

# **OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES**

## **MISSION**

The mission of the Office of Alcoholism and Substance Abuse Services (OASAS) is to improve the lives of New Yorkers by ensuring quality prevention and treatment services for chemical dependence and compulsive gambling, and promoting recovery from addiction.

## **ORGANIZATION AND STAFFING**

Headed by a Commissioner appointed by the Governor, OASAS is responsible for the development and management of the State's policy on chemical dependence and compulsive gambling. The Office exercises these responsibilities directly as a provider of treatment services through a statewide system of 13 Addiction Treatment Centers (ATCs), through the regulation and oversight of chemical dependence and compulsive gambling prevention and treatment services and as a conduit for Federal and State financial assistance. The Office also furthers State policy goals in areas that include criminal justice, health care and public assistance by coordinating chemical dependence resources and strategies in collaboration with other State agencies.

In 2007-08, OASAS will have a workforce of 983, of which nearly 60 percent provide an array of clinical treatment services through the ATCs.

## **FISCAL BACKGROUND AND BUDGET HIGHLIGHTS**

The 2007-08 Executive Budget recommends approximately \$678 million in State and other resources for OASAS to continue agency and community operations. This funding is supplemented by Federal and State resources budgeted in other State agencies, and by the contributions of local governments, voluntary agencies and other sources. Overall, the recommended budget is sufficient to ensure the full operation of the 13 State-operated ATCs, as well as the maintenance of health and safety standards in voluntary facilities.

OASAS will achieve administrative and other operational efficiencies in 2007-08 by developing and implementing Strategic Business Plans for both State Operations and Local Assistance that will generate savings as well as provide resources to reinvest in key program initiatives to support fundamental principles of the State's health care reform agenda. 2007-08 activities include:

- Collaborating with the Office of the State Medicaid Inspector General and the Department of Health in the area of Medicaid regulatory compliance and enforcement and saving an additional \$18.7 million in wasteful, inappropriate or unnecessary spending;
- Coordinating, with the Department of Health and key stakeholders (including representatives of the hospital industry and chemical dependence treatment providers), a task force charged with reform of the expensive hospital-based detoxification service system. Reforms will improve treatment outcomes addressing the problem that only some 20 percent of hospital admissions for non-complicated detoxification are currently linked to follow-up treatment within 30 days of

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discharge. The task force's goals include developing more person-centered approaches to care, implementing equitable reimbursement methodologies with incentives to improve treatment outcomes, and ensuring services are delivered in clinically appropriate settings;

- Expanding other intensive care coordination initiatives with the Office of Mental Health, the Department of Health and other stakeholders to improve patient care and outcomes while reducing costs, particularly for individuals with both mental illness and chemical dependence;
- Continuing to collaborate with the State's criminal justice agencies to streamline and fund community re-entry protocols and initiatives for chemically dependent parolees requiring treatment, employment and housing;
- Expanding residential treatment options for targeted populations and continuing to implement innovative prevention strategies;
- Eliminating unnecessary and inappropriate transition funding for freestanding inpatient rehabilitation; and
- Achieving non-personal service savings through such creative management strategies as shared services, energy efficiencies and exploring bulk information technology purchasing with other agencies.

As a result of these cost savings efforts, and in conjunction with other savings achieved by the Executive Budget recommendations, this Budget supports several new initiatives in the chemical dependence area. Recommendations provide \$25.4 million in bonded capital funding to expand the Veterans' Enhanced Treatment Services (VETS) initiative by adding 100 new intensive and community residential beds, primarily for both men and women returning home from fighting the War on Terror in Iraq and Afghanistan. Further, \$26.6 million in new bonded capital funding is included to develop an additional 100 community residential treatment beds in Nassau and Suffolk Counties for persons receiving outpatient chemical dependence treatment services.

Preserving the infrastructure of the current statewide service network is critical, and this Budget also provides an investment of \$120 million in bonded capital funds over five years to either relocate or extensively renovate existing chemical dependence treatment programs, whose aging infrastructure results in the inefficient delivery of treatment services and jeopardizes the health and safety of clients and staff.

The recommendation fully supports the second year of a three-year cost of living adjustment (COLA) tied to the Consumer Price Index for existing funded providers of chemical dependence and compulsive gambling services. This COLA will enhance funding by an additional \$12 million in 2007-08 for localities and not-for-profit providers to strengthen efforts to recruit and retain qualified direct care and clinical staff and respond to other inflationary pressures.

### ***PROGRAM HIGHLIGHTS***

Heading the largest chemical dependence service system in the nation, OASAS administers a comprehensive array of prevention and treatment services for New Yorkers. This is accomplished through a highly qualified network of State, local government and voluntary agencies and school districts. In accordance with Federal and State statute, OASAS licenses and regulates program providers, ensures that fiscal resources are appropriately spent, and assists local programs in providing the highest quality services.

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Approximately 324,000 patients are served annually in New York State's licensed chemical dependence treatment and rehabilitation and compulsive gambling system, which consists of approximately 1,200 community-based programs. OASAS also provides funding to approximately 300 prevention providers who utilize science-based, outcome-oriented programs focusing on such risk factors as family conflict, permissive attitudes towards alcohol and substance abuse, and lack of commitment to school, which research shows are predictive of adolescent problem behaviors like alcohol and substance abuse, delinquency, teen pregnancy, school drop-out and violence. While reducing these risk factors, prevention programs also focus on nurturing healthy beliefs and clear standards within community and family, since combining both activities is crucial to reducing the prevalence of problem behaviors like alcohol and substance abuse.

### **ALL FUNDS APPROPRIATIONS (dollars)**

<b>Category</b>	<b>Available 2006-07</b>	<b>Appropriations Recommended 2007-08</b>	<b>Change</b>	<b>Reappropriations Recommended 2007-08</b>
State Operations	82,212,000	89,820,000	7,608,000	5,019,000
Aid To Localities	436,674,000	468,665,000	31,991,000	172,919,000
Capital Projects	77,416,000	119,606,000	42,190,000	202,230,000
Total	<u>596,302,000</u>	<u>678,091,000</u>	<u>81,789,000</u>	<u>380,168,000</u>

### **ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS**

#### Full-Time Equivalent Positions (FTE)

<b>Program</b>	<b>2006-07 Estimated FTEs 03/31/07</b>	<b>2007-08 Estimated FTEs 03/31/08</b>	<b>FTE Change</b>
Community Treatment Services Program			
Special Revenue Funds - Federal	2	2	0
Executive Direction			
General Fund	330	340	10
Special Revenue Funds - Federal	79	79	0
Special Revenue Funds - Other	12	2	(10)
Capital-Administration			
Capital Projects Funds - Other	8	8	0
Institutional Services			
General Fund	536	536	0
Special Revenue Funds - Federal	16	16	0
Total	<u>983</u>	<u>983</u>	<u>0</u>

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## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2006-07</u>	<u>Recommended 2007-08</u>	<u>Change</u>
General Fund	53,021,000	60,543,000	7,522,000
Special Revenue Funds - Federal	6,359,000	6,445,000	86,000
Special Revenue Funds - Other	22,832,000	22,832,000	0
Total	<u>82,212,000</u>	<u>89,820,000</u>	<u>7,608,000</u>

Adjustments:

Transfer(s) From	
Alcoholism and Substance Abuse Services, Office of General Fund (Aid To Localities)	(300,000)
Appropriated 2006-07	<u>81,912,000</u>

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2006-07</u>	<u>Recommended 2007-08</u>	<u>Change</u>
Executive Direction			
General Fund	23,809,000	27,837,000	4,028,000
Special Revenue Funds - Federal	5,159,000	5,245,000	86,000
Special Revenue Funds - Other	9,232,000	9,232,000	0
Institutional Services			
General Fund	29,212,000	32,706,000	3,494,000
Special Revenue Funds - Federal	1,200,000	1,200,000	0
Special Revenue Funds - Other	13,600,000	13,600,000	0
Total	<u>82,212,000</u>	<u>89,820,000</u>	<u>7,608,000</u>

## STATE OPERATIONS - GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2007-08 RECOMMENDED (dollars)

<u>Program</u>	<u>Total</u>		<u>Personal Service Regular (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Executive Direction	23,909,000	1,546,000	23,721,000	1,538,000
Institutional Services	32,624,000	782,000	31,705,000	760,000
Total	<u>56,533,000</u>	<u>2,328,000</u>	<u>55,426,000</u>	<u>2,298,000</u>

<u>Program</u>	<u>Temporary Service (Nonannual Salaried)</u>		<u>Holiday/Overtime Pay (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Executive Direction	119,000	7,000	69,000	1,000
Institutional Services	489,000	17,000	430,000	5,000
Total	<u>608,000</u>	<u>24,000</u>	<u>499,000</u>	<u>6,000</u>

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## STATE OPERATIONS - GENERAL AND OFFSET FUNDS SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2007-08 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Executive Direction	11,828,000	2,482,000	665,000	65,000
Institutional Services	13,682,000	2,712,000	4,102,400	327,400
Total	<u>25,510,000</u>	<u>5,194,000</u>	<u>4,767,400</u>	<u>392,400</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Executive Direction	865,000	265,000	8,050,000	4,000
Institutional Services	290,100	7,100	8,854,900	2,366,900
Total	<u>1,155,100</u>	<u>272,100</u>	<u>16,904,900</u>	<u>2,370,900</u>

Program	Equipment	
	Amount	Change
Executive Direction	2,248,000	2,148,000
Institutional Services	434,600	10,600
Total	<u>2,682,600</u>	<u>2,158,600</u>

## STATE OPERATIONS - OTHER THAN GENERAL FUND AND OFFSET FUNDS SUMMARY OF APPROPRIATIONS AND CHANGES 2007-08 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Executive Direction	6,577,000	86,000	4,078,000	727,000
Institutional Services	1,200,000	0	865,000	0
Total	<u>7,777,000</u>	<u>86,000</u>	<u>4,943,000</u>	<u>727,000</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Executive Direction	1,809,000	546,000	690,000	(1,187,000)
Institutional Services	335,000	0	0	0
Total	<u>2,144,000</u>	<u>546,000</u>	<u>690,000</u>	<u>(1,187,000)</u>

## AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available	Recommended	Change
	2006-07	2007-08	
General Fund	292,230,000	325,157,000	32,927,000
Special Revenue Funds - Federal	136,404,000	135,468,000	(936,000)
Special Revenue Funds - Other	8,040,000	8,040,000	0
Total	<u>436,674,000</u>	<u>468,665,000</u>	<u>31,991,000</u>

Adjustments:

Transfer(s) To

Alcoholism and Substance Abuse Services, Office of General Fund (State Operations)	300,000
Temporary and Disability Assistance, Office of General Fund	<u>2,000,000</u>
Appropriated 2006-07	<u><u>438,974,000</u></u>

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## AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2006-07</u>	<u>Recommended 2007-08</u>	<u>Change</u>
Community Treatment Services Program			
General Fund	237,380,000	265,755,000	28,375,000
Special Revenue Funds - Federal	97,413,000	97,413,000	0
Prevention and Program Support			
General Fund	54,850,000	59,402,000	4,552,000
Special Revenue Funds - Federal	38,991,000	38,055,000	(936,000)
Special Revenue Funds - Other	8,040,000	8,040,000	0
Total	<u>436,674,000</u>	<u>468,665,000</u>	<u>31,991,000</u>

## CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Comprehensive Construction Program</u>	<u>Available 2006-07</u>	<u>Recommended 2007-08</u>	<u>Change</u>	<u>Reappropriations 2007-08</u>
Design and Construction Supervision				
Capital Projects Fund	2,500,000	4,000,000	1,500,000	2,748,000
MH Capital Improvements - Authority Bonds	500,000	500,000	0	500,000
Administration				
Capital Projects Fund	1,170,000	1,200,000	30,000	0
Community Alcoholism and Substance Abuse Facilities				
Capital Projects Fund	4,810,000	4,810,000	0	22,487,000
MH Capital Improvements - Authority Bonds	59,386,000	106,846,000	47,460,000	153,531,000
Institutional Services Program				
Capital Projects Fund	500,000	500,000	0	2,884,000
MH Capital Improvements - Authority Bonds	7,800,000	1,000,000	(6,800,000)	20,080,000
Non-Bondable				
Capital Projects Fund	750,000	750,000	0	0
Total	<u>77,416,000</u>	<u>119,606,000</u>	<u>42,190,000</u>	<u>202,230,000</u>