into facilities that operate the most efficiently and offer more rehabilitative services is expected to yield significant recurring savings and eliminate most of the excess capacity. The Governor will create a task force by Executive Order that will recommend the specific facilities to be closed. If the task force does not recommend rightsizing, the Commissioner would be empowered to implement facility closures. Communities impacted by the closures would receive assistance from the Governor's new regional economic development councils, with up to \$100 million available to help communities end their reliance on incarceration as a major source of employment and economic sustainability.

PROGRAM HIGHLIGHTS

CORRECTIONS

Since peaking at nearly 71,600 in 1999, the under-custody prison population is projected to have declined by approximately 15,000 inmates by the end of the current fiscal year. This decline can be largely attributed to the dramatic drop in the State's crime rate over the last decade, and the success of legislatively enacted programs that allow certain non-violent offenders to earn time off their sentences for good behavior and program achievements.

As soon as an inmate enters prison, an assessment is conducted to determine the need for rehabilitative programs. The Department offers a variety of programs designed to improve the prospects of an offender's successful re-entry into the community. These include educational programming, with the minimum goal of assisting inmates who do not have high school diplomas to receive General Equivalency Diplomas; apprenticeships; substance abuse treatment services; anger management therapy; and a sex offender treatment program which was expanded under the Sex Offender Management and Treatment Act of 2007.

Meeting the critical need of providing appropriate levels of medical services is important to the safety of the prison system and to the general public when an inmate is released. The Department acts quickly to diagnose and begin treatment, if necessary, when an inmate enters prison and provides the greatest degree of services possible to seek a cure.

The Department continues to expand and enhance its services for inmates with mental illness. The Department of Correctional Services opened a state-of-the-art Residential Mental Health Unit (RMHU) at Marcy Correctional Facility in Oneida County in

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December 2009 for up to 100 inmates with serious mental illness and disciplinary sanctions. The Department also plans to open a second, 60-bed RMHU at Five Points Correctional Facility in Seneca County in 2011-12.

COMMUNITY SUPERVISION

The Community Supervision program retains responsibility for activities conducted by the former Division of Parole. The focus of this program is to prepare inmates for re-entry into the community; assist the Board in making release determinations; and supervise parolees released from prison while supporting their successful reintegration into the community.

Immediately upon being released from prison, parolees are assigned to a Field Parole Officer. Field Parole Officers supervise parolees by monitoring behavior, reviewing employment, evaluating treatment progress, and administering drug tests. In addition to closely monitoring offenders in the community, the Division helps parolees avoid reverting to a life of crime by contracting for various services to support their return to society, such as supportive housing and employment training. Through the merger, the Department will create a more seamless system for assessing the needs of offenders, ensuring access to services both inside and outside the confines of the prison walls, and addressing factors essential to successful community re-entry.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2010-11	Appropriations Recommended 2011-12	Change	Reappropriations Recommended 2011-12
State Operations	2,875,707,000	2,605,074,000	(270,633,000)	39,422,000
Aid To Localities	22,171,000	17,171,000	(5,000,000)	15,153,000
Capital Projects	320,000,000	320,000,000	0	655,345,000
Total	<u>3,217,878,000</u>	<u>2,942,245,000</u>	<u>(275,633,000)</u>	<u>709,920,000</u>

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ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2010-11 Estimated FTEs 03/31/11	2011-12 Estimated FTEs 03/31/12	FTE Change
Administration			
General Fund	214	214	0
Special Revenue Funds - Federal	653	653	0
Enterprise Funds	11	11	0
Community Supervision			
General Fund	0	1,893	1,893
Correctional Industries			
Internal Service Funds	291	291	0
Facilities Planning and Development			
Capital Projects Funds - Other	30	30	0
Health Services			
General Fund	1,953	2,003	50
Program Services			
General Fund	3,132	3,132	0
Supervision of Inmates			
General Fund	20,494	19,844	(650)
Support Services			
General Fund	3,100	3,105	5
Total	<u>29,878</u>	<u>31,176</u>	<u>1,298</u>

The above table does not reflect layoffs that may be necessary in the absence of negotiated workforce savings.

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2010-11	Recommended 2011-12	Change
General Fund	2,689,347,000	2,418,614,000	(270,733,000)
Special Revenue Funds - Federal	38,900,000	39,400,000	500,000
Special Revenue Funds - Other	30,755,000	30,355,000	(400,000)
Enterprise Funds	43,013,000	43,013,000	0
Internal Service Funds	73,692,000	73,692,000	0
Total	<u>2,875,707,000</u>	<u>2,605,074,000</u>	<u>(270,633,000)</u>
Adjustments:			
Transfer(s) From			
Parole, Division of			
General Fund	(166,362,000)		
Special Revenue Funds - Federal	(600,000)		
Special Revenue Funds - Other	(1,275,000)		
Special Pay Bill			
General Fund	(10,279,000)		
Appropriated 2010-11	<u>2,697,191,000</u>		

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STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2010-11	Recommended 2011-12	Change
Administration			
General Fund	26,646,000	22,182,000	(4,464,000)
Special Revenue Funds - Federal	38,300,000	38,800,000	500,000
Special Revenue Funds - Other	25,250,000	25,250,000	0
Enterprise Funds	2,701,000	2,701,000	0
Community Supervision			
General Fund	166,362,000	149,726,000	(16,636,000)
Special Revenue Funds - Federal	600,000	600,000	0
Special Revenue Funds - Other	1,275,000	1,275,000	0
Correctional Industries			
Enterprise Funds	0	412,000	412,000
Internal Service Funds	73,692,000	73,692,000	0
Health Services			
General Fund	360,523,000	324,471,000	(36,052,000)
Program Services			
General Fund	234,257,000	210,832,000	(23,425,000)
Special Revenue Funds - Other	100,000	100,000	0
Enterprise Funds	39,900,000	39,900,000	0
Supervision of Inmates			
General Fund	1,455,368,000	1,309,831,000	(145,537,000)
Support Services			
General Fund	446,191,000	401,572,000	(44,619,000)
Special Revenue Funds - Other	4,130,000	3,730,000	(400,000)
Enterprise Funds	412,000	0	(412,000)
Total	<u>2,875,707,000</u>	<u>2,605,074,000</u>	<u>(270,633,000)</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2011-12 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	15,571,000	(1,730,000)	15,467,000	(1,718,000)
Community Supervision	118,534,000	(13,170,000)	114,862,000	(12,762,000)
Health Services	123,998,000	(13,778,000)	112,119,000	(12,458,000)
Program Services	174,429,000	(19,381,000)	168,713,000	(18,746,000)
Supervision of Inmates	1,288,290,000	(143,144,000)	1,218,294,000	(135,366,000)
Support Services	160,200,000	(17,800,000)	150,467,000	(16,719,000)
Total	<u>1,881,022,000</u>	<u>(209,003,000)</u>	<u>1,779,922,000</u>	<u>(197,769,000)</u>

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Administration	0	0	104,000	(12,000)
Community Supervision	63,000	(7,000)	3,609,000	(401,000)
Health Services	5,282,000	(587,000)	6,597,000	(733,000)
Program Services	5,014,000	(557,000)	702,000	(78,000)
Supervision of Inmates	11,428,000	(1,270,000)	58,568,000	(6,508,000)
Support Services	197,000	(22,000)	9,536,000	(1,059,000)
Total	<u>21,984,000</u>	<u>(2,443,000)</u>	<u>79,116,000</u>	<u>(8,791,000)</u>

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STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2011-12 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	6,611,000	(2,734,000)	345,000	(38,000)
Community Supervision	31,192,000	(3,466,000)	960,000	(107,000)
Health Services	200,473,000	(22,274,000)	78,345,000	(8,705,000)
Program Services	36,403,000	(4,044,000)	7,018,000	(780,000)
Supervision of Inmates	21,541,000	(2,393,000)	10,134,000	(1,126,000)
Support Services	241,372,000	(26,819,000)	118,649,000	(13,183,000)
Total	<u>537,592,000</u>	<u>(61,730,000)</u>	<u>215,451,000</u>	<u>(23,939,000)</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	306,000	(34,000)	5,372,000	(597,000)
Community Supervision	3,404,000	(378,000)	25,471,000	(2,830,000)
Health Services	381,000	(42,000)	120,965,000	(13,440,000)
Program Services	415,000	(46,000)	26,967,000	(2,996,000)
Supervision of Inmates	2,718,000	(302,000)	5,925,000	(658,000)
Support Services	294,000	(33,000)	112,983,000	(12,554,000)
Total	<u>7,518,000</u>	<u>(835,000)</u>	<u>297,683,000</u>	<u>(33,075,000)</u>

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	588,000	(65,000)	0	(2,000,000)
Community Supervision	1,357,000	(151,000)	0	0
Health Services	782,000	(87,000)	0	0
Program Services	2,003,000	(222,000)	0	0
Supervision of Inmates	2,764,000	(307,000)	0	0
Support Services	9,446,000	(1,049,000)	0	0
Total	<u>16,940,000</u>	<u>(1,881,000)</u>	<u>0</u>	<u>(2,000,000)</u>

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2011-12 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	66,751,000	500,000	51,323,000	0
Community Supervision	1,875,000	0	100,000	0
Correctional Industries	74,104,000	412,000	20,239,000	0
Program Services	40,000,000	0	0	0
Support Services	3,730,000	(812,000)	0	0
Total	<u>186,460,000</u>	<u>100,000</u>	<u>71,662,000</u>	<u>0</u>

Program	Nonpersonal Service	
	Amount	Change
Administration	15,428,000	500,000
Community Supervision	1,775,000	0
Correctional Industries	53,865,000	412,000
Program Services	40,000,000	0
Support Services	3,730,000	(812,000)
Total	<u>114,798,000</u>	<u>100,000</u>

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AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2010-11	Recommended 2011-12	Change
General Fund	11,171,000	6,171,000	(5,000,000)
Internal Service Funds	11,000,000	11,000,000	0
Total	<u>22,171,000</u>	<u>17,171,000</u>	<u>(5,000,000)</u>

Adjustments:

Transfer(s) From			
Parole, Division of			
General Fund	(10,971,000)		
Internal Service Funds	(11,000,000)		
Appropriated 2010-11	<u>200,000</u>		

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2010-11	Recommended 2011-12	Change
Community Supervision			
General Fund	10,971,000	5,971,000	(5,000,000)
Internal Service Funds	11,000,000	11,000,000	0
Support Services			
General Fund	200,000	200,000	0
Total	<u>22,171,000</u>	<u>17,171,000</u>	<u>(5,000,000)</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Comprehensive Construction Program	Available 2010-11	Recommended 2011-12	Change	Reappropriations 2011-12
Maintenance and Improvement of Existing Facilities				
Correctional Facilities Capital Improvement Fund	320,000,000	320,000,000	0	655,345,000
Total	<u>320,000,000</u>	<u>320,000,000</u>	<u>0</u>	<u>655,345,000</u>