

# **OFFICE OF MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES**

## **MISSION**

The mission of the Office of Mental Retardation and Developmental Disabilities (OMRDD) is to develop the highest quality, most comprehensive, integrated and innovative services and supports for New Yorkers with mental retardation and developmental disabilities and their families.

## **ORGANIZATION AND STAFFING**

Under the direction of a Commissioner appointed by the Governor, OMRDD currently operates through 14 district offices called Developmental Disabilities Services Offices (DDSO). Its Central Office oversees and supports operations of the district offices. OMRDD also operates the Institute for Basic Research in Developmental Disabilities (IBR) on Staten Island.

OMRDD will have a workforce of 23,509 for 2007-08, most of whom work directly with consumers of services. The remainder comprises supervisors of direct care workers, clinicians, administrative support and management personnel.

By the end of 2007-08, OMRDD will operate approximately 500 developmental center beds, along with over 1,200 special unit beds. Over the past two decades, the State has moved nearly 9,000 people from institutional care into more appropriate community settings.

## **FISCAL BACKGROUND AND BUDGET HIGHLIGHTS**

Total funding of nearly \$3.7 billion supports a comprehensive system of care serving more than 140,000 persons with disabilities and their families, reflecting the State's commitment to serving the needs of persons with developmental disabilities. The Executive Budget recommendations for 2007-08 preserve essential direct care and maintain the quality of services for individuals with developmental disabilities in both non-profit and OMRDD-operated programs, despite the need to implement cost-savings measures in the face of the State's fiscal difficulties.

OMRDD continues to improve the efficiency and cost-effectiveness of its operations and the network of non-profit providers it supports. In 2007-08, the Agency will achieve efficiencies through such Business Plan strategies as:

- Aggressively pursuing non-General Fund financial support for all programs;
- Maximizing use of existing service capacity and emphasizing use of less costly services, when appropriate;
- Utilizing internal controls on billing procedures, diligently reviewing eligibility for services and collaborating with the Office of the State Medicaid Inspector General (OMIG) in the area of Medicaid regulatory compliance and enforcement;
- Streamlining and updating, as appropriate, non-profit provider funding to realize efficiencies and to enhance recruitment and retention for a qualified workforce;

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- Collaborating with voluntary providers to identify unmet staff training needs in the service system and engaging in training efforts to professionalize the workforce and encourage career tracks within the mental retardation and developmental disabilities services field;
- Maintaining strict controls on hiring in administrative positions in both the DDSOs and Central Office, and continuing overtime abatement strategies;
- Achieving non-personal service savings through such creative management strategies as shared services, energy efficiencies, conversion of contract consulting staff to State positions and exploring bulk information technology purchasing with other agencies; and
- Continuing to reconfigure research goals and initiate more cost effective business protocols at IBR.

The 2007-08 Executive Budget maintains the State's commitment to serve a wide range of developmentally disabled New Yorkers, including those who are medically frail and behaviorally challenged — either in institutions or on community waiting lists for residential services — as well as consumers who have been placed out-of-State or who are “aging out” of other child care systems, by funding support for residential developments through the New York State-Creating Alternatives in Residential Environments and Services (NYS-CARES) initiative. Over 12,000 persons have already left the waiting list for homes in the community since 1998.

During this time, OMRDD has continually worked with families to review the waiting list and verify their needs. OMRDD has also instituted a recurring survey designed by consumers, families and other stakeholders, which is used to evaluate individuals' satisfaction and the success of residential placements under NYS-CARES, as well as identify areas in need of improvement. Based on the results of the latest survey, the Budget includes resources for a third phase of the NYS-CARES initiative by adding 1,000 residential placements, 2,400 at-home residential habilitation and 200 day habilitation opportunities over the next five years, with first year costs of \$12 million when fully annualized, and a commitment of over \$60 million when fully implemented. By the end of 2012, OMRDD will have developed a total of 7,800 new residential beds under NYS-CARES which, when coupled with the more than 38,000 funded community beds already in the system, will provide ample placement opportunities to individuals on the residential waiting list.

Beyond NYS-CARES development, OMRDD also will open 140 new beds to accommodate consumers aging out of other human services or educational environments as well as 125 new beds to either repatriate children placed out-of-State, or to mitigate such future placements. Additionally, the 2007-08 Executive Budget provides \$7.9 million in new resources to expand Family Support Services to another 5,000 families to help them care for loved ones with disabilities at home, including new respite opportunities and other requested services. Competitive work opportunities for 750 new consumers as well as 500 consumers currently in such non-competitive employment programs as sheltered work and day training also will be enhanced by \$3.1 million in new resources to help expand the Supported Employment program. The monies will be used to improve job development and job coaching services as well as short-term subsidies for newly-developed jobs.

The Budget also provides new funding for the second year of a three-year cost-of-living adjustment (COLA), which is tied to the Consumer Price Index (CPI) to improve existing services for non-trended voluntary programs. The COLA will be used by OMRDD to enhance funding by nearly 2.5 percent for not-for-profit providers to strengthen efforts to recruit and retain qualified direct care and clinical staff and respond to other critical

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inflationary pressures. Improving non-profit provider staff recruitment and retention activities will likewise be enhanced by the third and final year of OMRDD's innovative health care initiative. The 2007-08 Executive Budget also acknowledges the Federal Systems Transformation Grant (FY 2006), awarded to New York State for its New York State — Options for People Through Services (NYS-OPTS) Real Choice Systems Change (RCSC) initiative. Predicated upon an organized health care delivery system model, the NYS-OPTS program is focused on system and fiscal reform of the primarily Medicaid-funded system. Specifically, the RCSC grant awards New York State \$2.8 million dollars to enhance OMRDD's ability to increase delivery of services through NYS-OPTS to individuals that are dually diagnosed, aging-out, and residing in, or at risk of placement into, a nursing home. The RCSC grant also expands the NYS-OPTS infrastructure to enable OMRDD to increase individualized services and supports to persons with developmental disabilities seeking flexible, person-centered approaches to meeting their needs. Statewide, OMRDD has already approved over 130 NYS-OPTS proposals and nearly 70 have been implemented.

In total, these recommendations — through the combination of both new and existing services — will support almost 4,300 new day and residential opportunities in the community during 2007-08. This reflects nearly 1,100 people newly-placed in residential settings and almost 3,200 new service opportunities in non-residential programs. Community opportunities will be targeted for people in developmental centers and for mandated populations, as well as people on community waiting lists. Services to individuals and families have been expanded within family support services.

Infrastructure throughout the State and not-for-profit systems will be maintained through new capital appropriations of \$138.3 million and reappropriations of \$282.8 million. Capital appropriations for institutional projects continue to support the relocation of the Bernard Fineson Developmental Center in Queens, as well as focus on the need to reinvest resources into preserving and maintaining long-term facilities, mostly for a core population of consumers who have severe behavioral treatment needs and/or have been involved with the criminal justice system.

Capital projects in the community reflect a continued investment to maintain both State and voluntary not-for-profit community-based sites, and to develop new State-operated community residential placements for individuals currently in institutional settings, including consumers who have severe behavioral treatment needs or who are medically frail, and on the NYS-CARES waiting list. While minimal bonded appropriations are required to address funding needs for small providers that cannot obtain alternative financing, the vast majority of voluntary not-for-profit capital development needs for NYS-CARES and other program expansion initiatives will continue to be met primarily through the use of non-State revenue such as Federal Housing and Urban Development (HUD) grants and private financing.

### ***PROGRAM HIGHLIGHTS***

OMRDD serves more than 140,000 New Yorkers with developmental disabilities, which include primarily mental retardation, epilepsy, cerebral palsy, neurological impairments and autism. In recent years, New York has made great strides in improving its methods of delivering services to this vulnerable population, moving more people from institutions to the community than any other state in the nation. In addition, New York continues to place greater emphasis on consumer choice and satisfaction.

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Under a 1991 Federal Medicaid waiver, individuals with developmental disabilities have the opportunity to receive individualized services in less restrictive settings. With the aid of trained service coordinators, consumers choose the services they need and receive them in appropriate settings. New York commits more resources to its Home and Community Based Services (HCBS) Waiver program than any state in the nation, investing twice as much as the next highest state offering services under a waiver, with the exception of California, and has a lower cost per consumer than other comparable states. The HCBS Waiver also promotes smaller, more natural home environments. Home size has continued to decrease, thereby supporting individualized, quality services. Today, 85 percent of the homes in the community are six beds or less; two-thirds of these are four beds or less.

Maintaining a statewide system of services, delivered through both the State and non-profit providers, OMRDD:

- Provides approximately 38,000 persons with certified residential services;
- Provides about 64,000 persons with community day services; and
- Assists in the care of more than 20,000 persons with developmental disabilities who live alone and another 41,000 persons who live with their families.

An overview of these services is provided below.

### **RESIDENTIAL SERVICES**

Residential services are offered through a continuum of programs in both community and institutional settings. The alternative that provides the most intensive services in a community setting is the Intermediate Care Facility for the Developmentally Disabled (ICF/DD). These facilities — some 5,900 beds operated statewide by both State and not-for-profit providers — are appropriate for individuals requiring intensive 24-hour care.

The most common residential placement for persons under the waiver is in Individual Residential Alternatives (IRAs) — homes, typically for four to eight consumers, operated by either the State or not-for-profit providers in the community. This budget supports nearly 25,000 IRA beds and accompanying service coordination. Other community living arrangements include Community Residences and Family Care homes, which serve almost 3,800 people.

In addition to these community-based programs, OMRDD operates 10 campuses across the State. Some 1,700 people will be served in these settings by the end of 2007-08, of which more than 70 percent will be receiving specialized services. OMRDD remains committed to providing community residential opportunities for all those in State institutions who can receive appropriate care in the community. Currently, New York serves more persons in community residential settings than any other state, with the exception of California.

### **DAY SERVICES**

OMRDD supports an array of day services for persons with developmental disabilities, which also vary depending on the needs of the consumer. The major programs include:

- Day treatment which provides diagnostic, treatment and rehabilitative services;
- Day habilitation, a smaller more individualized service for persons under the Federal Medicaid waiver for home and community based services;
- Supported employment, which provides the opportunity for individuals to work in competitive positions, usually in integrated settings in the private sector;

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- Sheltered workshops, which provide basic, non-competitive work opportunities; and
- Day training, which develops the knowledge and skills that enable persons with developmental disabilities to improve their personal, social, and vocational skills and to function independently.

### **SUPPORT FOR CONSUMERS LIVING IN THEIR OWN HOMES**

OMRDD services also support families and individuals in their own homes. The Family Support Services program currently assists approximately 41,000 persons with developmental disabilities and their families, enabling these individuals to remain at home and receive nearly 65,000 individualized services. Services offered include respite, crisis intervention, case management, recreation, information and referral and home care.

The Individualized Support Services program provides services to 1,900 disabled individuals who live independently. In addition, approximately 8,000 individuals living in their own homes receive residential habilitation under the Federal Medicaid waiver.

The Care-at-Home program provides a third alternative, designed to preserve family settings for persons under 18 years of age with developmental disabilities, who are medically frail. This program allows the parents of young persons with severe disabilities to maintain the child at home, regardless of family income level, thereby preventing more costly out-of-home placements.

#### **ALL FUNDS APPROPRIATIONS (dollars)**

<b>Category</b>	<b>Available 2006-07</b>	<b>Appropriations Recommended 2007-08</b>	<b>Change</b>	<b>Reappropriations Recommended 2007-08</b>
State Operations	1,454,246,000	1,465,083,000	10,837,000	280,000
Aid To Localities	1,823,599,000	2,067,751,000	244,152,000	4,232,000
Capital Projects	147,600,000	138,355,000	(9,245,000)	282,815,000
Total	<u>3,425,445,000</u>	<u>3,671,189,000</u>	<u>245,744,000</u>	<u>287,327,000</u>

#### **ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS**

<b>Program</b>	<b>Full-Time Equivalent Positions (FTE)</b>		
	<b>2006-07 Estimated FTEs 03/31/07</b>	<b>2007-08 Estimated FTEs 03/31/08</b>	<b>FTE Change</b>
	Central Coordination and Support General Fund	829	849
Community Services General Fund	16,419	16,562	143
Institutional Services General Fund	5,839	5,913	74
Research in Mental Retardation General Fund	185	185	0
Total	<u>23,272</u>	<u>23,509</u>	<u>237</u>

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## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2006-07</u>	<u>Recommended 2007-08</u>	<u>Change</u>
General Fund	530,123,000	472,028,000	(58,095,000)
Special Revenue Funds - Federal	280,000	3,495,000	3,215,000
Special Revenue Funds - Other	921,319,000	986,804,000	65,485,000
Enterprise Funds	2,374,000	2,406,000	32,000
Internal Service Funds	150,000	350,000	200,000
Total	<u>1,454,246,000</u>	<u>1,465,083,000</u>	<u>10,837,000</u>

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2006-07</u>	<u>Recommended 2007-08</u>	<u>Change</u>
Central Coordination and Support			
General Fund	71,864,000	75,155,000	3,291,000
Special Revenue Funds - Federal	280,000	3,495,000	3,215,000
Internal Service Funds	150,000	350,000	200,000
Community Services			
General Fund	876,486,000	873,797,200	(2,688,800)
Institutional Services			
General Fund	485,666,000	492,121,000	6,455,000
Special Revenue Funds - Other	504,000	504,000	0
Enterprise Funds	2,374,000	2,406,000	32,000
Maintenance Undistributed			
General Fund	(920,765,000)	(986,250,000)	(65,485,000)
Special Revenue Funds - Other	920,765,000	986,250,000	65,485,000
Research in Mental Retardation			
General Fund	16,872,000	17,204,800	332,800
Special Revenue Funds - Other	50,000	50,000	0
Total	<u>1,454,246,000</u>	<u>1,465,083,000</u>	<u>10,837,000</u>

## STATE OPERATIONS - GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2007-08 RECOMMENDED (dollars)

<u>Program</u>	<u>Total</u>		<u>Personal Service Regular (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Central Coordination and Support	51,336,000	1,236,000	48,851,000	1,130,000
Community Services	737,115,000	(3,863,000)	718,825,000	(3,202,000)
Institutional Services	293,028,000	8,871,000	282,771,000	9,493,000
Research in Mental Retardation	15,028,000	337,000	14,846,000	592,000
Total	<u>1,096,507,000</u>	<u>6,581,000</u>	<u>1,065,293,000</u>	<u>8,013,000</u>

  

<u>Program</u>	<u>Temporary Service (Nonannual Salaried)</u>		<u>Holiday/Overtime Pay (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Central Coordination and Support	2,192,000	76,000	293,000	30,000
Community Services	5,589,000	29,000	12,701,000	(690,000)
Institutional Services	2,193,000	74,000	8,064,000	(696,000)
Research in Mental Retardation	0	(262,000)	182,000	7,000
Total	<u>9,974,000</u>	<u>(83,000)</u>	<u>21,240,000</u>	<u>(1,349,000)</u>

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## STATE OPERATIONS - GENERAL AND OFFSET FUNDS SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2007-08 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Central Coordination and Support	23,819,000	2,055,000	1,576,000	967,000
Community Services	136,682,200	1,174,200	59,550,000	10,158,000
Institutional Services	199,093,000	(2,416,000)	9,990,000	(23,499,000)
Research in Mental Retardation	2,176,800	(4,200)	1,300,000	316,000
Total	<u>361,771,000</u>	<u>809,000</u>	<u>72,416,000</u>	<u>(12,058,000)</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Central Coordination and Support	1,180,000	(189,000)	19,500,000	1,509,000
Community Services	11,425,000	3,295,000	53,550,000	(8,408,000)
Institutional Services	5,208,000	2,937,000	56,625,000	18,130,000
Research in Mental Retardation	109,000	52,000	566,800	(304,200)
Total	<u>17,922,000</u>	<u>6,095,000</u>	<u>130,241,800</u>	<u>10,926,800</u>

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Central Coordination and Support	1,563,000	(232,000)	0	0
Community Services	2,873,200	(4,310,800)	9,284,000	440,000
Institutional Services	6,874,000	2,906,000	120,396,000	(2,890,000)
Research in Mental Retardation	201,000	(68,000)	0	0
Total	<u>11,511,200</u>	<u>(1,704,800)</u>	<u>129,680,000</u>	<u>(2,450,000)</u>

## STATE OPERATIONS - OTHER THAN GENERAL FUND AND OFFSET FUNDS SUMMARY OF APPROPRIATIONS AND CHANGES 2007-08 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Central Coordination and Support	3,845,000	3,415,000	0	0
Institutional Services	2,910,000	32,000	282,000	0
Research in Mental Retardation	50,000	0	0	0
Total	<u>6,805,000</u>	<u>3,447,000</u>	<u>282,000</u>	<u>0</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Central Coordination and Support	0	0	3,845,000	3,415,000
Institutional Services	2,628,000	32,000	0	0
Research in Mental Retardation	50,000	0	0	0
Total	<u>2,678,000</u>	<u>32,000</u>	<u>3,845,000</u>	<u>3,415,000</u>

## AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2006-07	Recommended 2007-08	Change
General Fund	534,189,000	662,413,000	128,224,000
Special Revenue Funds - Other	1,289,410,000	1,405,338,000	115,928,000
Total	<u>1,823,599,000</u>	<u>2,067,751,000</u>	<u>244,152,000</u>

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## AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2006-07</u>	<u>Recommended 2007-08</u>	<u>Change</u>
Community Services			
General Fund	534,189,000	662,413,000	128,224,000
Special Revenue Funds - Other	1,289,410,000	1,405,338,000	115,928,000
Total	<u>1,823,599,000</u>	<u>2,067,751,000</u>	<u>244,152,000</u>

## CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Comprehensive Construction Program</u>	<u>Available 2006-07</u>	<u>Recommended 2007-08</u>	<u>Change</u>	<u>Reappropriations 2007-08</u>
Design and Construction Supervision				
Capital Projects Fund	3,000,000	5,000,000	2,000,000	1,000,000
MH Capital Improvements - Authority Bonds	4,000,000	4,000,000	0	2,585,000
Voluntary-Operated Community Facilities				
Capital Projects Fund	20,800,000	21,245,000	445,000	20,420,000
MH Capital Improvements - Authority Bonds	5,922,000	6,350,000	428,000	5,920,000
State-Operated Community Services Program				
Capital Projects Fund	19,320,000	19,465,000	145,000	18,400,000
MH Capital Improvements - Authority Bonds	20,300,000	23,200,000	2,900,000	28,690,000
Institutional Services Program				
Capital Projects Fund	14,115,000	14,805,000	690,000	44,530,000
MH Capital Improvements - Authority Bonds	59,143,000	43,290,000	(15,853,000)	161,270,000
Non-Bondable				
Capital Projects Fund	1,000,000	1,000,000	0	0
Total	<u>147,600,000</u>	<u>138,355,000</u>	<u>(9,245,000)</u>	<u>282,815,000</u>