

2008-09 Spending Plan	Agency Name: Temporary Commission of Investigation
	Agency Head: Alfred D. Lerner, Chairman

A. Overview of Proposed Plan

A.1 Overall Approach

The Commission will achieve the required budget reduction by reducing its personal service expenditures by \$100,000. Reducing personal service expenditures will not affect the Commission's current staffing levels and, therefore, will not inhibit the Commission's ability to perform its statutory functions. Furthermore, following the reduction, the Commission's personal service allocation for Fiscal Year 08-09 will still contain sufficient funds to cover any unforeseen personal service expenditures. Projected disbursements do not include \$200,000 reserved for potential close-out costs that will arise if the Commission is not extended beyond March 31, 2009.

A.2 Achievement of Savings on a Recurring Basis

The Commission's enabling legislation has not yet been extended beyond March 31, 2009 and, therefore, the Commission cannot predict achievement of savings on a recurring basis.

A.3 Protecting Key Priorities

The Commission is a law enforcement agency mandated to investigate corruption, fraud and mismanagement in State and local government, monitor enforcement of State laws regarding organized crime and labor racketeering, and initiate proactive investigations designed to focus public attention on problems of local or statewide importance. The Commission both investigates and cooperates with law enforcement statewide and works closely with federal, State and local law enforcement offices to achieve its mission. Additionally, the Commission conducts investigations at the request and/or direction of the Governor, members of the Legislature, and other State officials.

As noted, the planned reduction in personal service spending will not affect the Commission's current staffing levels and, therefore, will not affect the Commission's ability to perform its statutory functions.

A.4 Treatment of New Initiatives

The Commission currently has no new initiatives planned for this fiscal year. Any new initiatives identified by the Commission will be implemented within the personal service limits identified in this spending plan.

B. Summary of General Fund Financial Impacts

GENERAL FUND -- SAVINGS SUMMARY			
	Required Reduction	2008-09 Savings	2009-10 Savings
Local Assistance	N/A	N/A	N/A
Personal Service	N/A	\$100,000	N/A
Non-personal Service	N/A	\$0	N/A
Total State Operations	\$100,000	\$100,000	N/A
Capital	N/A	N/A	N/A
TOTAL	\$100,000	\$100,000	N/A

GENERAL FUND -- YEAR-TO-YEAR CHANGE, AFTER SAVINGS			
	2007-08 Actual	Revised Projection	Percent Change
Local Assistance	N/A	N/A	N/A
Personal Service	\$2,506,700	\$2,851,000	13.7%
Non-personal Service	\$898,900	\$927,000	3.1%
Total State Operations	\$3,405,600	\$3,778,000	10.9%
Capital	N/A	N/A	N/A
TOTAL	\$3,405,000	\$3,778,000	10.9%

C. Identification of Proposed General Fund Actions

	2008-09 Cash	2009-10 Cash	2010-11 Cash
GENERAL FUND			
Local Assistance	N/A	N/A	N/A
State Operations			
1. Savings will be from personal service. The Commission will achieve the savings by delaying to fill vacant positions.	\$100,000	N/A	N/A
Capital Projects	N/A	N/A	N/A

D. Summary of Impact on Other Funds

N/A

E. Identification of Proposed Other Fund Actions

N/A

F. Plan to Manage the Workforce*F.1 Overall Approach*

The Commission is a small agency headed by six Commissioners. The Commission's staff is comprised of twenty-six employees, including a chief counsel, five attorneys, chief investigator, deputy chief investigator, nine investigators, two auditors, an executive assistant, two secretaries, two file room clerks, an information technology specialist, and a legal assistant. Since the proposed reduction in personal service spending will not affect the Commission's current staffing levels, there will be no change in management of the Commission's workforce.

F.2 Plan for Refill of Vacant Positions (both current and anticipated vacancies)

At present, the Commission has two vacant attorney positions. The Commission is in the process of hiring one attorney and plans to delay hiring a second attorney, which will achieve additional savings for the current fiscal year. Additionally, the Commission's chief counsel will be leaving the Commission effective May 28, 2008. The Commission will appoint a current staff member to serve as its acting chief counsel and will commence a search for a permanent replacement.

Workforce Impact -- All Funds	
a. Initial Target:	32
b. Current Fills PP# 3 or 4	30
c. Recurring impact of proposed actions (see Parts C & E)	0
d. Recurring impact of vacancy-refilling plan (see F.2)	2
e. Total FTEs March 31, 2009 (line b minus line c, plus/minus line d)	32
f. Change from Initial 2008-09 Target (line a minus line e)	0

G. Monthly Projections: All Funds Workforce; General Fund State Operations/Local/Capital

	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	TOTAL
A. Workforce - All Funds													
Est FTEs Month-end	30	30	30	30	31	32	32	32	32	32	32	32	32
B. State Operations													
Personal Service													
1st PP	19,700	98,200	91,900	96,400	101,700	101,700	105,600	109,700	113,800	109,700	109,700	109,700	1,167,800
2nd PP	95,800	97,400	107,300	101,700	101,700	105,600	109,700	109,700	109,700	109,700	109,700	109,700	1,267,700
3rd PP (if applies)	98,200	0	0	0	0	0	109,700	0	0	0	0	0	207,900
Subtotal PS	213,700	195,600	199,200	198,100	203,400	207,300	325,000	219,400	223,500	219,400	219,400	219,400	2,643,400
NPS	0	121,900	72,000	67,500	69,000	72,000	69,500	69,000	73,000	140,000	79,100	79,500	912,500
Total Disbursements -- State Ops	213,700	317,500	271,200	265,600	272,400	279,300	394,500	288,400	296,500	359,400	298,500	298,900	3,555,900
C. Local Assistance													
Program x	0	0	0	0	0	0	0	0	0	0	0	0	0
Program y	0	0	0	0	0	0	0	0	0	0	0	0	0
Program z	0	0	0	0	0	0	0	0	0	0	0	0	0
All other local assistance	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements - Local	0	0	0	0	0	0	0	0	0	0	0	0	0
D. Capital Projects													
Program/project aa	0	0	0	0	0	0	0	0	0	0	0	0	0
Program/project bb	0	0	0	0	0	0	0	0	0	0	0	0	0
Program/project cc	0	0	0	0	0	0	0	0	0	0	0	0	0
All other Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements -Capital	0	0	0	0	0	0	0	0	0	0	0	0	0

H. Assumptions Underlying the Proposed Plan

The proposed plan assumes that the Commission's staff levels will remain stable throughout the fiscal year. If, however, one or more employees leave the Commission during this fiscal year, the Commission may incur additional personal service expenditures in the form of payment of accrued annual leave credits. Projected disbursements do not include \$200,000 reserved for potential close-out costs that will arise if the Commission is not extended beyond March 31, 2009.

I. Management of Risks Inherent in the Plan

The Commission believes that, following the planned reduction of personal service expenditures, the Commission's personal service allocation for Fiscal Year 08-09 will still contain sufficient additional funds to cover any unforeseen personal service expenditures.

J. Additional Savings Opportunities for 2008-09

As noted, the Commission plans to delay the hiring of an attorney to fill a currently vacant position. Additionally, the Commission's search for a replacement for its current chief counsel, who, as also noted, will be leaving the agency on May 28, 2008, will necessarily take several months. This delay will result in further savings for 2008-09.

K. Potential Future Savings Opportunities/Operational Improvements (indicate if statutory change is required)

The Commission's enabling legislation has not yet been extended beyond March 31, 2009 and, therefore, the Commission cannot predict potential future savings opportunities.