

OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES

MISSION

The Office of Alcoholism and Substance Abuse Services (OASAS) is responsible for licensing and evaluating service providers, and for implementing and advocating policies and programs for the prevention, early intervention and treatment of alcoholism and substance abuse. In conjunction with local governments, providers and communities, the Office works to ensure that a full range of necessary and cost-effective prevention and treatment services is available statewide.

ORGANIZATION AND STAFFING

The Office of Alcoholism and Substance Abuse Services is headed by a Commissioner, responsible for the development and management of the State's policy on chemical dependence. The Office exercises these responsibilities directly as a provider of treatment services through a statewide system of 13 Addiction Treatment Centers (ATCs), through the regulation and oversight of chemical dependence prevention and treatment services, and as a conduit for Federal and State financial assistance. The Office also furthers State policy goals in areas that include criminal justice, health care and public assistance by coordinating chemical dependence resources and strategies in collaboration with other State agencies.

In 2005-06, OASAS will have a workforce of 956, of which nearly 60 percent provide an array of clinical treatment services through the ATCs.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 2005-06 Executive Budget recommends \$524.5 million in State and other resources for OASAS to continue agency and community operations. This funding is supplemented by Federal and State resources budgeted in other State agencies, and by the contributions of local governments, voluntary agencies and other sources. Overall, the recommended budget is sufficient to ensure the full operation of the 13 State-operated ATCs, as well as the maintenance of health and safety standards in voluntary facilities.

The 2005-06 Executive Budget includes \$8.5 million in new funding to improve existing residential chemical dependence services. These funds will be used by OASAS to provide targeted funding enhancements for not-for-profit providers in an effort to improve the recruitment and retention of qualified direct care treatment staff, address demonstrated and significant increases in employee health insurance and general liability insurance costs, as well as maintain treatment opportunities for the working poor.

OASAS will continue to review and manage prevention services funding to ensure that actual program spending supports, to the maximum extent possible, the provision of evidence-based direct programming efforts and activities. Ongoing efforts in this area have identified an ability to reduce OASAS contract funding for school-based prevention services provided by the New York City Department of Education by \$3.1 million during 2005-06 without impacting the provision of evidence-based direct prevention services to children in the City's public schools.

Furthermore, OASAS will achieve administrative and other operational efficiencies by reducing funding to local chemical dependency prevention and treatment services providers whose performance is not meeting established standards, as determined through OASAS' annual performance measurement, review and evaluation processes. In addition, efficiencies will be achieved by reducing funding to those provider agencies that deliver less critical and less cost effective services, or whose agency administration and overhead costs are higher than system-wide and regional averages. Overall, these actions will generate \$4.5 million in State savings during 2005-06.

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In addition, as part of the 2005-06 Budget Recommendations, OASAS will continue to:

- Implement the recently approved community-based detoxification and intensive case management initiatives, which will improve patient care and outcomes while reducing costs;
- Develop a new residential treatment model for adolescents;
- Further strengthen the State's Compulsive Gambling Education and Treatment Program with an additional \$1.0 million in funding;
- Maximize non-General Fund revenue sources to minimize State taxpayer support; and
- Apply structural and procedural changes to maximize the use of existing resources.

PROGRAM HIGHLIGHTS

Heading the largest chemical dependence service system in the nation, OASAS administers a comprehensive array of prevention and treatment services for New Yorkers. This is accomplished through a highly qualified network of State, local government and voluntary agencies and school districts. In accordance with Federal and State statute, OASAS licenses and regulates program providers, ensures that fiscal resources are appropriately spent, and assists local programs in providing the highest quality services.

Approximately 267,000 patients are served annually in New York State's licensed chemical dependence treatment and rehabilitation system, which consists of approximately 1,200 community-based agencies. OASAS also provides funding to approximately 300 prevention providers who utilize science-based, outcome-oriented programs focusing on such risk factors as family conflict, permissive attitudes towards alcohol and substance abuse, and lack of commitment to school, which research shows are predictive of adolescent problem behaviors like alcohol and substance abuse, delinquency, teen pregnancy, school drop-out and violence. While reducing these risk factors, prevention programs also focus on nurturing healthy beliefs and clear standards within community and family, since combining both activities is crucial to reducing the prevalence of problem behaviors like alcohol and substance abuse.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2004-05	Appropriations Recommended 2005-06	Change	Reappropriations Recommended 2005-06
State Operations	78,769,000	77,734,000	(1,035,000)	4,233,000
Aid To Localities	397,951,000	408,151,000	10,200,000	143,318,000
Capital Projects	36,930,000	38,650,000	1,720,000	147,069,000
Total	<u>513,650,000</u>	<u>524,535,000</u>	<u>10,885,000</u>	<u>294,620,000</u>

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ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)			
Program	2004-05 Estimated FTEs 03/31/05	2005-06 Estimated FTEs 03/31/06	FTE Change
Executive Direction			
General Fund	322	319	(3)
Special Revenue Funds - Federal	69	70	1
Special Revenue Funds - Other	12	12	0
Capital-Administration			
Capital Projects Funds - Other	8	8	0
Institutional Services			
General Fund	531	531	0
Special Revenue Funds - Federal	16	16	0
Total	958	956	(2)

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2004-05	Recommended 2005-06	Change
General Fund	48,706,000	46,681,000	(2,025,000)
Special Revenue Funds - Federal	6,599,000	6,221,000	(378,000)
Special Revenue Funds - Other	23,464,000	24,832,000	1,368,000
Total	78,769,000	77,734,000	(1,035,000)

Adjustments:			
Transfer(s) From			
Special Pay Bill			
General Fund	(1,923,000)		
Special Revenue Funds - Federal	(232,000)		
Special Revenue Funds - Other	(32,000)		
Appropriated 2004-05	76,582,000		

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2004-05	Recommended 2005-06	Change
Executive Direction			
General Fund	22,563,000	22,056,000	(507,000)
Special Revenue Funds - Federal	5,399,000	5,021,000	(378,000)
Special Revenue Funds - Other	9,864,000	9,232,000	(632,000)
Institutional Services			
General Fund	26,143,000	24,625,000	(1,518,000)
Special Revenue Funds - Federal	1,200,000	1,200,000	0
Special Revenue Funds - Other	13,600,000	15,600,000	2,000,000
Total	78,769,000	77,734,000	(1,035,000)

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STATE OPERATIONS - GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2005-06 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Executive Direction	22,114,000	509,000	21,934,000	509,000
Institutional Services	30,648,000	(22,000)	29,787,000	(22,000)
Total	52,762,000	487,000	51,721,000	487,000

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Executive Direction	112,000	0	68,000	0
Institutional Services	454,000	0	407,000	0
Total	566,000	0	475,000	0

STATE OPERATIONS - GENERAL AND OFFSET FUNDS SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2005-06 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Executive Direction	7,842,000	(1,616,000)	502,000	(50,000)
Institutional Services	9,577,000	504,000	3,288,000	150,000
Total	17,419,000	(1,112,000)	3,790,000	100,000

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Executive Direction	590,000	(66,000)	6,725,000	(1,500,000)
Institutional Services	259,000	2,000	5,668,000	350,000
Total	849,000	(64,000)	12,393,000	(1,150,000)

Program	Equipment	
	Amount	Change
Executive Direction	25,000	0
Institutional Services	362,000	2,000
Total	387,000	2,000

STATE OPERATIONS - OTHER THAN GENERAL FUND AND OFFSET FUNDS SUMMARY OF APPROPRIATIONS AND CHANGES 2005-06 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Executive Direction	6,353,000	(410,000)	3,365,000	385,000
Institutional Services	1,200,000	0	865,000	0
Total	7,553,000	(410,000)	4,230,000	385,000

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Executive Direction	1,261,000	(803,000)	1,727,000	8,000
Institutional Services	335,000	0	0	0
Total	1,596,000	(803,000)	1,727,000	8,000

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AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2004-05	Recommended 2005-06	Change
General Fund	244,200,000	256,300,000	12,100,000
Special Revenue Funds - Federal	147,211,000	145,311,000	(1,900,000)
Special Revenue Funds - Other	6,540,000	6,540,000	0
Total	<u>397,951,000</u>	<u>408,151,000</u>	<u>10,200,000</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2004-05	Recommended 2005-06	Change
Community Treatment Services Program			
General Fund	196,800,000	209,800,000	13,000,000
Special Revenue Funds - Federal	102,750,000	102,185,000	(565,000)
Prevention and Program Support			
General Fund	47,400,000	46,500,000	(900,000)
Special Revenue Funds - Federal	44,461,000	43,126,000	(1,335,000)
Special Revenue Funds - Other	6,540,000	6,540,000	0
Total	<u>397,951,000</u>	<u>408,151,000</u>	<u>10,200,000</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Comprehensive Construction Program	Available 2004-05*	Recommended 2005-06	Change	Reappropriations 2005-06
Design and Construction Supervision				
Capital Projects Fund	1,500,000	1,500,000	0	1,500,000
MH Capital Improvements - Authority Bonds	500,000	500,000	0	0
Administration				
Capital Projects Fund	1,120,000	1,140,000	20,000	0
Community Alcoholism and Substance Abuse Facilities				
Capital Projects Fund	5,310,000	5,310,000	0	18,753,000
MH Capital Improvements - Authority Bonds	26,250,000	26,250,000	0	111,186,000
Institutional Services Program				
Capital Projects Fund	500,000	500,000	0	2,460,000
MH Capital Improvements - Authority Bonds	1,000,000	2,700,000	1,700,000	13,170,000
Non-Bondable				
Capital Projects Fund	750,000	750,000	0	0
Total	<u>36,930,000</u>	<u>38,650,000</u>	<u>1,720,000</u>	<u>147,069,000</u>

*Includes transfers from Special Pay Bill - State Operations. See the table for Special Pay Bill in the Miscellaneous section for details.