

2008-09 Spending Plan	Agency Name Office of the State Comptroller
	Agency Head Thomas P. DiNapoli

A. Overview of Proposed Plan

In recognition of the current economic difficulties facing New York State, the Office of the State Comptroller will implement a 3.35% reduction in spending in the General Fund and Special Revenue-Other (State Operations) programs. The appropriations in the Local Assistance programs have already been reduced by 2%.

A.1 Overall Approach

The total amount of reductions in the General Fund (State Operations) is \$4.7M. Personal Service (PS) will be reduced by \$2.35M and Non-Personal Service (NPS) will be reduced by \$2.35M. The total amount of reductions in the Special Revenue – Other fund (State Operations) is \$ 142,800 The allocation for PS was based upon the financial resources needed to maintain adequate staffing requirements to continue our mission critical operations and to implement the Comptroller’s strategic initiatives. The allocation for NPS was based on an examination and analysis of the prior fiscal year spending patterns, fixed costs and contractual obligations for FY 08/09 as well as mandatory reductions to discretionary NPS expenditures. Spending plans for each division have been reviewed and approved by the Executive Deputy Comptroller and the Comptroller. Operational control and monitoring of the plan will be the responsibility of the agency’s Finance Office.

Each program area will be given an allocation for PS, with an authorized FTE target, and NPS for which they are responsible to manage. The Finance Office will be responsible for the review and approval of requests to fill new items and the backfilling of vacated items pursuant to the plan. The timing of the filling and backfilling will be controlled to so that the level of savings outlined in the plan is achieved. The majority of requests for NPS expenditures will come through the finance office to ensure compliance with the approved plan. Purchases made with the procurement card will be monitored on a post audit basis to ensure that only essential commodities have been acquired. The deputy comptrollers were informed that the NPS plan must minimize discretionary expenditures including supplies and material, equipment, publications and travel. All out of state travel for conferences has been restricted to mission critical operations and must be approved first at the deputy level before submission to Finance for final review and action. Additionally, the majority of expenditures on IT acquisitions must first be approved by our Chief Information Officer to ensure efficient and effective use of the agency’s IT resources. Monthly FTE and NPS expenditure reports will be sent to all program areas including the executive staff.

A.2 Achievement of Savings on a Recurring Basis

The Office of the State Comptroller will maintain a relatively flat budget for FY 09/10 for both the General Fund and the Special Revenue-Other (State Operations).

A.3 Protecting Key Priorities/New Initiatives

The resources included in the agency plan will be sufficient to maintain our core business functions including managing the State Payroll; managing State contracting; reporting on State finances; providing fiscal guidance, economic development and management consultation services to local governments; managing the Common Retirement fund investments; cash and debt management; administration of the New York State and Local Retirement Systems and maintaining a statewide accounting system. Some of the ongoing initiatives that will continue in FY 08/09 include design and development of the new Central Accounting System; maintenance of our portal applications (PARIS, Vendrep, and SSA); and the School Audit Accountability project.

B. Summary of General Fund Financial Impacts

GENERAL FUND -- SAVINGS SUMMARY			
	Required Reduction	2008-09 Savings	2009-10 Savings
Local Assistance	2%	\$836,000	\$836,000
Personal Service	N/A	\$2,350,000	
Non-personal Service	N/A	\$2,350,000	
Total State Operations	3.35%	\$4,700,000	
Capital			
TOTAL		\$5,536,000	\$836,000

FY 09/10 Local Assistance savings is based on the assumption that there will be no changes to the appropriation in FY 09/10.

GENERAL FUND -- YEAR-TO-YEAR CHANGE, AFTER SAVINGS			
	2007-08		Percent
	Actual	Revised Projection	Change
Local Assistance	39,356,000	\$40,965,000	4.09%
Personal Service	98,430,000	\$105,974,000	7.66%
Non-personal Service	34,350,000	\$33,726,000	-1.82%
Total State Operations	132,780,000	\$139,700,000	5.21%
Capital	NA	NA	
TOTAL	172,136,000	180,665,000	4.95%

D. Summary of Impact on Other Funds – Special Revenue Other

OTHER FUNDS -- SAVINGS SUMMARY			
	Required Reduction	2008-09 Savings	2009-10 Savings
Local Assistance	2%	\$1,440,000	\$1,440,000
Personal Service	N/A	\$71,400	
Non-personal Service	N/A	\$71,400	
Total State Operations	3.35%	\$142,800	
Capital	NA	NA	
TOTAL		\$1,582,800	\$1,440,000

FY 09/10 Local Assistance savings is based on the assumption that there will be no changes to the appropriation in FY 09/10.

The SRO reductions and projections exclude the Child Protection Fund and the Abandoned Property Audit Account.

OTHER FUNDS -- YEAR-TO-YEAR CHANGE, AFTER SAVINGS			
	2007-08 Actual	Revised Projection	Percent Change
Local Assistance	\$74,160,700.00	\$78,000,000.00	5.18%
Personal Service			
Non-personal Service			
Total State Operations	\$3,528,000.00	\$4,000,000.00	13.38%
Capital			
TOTAL	\$77,688,700.00	\$82,000,000.00	5.55%