

OFFICE OF MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

MISSION

The Office of Mental Retardation and Developmental Disabilities (OMRDD) serves and supports individuals and families of individuals with developmental disabilities. OMRDD works with local governments and non-profit providers to oversee a comprehensive system for delivery of services to people who have developmental disabilities. Both institutional and community-based services are delivered through a network of community programs operated by non-profit providers, State Developmental Centers and numerous State-operated programs based in the community.

ORGANIZATION AND STAFFING

Under the direction of a Commissioner appointed by the Governor, OMRDD currently operates through district offices, called Developmental Disabilities Services Offices (DDSO). Its Central Office oversees and supports operations of the district offices.

OMRDD will have a workforce of 22,841 for 2005-06, most of whom work directly with consumers of services. The remainder comprises supervisors of direct care workers, clinicians, administrative support and management personnel.

By the end of 2005-06, OMRDD will operate some 500 developmental center beds, along with 1,194 special unit beds. From 1995 to the end of the 2005-06 fiscal year, the State will have moved nearly 3,700 people from institutional care into more appropriate community settings.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

Total funding of more than \$3.1 billion supports a comprehensive system of care serving more than 135,000 persons with disabilities and their families, reflecting the Governor's ongoing commitment to serving the needs of persons with developmental disabilities. The Executive Budget recommendations for 2005-06 preserve essential direct care and maintain the quality of services for consumers in both non-profit and OMRDD-operated programs, despite the need to implement cost-savings measures in the face of the State's fiscal difficulties. Under these recommendations:

- OMRDD's Central Coordination and Support Program will receive \$67.9 million to provide policy direction and technical support to the entire service system;
- OMRDD's Community Services Program will receive more than \$2.4 billion to fund hundreds of State-operated residential and day programs and such ancillary services as clinics and transportation networks, as well as to support local governments and a network of more than 600 non-profit agencies;
- OMRDD's Institutional Services Program will receive total funding of \$451.8 million to support residential care and habilitative services for people in developmental centers and special treatment units;
- OMRDD's Research in Mental Retardation Program will receive \$16.4 million in State funds to conduct basic and clinical research into the causes, treatment and prevention of mental retardation and related developmental disabilities; and
- OMRDD's new capital appropriations will total approximately \$136.3 million for the maintenance of existing institutional settings, community residential and day program sites, as well as the development and renovation of residential and day programming sites and other expanded bed capacity.

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The 2005-06 Executive Budget fully funds the Governor's nationally acclaimed initiative known as New York State-Creating Alternatives in Residential Environments and Services (NYS-CARES), thereby maintaining his commitment to serve those developmentally disabled New Yorkers on waiting lists for residential services. Over 9,100 persons have already left the waiting list for homes in the community, far exceeding the original NYS-CARES goal established in 1998. During this time, OMRDD has continually worked with families to review the waiting list and verify their needs. OMRDD has also instituted a survey designed by consumers, families and other stakeholders, which is used to evaluate consumer satisfaction and the success of residential placements under NYS-CARES, and to identify areas in need of improvement. As a result of this collaboration and the success of the original initiative, the Governor introduced NYS-CARES II in 2003-04 designed to develop additional services to meet families' articulated needs, including: an additional 1,900 new out-of-home residential opportunities beyond the almost 4,900 new beds committed to in 1998; another 600 new opportunities for in-home services; 370 new opportunities for day services; and additional funding for family support services to help individuals stay with their families as long as possible. Under the NYS-CARES II initiative, nearly 1,500 consumers from the waiting list will have received an out-of-home placement by March 31, 2005. By the end of the decade, OMRDD will have developed a total of 6,800 new residential beds under NYS-CARES which, when coupled with the more than 37,000 funded community beds already in the system, will provide ample placement opportunities to individuals on the residential waiting list.

The 2005-06 Executive Budget also supports the Governor's New York State – Options for People Through Services (NYS-OPTS) initiative. NYS-OPTS represents a system of services that will afford individuals with developmental disabilities with the greatest flexibility and array of choices about their own care in a manner that maximizes available funds and efficiently manages operations. Predicated upon an organized health care delivery system model, the NYS-OPTS program is focused on system and fiscal reform of the primarily Medicaid-funded system. NYS-OPTS and its guiding principles of partnership, inclusion, flexibility of services and customer satisfaction have been developed by major system stakeholders including: people currently receiving services, family members, advocates, providers, State staff and local government representatives.

In addition, the 2005-06 Executive Budget supports access to existing, out-of-home, site-based respite and recreation services for eligible at-risk children who live in certain foster family boarding homes and kinship foster homes.

The effort to place individuals residing in institutional settings into more appropriate community settings will also continue in 2005-06. An additional 106 individuals will leave institutions for care in their own communities, and new admissions will be limited to emergency situations involving persons with special needs and those entering special treatment units. Projections are that by the end of 2005-06, there will be approximately 500 people statewide living in developmental centers. OMRDD remains committed to deinstitutionalization for individuals with developmental disabilities who can be appropriately cared for in community settings.

The 2005-06 Executive Budget supports additional residential capacity through the development of 30 new State-operated community beds to accommodate individuals with severe behavioral issues, affording them the opportunity to move from an institutional setting to the most integrated and least restrictive setting possible. To provide more integrated services for individuals with developmental disabilities living in secure and other units with intensive behavioral services, this budget provides 61 new direct support positions for DDSOs with intensive behavioral services on campus.

OMRDD continues to improve the efficiency and cost-effectiveness of its operations and the network of non-profit providers it supports. In 2005-06, the Agency will achieve efficiencies by:

- Emphasizing use of less costly services, when appropriate;
- Aggressively pursuing non-General Fund financial support for all programs;
- Maximizing use of existing service capacity;
- Utilizing internal controls on billing procedures;

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- Diligently reviewing eligibility for services;
- Streamlining and updating, as appropriate, non-profit provider funding to realize efficiencies, while at the same time providing resources to stabilize the non-profit workforce;
- Maintaining strict controls on hiring in administrative positions in both the DDSOs and Central Office;
- Providing more efficient employee and provider training, including working in partnership with voluntary providers to identify unmet staff training needs in the service system and to engage in collaborative training efforts;
- Limiting non-personal service expenditures, especially non-essential categories such as travel and new equipment purchases; and
- Further reducing overtime expenditures.

Another key OMRDD activity in 2005-06 will be to implement the recommendations of the Governor's Task Force on the Institute for Basic Research (IBR), including, but not limited to, the establishment of a Research Advisory Board comprising nationally renowned research scientists to improve oversight of research activities, a review of billing practices and procedures to improve collection rates for the clinical services provided at IBR, and exploration of other options to maximize non-State revenues for IBR.

Recommendations elsewhere in this Budget support the transfer of the State's Vocational and Educational Services to Individuals with Disabilities (VESID) Program from the State Education Department (SED) to the Department of Labor (DOL). Under this reconfiguration, OMRDD will assume responsibility for the intensive phase of supported employment services to individuals with developmental disabilities. The transfer will streamline access to services, reduce administrative costs and simplify programmatic and fiscal reporting requirements.

Infrastructure throughout the State and not-for-profit systems will be maintained through new capital appropriations of \$136.3 million and reappropriations of \$226.8 million. Capital appropriations for institutional projects will focus on the need to reinvest resources into preserving and maintaining long-term facilities, mostly for a core population of consumers who have severe behavioral treatment needs and/or have been involved with the criminal justice system.

Capital projects in the community reflect a continued investment to maintain both State and voluntary not-for-profit community-based sites, and to develop State-operated residential placements for individuals in institutional settings and on the NYS-CARES waiting list. While minimal bonded appropriations are required to address funding needs for small providers that cannot obtain alternative financing, the vast majority of voluntary not-for-profit capital development needs for NYS-CARES and other program expansion initiatives will continue to be met primarily through the use of non-State revenue such as Federal Housing and Urban Development (HUD) grants and private financing.

In total, these recommendations — through the combination of both new and existing services — will support an estimated 6,500 new placements in the community during 2005-06. This reflects nearly 1,400 people newly-placed in residential settings and 5,100 new service opportunities in non-residential programs. Community placements will be targeted for people in developmental centers and for mandated populations, as well as people on community waiting lists. Services to individuals and families have also been preserved within family support services.

PROGRAM HIGHLIGHTS

OMRDD serves more than 135,000 New Yorkers with developmental disabilities, which include primarily mental retardation, epilepsy, cerebral palsy, neurological impairments and autism. In recent years, New York has made great strides in improving its methods of delivering services to this vulnerable population, moving more people from institutions to the community than any other state in the nation. In addition, New York continues to place greater emphasis on consumer choice and satisfaction.

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Under a 1991 Federal Medicaid waiver, individuals with developmental disabilities have the opportunity to receive individualized services in less restrictive settings. With the aid of trained service coordinators, consumers choose the services they need and receive them in appropriate settings. New York commits more resources to its Home and Community Based Services (HCBS) Waiver program than any state in the nation, investing almost three times as much as the next highest state offering services under a waiver. The HCBS Waiver also promotes smaller, more natural home environments. Home size has continued to decrease, thereby supporting individualized, quality services. In 1994-95, 49 percent of the homes in the community were six beds or less. Today, 63 percent of the homes in the community are six beds or less; nearly two-thirds of these are four beds or less.

Maintaining a statewide system of services, delivered through both the State and non-profit providers, OMRDD:

- Provides approximately 37,000 persons with certified residential services;
- Provides almost 63,000 persons with community day services; and
- Assists in the care of approximately 61,000 persons with developmental disabilities who are living in their own homes.

An overview of these services is provided below.

RESIDENTIAL SERVICES

Residential services are offered through a continuum of programs in both community and institutional settings. The alternative that provides the most intensive services in a community setting is the Intermediate Care Facility for the Developmentally Disabled (ICF/DD). These facilities — some 7,200 beds operated statewide by both State and not-for-profit providers — are appropriate for individuals requiring 24-hour care. A typical community ICF/DD has 10 to 12 beds.

The most common residential placement for persons under the waiver is in Individual Residential Alternatives (IRAs) — homes, typically for four to eight consumers, operated by either the State or not-for-profit providers in the community. This budget supports nearly 23,000 IRA beds and accompanying service coordination. Other community living arrangements include Community Residences and Family Care homes, which serve almost 5,000 people.

In addition to these community-based programs, OMRDD operates 10 campuses across the State. Some 1,700 people will be served in these settings by the end of 2005-06, of which 70 percent will be receiving specialized services. OMRDD remains committed to providing community residential opportunities for all those in State institutions who can receive appropriate care in the community. Currently, New York serves more persons in community residential settings than any other state, with the exception of California.

DAY SERVICES

OMRDD supports an array of day services for persons with developmental disabilities, which also vary depending on the needs of the consumer. The major programs include:

- Day treatment which provides diagnostic, treatment and rehabilitative services;
- Day habilitation, a smaller more individualized service for persons under the Federal Medicaid waiver for home and community based services;
- Supported employment, which provides the opportunity for individuals to work in competitive positions, usually in integrated settings in the private sector;
- Sheltered workshops, which provide basic, non-competitive work opportunities; and
- Day training, which develops the knowledge and skills that enable persons with developmental disabilities to improve their personal, social, and vocational skills and to function independently.

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SUPPORT FOR CONSUMERS LIVING IN THEIR OWN HOMES

OMRDD services also support families and individuals in their own homes. The Family Support Services program assists families in caring for approximately 50,000 persons with developmental disabilities, enabling these individuals to remain at home. Services offered include respite, crisis intervention, case management, recreation, information and referral and home care.

The Individualized Support Services program provides services to nearly 1,500 disabled individuals who live independently. In addition, more than 9,200 individuals living in their own homes receive residential habilitation under the Federal Medicaid waiver.

The Care at Home program provides a third alternative, designed to preserve family settings for persons under 18 years of age with developmental disabilities, who are medically frail. This program allows the parents of young persons with severe disabilities to maintain the child at home, regardless of family income level, thereby preventing more costly out-of-home placements.

ALL FUNDS APPROPRIATIONS (dollars)

<u>Category</u>	<u>Available 2004-05</u>	<u>Appropriations Recommended 2005-06</u>	<u>Change</u>	<u>Reappropriations Recommended 2005-06</u>
State Operations	1,304,621,700	1,356,624,000	52,002,300	230,000
Aid To Localities	1,546,841,000	1,622,384,000	75,543,000	45,000
Capital Projects	89,136,000	136,280,000	47,144,000	226,799,000
Total	<u>2,940,598,700</u>	<u>3,115,288,000</u>	<u>174,689,300</u>	<u>227,074,000</u>

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

<u>Program</u>	<u>2004-05 Estimated FTEs 03/31/05</u>	<u>2005-06 Estimated FTEs 03/31/06</u>	<u>FTE Change</u>
Central Coordination and Support			
General Fund	824	824	0
Community Services			
General Fund	16,165	16,165	0
Institutional Services			
General Fund	5,611	5,672	61
Research in Mental Retardation			
General Fund	180	180	0
Total	<u>22,780</u>	<u>22,841</u>	<u>61</u>

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STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2004-05	Recommended 2005-06	Change
General Fund	175,026,000	507,221,000	332,195,000
Special Revenue Funds - Federal	230,000	230,000	0
Special Revenue Funds - Other	1,126,854,000	846,649,000	(280,205,000)
Enterprise Funds	2,361,700	2,374,000	12,300
Internal Service Funds	150,000	150,000	0
Total	<u>1,304,621,700</u>	<u>1,356,624,000</u>	<u>52,002,300</u>
Adjustments:			
Transfer(s) From			
Special Pay Bill			
General Fund	(41,014,000)		
Enterprise Funds	(11,700)		
Appropriated 2004-05	<u>1,263,596,000</u>		

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2004-05	Recommended 2005-06	Change
Central Coordination and Support			
General Fund	60,605,000	67,502,000	6,897,000
Special Revenue Funds - Federal	230,000	230,000	0
Internal Service Funds	150,000	150,000	0
Community Services			
General Fund	801,364,000	820,589,000	19,225,000
Institutional Services			
General Fund	423,166,000	448,918,000	25,752,000
Special Revenue Funds - Other	504,000	504,000	0
Enterprise Funds	2,361,700	2,374,000	12,300
Maintenance Undistributed			
General Fund	(1,126,300,000)	(846,095,000)	280,205,000
Special Revenue Funds - Other	1,126,300,000	846,095,000	(280,205,000)
Research in Mental Retardation			
General Fund	16,191,000	16,307,000	116,000
Special Revenue Funds - Other	50,000	50,000	0
Total	<u>1,304,621,700</u>	<u>1,356,624,000</u>	<u>52,002,300</u>

STATE OPERATIONS - GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2005-06 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Central Coordination and Support	48,732,000	3,397,000	46,429,000	3,377,000
Community Services	702,401,000	12,420,000	684,437,000	12,102,000
Institutional Services	263,114,000	4,295,000	253,020,000	4,130,000
Research in Mental Retardation	14,391,000	116,000	13,958,000	113,000
Total	<u>1,028,638,000</u>	<u>20,228,000</u>	<u>997,844,000</u>	<u>19,722,000</u>

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Central Coordination and Support	2,048,000	18,000	255,000	2,000
Community Services	5,270,000	93,000	12,694,000	225,000
Institutional Services	1,966,000	32,000	8,128,000	133,000
Research in Mental Retardation	262,000	2,000	171,000	1,000
Total	<u>9,546,000</u>	<u>145,000</u>	<u>21,248,000</u>	<u>361,000</u>

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STATE OPERATIONS - GENERAL AND OFFSET FUNDS SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2005-06 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Central Coordination and Support	18,770,000	3,500,000	525,000	98,000
Community Services	118,188,000	6,805,000	42,849,000	2,374,000
Institutional Services	185,804,000	21,457,000	30,100,000	4,784,000
Research in Mental Retardation	1,916,000	0	719,000	0
Total	<u>324,678,000</u>	<u>31,762,000</u>	<u>74,193,000</u>	<u>7,256,000</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Central Coordination and Support	1,181,000	220,000	15,516,000	2,893,000
Community Services	7,053,000	391,000	53,750,000	2,979,000
Institutional Services	2,049,000	326,000	35,333,000	5,614,000
Research in Mental Retardation	57,000	0	871,000	0
Total	<u>10,340,000</u>	<u>937,000</u>	<u>105,470,000</u>	<u>11,486,000</u>

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Central Coordination and Support	1,548,000	289,000	0	0
Community Services	6,232,000	345,000	8,304,000	716,000
Institutional Services	3,580,000	569,000	114,742,000	10,164,000
Research in Mental Retardation	269,000	0	0	0
Total	<u>11,629,000</u>	<u>1,203,000</u>	<u>123,046,000</u>	<u>10,880,000</u>

STATE OPERATIONS - OTHER THAN GENERAL FUND AND OFFSET FUNDS SUMMARY OF APPROPRIATIONS AND CHANGES 2005-06 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Central Coordination and Support	380,000	0	0	0
Institutional Services	2,878,000	12,300	282,000	0
Research in Mental Retardation	50,000	0	0	0
Total	<u>3,308,000</u>	<u>12,300</u>	<u>282,000</u>	<u>0</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Central Coordination and Support	0	0	380,000	0
Institutional Services	2,596,000	12,300	0	0
Research in Mental Retardation	50,000	0	0	0
Total	<u>2,646,000</u>	<u>12,300</u>	<u>380,000</u>	<u>0</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available	Recommended	Change
	2004-05	2005-06	
General Fund	709,146,000	409,689,000	(299,457,000)
Special Revenue Funds - Other	837,695,000	1,212,695,000	375,000,000
Total	<u>1,546,841,000</u>	<u>1,622,384,000</u>	<u>75,543,000</u>

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AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2004-05	Recommended 2005-06	Change
Community Services			
General Fund	709,146,000	409,689,000	(299,457,000)
Special Revenue Funds - Other	837,695,000	1,212,695,000	375,000,000
Total	<u>1,546,841,000</u>	<u>1,622,384,000</u>	<u>75,543,000</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Comprehensive Construction Program	Available 2004-05	Recommended 2005-06	Change	Reappropriations 2005-06
Design and Construction Supervision				
Capital Projects Fund	2,000,000	2,000,000	0	0
MH Capital Improvements - Authority Bonds	3,000,000	3,000,000	0	0
Voluntary-Operated Community Facilities				
Capital Projects Fund	15,083,000	20,800,000	5,717,000	3,478,000
MH Capital Improvements - Authority Bonds	5,002,000	5,152,000	150,000	17,422,000
State-Operated Community Services Program				
Capital Projects Fund	13,973,000	16,438,000	2,465,000	10,760,000
MH Capital Improvements - Authority Bonds	5,000,000	5,000,000	0	18,690,000
Institutional Services Program				
Capital Projects Fund	17,808,000	16,019,000	(1,789,000)	49,009,000
MH Capital Improvements - Authority Bonds	26,270,000	66,871,000	40,601,000	126,440,000
Non-Bondable				
Capital Projects Fund	1,000,000	1,000,000	0	1,000,000
Total	<u>89,136,000</u>	<u>136,280,000</u>	<u>47,144,000</u>	<u>226,799,000</u>