

# **OFFICE OF THE STATE INSPECTOR GENERAL**

## **MISSION**

The Office of the State Inspector General is responsible for detecting, investigating, deterring and eliminating corruption, fraud, criminal activity, conflicts of interest, abuses, and waste in the State entities under its jurisdiction. The Office's jurisdiction includes Executive Branch agencies, departments, divisions, offices, boards, commissions, public authorities and public benefit corporations and any entity of State government headed by an appointee of the Governor that does not have its own statutory Inspector General. Its mission is designed to ensure that State government maintains the highest standards of integrity and accountability.

## **ORGANIZATION AND STAFFING**

The Office of the State Inspector General consolidates most of the State's inspector general activities in a centralized office that replaced what were formerly separate, semi-independent deputy inspectors general located within the agencies they served. Led by the Inspector General who is appointed by the Governor, the Office is headquartered in Albany and has offices in New York City and Buffalo.

## **BUDGET HIGHLIGHTS**

The 2010-11 Executive Budget recommendations provide over **\$6.2 million All Funds** (\$6.1 million General Fund; \$100,000 All Other Funds), a \$687,000 General Fund decrease from the prior year. The Executive Budget projects a staffing level of **62** in 2010-11.

## **PROGRAM HIGHLIGHTS**

In the 2009 calendar year, the Office of the State Inspector General anticipates receiving approximately 2,300 complaints of fraud, criminal activity, waste and abuse involving State employees and resources. This is a dramatic increase of over 600 complaints in the 2008 calendar year. The Office focuses its investigative efforts on major cases involving theft, bribery, contract fraud, abuse of authority, and other serious allegations of corruption. The Office works jointly with local, State and Federal law enforcement personnel and prosecutors on significant matters of public concern. The Office's investigations have uncovered instances of theft, bid rigging, bribery, creation of fraudulent documents, misuse of computers, construction industry fraud, and systemic abuse and waste in various agencies that have resulted in the arrest of more than 560 individuals, and referrals for discipline in many other cases. In addition, numerous cases have resulted in recommendations for administrative or policy changes.

# INSPECTOR GENERAL

## ALL FUNDS APPROPRIATIONS (dollars)

| Category          | Available<br>2009-10 | Appropriations<br>Recommended<br>2010-11 | Change    | Reappropriations<br>Recommended<br>2010-11 |
|-------------------|----------------------|--|-----------|--|
| State Operations  | 6,925,000            | 6,238,000                                | (687,000) | 0  |
| Aid To Localities | 0                    | 0  | 0         | 0  |
| Capital Projects  | 0                    | 0  | 0         | 0  |
| Total             | 6,925,000            | 6,238,000                                | (687,000) | 0  |

## ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

### Full-Time Equivalent Positions (FTE)

| Program           | 2009-10<br>Estimated FTEs<br>03/31/10 | 2010-11<br>Estimated FTEs<br>03/31/11 | FTE Change |
|-------------------|---------------------------------------|---------------------------------------|------------|
| Inspector General |                                       |                                       |            |
| General Fund      | 62                                    | 62                                    | 0          |
| Total             | 62                                    | 62                                    | 0          |

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

| Fund Type                     | Available<br>2009-10 | Recommended<br>2010-11 | Change    |
|-------------------------------|----------------------|------------------------|-----------|
| General Fund                  | 6,825,000            | 6,138,000              | (687,000) |
| Special Revenue Funds - Other | 100,000              | 100,000                | 0         |
| Total                         | 6,925,000            | 6,238,000              | (687,000) |

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

| Program                       | Available<br>2009-10 | Recommended<br>2010-11 | Change    |
|-------------------------------|----------------------|------------------------|-----------|
| Inspector General             |                      |                        |           |
| General Fund                  | 6,825,000            | 6,138,000              | (687,000) |
| Special Revenue Funds - Other | 100,000              | 100,000                | 0         |
| Total                         | 6,925,000            | 6,238,000              | (687,000) |

## STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

| Program           | Total     |           | Personal Service Regular<br>(Annual Salaried) |           |
|-------------------|-----------|-----------|---|-----------|
|                   | Amount    | Change    | Amount  | Change    |
| Inspector General | 5,701,000 | (231,000) | 5,690,000                                     | (231,000) |
| Total             | 5,701,000 | (231,000) | 5,690,000                                     | (231,000) |

| Program           | Temporary Service<br>(Nonannual Salaried) |        | Holiday/Overtime Pay |        |
|-------------------|---|--------|----------------------|--------|
|                   | Amount                                    | Change | Amount               | Change |
| Inspector General | 8,000                                     | 0      | 3,000                | 0      |
| Total             | 8,000                                     | 0      | 3,000                | 0      |

# INSPECTOR GENERAL

STATE OPERATIONS - GENERAL FUND  
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED  
APPROPRIATIONS AND CHANGES  
2010-11 RECOMMENDED  
(dollars)

| Program           | Total   |           | Supplies and Materials |          |
|-------------------|---------|-----------|------------------------|----------|
|                   | Amount  | Change    | Amount                 | Change   |
| Inspector General | 437,000 | (456,000) | 40,000                 | (63,000) |
| Total             | 437,000 | (456,000) | 40,000                 | (63,000) |

| Program           | Travel |          | Contractual Services |           |
|-------------------|--------|----------|----------------------|-----------|
|                   | Amount | Change   | Amount               | Change    |
| Inspector General | 40,000 | (86,000) | 317,000              | (244,000) |
| Total             | 40,000 | (86,000) | 317,000              | (244,000) |

| Program           | Equipment |          |
|-------------------|-----------|----------|
|                   | Amount    | Change   |
| Inspector General | 40,000    | (63,000) |
| Total             | 40,000    | (63,000) |

STATE OPERATIONS - OTHER THAN GENERAL FUND  
SUMMARY OF APPROPRIATIONS AND CHANGES  
2010-11 RECOMMENDED  
(dollars)

| Program           | Total   |        | Nonpersonal Service |        |
|-------------------|---------|--------|---------------------|--------|
|                   | Amount  | Change | Amount              | Change |
| Inspector General | 100,000 | 0      | 100,000             | 0      |
| Total             | 100,000 | 0      | 100,000             | 0      |