

***HEALTH,
MENTAL HYGIENE
AND ENVIRONMENTAL
CONSERVATION***

ADIRONDACK PARK AGENCY

MISSION

The Adirondack Park Agency (APA) was established in 1971 to “insure the optimum overall conservation, protection, development and use of the unique scenic, aesthetic, wildlife, recreational, open space, ecological and natural resources of the Adirondack Park.” The Park itself was created in 1892, and contains six million acres of publicly and privately owned lands.

ORGANIZATION AND STAFFING

The APA is governed by an 11-member board, including the Commissioners of Environmental Conservation and Economic Development, and the Secretary of State. The other members, five of whom must be Park residents, are nominated by the Governor with Senate confirmation. The principal functions of the APA are:

- Reviewing and issuing permits for private and State land-use projects, consistent with the Adirondack Park State Land Master Plan and the Adirondack Park Private Land Use and Development Plan, and for certain activities on or near fresh water wetlands, pursuant to the 1975 Freshwater Wetlands Act;
- Analyzing and developing policy to further the goals for which the agency was established;
- Helping local governments develop land use plans and providing technical expertise;
- Administering the State’s Wild, Scenic and Recreational River System; and
- Operating two Visitor Interpretive Centers: one near Paul Smith’s College, Franklin County, and one in Newcomb, Essex County, at which visitors can better understand and appreciate the Park’s resources.

APA’s responsibilities are carried out by the following divisions: Planning, which handles local and regional land use policy issues; Counsel’s Office, which provides legal advice to all Agency functions and oversees jurisdictional determinations and enforcement functions; Regulatory, which performs the Agency’s permitting function; Interpretive, which operates the Visitor Centers; Resource Analysis, which conducts scientific research of the ecology of the Adirondacks; Economic Services, which assists project sponsors in the review process; and Local Government Services, which provides technical expertise and assistance to communities. For 2002-03, the APA will have a workforce of 64 positions.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Agency’s 2002-03 operating costs will be funded with State tax dollars and Federal grant moneys. The Executive Budget recommends funding of \$4.2 million in General Fund support for the Agency’s operations, \$700,000 in Federal funds for the New York State Scenic Byways Program project to enhance the Route 73 corridor, and \$200,000 for Wetland mapping within the Adirondack Park. The Agency’s 2002-03 capital infrastructure needs will be addressed by a \$1.5 million capital appropriation to be suballocated from the Department of Environmental Conservation to address health and safety and building access needs at APA’s administrative headquarters in Ray Brook. This overall recommendation will support the Agency’s core regulatory functions and the operation of the Visitor Interpretive Centers at Newcomb and Paul Smith’s.

In addition, the Executive Budget recommends General Fund support of \$50,000 for the Adirondack Park Local Government Review Board. The Board advises and assists the APA in carrying out its responsibilities and monitors the implementation of the Adirondack Park Land Use and Development Plan.

ADIRONDACK PARK

PROGRAM HIGHLIGHTS

Since 1995-96, the Agency has worked to achieve a balance between strong environmental protection and sustainable economic development opportunities for the residents of the Adirondack Park. The Agency's priorities for the future include continued updating of the Agency's rules and regulations, facilitating the development of land use plans by local governments, providing local governments with technical expertise and training, working toward completing Unit Management Plans for the State lands of the Adirondack Park and improving resource data base information to better protect the resources of the Adirondack Park.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available	Appropriations	Change	Reappropriations
	2001-02	Recommended 2002-03		Recommended 2002-03
State Operations	4,295,000	5,137,000	842,000	764,000
Aid To Localities	50,000	50,000	0	0
Capital Projects	0	0	0	0
Total	4,345,000	5,187,000	842,000	764,000

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2001-02	2002-03	FTE Change
	Estimated FTEs 03/31/02	Estimated FTEs 03/31/03	
Administration			
General Fund	64	64	0
Total	64	64	0

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available	Recommended	Change
	2001-02	2002-03	
General Fund	4,295,000	4,237,000	(58,000)
Special Revenue Funds - Federal	0	900,000	900,000
Total	4,295,000	5,137,000	842,000

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available	Recommended	Change
	2001-02	2002-03	
Administration			
General Fund	4,295,000	4,237,000	(58,000)
Special Revenue Funds - Federal	0	900,000	900,000
Total	4,295,000	5,137,000	842,000

ADIRONDACK PARK

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2002-03 RECOMMENDED
(dollars)**

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	3,675,000	(58,000)	3,531,800	(58,000)
Total	3,675,000	(58,000)	3,531,800	(58,000)

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	139,500	0	3,700	0
Total	139,500	0	3,700	0

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2002-03 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	562,000	0	83,500	0
Total	562,000	0	83,500	0

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	44,500	0	409,900	0
Total	44,500	0	409,900	0

Program	Equipment	
	Amount	Change
Administration	24,100	0
Total	24,100	0

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2002-03 RECOMMENDED
(dollars)**

Program	Total		Nonpersonal Service	
	Amount	Change	Amount	Change
Administration	900,000	900,000	900,000	900,000
Total	900,000	900,000	900,000	900,000

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available	Recommended	Change
	2001-02	2002-03	
General Fund	50,000	50,000	0
Total	50,000	50,000	0

ADIRONDACK PARK

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2001-02	Recommended 2002-03	Change
Administration			
General Fund	50,000	50,000	0
Total	<u>50,000</u>	<u>50,000</u>	<u>0</u>

OFFICE OF ADVOCATE FOR PERSONS WITH DISABILITIES

MISSION

The primary mission of the Office of Advocate for Persons with Disabilities (APD) is to promote the inclusion of persons with disabilities in all aspects of community life. The Agency ensures that persons with disabilities are afforded the opportunity to exercise the full range of the rights and responsibilities accorded to all residents of New York State. In addition, APD plays an active role in developing innovative opportunities and supports that respond to the needs of New Yorkers with disabilities.

ORGANIZATION AND STAFFING

Headed by the State Advocate who is appointed by the Governor, the Agency is headquartered in Albany. APD will have a workforce of 17 positions for 2002-03. Approximately 71 percent of these positions are paid by State tax dollars from the General Fund and 29 percent are financed by Federal grants and other funding sources.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 2002-03 All Funds Executive Budget recommendation of more than \$1.5 million maintains APD's ability to disseminate comprehensive information on services and programs available to persons with disabilities and to collaborate with other agencies, businesses and organizations to increase the effectiveness of public and private sector services.

PROGRAM HIGHLIGHTS

APD operates a statewide clearinghouse through which individuals can access information and obtain referrals to a variety of disability-related services. Key components of the system are a statewide telephone hotline (800-522-4369) and a website (www.advoc4disabled.state.ny.us). It is anticipated that more than 70,000 individuals will utilize these services in 2002-03.

The Agency's federally funded Technology-Related Assistance for Individuals with Disabilities (TRAID) Project supports a statewide system that helps New Yorkers with disabilities access technology devices and services to strengthen their ability to participate in the mainstream workplace and community. This program is coordinated through an Interagency Partnership on Assistive Technology and 13 Regional TRAIID Centers at 15 sites. During 2001, these Regional TRAIID Centers provided awareness training, device demonstrations, information, education, and referrals to over 21,500 persons with disabilities.

APD has received increased Disability and Business Technical Assistance Center grant funding to expand their comprehensive education, public awareness, and technical assistance programs which promote increased voluntary compliance with the Americans with Disabilities Act (ADA). Training activities for 2002-03 will continue to focus on accessibility requirements for local code enforcement personnel, architects, and engineers, in addition to customizing technical assistance for individuals, employers, and State agencies.

ADVOCATE FOR PERSONS WITH DISABILITIES

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2001-02	Appropriations Recommended 2002-03	Change	Reappropriations Recommended 2002-03
State Operations	1,590,000	1,585,000	(5,000)	500,000
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	<u>1,590,000</u>	<u>1,585,000</u>	<u>(5,000)</u>	<u>500,000</u>

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2001-02 Estimated FTEs 03/31/02	2002-03 Estimated FTEs 03/31/03	FTE Change
Advocate for the Disabled			
General Fund	12	12	0
Special Revenue Funds - Federal	4	4	0
Fiduciary Funds	1	1	0
Total	<u>17</u>	<u>17</u>	<u>0</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2001-02	Recommended 2002-03	Change
General Fund	1,030,000	965,000	(65,000)
Special Revenue Funds - Federal	460,000	460,000	0
Enterprise Funds	25,000	25,000	0
Fiduciary Funds	75,000	135,000	60,000
Total	<u>1,590,000</u>	<u>1,585,000</u>	<u>(5,000)</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2001-02	Recommended 2002-03	Change
Advocate for the Disabled			
General Fund	1,030,000	965,000	(65,000)
Special Revenue Funds - Federal	460,000	460,000	0
Enterprise Funds	25,000	25,000	0
Fiduciary Funds	75,000	135,000	60,000
Total	<u>1,590,000</u>	<u>1,585,000</u>	<u>(5,000)</u>

ADVOCATE FOR PERSONS WITH DISABILITIES

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

<u>Program</u>	<u>Total</u>		<u>Personal Service Regular (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Advocate for the Disabled	852,000	(68,000)	846,000	(68,000)
Total	852,000	(68,000)	846,000	(68,000)

<u>Program</u>	<u>Temporary Service (Nonannual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>
Advocate for the Disabled	6,000	0
Total	6,000	0

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

<u>Program</u>	<u>Total</u>		<u>Supplies and Materials</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Advocate for the Disabled	113,000	3,000	18,000	0
Total	113,000	3,000	18,000	0

<u>Program</u>	<u>Travel</u>		<u>Contractual Services</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Advocate for the Disabled	23,000	0	69,900	3,000
Total	23,000	0	69,900	3,000

<u>Program</u>	<u>Equipment</u>	
	<u>Amount</u>	<u>Change</u>
Advocate for the Disabled	2,100	0
Total	2,100	0

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

<u>Program</u>	<u>Total</u>		<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Advocate for the Disabled	620,000	60,000	620,000	60,000
Total	620,000	60,000	620,000	60,000

STATE OFFICE FOR THE AGING

MISSION

The New York State Office for the Aging is responsible for promoting, coordinating and administering State, Federal and local programs and services for 3.2 million New Yorkers aged 60 or older. The Office provides leadership and direction to 59 Area Agencies on Aging, as well as to numerous other local programs and providers that comprise the network of services to the aged.

ORGANIZATION AND STAFFING

The State Office for the Aging is headed by a Director and is composed of four divisions: Executive, Finance and Administration, Local Program Operations, and Policy and Program Development. The Office will have a workforce of 150 positions during 2002-03: 138 funded within the agency, and an additional 12 funded by other State agencies. Approximately 28 percent of Office operations are funded by the General Fund and the remaining 72 percent are financed by Federal grants.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 2002-03 Executive Budget recommends nearly \$183 million in State and Federal funds for the continued operations of the Office and support for community-based services for the elderly. Within the aging system, State support is used to match Older Americans Act and other Federal funding and establish a service-delivery network that attracts a variety of other public and private contributions. When combined, this results in total network spending of over \$388 million, excluding the value of volunteer labor which has been estimated at upwards of \$35 million.

PROGRAM HIGHLIGHTS

The full array of programs offered through the Office for the Aging are aimed at keeping seniors independent as long as possible by utilizing family and other informal caregivers, as well as through the limited use of formal support services. These community-based services help keep people healthy and in their homes and out of hospitals and nursing homes.

STATEWIDE COMMUNITY-BASED ASSISTANCE

The Community Services for the Elderly (CSE) program serves over 94,000 elderly statewide and supports a locally-coordinated continuum of support services designed to assist the elderly, their families and informal caregivers. The goal of the program is to maximize independence and reduce unnecessary reliance on institutional care.

Services available under this program include: case management, nutrition/meals, housekeeping/chore services, personal care, home health aide services, adult day services, transportation, minor renovations or the purchase of equipment for congregate facilities, and other individualized support services. CSE affords Area Agencies on Aging maximum flexibility in selecting which services to offer and/or expand. Strongly linked to local planning efforts, CSE provides a comprehensive array of services that will allow the State to continue to meet the changing needs of our growing elderly population.

The Expanded In-home Services for the Elderly Program (EISEP) provides non-medical in-home services, case management, respite and ancillary services to the frail elderly, most of whom are low income but not eligible for Medicaid. Recipients are required to cost-share, based on their annual income level, for EISEP program services received. Almost 33,000 persons are served under this program.

AGING

The Congregate Services Initiative (CSI) provides services in senior centers and other community settings including: counseling, transportation, support services for families/caregivers, volunteer and employment opportunities, and health promotion and disease prevention services.

NUTRITION SERVICES

Through a combination of State tax dollars and Federal grants, the State annually provides nearly 25.5 million congregate and home-delivered meals as well as other nutritional services to non-institutionalized frail elderly clients. These seniors are at risk of malnutrition because they are no longer able to obtain an adequate diet without assistance. The Office receives \$40 million in appropriation authority from Federal grants in support of congregate and home-delivered meals and another \$16 million to support the purchase of food. The State counterpart to these Federal programs — the Supplemental Nutrition Assistance Program (SNAP) — provides another \$17 million.

ASSISTANCE TO CAREGIVERS

An estimated 80 percent of the long-term care provided to older New Yorkers is provided by family members and other informal caregivers; sustaining this cost-effective, individualized support system for seniors is a key objective of the aging service system. The State Office for the Aging's 17 Caregiver Resource Centers assist caregivers through training programs, support groups, counseling, and linkages to other community services. Further, in SFY 2001-02, Governor Pataki implemented the new \$11.6 million Federal Caregivers Program in New York State which provides respite, training and counseling for caregivers, as well as other services that support an elderly person's ability to maximize their independence.

PARTNERSHIPS AND TARGETED PROGRAMS

The Office participates in a number of partnerships with businesses, foundations and not-for-profit organizations, and also coordinates programs targeted for special populations.

The term "Naturally Occurring Retirement Community" (NORC) describes a phenomenon experienced by certain housing complexes, cooperatives and apartment buildings where the population has "aged in place". The NORC Supportive Service Program delivers on-site services to an estimated 20,000 clients, thereby allowing residents to continue to remain independent. Fourteen such programs exist, with the State's public funds matched dollar for dollar by private contributions from the retirement communities themselves.

The Long-Term Care Ombudsman Program (LTCOP) supports the statewide advocacy of more than 750 trained volunteers on the behalf of the approximately 150,000 residents of New York State's nursing homes and adult care facilities. Under this program, the State Office for the Aging and community agencies receive, investigate and resolve a wide range of concerns and complaints regarding conditions and treatment in long-term care facilities, with the goal of ensuring the quality of life for residents.

Through its network of Area Agencies on Aging and local not-for-profit agencies, the Office also provides State grant funding for long-term respite care, the Retired and Senior Volunteer Program and the Foster Grandparent Program.

**ALL FUNDS
APPROPRIATIONS
(dollars)**

Category	Available 2001-02	Appropriations Recommended 2002-03	Change	Reappropriations Recommended 2002-03
State Operations	13,666,000	13,187,000	(479,000)	9,324,000
Aid To Localities	161,400,000	169,500,000	8,100,000	96,350,000
Capital Projects	0	0	0	0
Total	175,066,000	182,687,000	7,621,000	105,674,000

**ALL FUND TYPES
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM
FILLED ANNUAL SALARIED POSITIONS**

Full-Time Equivalent Positions (FTE)

Program	2001-02 Estimated FTEs 03/31/02	2002-03 Estimated FTEs 03/31/03	FTE Change
Administration			
General Fund	14	0	(14)
Special Revenue Funds - Federal	47	0	(47)
Administration and Grants Management			
General Fund	0	42	42
Special Revenue Funds - Federal	0	108	108
Community Services			
General Fund	31	0	(31)
Special Revenue Funds - Federal	61	0	(61)
Total	153	150	(3)

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2001-02	Recommended 2002-03	Change
General Fund	2,816,000	2,587,000	(229,000)
Special Revenue Funds - Federal	10,150,000	10,250,000	100,000
Enterprise Funds	200,000	100,000	(100,000)
Fiduciary Funds	500,000	250,000	(250,000)
Total	13,666,000	13,187,000	(479,000)

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2001-02	Recommended 2002-03	Change
Administration			
General Fund	1,322,000	0	(1,322,000)
Special Revenue Funds - Federal	2,700,000	1,400,000	(1,300,000)
Enterprise Funds	200,000	0	(200,000)
Administration and Grants Management			
General Fund	0	2,587,000	2,587,000
Special Revenue Funds - Federal	0	4,890,000	4,890,000
Enterprise Funds	0	100,000	100,000
Fiduciary Funds	0	250,000	250,000
Community Services			
General Fund	1,494,000	0	(1,494,000)
Special Revenue Funds - Federal	7,450,000	3,960,000	(3,490,000)
Fiduciary Funds	500,000	0	(500,000)
Total	13,666,000	13,187,000	(479,000)

AGING

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2002-03 RECOMMENDED
(dollars)**

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	0	(1,137,000)	0	(1,135,000)
Administration and Grants Management	2,269,000	2,269,000	2,261,000	2,261,000
Community Services	0	(1,324,000)	0	(1,315,000)
Total	2,269,000	(192,000)	2,261,000	(189,000)

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	0	0	0	(2,000)
Administration and Grants Management	2,000	2,000	6,000	6,000
Community Services	0	(3,000)	0	(6,000)
Total	2,000	(1,000)	6,000	(2,000)

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2002-03 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	0	(185,000)	0	(18,000)
Administration and Grants Management	318,000	318,000	33,000	33,000
Community Services	0	(170,000)	0	(23,000)
Total	318,000	(37,000)	33,000	(8,000)

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	0	(34,000)	0	(127,000)
Administration and Grants Management	60,000	60,000	218,000	218,000
Community Services	0	(30,000)	0	(114,000)
Total	60,000	(4,000)	218,000	(23,000)

Program	Equipment	
	Amount	Change
Administration	0	(6,000)
Administration and Grants Management	7,000	7,000
Community Services	0	(3,000)
Total	7,000	(2,000)

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2002-03 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	1,400,000	(1,500,000)	1,300,000	(1,200,000)
Administration and Grants Management	5,240,000	5,240,000	3,660,000	3,660,000
Community Services	3,960,000	(3,990,000)	2,740,000	(2,460,000)
Total	10,600,000	(250,000)	7,700,000	0

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	100,000	(100,000)	0	(200,000)
Administration and Grants Management	1,230,000	1,230,000	350,000	350,000
Community Services	1,220,000	(1,030,000)	0	(500,000)
Total	2,550,000	100,000	350,000	(350,000)

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2001-02	Recommended 2002-03	Change
General Fund	62,900,000	62,900,000	0
Special Revenue Funds - Federal	96,500,000	105,600,000	9,100,000
Fiduciary Funds	2,000,000	1,000,000	(1,000,000)
Total	<u>161,400,000</u>	<u>169,500,000</u>	<u>8,100,000</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2001-02	Recommended 2002-03	Change
Community Services			
General Fund	62,900,000	62,900,000	0
Special Revenue Funds - Federal	96,500,000	105,600,000	9,100,000
Fiduciary Funds	2,000,000	1,000,000	(1,000,000)
Total	<u>161,400,000</u>	<u>169,500,000</u>	<u>8,100,000</u>

DEPARTMENT OF AGRICULTURE AND MARKETS

MISSION

The Department of Agriculture and Markets is charged with fostering a competitive and safe New York food and agricultural industry for the benefit of producers and consumers. Over the next year, the Department will continue to carry out its major responsibilities for encouraging the growth and economic health of the State's agricultural and food industry and conducting various inspections and testing programs to enforce laws on food safety, animal and plant health, and accuracy of labeling. The Department will also continue to act to preserve agricultural resources, improve soil and water quality, and operate the annual State Fair in concert with the Industrial Exhibit Authority.

ORGANIZATION AND STAFFING

The Department will have a workforce of 592 positions for fiscal year 2002-03. The Agency is headquartered in Albany and maintains four regional offices located in Buffalo, Syracuse, Rochester and Brooklyn. Approximately 25 percent of the Department of Agriculture and Markets' operating programs are funded by tax dollars in the General Fund and the remaining 75 percent are financed by fees and Federal grants.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Executive Budget recommends funding of more than \$127 million for the Department of Agriculture and Markets in 2002-03. The cost of operating the Department will be supported from a combination of funding sources:

- Tax dollars from the General Fund will provide \$25.6 million in 2002-03, or 25 percent of the Department's operating expenses;
- Fee revenues will produce \$56.4 million and support 55 percent of the Department's operating costs. These revenues include fees from activities such as licensing food processing operators, certifying weighing and measuring devices, and operation of the State Fair; and
- Federal funding of \$20.4 million finances the remaining 20 percent of the Department's operations.

This Budget continues \$1.1 million in funding to implement legislation enacted in 2000-01 requiring expanded inspections of retail food stores throughout the State. Continued funding of \$1.2 million will support the Department's efforts in the New York City region to locate and eradicate the Asian Longhorned Beetle, a pest which is deadly to hardwood trees. Recommendations also continue approximately \$4.4 million for 11 migrant child care centers, including operating costs of a new child care center for children of migrant farm workers to be located in Suffolk County. As in 2001-02, the Department will receive a \$2.5 million suballocation from the Office of Children and Family Services' Federal funding to expand these child care activities.

The Budget also recommends increases in fees charged for food processing establishment licenses, pet food brand registrations and weights and measures fees that will both serve to offset the Department's increased costs of oversight in these areas as well as provide for enhanced training and services to these industries.

Nearly \$600,000 is provided for capital projects to maintain, repair and rehabilitate the State Fair's 28 major buildings and other structures. The Executive Budget also recommends \$2 million for a capital special revenue fund to continue support for development of private partnerships at the State Fair. Funding from the 1996 Clean

AGRICULTURE AND MARKETS

Water/Clean Air Bond Act and the Environmental Protection Fund is also provided for Soil and Water Conservation Districts, non-point source pollution control and farmland preservation programs.

The Executive Budget recommends \$12.1 million from the General Fund for local assistance to continue and expand programs, which provide valuable services to the State's agricultural community. Included in these funds is \$1.3 million in continued resources to support agricultural economic development and farmland viability program activities. In addition, an increase of \$7.7 million in Federal appropriation authority will allow the Department to apply for Federal grants to fund Federal nutrition programs for senior citizens, animal health and food safety grants, including essential funding for the State Food Laboratory.

PROGRAM HIGHLIGHTS

The Agricultural Business Services program promotes the agricultural economy of the State and fosters the responsible use of resources to preserve agricultural land and the environment. These purposes are carried out through six divisions which:

- Inspect and test livestock, poultry and plants to control and eradicate diseases which can both cause severe economic losses for farmers and present a public health hazard;
- Oversee the activities of county soil and water conservation districts, direct the agricultural non-point source pollution control and farmland preservation grant programs, establish agricultural districts, administer agricultural product market orders and the Federal Farmers Market Coupon Program for low-income families, and collect and disseminate statistical agricultural information; and
- Exercise a variety of statutorily required activities involving the pricing and marketing of milk and milk products, and the licensing and bonding of milk and farm products dealers.

The Consumer Food Services program ensures that wholesome food products are sold to the consumer and that the industry and the public are protected from fraud, adulteration or malpractice in the production, processing, transportation and retailing of food and gasoline, and in the use of measuring devices. In carrying out these purposes, the program:

- Licenses and inspects over 28,000 businesses that produce, process, manufacture, or distribute food products, enforces labeling laws governing food represented as kosher, and grades farm products;
- Inspects and tests dairy products to enforce laws pertaining to milk and milk product sanitation and guards against harmful or misrepresented food; and
- Certifies and calibrates weighing and measuring devices and oversees the testing of motor fuels under the Motor Fuel Quality and Clean Air programs.

STATE FAIR

The Department and the Industrial Exhibit Authority together direct the New York State Fair and Fairgrounds, located in Syracuse. The Fairgrounds, a 365-acre complex, has 28 major exhibit buildings and 96 other structures. It is used for the annual 12-day State Fair, and its facilities are rented year-round for various shows and activities. The operating costs of the Fair and Fairgrounds are fully funded from admission, rental and concession fees. General Fund capital funding of \$600,000 is included in the budget to support costs of maintaining the Fair's facilities. In addition, a capital special revenue fund appropriation of \$2 million is recommended to allow the Fair to expand private partnerships and make capital improvements at the Fairgrounds.

AGRICULTURE AND MARKETS

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2001-02	Appropriations Recommended 2002-03	Change	Reappropriations Recommended 2002-03
State Operations	88,030,900	102,442,600	14,411,700	30,863,000
Aid To Localities	22,300,900	22,102,000	(198,900)	34,070,700
Capital Projects	2,600,000	2,600,000	0	5,975,000
Total	<u>112,931,800</u>	<u>127,144,600</u>	<u>14,212,800</u>	<u>70,908,700</u>

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2001-02 Estimated FTEs 03/31/02	2002-03 Estimated FTEs 03/31/03	FTE Change
Administration			
General Fund	36	36	0
Agricultural Business Services			
General Fund	126	117	(9)
Special Revenue Funds - Federal	3	3	0
Special Revenue Funds - Other	42	42	0
Fiduciary Funds	8	8	0
Consumer Food Services			
General Fund	237	216	(21)
Special Revenue Funds - Federal	22	22	0
Special Revenue Funds - Other	69	90	21
State Fair			
Enterprise Funds	58	58	0
Total	<u>601</u>	<u>592</u>	<u>(9)</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2001-02	Recommended 2002-03	Change
General Fund	25,827,900	25,628,000	(199,900)
Special Revenue Funds - Federal	12,707,000	20,398,800	7,691,800
Special Revenue Funds - Other	29,965,000	35,588,800	5,623,800
Enterprise Funds	17,784,200	19,083,900	1,299,700
Fiduciary Funds	1,746,800	1,743,100	(3,700)
Total	<u>88,030,900</u>	<u>102,442,600</u>	<u>14,411,700</u>

Adjustments:

Recommended Deficiency	
Agriculture and Markets, Department of	
General Fund	(250,000)
Special Revenue Funds - Federal	(3,100,000)
Special Revenue Funds - Other	(3,700,000)
Enterprise Funds	(600,000)
Appropriated 2001-02	<u>80,380,900</u>

AGRICULTURE AND MARKETS

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2001-02	Recommended 2002-03	Change
Administration			
General Fund	3,888,800	4,161,000	272,200
Agricultural Business Services			
General Fund	9,913,900	10,344,000	430,100
Special Revenue Funds - Federal	9,257,000	14,778,800	5,521,800
Special Revenue Funds - Other	20,756,700	22,502,300	1,745,600
Fiduciary Funds	1,746,800	1,743,100	(3,700)
Consumer Food Services			
General Fund	12,025,200	11,123,000	(902,200)
Special Revenue Funds - Federal	3,450,000	5,620,000	2,170,000
Special Revenue Funds - Other	9,208,300	13,086,500	3,878,200
State Fair			
Enterprise Funds	17,784,200	19,083,900	1,299,700
Total	<u>88,030,900</u>	<u>102,442,600</u>	<u>14,411,700</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	2,303,000	196,900	2,183,000	186,700
Agricultural Business Services	6,802,000	(64,100)	5,970,000	(117,800)
Consumer Food Services	10,256,000	(124,600)	9,852,000	(152,300)
Total	<u>19,361,000</u>	<u>8,200</u>	<u>18,005,000</u>	<u>(83,400)</u>

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	108,000	7,900	12,000	2,300
Agricultural Business Services	702,000	44,700	130,000	9,000
Consumer Food Services	120,000	8,100	284,000	19,600
Total	<u>930,000</u>	<u>60,700</u>	<u>426,000</u>	<u>30,900</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	1,858,000	75,300	30,000	900
Agricultural Business Services	3,542,000	494,200	243,000	8,100
Consumer Food Services	867,000	(777,600)	92,000	(74,200)
Total	<u>6,267,000</u>	<u>(208,100)</u>	<u>365,000</u>	<u>(65,200)</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	31,000	400	1,797,000	74,000
Agricultural Business Services	478,000	1,200	2,349,000	370,300
Consumer Food Services	229,000	(576,200)	314,000	(65,000)
Total	<u>738,000</u>	<u>(574,600)</u>	<u>4,460,000</u>	<u>379,300</u>

Program	Equipment	
	Amount	Change
Agricultural Business Services	472,000	114,600
Consumer Food Services	232,000	(62,200)
Total	<u>704,000</u>	<u>52,400</u>

AGRICULTURE AND MARKETS

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Agricultural Business Services	39,024,200	7,263,700	3,432,900	230,200
Consumer Food Services	18,706,500	6,048,200	6,259,800	1,202,200
State Fair	19,083,900	1,299,700	5,557,300	288,700
Total	76,814,600	14,611,600	15,250,000	1,721,100

Program	Nonpersonal Service	
	Amount	Change
Agricultural Business Services	35,591,300	7,033,500
Consumer Food Services	12,446,700	4,846,000
State Fair	13,526,600	1,011,000
Total	61,564,600	12,890,500

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2001-02	Recommended 2002-03	Change
General Fund	12,300,900	12,102,000	(198,900)
Special Revenue Funds - Federal	10,000,000	10,000,000	0
Total	22,300,900	22,102,000	(198,900)

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2001-02	Recommended 2002-03	Change
Agricultural Business Services			
General Fund	12,300,900	12,102,000	(198,900)
Special Revenue Funds - Federal	10,000,000	10,000,000	0
Total	22,300,900	22,102,000	(198,900)

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Comprehensive Construction Program	Available 2001-02	Recommended 2002-03	Change	Reappropriations 2002-03
Design and Construction Supervision				
Capital Projects Fund	0	0	0	29,000
State Fair				
Capital Projects Fund	600,000	600,000	0	2,446,000
Misc. Capital Projects	2,000,000	2,000,000	0	3,500,000
Total	2,600,000	2,600,000	0	5,975,000

DEVELOPMENTAL DISABILITIES PLANNING COUNCIL

MISSION

The New York State Developmental Disabilities Planning Council is fully funded under the recently reauthorized Federal Developmental Disabilities Assistance and Bill of Rights Act . The Act, originally signed into law in 1975, allows the Council to prepare, implement and monitor a plan for improving the quality of life for people with developmental disabilities.

ORGANIZATION AND STAFFING

Located in Albany, the Council is composed of 35 members, who have been appointed by the Governor to three-year staggered terms. Federal law requires that at least 60 percent of the Council membership be composed of persons with developmental disabilities, parents and guardians of children with developmental disabilities, and immediate relatives and guardians of adults with mentally impairing developmental disabilities who cannot advocate for themselves. Other required members represent State agencies, educational and training providers, and local public and private services agencies.

The Chairperson of the Council is appointed by the Governor from within the Council. In addition, a full-time staff of 18, under the leadership of an Executive Director, assist the Council in carrying out its mission.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

Federal funds fully support all Council operations, including State staff and contracts with non-profit agencies to develop new services and service delivery methods. The contracts are monitored by the Council to ensure that Federal funds provided to the State are used to augment — rather than duplicate or replace — existing services for people with developmental disabilities.

The 2002-03 recommendation of \$4.5 million in Federal funds represents an increase from 2001-02, based on the level of anticipated Federal funding. This funding is sufficient to support the Council's role in coordinating information about persons with developmental disabilities and the services available to them, and in overseeing grant funds.

PROGRAM HIGHLIGHTS

Through its Council membership of State agency heads, including the Commissioner of the Office of Mental Retardation and Developmental Disabilities, the Council employs an interagency approach to advocate improved and enhanced services, supports, and assistance for persons with developmental disabilities. Its activities include quarterly meetings, task forces, white papers, conferences, training, technical assistance and the development of a three-year State Plan. The Council applies its resources to work in association with persons with developmental disabilities, parents, service providers, advocacy groups and local and state government agencies to advocate for an enhanced system of community services, individualized supports and assistance that facilitates self-determination, independence, community inclusion and productivity.

DEVELOPMENTAL DISABILITIES PLANNING COUNCIL

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2001-02	Appropriations Recommended 2002-03	Change	Reappropriations Recommended 2002-03
State Operations	4,300,000	4,550,000	250,000	5,550,000
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	<u>4,300,000</u>	<u>4,550,000</u>	<u>250,000</u>	<u>5,550,000</u>

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2001-02 Estimated FTEs 03/31/02	2002-03 Estimated FTEs 03/31/03	FTE Change
Developmental Disabilities Planning			
Special Revenue Funds - Federal	18	18	0
Total	<u>18</u>	<u>18</u>	<u>0</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2001-02	Recommended 2002-03	Change
Special Revenue Funds - Federal	4,300,000	4,550,000	250,000
Total	<u>4,300,000</u>	<u>4,550,000</u>	<u>250,000</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2001-02	Recommended 2002-03	Change
Developmental Disabilities Planning			
Special Revenue Funds - Federal	4,300,000	4,550,000	250,000
Total	<u>4,300,000</u>	<u>4,550,000</u>	<u>250,000</u>

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Developmental Disabilities Planning	4,550,000	250,000	939,000	39,000
Total	<u>4,550,000</u>	<u>250,000</u>	<u>939,000</u>	<u>39,000</u>
Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Developmental Disabilities Planning	598,000	34,000	3,013,000	177,000
Total	<u>598,000</u>	<u>34,000</u>	<u>3,013,000</u>	<u>177,000</u>

DEPARTMENT OF ENVIRONMENTAL CONSERVATION

MISSION

The Department of Environmental Conservation is responsible for conserving, improving and protecting the State's natural resources and environment. The Department also works to control water, land and air pollution in order to enhance the health, safety and welfare of all New Yorkers. In addition, the Department plays a major role in the continued implementation of the \$1.75 billion Clean Water/Clean Air Bond Act, which was overwhelmingly approved by the voters in 1996.

ORGANIZATION AND STAFFING

The Department is headed by a Commissioner appointed by the Governor. The Department's Central Office is in Albany, with regional offices in Avon, Buffalo, Long Island City, New Paltz, Ray Brook, Schenectady, Stony Brook, Syracuse, and Watertown. These regional offices are the operational arms of the Department. Staff in the regional offices review and issue environmental permits for activities regulated by the Department such as the operation of landfills and sewage treatment plants. In addition, these offices ensure compliance with State and Federal environmental statutes, consistent with policy and management direction from program divisions in the Central Office.

The Department will have a workforce of 3,720 positions in fiscal year 2002-03. Approximately 37 percent of these positions are paid by State tax dollars; 47 percent are supported by State fees and other revenues, and the remaining 16 percent are financed by Federal grants.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 2002-03 Executive Budget recommends funding of more than \$1 billion for the Department of Environmental Conservation, including \$107.3 million in General Fund support. This recommendation will support the Department's core mission and ensure funding for ongoing priority projects.

General Fund appropriations will finance 27 percent of the Department of Environmental Conservation's operations in 2002-03. Fees and license revenues will support 50 percent, including the major permitting functions, the hazardous substances bulk storage and oil spill programs, and the hazardous waste remedial and enforcement programs. Federal funds will support the remaining 23 percent of the Department's budget.

The Environmental Protection Fund (EPF), established in 1993, will provide dedicated resources of \$125 million to support environmental programs, including open space protection, farmland preservation, recycling programs, non-point source pollution control projects, municipal park and waterfront revitalization projects, the clean-up of Onondaga Lake and the restoration and preservation of historic barns. The Fund is supported primarily by revenues from the real estate transfer tax (\$112 million) as well as by the sale/lease of State property and by Fund interest earnings. Due to the long lead-time required to complete typical projects financed by this Fund and the constant source of revenue deposited to it, a fund balance tends to accrue in the EPF. As a result of the expansion of the program in recent years, this balance has become significant and is expected to remain, if not grow, in future years. Accordingly, a transfer of \$100 million is recommended to assist the State's General Fund. This transfer will have no program impact whatsoever on current or future EPF project commitments, based on current and anticipated spending levels.

The voter-approved Clean Water/Clean Air Bond Act authorizes \$1.75 billion for critical environmental programs in the following categories: Safe Drinking Water Program (\$355 million), Clean Water Program (\$790 million), Solid Waste Projects (\$175 million), Air Quality

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Projects (\$230 million), and Environmental Restoration Projects (\$200 million). The 2002-03 Executive Budget advances amendments to the Environmental Restoration program that provide municipalities with additional incentives to more aggressively clean up brownfields and return them to productive use.

The Clean Air Fund, first established in 1993-94, will continue to provide the resources needed to meet the State's obligations under the Federal Clean Air Act to control stationary and mobile sources of air pollution. The Fund is supported by vehicle emission inspection fees and fees on regulated pollutants emitted by factories, power plants and other stationary source facilities.

General Fund appropriations will support the preservation and maintenance of the State's dams, bridges, regional facilities, maintenance centers, and the 20 percent match required for Federal grants provided through the Clean Water State Revolving Fund, which provides low-cost loans to municipalities for water pollution control projects. Since 1992-93, the cost of the State match has been reimbursed by proceeds from the sale of bonds issued by the Environmental Facilities Corporation. Principal and interest on the bonds are paid from State taxes.

The 2002-03 Executive Budget provides more than \$1 billion to support the Department's critical environmental, resource management and recreation programs, including:

- \$212.4 million from the Clean Water/Clean Air Bond Act for priority projects to restore brownfields, improve our air and water resources, and support local recycling efforts. With the \$70 million included in the Department of Health and the \$1.5 billion available from appropriations made from 1996-97 through 2001-02, the full authorization of \$1.75 billion will be provided for Bond Act projects through 2002-03;
- Nearly \$20 million in new and redirected funds from the 1965 Pure Waters Bond Act and the 1972 Environmental Quality Bond Act, of which \$10.5 million will support the Long Island Sound Comprehensive Conservation Management Plan and upstate community wastewater treatment projects. The remainder will fund various State air quality improvements, municipal solid waste projects and land preservation and improvement projects;
- \$125 million in new funding from the EPF to provide resources to address such high priority programs as open space preservation, continued implementation of the Hudson River Estuary Management Plan, stewardship and capital infrastructure projects at State parks and lands, development of the Hudson River Park, Onondaga Lake remediation, certain New York City Watershed Agreement activities, and the restoration and preservation of historic barns. Other projects funded by the EPF in 2002-03 will include: local solid waste reduction/recycling and marketing of recycled materials; local parks and historic preservation grants; living museums support; local waterfront revitalization projects; interstate water commissions; non-point source water pollution control projects; farmland protection; the operation of the Pesticide Sales and Use Database as well as breast cancer/environmental risks studies at Cornell University; the Albany Pine Bush Commission; biodiversity stewardship; and Peconic Bay and Long Island Pine Barrens/South Shore Estuary Reserve planning. The recommended \$125 million is in addition to a \$125 million EPF deficiency appropriation for 2001-02;
- \$138 million to support the refinanced Superfund program to continue the clean up of inactive hazardous waste sites and municipal landfill closures after the exhaustion of the 1986 Environmental Quality Bond Act funding. The new program will also provide, for the first time, funding to address hazardous substance sites. Recommendations also include \$312 million in reappropriated funds from the 1986 Environmental Quality Bond Act to continue work already underway for existing Superfund sites;
- \$4.9 million from taxpayer and EPF monies to implement the New York City Watershed Agreement. These funds will support State enforcement and monitoring efforts in the Watershed and the provision of technical assistance to participating Watershed communities;

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- \$176.2 million in new State and Federal funds for the Clean Water State Revolving Fund low-interest loan program to build and rehabilitate municipal sewage treatment facilities;
- \$45 million to support the programs of the Conservation Fund. In 2002-03, an increase in licensing fees will be required to meet continuing program needs;
- \$29.7 million in new funding for basic capital infrastructure projects to ensure health, safety and compliance with State and Federal laws and environmental requirements, including \$1.85 million for the State share of Federal flood control feasibility studies and nearly \$2 million for the continuation of various existing flood projects;
- \$2.4 million in new revenues from proposed pesticide fee increases which will support oversight, pesticide applicator examination and training, non-toxic alternatives to mosquito control and other West Nile prevention measures, and expanded integrated pest management programs;
- \$31.8 million in non-General Fund support for the State's Clean Air programs. New programs were begun in 1997-98 to control pollution from automobiles and to establish new consolidated permits for major stationary sources of air pollution and in 1999-2000, to control air pollution from heavy-duty vehicles; and
- \$400.3 million to support the operations of the Department, including a workforce of 3,720 positions.

PROGRAM HIGHLIGHTS

Under both State and Federal law, the Department carries out a wide range of environmental, regulatory, remedial, resource management, outdoor recreation and education programs. These include the protection and management of the State's air, water, mineral and energy resources, as well as the management of both solid and hazardous waste. In this regard, the Department has undertaken expanded responsibilities as part of the State's Homeland Security effort including air and water toxic assessments, hazardous materials monitoring, water infrastructure monitoring and security, and other activities to protect public health and safety.

Since 1995-96, the Department has restructured its operations to eliminate redundant management layers and refocused its attention on those activities that present the greatest environmental risk. Additionally, the regulatory process for issuing environmental permits has been streamlined to simplify requirements while still ensuring that environmental standards are maintained and the State's natural resources are protected.

The Department's functions can be divided into the following categories: Natural Resources, Environmental Quality/Remediation and Environmental Enforcement and Regulation.

NATURAL RESOURCES

In addition to its responsibility to protect the State's fish, wildlife and marine resources and habitats, the Fish, Wildlife and Marine program also manages the operation of 12 fish hatcheries, 1 game farm and 260 boat launching and fishing access sites. The primary source of funding for this program is sporting license fees (approximately \$33.5 million annually) deposited to the Conservation Fund.

The Lands and Forests program manages the more than 4 million acres of State land under the Department's jurisdiction, including nearly 3 million acres of State-owned land within the Adirondack and Catskill parks and 250,000 acres of conservation easements. Revenues from the sale of forest products from State lands help offset program costs. The State Forest Ranger force is charged with firefighting, search and rescue and enforcing rules, regulations and laws on State land.

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The Mineral Resources program regulates the State's oil and gas wells and oversees the mined land reclamation program. This program is focused on returning land used for mining to a productive use and also performs technical and environmental reviews of new and existing mined lands.

The Department also operates 52 campgrounds and 25 day-use areas within the Adirondack and Catskill parks, as well as the Belleayre Mountain Ski Center. These recreational facilities serve approximately 2 million visitors annually, generating tourist trade to their host communities. Camping and skiing user fees are intended to fully support facility operations.

ENVIRONMENTAL QUALITY/REMEDATION

The 1988 Solid Waste Management Act established the State's policies for the management of solid waste, identifying reduction and recycling as the preferred options. The Act required municipalities to implement source separation programs and to prepare Local Solid Waste Management Plans. Also in 1988, the Department of Environmental Conservation established requirements for the construction and operation of solid waste management facilities, such as landfills. Local governments must meet those requirements before the Department will grant an operating permit.

The 1990 amendments to the Federal Clean Air Act imposed sweeping new mandates on the State. While the cost of complying with the Act is significant, the cost of non-compliance would be equally severe. If the Federal Environmental Protection Agency determines that there is a deficiency in New York's clean air programs, then it must apply sanctions if the deficiency is not corrected within 18 months. Sanctions include the withholding of Federal highway funds and the requirement of a 2-for-1 air pollution emissions offset for new or modified sources of emissions in areas that do not meet Federal air quality standards. The sanction would result in a virtual ban on industrial expansion and would place New York at a severe economic disadvantage with other states.

New York has taken steps to implement a comprehensive stationary source air permit program, a small business assistance program and programs to reduce vehicular travel and inspect vehicle emission systems — all Federal requirements. In 1997-98, the State began implementing a decentralized vehicle emission testing system mandated by the Federal Clean Air Act. New York's system is more convenient and less costly to motorists than other federally-approved testing systems, while still reducing air pollution. This decentralized and simpler testing program allows motorists to visit their local automotive service stations to have emissions inspections performed. In 2000-01, the new Heavy Duty Inspection and Maintenance Program was fully implemented and is supported by registration fees.

In addition, DEC will also initiate several other actions to aggressively address serious air pollution problems. New regulations are being developed to control the precursors to acid rain from sources within the State and reduce sulfur dioxide emissions by 50 percent beyond the requirements of the Clean Air Act. The aggressive nitrogen oxide control program for ozone, currently in effect only in the summer months, will be extended to an annual basis. DEC has also finalized regulations to adopt the new California low emission vehicle requirements for both light- and medium-duty vehicles.

The 1986 Environmental Quality Bond Act — commonly known as the State Superfund — made \$1.1 billion available for inactive hazardous waste cleanups, allowing the Department to embark on one of the most ambitious remedial programs in the nation. In response to New York's actions, responsible parties have committed more than \$3.1 billion for the investigation and remediation of identified inactive hazardous waste sites. This is in addition to the \$1.1 billion in Bond Act funds committed to investigate and remediate 951 toxic waste sites. Bond Act funds have been totally committed and a new refinanced program is necessary for critical activities to continue.

To maintain New York State's place as a national leader in protecting the environment, Governor Pataki has proposed legislation to refinance and improve the New York State Superfund program, providing \$138 million annually for three cleanup programs — State Superfund, Voluntary Cleanup, and Oil Spill. This program will be funded equally by the State

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and industry. Additionally, the legislation provides for technical assistance grants to municipalities to identify and plan for redevelopment of brownfields, tax credits of up to \$41 million to encourage the redevelopment of abandoned industrial sites statewide, as well as other targeted tax credits to promote the development and reuse of restored but dormant brownfield sites in Upstate New York. The legislation maintains the most stringent environmental and public health standards in the nation, upholds the “polluters pay” principle and makes common sense reforms that will enable New York State to remove more contamination from the environment and return more sites to productive use safely and quickly.

ENVIRONMENTAL ENFORCEMENT AND REGULATION

An additional responsibility the Department of Environmental Conservation undertakes is to enforce and regulate a myriad of activities undertaken by businesses, local governments and individuals that can have an impact on the environment and the State’s natural resources.

The Department carries out this responsibility through a variety of regulatory and permitting processes and through ongoing communication with those subject to environmental laws and regulations to ensure that such requirements are understood. In addition, the Department’s Environmental Conservation Officers work closely with other staff to ensure that environmental laws and regulations are enforced.

Over the last four years, the Department has enhanced and improved its overall regulatory and enforcement capabilities, including the coordination of enforcement and inspection programs at each of its regional offices. The Department will continue to review its regulatory practices to streamline procedures and eliminate unnecessary requirements, while still ensuring that strict environmental standards are maintained.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2001-02	Appropriations Recommended 2002-03	Change	Reappropriations Recommended 2002-03
State Operations	413,624,700	400,327,700	(13,297,000)	198,773,600
Aid To Localities	6,300	6,300	0	3,050,500
Capital Projects	475,468,000	608,556,000	133,088,000	3,740,779,000
Total	<u>889,099,000</u>	<u>1,008,890,000</u>	<u>119,791,000</u>	<u>3,942,603,100</u>

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**ALL FUND TYPES
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM
FILLED ANNUAL SALARIED POSITIONS**

Full-Time Equivalent Positions (FTE)

Program	2001-02 Estimated FTEs 03/31/02	2002-03 Estimated FTEs 03/31/03	FTE Change
Administration			
General Fund	118	116	(2)
Special Revenue Funds - Federal	183	183	0
Special Revenue Funds - Other	16	16	0
Air and Water Quality Management			
General Fund	179	157	(22)
Special Revenue Funds - Federal	212	212	0
Special Revenue Funds - Other	391	409	18
Clean Water/Clean Air Administration Program			
Capital Projects Funds - Other	40	40	0
Environmental Enforcement			
General Fund	203	201	(2)
Special Revenue Funds - Other	234	234	0
Fish, Wildlife and Marine Resources			
General Fund	89	73	(16)
Special Revenue Funds - Federal	91	101	10
Special Revenue Funds - Other	292	292	0
Forest and Land Resources			
General Fund	275	272	(3)
Special Revenue Funds - Federal	14	14	0
Special Revenue Funds - Other	117	124	7
Operations			
General Fund	386	381	(5)
Special Revenue Funds - Other	66	66	0
Rehabilitation and Improvement			
Capital Projects Funds - Other	54	54	0
Solid and Hazardous Waste Management			
General Fund	193	166	(27)
Special Revenue Funds - Federal	82	82	0
Special Revenue Funds - Other	464	218	(246)
Capital Projects Funds - Federal	32	32	0
Capital Projects Funds - Other	0	277	277
Total	3,731	3,720	(11)

ENVIRONMENTAL CONSERVATION

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2001-02	Recommended 2002-03	Change
General Fund	104,077,500	107,294,500	3,217,000
Special Revenue Funds - Federal	94,822,400	93,369,000	(1,453,400)
Special Revenue Funds - Other	214,689,800	199,624,200	(15,065,600)
Internal Service Funds	35,000	40,000	5,000
Total	<u>413,624,700</u>	<u>400,327,700</u>	<u>(13,297,000)</u>

Adjustments:

Recommended Deficiency			
Environmental Conservation,			
Department of			
General Fund	(1,016,000)		
Special Revenue Funds - Other	(11,700,000)		
Transfer(s) From			
Environmental Conservation,			
Department of			
Special Revenue Funds - Other	(1,084,700)		
Transfer(s) To			
Environmental Conservation,			
Department of			
General Fund	1,084,700		
Environmental Facilities Corporation			
Special Revenue Funds - Other	135,800		
Appropriated 2001-02	<u>401,044,500</u>		

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2001-02	Recommended 2002-03	Change
Administration			
General Fund	8,905,000	9,065,200	160,200
Special Revenue Funds - Federal	12,731,700	13,511,000	779,300
Special Revenue Funds - Other	2,291,900	2,372,100	80,200
Internal Service Funds	35,000	40,000	5,000
Air and Water Quality Management			
General Fund	11,794,200	11,020,400	(773,800)
Special Revenue Funds - Federal	30,235,400	27,414,000	(2,821,400)
Special Revenue Funds - Other	67,969,700	71,833,000	3,863,300
Air Resources			
Special Revenue Funds - Federal	9,091,000	8,920,000	(171,000)
Construction Management			
Special Revenue Funds - Federal	4,018,600	4,086,000	67,400
Environmental Enforcement			
General Fund	18,883,300	18,621,200	(262,100)
Special Revenue Funds - Other	29,074,400	29,900,100	825,700
Fish, Wildlife and Marine Resources			
General Fund	7,739,500	8,925,300	1,185,800
Special Revenue Funds - Federal	19,427,700	20,000,000	572,300
Special Revenue Funds - Other	28,823,700	31,105,800	2,282,100
Forest and Land Resources			
General Fund	16,696,100	17,393,500	697,400
Special Revenue Funds - Federal	12,890,200	12,052,000	(838,200)
Special Revenue Funds - Other	19,390,700	20,472,300	1,081,600
Operations			
General Fund	28,652,600	31,860,500	3,207,900
Special Revenue Funds - Other	11,782,400	11,446,700	(335,700)
Solid and Hazardous Waste Management			
General Fund	11,406,800	10,408,400	(998,400)
Special Revenue Funds - Federal	6,427,800	7,386,000	958,200
Special Revenue Funds - Other	55,357,000	32,494,200	(22,862,800)
Total	<u>413,624,700</u>	<u>400,327,700</u>	<u>(13,297,000)</u>

ENVIRONMENTAL CONSERVATION

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	7,420,000	160,200	6,865,100	141,000
Air and Water Quality Management	9,959,600	(774,000)	9,841,200	(778,400)
Environmental Enforcement	14,661,700	599,400	11,980,500	483,100
Fish, Wildlife and Marine Resources	4,867,500	(18,200)	4,595,100	(27,700)
Forest and Land Resources	15,918,400	696,700	14,270,600	631,100
Operations	17,483,000	549,900	16,283,000	508,400
Solid and Hazardous Waste Management	10,130,000	(998,400)	9,911,800	(1,006,800)
Total	80,440,200	215,600	73,747,300	(49,300)

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	516,200	17,500	38,700	1,700
Air and Water Quality Management	78,600	2,700	39,800	1,700
Environmental Enforcement	0	0	2,681,200	116,300
Fish, Wildlife and Marine Resources	240,800	8,100	31,600	1,400
Forest and Land Resources	615,800	20,800	1,032,000	44,800
Operations	1,100,200	37,200	99,800	4,300
Solid and Hazardous Waste Management	110,400	3,700	107,800	4,700
Total	2,662,000	90,000	4,030,900	174,900

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	1,645,200	0	334,900	0
Air and Water Quality Management	1,060,800	200	380,000	0
Environmental Enforcement	3,959,500	(861,500)	658,400	0
Fish, Wildlife and Marine Resources	4,057,800	1,204,000	750,500	0
Forest and Land Resources	1,475,100	700	982,800	200
Operations	14,377,500	2,658,000	1,808,200	3,900
Solid and Hazardous Waste Management	278,400	0	49,500	0
Total	26,854,300	3,001,400	4,964,300	4,100

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	36,800	0	1,255,400	0
Air and Water Quality Management	83,700	0	438,000	200
Environmental Enforcement	36,800	0	443,300	0
Fish, Wildlife and Marine Resources	16,600	0	3,215,200	1,204,000
Forest and Land Resources	71,400	0	319,300	500
Operations	1,489,300	43,000	10,410,300	2,611,100
Solid and Hazardous Waste Management	20,000	0	207,300	0
Total	1,754,600	43,000	16,288,800	3,815,800

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	18,100	0	0	0
Air and Water Quality Management	159,100	0	0	0
Environmental Enforcement	30,300	0	2,790,700	(861,500)
Fish, Wildlife and Marine Resources	75,500	0	0	0
Forest and Land Resources	101,600	0	0	0
Operations	669,700	0	0	0
Solid and Hazardous Waste Management	1,600	0	0	0
Total	1,055,900	0	2,790,700	(861,500)

ENVIRONMENTAL CONSERVATION

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	15,923,100	864,500	10,031,300	454,800
Air and Water Quality Management	99,247,000	1,041,900	35,299,600	1,800,100
Air Resources	8,920,000	(171,000)	4,860,000	(224,000)
Construction Management	4,086,000	67,400	2,570,000	(26,000)
Environmental Enforcement	29,900,100	825,700	17,621,500	254,200
Fish, Wildlife and Marine Resources	51,105,800	2,854,400	22,450,600	1,463,600
Forest and Land Resources	32,524,300	243,400	13,965,700	948,400
Operations	11,446,700	(335,700)	2,881,400	124,000
Solid and Hazardous Waste Management	39,880,200	(21,904,600)	9,887,500	(12,941,700)
Total	<u>293,033,200</u>	<u>(16,514,000)</u>	<u>119,567,600</u>	<u>(8,146,600)</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	5,891,800	409,700	0	0
Air and Water Quality Management	37,237,700	(864,900)	26,709,700	106,700
Air Resources	4,060,000	53,000	0	0
Construction Management	1,516,000	93,400	0	0
Environmental Enforcement	11,778,600	571,500	500,000	0
Fish, Wildlife and Marine Resources	25,459,200	1,330,800	3,196,000	60,000
Forest and Land Resources	17,258,600	(1,005,000)	1,300,000	300,000
Operations	8,538,300	(461,700)	27,000	2,000
Solid and Hazardous Waste Management	8,409,700	(4,854,800)	21,583,000	(4,108,100)
Total	<u>120,149,900</u>	<u>(4,728,000)</u>	<u>53,315,700</u>	<u>(3,639,400)</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2001-02	Recommended 2002-03	Change
General Fund	6,300	6,300	0
Total	<u>6,300</u>	<u>6,300</u>	<u>0</u>

Adjustments:

Recommended Deficiency Environmental Conservation, Department of General Fund	1,507,000
Appropriated 2001-02	<u>1,513,300</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2001-02	Recommended 2002-03	Change
Administration			
General Fund	6,300	6,300	0
Total	<u>6,300</u>	<u>6,300</u>	<u>0</u>

ENVIRONMENTAL CONSERVATION

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Comprehensive Construction Program	Available 2001-02	Recommended 2002-03	Change	Reappropriations 2002-03
Design and Construction Supervision				
Capital Projects Fund	0	0	0	14,000
Marine Resources				
Capital Projects Fund	0	0	0	124,000
Federal Capital Projects Fund	1,450,000	1,650,000	200,000	8,311,000
Air Resources - EQBA				
Capital Projects Fund - EQBA (Bondable)	0	5,810,000	5,810,000	16,874,000
Environmental Quality Bond Act Fund				
Environmental Quality Bond Act Fund - 1986	0	0	0	325,779,000
State Air Quality Projects				
Capital Projects Fund - EQBA (Bondable)	0	0	0	1,112,000
Air Quality Projects				
Clean Air Fund	0	0	0	164,000
Water Resources - PWBA				
Capital Projects Fund - PWBA (Bondable)	0	4,900,000	4,900,000	28,978,000
Solid Waste Management				
Capital Projects Fund	675,000	845,000	170,000	2,041,000
Capital Projects Fund - Advances	0	0	0	2,291,000
Federal Capital Projects Fund	0	0	0	57,042,000
Environmental Protection and Enhancements				
Environmental Protection Fund	0	0	0	18,567,000
Environment and Recreation				
Environmental Protection Fund	125,000,000	125,000,000	0	347,366,000
Fish and Wildlife				
Capital Projects Fund	200,000	400,000	200,000	2,008,000
Federal Capital Projects Fund	1,600,000	625,000	(975,000)	7,925,000
Hudson River Habitat Restor. Fund	0	0	0	351,000
Lands and Forests				
Capital Projects Fund	2,782,000	650,000	(2,132,000)	16,934,000
Forest Preserve Expansion Fund	0	0	0	142,000
Water Resources - EQBA				
Capital Projects Fund - EQBA (Bondable)	0	5,579,000	5,579,000	4,004,000
Air Resources				
Capital Projects Fund	0	0	0	2,319,000
Clean Air Fund	3,000,000	3,000,000	0	9,100,000
Administration				
Capital Projects Fund	500,000	1,000,000	500,000	1,649,000
Federal Capital Projects Fund	0	0	0	175,000
Enterprise Fund	0	0	0	175,000
Lands and Forests - EQBA				
Capital Projects Fund - EQBA (Bondable)	0	28,000	28,000	621,000
Environmental Quality Protection Bond Fund				
Environmental Quality Protection Bond Fund	0	0	0	73,886,000
Clean Water/Clean Air Bond Fund				
Clean Water - Clean Air Bond Fund	0	0	0	1,092,201,000
Pure Waters Bond Fund				
Pure Waters Bond Fund	0	0	0	33,911,000
Recreation				
Capital Projects Fund	350,000	2,350,000	2,000,000	1,094,000
Solid Waste Management - EQBA				
Capital Projects Fund - EQBA (Bondable)	0	3,387,000	3,387,000	33,244,000
Operations				
Capital Projects Fund	14,060,000	16,615,000	2,555,000	30,192,000
Financial Security Fund	0	0	0	1,953,000
Natural Resource Damages Fund	0	0	0	9,983,000
Water Resources				
Capital Projects Fund	38,542,000	37,200,000	(1,342,000)	110,660,000
Capital Projects Fund - Advances	1,275,000	2,055,000	780,000	17,108,000
Federal Capital Projects Fund	148,860,000	146,825,000	(2,035,000)	311,256,000
Air Quality - Clean Water/Clean Air 96				
Capital Projects Fund - 1996 CWA (Bondable)	12,000,000	6,000,000	(6,000,000)	75,777,000
Clean Water - Clean Water/Clean Air 96				
Capital Projects Fund - 1996 CWA (Bondable)	87,582,000	46,918,000	(40,664,000)	497,375,000
Solid Waste - Clean Water/Clean Air 96				
Capital Projects Fund - 1996 CWA (Bondable)	45,000,000	14,500,000	(30,500,000)	81,149,000

ENVIRONMENTAL CONSERVATION

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Comprehensive Construction Program	Available 2001-02	Recommended 2002-03	Change	Reappropriations 2002-03
Environmental Restoration - Clean Water/Clean Air 96				
Capital Projects Fund - 1996 CWA (Bondable)	25,000,000	75,000,000	50,000,000	113,728,000
Clean Water - Clean Air Implementation				
Clean Water Clean Air Implementation Fund	2,592,000	3,219,000	627,000	6,470,000
Solid and Hazardous Waste Management - EQBA 86				
Capital Projects Fund - EQBA 86 (Bondable)	0	0	0	311,454,000
Lands and Forests - EQBA 86				
Capital Projects Fund - EQBA 86 (Bondable)	0	0	0	7,000
Solid and Hazardous Waste Management				
Capital Projects Fund	0	0	0	1,820,000
Capital Projects Fund - Advances	0	0	0	83,445,000
Remedial Program Transfer Fund	90,000,000	105,000,000	15,000,000	0
Total	<u>600,468,000</u>	<u>608,556,000</u>	<u>8,088,000</u>	<u>3,740,779,000</u>

Adjustments:

Recommended Deficiency	
Environmental Protection Fund - Solid Waste	(11,500,000)
Environmental Protection Fund - Parks	(37,452,000)
Environmental Protection Fund - Open Space	(43,048,000)
Environmental Protection Fund - Land Acquisition	<u>(33,000,000)</u>
Appropriated 2001-02	<u>475,468,000</u>

ENVIRONMENTAL FACILITIES CORPORATION

MISSION

The Environmental Facilities Corporation is a public benefit corporation established to help local governments, State agencies and private industry comply with State and Federal environmental laws and regulations. The Corporation works with these entities to design, construct, operate and finance air pollution control, drinking water and wastewater treatment, and solid and hazardous waste disposal facilities. The Environmental Facilities Corporation's largest program is the Clean Water State Revolving Fund. This program, administered jointly with the Department of Environmental Conservation, provides reduced-interest rate loans to municipalities to construct water pollution control facilities. The Corporation, in conjunction with the New York State Department of Health, jointly administers the Drinking Water State Revolving Fund, which provides reduced-interest rate loans and grants for community and private water systems to finance safe drinking water projects. Funding for these programs is provided by a Federal grant and a corresponding State match. The State match for the Drinking Water Revolving Fund is provided from the Clean Water/Clean Air Bond Act. Administration of these programs is also supported by fees and interest generated through program operations.

ORGANIZATION AND STAFFING

The Environmental Facilities Corporation is governed by a seven-member Board of Directors who serve without compensation: the Commissioner of Environmental Conservation who serves as the chairman, the Commissioner of Health, the Secretary of State, and four members nominated to six-year terms by the Governor with Senate confirmation.

The Corporation is operated by a President appointed by the Board of Directors and has a workforce of 108, including 10 staff of the Department of Environmental Conservation who are assigned administratively to the Corporation.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The operations of the Environmental Facilities Corporation are funded by:

- Financing fees and annual fees charged to municipalities that receive Revolving Fund loans and a portion of State and Federal grants made for the Clean Water and Drinking Water programs; and
- User fees paid by businesses, municipalities and industrial clients for the Corporation's technical and financial services.

For fiscal year 2002-03, a total of \$9.5 million is recommended for operations of the Corporation. In addition, State funds are included in the Department of Environmental Conservation and Department of Health's capital budgets for the required match to Federal funding for the Clean Water State Revolving Fund and Drinking Water State Revolving Fund programs.

PROGRAM HIGHLIGHTS

The Environmental Facilities Corporation currently administers six major programs: the two State Revolving Funds, the Industrial Finance Program, Technical Advisory Services, the Clean Water/Clean Air Bond Act's small business environmental compliance assistance programs and the Pipeline for Jobs Program.

ENVIRONMENTAL FACILITIES

CLEAN WATER STATE REVOLVING FUND PROGRAM

This program was established in 1989 to help municipalities comply with the Federal Clean Water Act by providing low-interest rate loans to build or upgrade water pollution control facilities. Since 1989, the program has received Federal and State appropriations totaling \$2.17 billion and \$434 million, respectively. The State Revolving Fund has made loans totaling \$6.2 billion to 387 municipalities across the State. Interest rates range from as low as zero percent to no more than two-thirds of the market rate. These low-interest rate loans substantially reduce the cost of water pollution control projects for municipal borrowers, making environmental compliance and protection more attainable.

DRINKING WATER STATE REVOLVING FUND PROGRAM

In 1996, Congress enacted Federal legislation authorizing the Drinking Water State Revolving Fund program. This program, modeled after the Clean Water State Revolving Fund program, provides reduced interest rate loans to community and private water systems to finance safe drinking water projects. In cases of financial hardship, funds are also available from the Clean Water/Clean Air Bond Act to make grants for the construction of drinking water facilities. The Drinking Water Program has made loans totaling \$662.4 million and grants totaling \$114.5 million to 178 recipients across the State. Federal funding provided for the Drinking Water State Revolving Fund requires a 20 percent State match. Since 1996, the program has been supported by \$299.5 million in Federal awards, requiring a State match of \$59.9 million. The State's share of the Drinking Water State Revolving Fund is provided from the Clean Water/Clean Air Bond Act.

INDUSTRIAL FINANCE PROGRAM

Since 1976, the Industrial Finance Program has provided more than \$993.6 million in low-interest rate loans and \$188 million in loan refinancing to businesses and State agencies for environmental improvement projects. Projects eligible for loans include solid waste management facilities, hazardous waste management facilities and water supply and wastewater management facilities. Loans under the program are financed from the proceeds of special obligation revenue bonds issued by the Corporation.

TECHNICAL ADVISORY SERVICES

The Technical Advisory Services Program advises businesses, industrial clients, State agencies and municipalities on pollution prevention, waste management and compliance with environmental laws and regulations. The Corporation also provides fund management and loan and grant servicing to its clients. The Environmental Facilities Corporation addresses such issues as air pollution control; multi-media waste management; inactive hazardous waste site remediation and compliance; water and wastewater management; and resource recovery and recycling. This program is funded by fees paid by Corporation clients who contract for these services.

FINANCIAL ASSISTANCE TO BUSINESS

The 1996 Clean Water/Clean Air Bond Act provides \$60 million to assist small businesses in achieving compliance with environmental laws and regulations pertaining to air and water quality. The air and water quality programs are funded at \$30 million each and are used to assist small businesses in targeted sectors to procure new capital equipment necessary to achieve environmental compliance. The Environmental Facilities Corporation will work with village, town and city governments to identify businesses in need of assistance.

ENVIRONMENTAL FACILITIES

PIPELINE FOR JOBS

The Pipeline For Jobs Program was created in 1999 to provide low-cost loans and financial assistance to municipalities, public utilities, public benefit corporations and businesses. The purpose of the program is to encourage and support water supply and cold water supply improvement projects, which provide an economic development benefit to the State. The recommendation for this program includes \$14.5 in reappropriations.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2001-02	Appropriations Recommended 2002-03	Change	Reappropriations Recommended 2002-03
State Operations	8,055,800	9,528,000	1,472,200	0
Aid To Localities	0	0	0	0
Capital Projects	292,000	292,000	0	14,500,000
Total	<u>8,347,800</u>	<u>9,820,000</u>	<u>1,472,200</u>	<u>14,500,000</u>

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2001-02 Estimated FTEs 03/31/02	2002-03 Estimated FTEs 03/31/03	FTE Change
	Administration		
Special Revenue Funds - Other	92	92	0
Clean Water/Clean Air Administration Program			
Capital Projects Funds - Other	6	6	0
Total	<u>98</u>	<u>98</u>	<u>0</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2001-02	Recommended 2002-03	Change
Special Revenue Funds - Other	8,055,800	9,528,000	1,472,200
Total	<u>8,055,800</u>	<u>9,528,000</u>	<u>1,472,200</u>

Adjustments:

Transfer(s) From	
Environmental Conservation, Department of	
Special Revenue Funds - Other	(135,800)
Appropriated 2001-02	<u>7,920,000</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2001-02	Recommended 2002-03	Change
Administration			
Special Revenue Funds - Other	8,055,800	9,528,000	1,472,200
Total	<u>8,055,800</u>	<u>9,528,000</u>	<u>1,472,200</u>

ENVIRONMENTAL FACILITIES

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	9,528,000	1,472,200	6,060,400	757,500
Total	9,528,000	1,472,200	6,060,400	757,500

Program	Nonpersonal Service	
	Amount	Change
Administration	3,467,600	714,700
Total	3,467,600	714,700

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Comprehensive Construction Program	Available 2001-02	Recommended 2002-03	Change	Reappropriations 2002-03
Pipeline for Jobs Program				
Capital Projects Fund	0	0	0	14,500,000
Clean Water - Clean Air Implementation				
Clean Water Clean Air Implementation Fund	292,000	292,000	0	0
Total	292,000	292,000	0	14,500,000

DEPARTMENT OF HEALTH

MISSION

The Department of Health ensures that high quality, appropriate health services are available to all New York State residents at a reasonable cost. Department functions and responsibilities include:

- Promoting and supervising public health activities throughout the State;
- Ensuring sound and cost-effective quality medical care for all residents;
- Reducing infectious diseases such as tuberculosis, measles, mumps and rubella and chronic disabling illnesses such as heart disease, cancer, stroke and respiratory diseases; and
- Directing a variety of health-related homeland security measures in conjunction with the Governor's new Office of Public Security. As part of this new mission, the Department will work with the State's health care community to ensure appropriate readiness and response to potential public health threats.

The Department of Health is also the principal State agency that interacts with the Federal and local governments, health care providers and program participants for the State's Medicaid program.

ORGANIZATION AND STAFFING

Under the direction of the Commissioner, who is appointed by the Governor, the Department of Health meets its responsibilities through the Office of Medicaid Management, the Office of Managed Care, the centers located in the Office of Public Health, and the Office of Health Systems Management. These entities provide policy and management direction to the Department's system of regional offices. Department staff located in regional offices conduct health facility surveillance, public health monitoring and direct services, and oversee county health department activities.

Additionally, the Department is responsible for five health care facilities that are engaged in advanced medical research and patient care including the Roswell Park Cancer Institute in Buffalo, the Helen Hayes Hospital in West Haverstraw, and four nursing homes for the care of veterans and their dependents in Oxford, New York City, Batavia and the newly opened home at Montrose. In early 1999, responsibility for the operations of the Roswell Park Cancer Institute was transferred to a public benefit corporation, the Roswell Park Cancer Institute Corporation, pursuant to an operating agreement between the Corporation and the Department. This has provided Roswell with the flexibility needed to compete more effectively in a changing health care environment.

In 2002-03, the Department of Health will have a workforce of approximately 6,111 positions, with nearly 25 percent of those positions employed in the Department's health care facilities. Since 1995-96, the number of non-institutional positions has decreased by approximately 392, reflecting new program initiatives such as the safe drinking water program, newborn screening and nursing home surveillance, offset by the impact of attrition, early retirements, and management efficiencies.

- Approximately 21 percent of these positions are paid exclusively by the General Fund;
- 12 percent are directly supported by fees;
- 52 percent are supported by third party, private patient care and Federal reimbursement; and
- The remaining 15 percent are directly funded by Federal grants.

MEDICAID

Total Medicaid spending in New York will be approximately \$35.8 billion in 2002-03. The 2002-03 Medicaid budget reflects a continued commitment to an effective and affordable

HEALTH

delivery system that promotes quality health care, protects patients, and ensures access to appropriate services to meet the health care needs of the State's neediest residents. The 2002-03 Executive Budget recommends several targeted actions to offset Medicaid cost increases and keep the program affordable for State and local taxpayers.

Acute Care

State Medicaid spending for hospitals and clinics will reach more than \$2 billion in 2002-03. Hospitals will continue to rely on the landmark Health Care Reform Act (HCRA), originally enacted in 1996, as the basis for their funding. HCRA encourages competition in the health care industry by allowing most non-Medicaid payors to negotiate rates with hospitals.

The Health Care Reform Act of 2000 (HCRA 2000) continues, through March 31, 2003, cost containment actions enacted as part of prior year Budgets. In addition, HCRA 2000 continues to provide hospitals and clinics with adequate funding to ensure that quality health care services are accessible and affordable for all New Yorkers. The 2002-03 Executive Budget reflects more than \$300 million in State funds for priority health initiatives from HCRA 2000 (through June 30, 2003), and additional Federal funds, including those resulting from any increase in the Federal Medicaid Assistance Percentage (FMAP).

The 2002-03 Executive Budget also recommends initiatives to achieve State and local savings through strategies to maximize Federal revenues, including additional hospital intergovernmental transfers and Upper Payment Limit payments. In addition, the Budget distributes \$238 million in available New York Prospective Hospital Reimbursement Methodology (NYPHRM) and HCRA bad debt and charity care funds to hospitals.

Long Term Care

Medicaid costs, which have been controlled in recent years, are beginning to rise at a rate that places an undue burden on State and local governments. State spending on nursing home and community-based care comprises almost half of the General Fund Medicaid budget — or an estimated \$3.6 billion in 2002-03. Accordingly, the 2002-03 Executive Budget reflects revenues from a 6 percent reimbursable cash receipts assessment on nursing homes to help offset Medicaid costs.

Progress continues to be made in implementing the provisions of the Long Term Care Integration and Finance Act of 1997. Continuing Care Retirement Communities are providing the elderly with more affordable and accessible options for comprehensive, independent living arrangements that include a wide array of home care, nursing care and other medical services. The rapidly growing Managed Long Term Care program is offering a broader and more integrated continuum of long term care service options.

In addition, the Partnership for Long Term Care is providing long term care insurance that protects individuals from having to spend down their assets to qualify for Medicaid in the event of a lengthy illness. This innovative Partnership, used in three other states, now represents a significant portion of New York's long term care insurance market.

Managed Care

The 2002-03 Executive Budget reflects the continued mandatory enrollment of Medicaid recipients in managed care. Federal approval of New York's 1115 managed care waiver in July 1997 set the stage for mandatory enrollment to commence in October 1997. To date, sections of New York City and 19 upstate counties — Albany, Broome, Cattaraugus, Chautauqua, Columbia, Erie, Greene, Monroe, Nassau, Niagara, Oneida, Onondaga, Ontario, Oswego, Rensselaer, Rockland, Saratoga, Suffolk and Westchester — are operating mandatory managed care programs. New York City and the remainder of the State will continue to phase in managed care, subject to Federal approval, during 2002-03.

Managed Care enrollment is projected to reach approximately 954,000 by the end of 2001-02 and 1.3 million by the end of 2002-03. When fully implemented, approximately

three-quarters of all Medicaid recipients are expected to be enrolled in mandatory managed care. As a result of existing managed care enrollment, New York State continues to have increased primary care use, lower emergency room use, and fewer inpatient days.

The State's Medicaid Managed Care program ensures that the neediest people receive high quality, accessible health care. Legislation passed in 2000 extends, until December 31, 2003, State authorization for the mandatory Medicaid managed care program and special managed care plans that provide comprehensive services to individuals infected with the HIV virus. These Special Needs Plans will begin operation in Winter 2002. Start-up grant funding is being provided to facilitate implementation of these plans. Medicaid Managed Care also incorporates a comprehensive set of consumer protections to ensure that recipients obtain enrollment assistance and quality care, and understand their rights and responsibilities under managed care plans.

Medicaid Administration

The Department of Health is responsible for overall management of the Medicaid program, including the State's interaction with Federal and local governments, health care providers and Medicaid recipients. Counties will continue their role in making Medicaid eligibility determinations and contracting with providers of Medicaid services. Payments to health care providers also continue to be made through the State's computerized Medicaid Management Information System (MMIS) that is operated by a private agency with oversight by State personnel. The Department has obtained management and development services for a Replacement Medicaid System (RMS) that will replace both MMIS and the Electronic Medicaid Eligibility Verification System (EMEVS) with an integrated claims processing system. RMS will provide updated technologies and bring New York State into compliance with new Federal reporting requirements. In addition, the new system will substantially enhance front-end detection of Medicaid fraud.

Moreover, to control the escalating cost of prescription drugs in Medicaid, the 2002-03 Executive Budget will require the use of certain generic medications unless a physician determines it is medically necessary to prescribe brand name drugs.

CHILD HEALTH PLUS

New York's Child Health Plus program continues to set a national standard for children's health insurance coverage for children up to age 19. The Child Health Plus program was significantly expanded with passage of legislation under Governor Pataki's leadership in both 1996 and 1998, resulting in a dramatic increase in enrollment to nearly 540,000 children. Under Governor Pataki, the program has expanded to include comprehensive health benefits, Medicaid coverage for 15 to 19 year olds and increased eligibility to 250 percent of the Federal Poverty Level.

When eligible Federal funds are combined with State HCRA moneys, the Child Health Plus program will provide comprehensive coverage to virtually all eligible children in the State.

FAMILY HEALTH PLUS

As authorized by HCRA 2000, the Family Health Plus program offers access to comprehensive health coverage to more than 700,000 eligible low-income adults who do not have insurance through their employers, yet have incomes that do not qualify them for other public programs. Under Family Health Plus, health coverage is expanded for families up to 133 percent of the gross Federal Poverty Level (FPL) on October 1, 2001 and to 150 percent of the FPL on October 1, 2002. For individuals without children, coverage is expanded to those at 100 percent of the FPL at the beginning of the program. Enrollment in Family Health Plus began in October 2001 and is projected to reach approximately 24,000 by the end of 2001-02, and 168,000 by the end of 2002-03.

HEALTH

OTHER PUBLIC HEALTH PROGRAMS

Excluding Medicaid program costs, General Fund appropriations finance 28 percent of the Department of Health's budget in 2002-03. Other revenue sources, including: 1) reimbursement for patient care provided at the Department's health care facilities; 2) regulatory fees and audit recoveries; 3) management fees for hospital and nursing home construction projects financed through bond proceeds; and 4) registration, testing and certification fees for various public health services, support 38 percent of the Department of Health's budget, including the Professional Medical Conduct Program, clinical and environmental laboratory certification activities, and health care facilities' operating costs. The remaining 34 percent is provided by Federal grants and Fiduciary and Enterprise funds.

Capital Projects appropriations preserve and maintain the Department's hospitals, nursing homes, and the three separate laboratory facilities in Albany County that constitute the Wadsworth Center for Laboratories and Research. The costs of projects at the health care facilities are funded from facility revenues and/or the General Fund.

This overall recommendation ensures that public health priorities are preserved. As such, the 2002-03 Budget:

- Continues funding of \$3 million for the Newborn Hearing Program and \$3 million to expand New York's newborn screening program to keep pace with advances in science. Genetic and other medical research is making it possible to diagnose an increasing number of treatable, yet potentially life threatening, disorders;
- Includes \$90 million for the Roswell Park Cancer Institute Corporation;
- Sustains the State's commitment to fighting the AIDS epidemic by continuing statewide spending at more than \$2.3 billion, a year-to-year increase of \$104.1 million, including \$105 million to be spent by the AIDS Institute. Emphasis will continue to be placed on prevention and specialized services which target resources to populations with the greatest risk of infection;
- Provides \$458 million in support for the Elderly Pharmaceutical Insurance Coverage program (EPIC) to ensure that more than 257,000 senior citizens receive crucial prescription insurance. The EPIC recommendation is premised on various efficiencies, including the maximization of third party drug benefits;
- Includes approximately \$213.5 million for the General Public Health Works program to reimburse counties and New York City for providing public health services such as childhood immunizations, primary health care, and control of communicable diseases such as tuberculosis and sexually transmitted diseases.
- Continues support of \$44.5 million, funded largely from HCRA, for an enhanced anti-smoking program, including counter advertising, community and school-based education programs, cancer mapping and strict enforcement of laws regulating the sale and use of tobacco products;
- Supports the optional State supplementation of the Federal nutrition assistance program for women, infants and children (WIC) with \$28 million. New York continues to be one of only 13 states to augment Federal nutrition funds;
- Promotes private insurance and Medicaid revenue maximization in the \$210 million (State share) Early Intervention program serving infants and toddlers under the age of three who have developmental delays; and
- Advances targeted fee increases to support the Department's oversight of health care entities as well as an increase in the fee for medical records provided to non-patients by physicians and health care entities.

In addition, the Budget restores the HCRA 2000 covered lives assessment to 1996 levels and increases the State's cigarette tax by 39 cents per pack. These actions will produce much needed revenue to support critical public health programs in HCRA.

PROGRAM HIGHLIGHTS**MEDICAID**

Originally established in 1965 by the Federal government as a health insurance program for the poor, New York's Medicaid program costs will total \$33.4 billion in 2001-02, and provide coverage to approximately 2.8 million New Yorkers. Cost containment and revenue maximization initiatives have resulted in the State's 2001-02 Medicaid spending being held to nearly 1994-95 levels. In addition to the federally mandated services — inpatient and outpatient hospital care, nursing facility care, physician services, home health care, family planning, laboratory and x-ray services — New York also provides almost all federally permissible optional services.

Traditionally, the Federal government has paid for 50 percent of the State's Medicaid program. The State pays about 35 percent of the total costs and counties pay about 15 percent. The Federal government has matched, on an unlimited basis, each State and local dollar expended on Medicaid.

ACCESS TO QUALITY HEALTH CARE

The Department develops and implements programs to ensure that residents of New York have access to high quality health care. Over the last five years, significant legislation has been enacted that will enhance the availability of appropriate care to all New Yorkers including:

- The landmark Health Care Reform Act of 1996 deregulated inpatient hospital reimbursement and introduced a market-based system which allows commercial insurers, managed care entities and self-insured plans to establish rates of payment directly through negotiations with hospitals and without State intervention. HCRA 2000, enacted in 1999, retains the State's commitment to ensuring that the hospital system adapts to the changing health care environment. In addition, HCRA 2000 provides comprehensive new programs for the uninsured, including Family Health Plus and Healthy New York;
- Pioneering consumer managed care legislation enacted in 1996 — the Managed Care Bill of Rights — ensures that consumers will be appropriately informed about managed care choices and benefits, and guarantees that providers can discuss all appropriate health care options;
- Enhanced consumer protections were added in External Review legislation enacted in 1998, which provide health plan enrollees the opportunity to request an external appeal when coverage of health care services is denied on the grounds that the service is not medically necessary, or that it is experimental or investigational;
- The Long-Term Care Integration and Finance Act of 1997 authorizes various managed long-term care models for evaluation, expands service options, increases available financing streams for long-term care, and ensures the tax deductibility of long-term care insurance; and
- The Health Information and Quality Improvement Act was enacted in 2000 to ensure that all New Yorkers have the information they need to make informed health care decisions. The bill requires New York to develop a database, which will be accessible to the public, containing profiles of all health care professionals and to create a Patient Safety Center to develop strategies and establish best practices to maximize patient safety and reduce medical errors.

In addition, the 2002-03 Executive Budget includes funding to expand Medicaid eligibility to working disabled individuals and to cover the cost of treatment for low income women diagnosed with breast or cervical cancer through the Center for Disease Control's National Screening Program.

HEALTH

PUBLIC HEALTH

Efforts such as education, research and disease prevention are aimed at improving the health of all New Yorkers. Particular focus is placed on nutrition, prenatal and perinatal care, child health, treatment and control of sexually transmitted diseases and tuberculosis, childhood immunization, the health risks of environmental contaminants, drinking water purity, and follow-up investigations of disease outbreak. In addition, the Department serves as primary liaison with local and county health departments to ensure the quality of public health services throughout New York State.

The Wadsworth Center for Laboratories and Research assists the Department in accomplishing its public health mission. Screening programs conducted by the Center annually report nearly three million test results to providers. These programs encompass such public health concerns as HIV, tuberculosis, environmental contamination and genetic disorders in newborns. Ongoing research, largely funded by external grants, is carried out for public health problems such as AIDS, Lyme disease, cancer and the toxic effects of chemical substances and radiation. The Wadsworth Center regulates more than 800 environmental laboratories and more than 1,800 clinical laboratories and blood banks to ensure testing quality, and the public's health and safety. In addition, the Wadsworth Center and DOH's Center for Community Health have played key roles in managing the State's response to recent terrorist activities.

A COMMITMENT TO AIDS RESEARCH AND PREVENTION

The Department develops and funds HIV prevention and health care programs, educates the public and health care providers, formulates policy and directs regional and statewide HIV/AIDS planning. New York remains a leader in combating this complex epidemic by responding to changes in incidence with even greater attention to population-based programming, long-term care and policies designed to reduce discrimination and guarantee basic medical care and treatment for the uninsured. New York State will spend \$2.3 billion to combat HIV/AIDS next year.

HEALTH SYSTEMS MANAGEMENT

The Department ensures that quality health care is available to all New York residents by overseeing the services provided by hospitals, nursing homes, diagnostic and treatment centers and home care providers. The Department strives to ensure that limited health care dollars are prudently spent through its reimbursement rate methodologies, rate setting, and review and monitoring of health facility plans for construction and expansion. Federal reimbursement for surveillance and certification, and fees for overseeing facilities to ensure that bonded debt is repaid, help to offset program costs.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2001-02	Appropriations Recommended 2002-03	Change	Reappropriations Recommended 2002-03
State Operations	3,959,724,500	4,504,502,000	544,777,500	7,571,918,100
Aid To Localities	27,797,517,000	30,022,232,000	2,224,715,000	21,282,383,700
Capital Projects	115,259,000	156,600,000	41,341,000	243,941,000
Total	31,872,500,500	34,683,334,000	2,810,833,500	29,098,242,800

**ALL FUND TYPES
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM
FILLED ANNUAL SALARIED POSITIONS**

Program	Full-Time Equivalent Positions (FTE)		
	2001-02	2002-03	FTE Change
	Estimated FTEs 03/31/02	Estimated FTEs 03/31/03	
Administration and Executive Direction			
General Fund	126	122	(4)
Special Revenue Funds - Federal	100	100	0
Special Revenue Funds - Other	268	258	(10)
AIDS Institute			
General Fund	194	190	(4)
Special Revenue Funds - Other	20	0	(20)
Child Health Insurance			
Special Revenue Funds - Other	42	41	(1)
Community Health			
General Fund	82	80	(2)
Special Revenue Funds - Federal	569	569	0
Office of Continuing Care			
General Fund	371	364	(7)
Special Revenue Funds - Other	2	2	0
Elderly Pharmaceutical Insurance Coverage			
General Fund	28	0	(28)
Special Revenue Funds - Other	0	28	28
Environmental Health			
General Fund	131	128	(3)
Special Revenue Funds - Federal	117	117	0
Special Revenue Funds - Other	175	161	(14)
Health Care Financing			
General Fund	74	63	(11)
Special Revenue Funds - Other	55	64	9
Health Care Standards and Surveillance			
General Fund	302	259	(43)
Special Revenue Funds - Other	239	271	32
Health Services			
Enterprise Funds	12	12	0
Institution Management			
Special Revenue Funds - Other	1,516	1,552	36
Laboratories and Research			
General Fund	409	428	19
Special Revenue Funds - Federal	97	97	0
Special Revenue Funds - Other	152	149	(3)
Managed Care			
General Fund	169	162	(7)
Medicaid Audit and Fraud Prevention			
General Fund	239	230	(9)
Special Revenue Funds - Federal	243	243	0
Special Revenue Funds - Other	27	27	0
Office of Medicaid Management			
General Fund	400	392	(8)
Special Revenue Funds - Other	2	2	0
Total	6,161	6,111	(50)

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2001-02	Recommended 2002-03	Change
General Fund	203,858,600	209,454,000	5,595,400
Special Revenue Funds - Federal	3,404,828,000	3,901,179,000	496,351,000
Special Revenue Funds - Other	347,062,900	389,734,000	42,671,100
Enterprise Funds	10,000	10,000	0
Fiduciary Funds	3,965,000	4,125,000	160,000
Total	3,959,724,500	4,504,502,000	544,777,500

HEALTH

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2001-02	Recommended 2002-03	Change
Administration and Executive Direction			
General Fund	14,056,200	22,365,000	8,308,800
Special Revenue Funds - Federal	12,839,000	10,473,000	(2,366,000)
Special Revenue Funds - Other	26,209,000	29,389,000	3,180,000
AIDS Institute			
General Fund	16,851,000	16,818,000	(33,000)
Special Revenue Funds - Other	2,136,000	0	(2,136,000)
Child Health Insurance			
Special Revenue Funds - Federal	30,280,000	28,340,000	(1,940,000)
Special Revenue Funds - Other	11,680,000	11,920,000	240,000
Community Health			
General Fund	9,670,000	9,852,000	182,000
Special Revenue Funds - Federal	98,268,000	102,151,000	3,883,000
Special Revenue Funds - Other	5,554,000	5,554,000	0
Fiduciary Funds	1,000,000	1,200,000	200,000
Office of Continuing Care			
General Fund	20,654,000	22,095,000	1,441,000
Special Revenue Funds - Federal	13,116,000	13,055,000	(61,000)
Special Revenue Funds - Other	3,626,000	3,893,000	267,000
Fiduciary Funds	40,000	0	(40,000)
Elderly Pharmaceutical Insurance Coverage			
General Fund	11,039,000	0	(11,039,000)
Special Revenue Funds - Other	0	15,447,000	15,447,000
Environmental Health			
General Fund	10,059,000	9,987,000	(72,000)
Special Revenue Funds - Federal	11,105,000	12,670,000	1,565,000
Special Revenue Funds - Other	14,270,000	15,999,000	1,729,000
Health Care Financing			
General Fund	5,575,000	5,116,000	(459,000)
Special Revenue Funds - Other	5,945,000	6,915,000	970,000
Health Care Standards and Surveillance			
General Fund	30,319,000	25,620,000	(4,699,000)
Special Revenue Funds - Other	42,860,000	48,044,000	5,184,000
Institution Management			
Special Revenue Funds - Other	104,960,000	112,890,000	7,930,000
Enterprise Funds	10,000	10,000	0
Fiduciary Funds	325,000	325,000	0
Laboratories and Research			
General Fund	35,252,000	49,934,000	14,682,000
Special Revenue Funds - Federal	7,932,000	9,124,000	1,192,000
Special Revenue Funds - Other	29,234,900	28,795,000	(439,900)
Fiduciary Funds	2,600,000	2,600,000	0
Maintenance Undistributed			
General Fund	(78,338,000)	(88,638,000)	(10,300,000)
Special Revenue Funds - Other	78,338,000	88,638,000	10,300,000
Managed Care			
General Fund	15,297,000	15,416,000	119,000
Medicaid Audit and Fraud Prevention			
General Fund	18,665,000	18,591,000	(74,000)
Special Revenue Funds - Federal	36,278,000	37,856,000	1,578,000
Special Revenue Funds - Other	14,500,000	14,500,000	0
Office of Medicaid Management			
General Fund	46,083,400	47,023,000	939,600
Special Revenue Funds - Federal	3,127,500,000	3,620,000,000	492,500,000
Special Revenue Funds - Other	7,750,000	7,750,000	0
Medicaid Management Information System			
General Fund	48,676,000	55,275,000	6,599,000
Special Revenue Funds - Federal	67,510,000	67,510,000	0
Total	<u>3,959,724,500</u>	<u>4,504,502,000</u>	<u>544,777,500</u>

STATE OPERATIONS - GENERAL AND OFFSET FUNDS
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2002-03 RECOMMENDED
(dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration and Executive Direction	8,200,000	309,000	7,972,000	309,000
AIDS Institute	10,396,000	7,000	10,396,000	22,000
Community Health	4,722,000	55,000	4,627,500	46,100
Office of Continuing Care	20,865,000	1,441,000	20,665,000	1,427,000
Elderly Pharmaceutical Insurance Coverage	0	(1,539,000)	0	(1,534,000)
Environmental Health	7,900,000	(222,000)	7,701,000	(223,200)
Health Care Financing	4,248,000	(459,000)	4,213,000	(462,000)
Health Care Standards and Surveillance	15,343,000	(1,363,000)	15,173,000	(1,363,000)
Laboratories and Research	21,500,000	379,000	21,179,000	379,500
Managed Care	10,706,000	119,000	10,706,000	133,000
Medicaid Audit and Fraud Prevention	13,907,000	(74,000)	13,907,000	(74,000)
Office of Medicaid Management	22,693,000	337,000	22,473,000	337,000
Total	<u>140,480,000</u>	<u>(1,010,000)</u>	<u>139,012,500</u>	<u>(1,002,600)</u>

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Administration and Executive Direction	125,000	0	103,000	0
AIDS Institute	0	0	0	(15,000)
Community Health	72,100	7,100	22,400	1,800
Office of Continuing Care	100,000	0	100,000	14,000
Elderly Pharmaceutical Insurance Coverage	0	0	0	(5,000)
Environmental Health	187,000	700	12,000	500
Health Care Financing	0	0	35,000	3,000
Health Care Standards and Surveillance	20,000	0	150,000	0
Laboratories and Research	64,000	(200)	257,000	(300)
Managed Care	0	0	0	(14,000)
Medicaid Audit and Fraud Prevention	0	0	0	0
Office of Medicaid Management	70,000	0	150,000	0
Total	<u>638,100</u>	<u>7,600</u>	<u>829,400</u>	<u>(15,000)</u>

HEALTH

**STATE OPERATIONS - GENERAL AND OFFSET FUNDS
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2002-03 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration and Executive Direction	14,165,000	7,999,800	740,000	0
AIDS Institute	6,422,000	(40,000)	1,604,100	103,200
Community Health	5,130,000	127,000	1,473,900	127,000
Office of Continuing Care	1,230,000	0	38,200	0
Elderly Pharmaceutical Insurance Coverage	0	(9,500,000)	0	(22,000)
Environmental Health	2,087,000	150,000	159,000	400
Health Care Financing	868,000	0	12,000	0
Health Care Standards and Surveillance	10,277,000	(3,336,000)	241,300	0
Laboratories and Research	28,434,000	14,303,000	3,210,000	100
Managed Care	4,710,000	0	34,000	0
Medicaid Audit and Fraud Prevention	4,684,000	0	85,300	0
Office of Medicaid Management	24,330,000	602,600	305,000	0
Medicaid Management Information System	55,275,000	6,599,000	0	0
Total	157,612,000	16,905,400	7,902,800	208,700

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration and Executive Direction	262,000	(200)	10,112,000	5,000,000
AIDS Institute	311,700	1,000	3,809,500	100,000
Community Health	139,500	0	3,478,200	0
Office of Continuing Care	766,900	0	377,400	0
Elderly Pharmaceutical Insurance Coverage	0	(30,000)	0	(9,372,000)
Environmental Health	415,000	100	1,223,000	100
Health Care Financing	38,300	0	807,000	0
Health Care Standards and Surveillance	480,400	0	6,895,800	(370,000)
Laboratories and Research	76,000	0	6,293,000	802,400
Managed Care	0	0	4,676,000	0
Medicaid Audit and Fraud Prevention	180,000	0	2,743,200	0
Office of Medicaid Management	256,000	0	3,455,000	(400)
Medicaid Management Information System	0	0	55,275,000	6,599,000
Total	2,925,800	(29,100)	99,145,100	2,759,100

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration and Executive Direction	51,000	0	3,000,000	3,000,000
AIDS Institute	246,700	5,800	450,000	(250,000)
Community Health	38,400	0	0	0
Office of Continuing Care	47,500	0	0	0
Elderly Pharmaceutical Insurance Coverage	0	(5,000)	0	(71,000)
Environmental Health	140,000	(600)	150,000	150,000
Health Care Financing	10,700	0	0	0
Health Care Standards and Surveillance	159,500	0	2,500,000	(2,966,000)
Laboratories and Research	355,000	500	18,500,000	13,500,000
Medicaid Audit and Fraud Prevention	526,500	0	1,149,000	0
Office of Medicaid Management	260,000	0	20,054,000	603,000
Total	1,835,300	700	45,803,000	13,966,000

**STATE OPERATIONS - OTHER THAN GENERAL FUND AND OFFSET FUNDS
SUMMARY OF APPROPRIATIONS AND CHANGES
2002-03 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration and Executive Direction	39,862,000	814,000	15,846,000	(480,000)
AIDS Institute	0	(2,136,000)	0	(842,000)
Child Health Insurance	40,260,000	(1,700,000)	2,272,000	(64,000)
Community Health	108,905,000	4,083,000	0	0
Office of Continuing Care	16,948,000	166,000	135,000	2,000
Elderly Pharmaceutical Insurance Coverage	15,447,000	15,447,000	1,616,000	1,616,000
Environmental Health	28,669,000	3,294,000	4,923,000	154,000
Health Care Financing	6,915,000	970,000	4,205,000	534,000
Health Care Standards and Surveillance	48,044,000	5,184,000	15,322,000	2,800,000
Institution Management	97,125,000	3,997,000	0	0
Laboratories and Research	40,519,000	752,100	8,951,000	(464,000)
Medicaid Audit and Fraud Prevention	52,356,000	1,578,000	0	0
Office of Medicaid Management	3,627,750,000	492,500,000	0	0
Medicaid Management Information System	67,510,000	0	0	0
Total	4,190,310,000	524,949,100	53,270,000	3,256,000

Program	Nonpersonal Service		Maintenance	Undistributed
	Amount	Change	Amount	Change
Administration and Executive Direction	11,890,000	2,360,000	12,126,000	(1,066,000)
AIDS Institute	0	(1,294,000)	0	0
Child Health Insurance	9,648,000	304,000	28,340,000	(1,940,000)
Community Health	100,000	0	108,805,000	4,083,000
Office of Continuing Care	3,658,000	265,000	13,155,000	(101,000)
Elderly Pharmaceutical Insurance Coverage	13,756,000	13,756,000	75,000	75,000
Environmental Health	9,526,000	175,000	14,220,000	2,965,000
Health Care Financing	2,710,000	436,000	0	0
Health Care Standards and Surveillance	14,140,000	2,392,000	18,582,000	(8,000)
Institution Management	0	0	97,125,000	3,997,000
Laboratories and Research	11,344,000	24,100	20,224,000	1,192,000
Medicaid Audit and Fraud Prevention	10,000,000	0	42,356,000	1,578,000
Office of Medicaid Management	0	0	3,627,750,000	492,500,000
Medicaid Management Information System	0	0	67,510,000	0
Total	86,772,000	18,418,100	4,050,268,000	503,275,000

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2001-02	Recommended 2002-03	Change
General Fund	6,973,180,000	6,765,055,000	(208,125,000)
Special Revenue Funds - Federal	18,118,904,000	19,067,013,000	948,109,000
Special Revenue Funds - Other	1,855,433,000	3,240,164,000	1,384,731,000
Fiduciary Funds	850,000,000	950,000,000	100,000,000
Total	27,797,517,000	30,022,232,000	2,224,715,000

Adjustments:

Recommended Deficiency	
Health, Department of	
General Fund	(364,800,000)
Special Revenue Funds - Other	(107,000,000)
Appropriated 2001-02	<u>27,325,717,000</u>

HEALTH

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2001-02	Recommended 2002-03	Change
Administration and Executive Direction			
General Fund	869,000	869,000	0
AIDS Institute			
General Fund	53,656,000	0	(53,656,000)
Special Revenue Funds - Other	34,597,000	88,253,000	53,656,000
Child Health Insurance			
Special Revenue Funds - Federal	726,720,000	921,060,000	194,340,000
Special Revenue Funds - Other	280,320,000	470,000,000	189,680,000
Community Health			
General Fund	526,588,000	484,451,000	(42,137,000)
Special Revenue Funds - Federal	574,806,000	605,193,000	30,387,000
Special Revenue Funds - Other	36,578,000	58,823,000	22,245,000
Office of Continuing Care			
General Fund	2,546,000	621,000	(1,925,000)
Special Revenue Funds - Federal	315,000	0	(315,000)
Elderly Pharmaceutical Insurance Coverage			
General Fund	401,100,000	0	(401,100,000)
Special Revenue Funds - Other	0	484,900,000	484,900,000
Environmental Health			
General Fund	1,500,000	0	(1,500,000)
Special Revenue Funds - Federal	2,208,000	2,540,000	332,000
Special Revenue Funds - Other	200,000	6,200,000	6,000,000
Health Care Standards and Surveillance			
General Fund	9,535,000	9,185,000	(350,000)
Laboratories and Research			
General Fund	1,071,000	1,071,000	0
Special Revenue Funds - Federal	2,105,000	2,420,000	315,000
Maintenance Undistributed			
General Fund	(272,338,000)	(7,288,000)	265,050,000
Special Revenue Funds - Other	272,338,000	7,288,000	(265,050,000)
Office of Medicaid Management			
General Fund	5,796,000	5,796,000	0
Medical Assistance			
General Fund	6,134,300,000	6,156,000,000	21,700,000
Special Revenue Funds - Federal	16,424,000,000	17,054,000,000	630,000,000
Special Revenue Funds - Other	1,231,400,000	2,124,700,000	893,300,000
Fiduciary Funds	850,000,000	950,000,000	100,000,000
Medical Assistance Administration			
General Fund	108,557,000	114,350,000	5,793,000
Special Revenue Funds - Federal	388,750,000	481,800,000	93,050,000
Total	<u>27,797,517,000</u>	<u>30,022,232,000</u>	<u>2,224,715,000</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Comprehensive Construction Program	Available 2001-02	Recommended 2002-03	Change	Reappropriations 2002-03
Design and Construction Supervision				
Capital Projects Fund	0	0	0	1,078,000
Rehabilitation and Improvements				
Capital Projects Fund	0	0	0	2,126,000
Laboratories and Research				
Capital Projects Fund	4,000,000	4,000,000	0	8,518,000
Maintenance and Improvements of Existing Institutions				
Capital Projects Fund	7,600,000	7,600,000	0	19,936,000
New Institution Construction				
Capital Projects Fund - Advances	0	0	0	20,000,000
Water Resources				
Federal Capital Projects Fund	53,659,000	75,000,000	21,341,000	182,283,000
Safe Drinking Water - Clean Water/Clean Air 96				
Capital Projects Fund - 1996 CWA (Bondable)	50,000,000	70,000,000	20,000,000	10,000,000
Total	<u>115,259,000</u>	<u>156,600,000</u>	<u>41,341,000</u>	<u>243,941,000</u>

HUDSON RIVER PARK TRUST

MISSION

The Hudson River Park Trust (HRPT) is a public benefit corporation established in 1998 to design, develop, and maintain the 550-acre Hudson River Park, which will extend five miles along the Hudson River waterfront from Battery Park to 59th Street. The Trust is governed by a 13-member board: 5 members appointed by the Governor, 5 by the Mayor of New York City, and 3 by the Manhattan Borough President.

ORGANIZATION AND STAFFING

The Trust is headed by a President and Chief Executive Officer who is appointed by the Board. For 2002-03, the Trust will have a workforce of 26 permanent staff, 18 summer interns for seasonal programs, and 6 part-time interns.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

A recommended overall resource level of \$45 million in new appropriations, in concert with \$69 million in reappropriations, will fund all Trust capital costs in 2002-03 associated with the planning, design and construction of Park projects. Specifically, 2002-03 Budget recommendations provide \$15 million for Park development from the Environmental Protection Fund within the budget for the Department of Environmental Conservation, and a State-funded advance appropriation of \$30 million, which will be repaid by New York City as part of its share of the Park's development costs. All Trust administrative activities, including operating costs, are paid directly from a portion of the commercial lease payments and other revenues generated by businesses and activities conducted on the Park property. These receipts are deposited directly to the Trust.

PROGRAM HIGHLIGHTS

During 2002-03, the Trust will continue to fine tune the design and scope of the Hudson River Park, work to complete the Park's Greenwich Village segment by early Fall 2002, finalize with the Project Manager planning for both the design and construction of the remaining segments of the Park, develop detailed cost estimates, explore alternative sources of funding and continue to oversee capital projects to build the Park.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2001-02	Appropriations Recommended 2002-03	Change	Reappropriations Recommended 2002-03
State Operations	0	0	0	0
Aid To Localities	0	0	0	0
Capital Projects	0	30,000,000	30,000,000	52,000,000
Total	0	30,000,000	30,000,000	52,000,000

HUDSON RIVER PARK TRUST

**CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Comprehensive Construction Program	Available 2001-02	Recommended 2002-03	Change	Reappropriations 2002-03
Regional Development				
Capital Projects Fund - Advances	0	30,000,000	30,000,000	52,000,000
Total	0	30,000,000	30,000,000	52,000,000

DIVISION OF HUMAN RIGHTS

MISSION

New York State holds the proud distinction of being the first state to enact a Human Rights Law, affording every citizen an equal opportunity to enjoy a full and productive life. Discriminating against others on the grounds of their race, creed, color, sex, age, national origin, disability, genetic predisposition and carrier status, or other specified classes is illegal in the State of New York.

The New York State Division of Human Rights serves as the administrative arm of the law, with its primary mission being the enforcement and protection of human rights. Programs of the Division of Human Rights focus on ensuring equal opportunity in employment, housing, public accommodation, education and credit. The Division:

- Investigates and resolves complaints of illegal discrimination; and
- Promotes human rights awareness through education and acts as a resource in the prevention and elimination of discrimination.

ORGANIZATION AND STAFFING

Under the direction of the Commissioner, the Division operates from its main office in New York City and from nine regional offices (Albany, Binghamton, Buffalo, Rochester, Brooklyn, Long Island, Lower Manhattan, Upper Manhattan and Peekskill) and two satellite offices (Long Island and Syracuse).

The Division will have a workforce of 197 employees during 2002-03: 32 for administration of the Division and 165 to investigate, determine probable cause, and hear individual cases of discrimination. Funding is also provided to support six per diem administrative law judges not reflected in the 197 salaried staff.

BUDGET AND PROGRAM HIGHLIGHTS

In the last six years, the Division has made solid progress toward reducing its backlog of cases; open cases totaled 10,430 at the close of fiscal year 2000-01, down from 16,880 in January 1995. The State continues to work vigorously to reduce the backlog and meet reasonable standards for timely case processing. To support this goal, the 2002-03 Executive Budget continues implementation of the backlog reduction initiative. Further, an additional \$773,000 in General Fund support is recommended to ensure continued efforts to reduce the case backlog. Finally, an additional \$1.9 million in Federal funds is also recommended, as the agency expects to receive increased grants from the Department of Housing and Urban Development and the Equal Employment Opportunity Commission.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2001-02	Appropriations Recommended 2002-03	Change	Reappropriations Recommended 2002-03
State Operations	15,551,000	18,224,000	2,673,000	2,900,000
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	15,551,000	18,224,000	2,673,000	2,900,000

HUMAN RIGHTS

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2001-02	2002-03	FTE Change
	Estimated FTEs 03/31/02	Estimated FTEs 03/31/03	
Administration			
General Fund	45	45	0
Legal Enforcement			
General Fund	26	26	0
Regional Affairs			
General Fund	93	93	0
Special Revenue Funds - Federal	33	33	0
Total	197	197	0

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available	Recommended	Change
	2001-02	2002-03	
General Fund	12,561,000	13,334,000	773,000
Special Revenue Funds - Federal	2,900,000	4,800,000	1,900,000
Special Revenue Funds - Other	70,000	70,000	0
Fiduciary Funds	20,000	20,000	0
Total	15,551,000	18,224,000	2,673,000

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available	Recommended	Change
	2001-02	2002-03	
Administration			
General Fund	5,477,000	5,609,000	132,000
Special Revenue Funds - Other	70,000	70,000	0
Fiduciary Funds	20,000	20,000	0
Legal Enforcement			
General Fund	1,411,000	2,064,000	653,000
Regional Affairs			
General Fund	5,673,000	5,661,000	(12,000)
Special Revenue Funds - Federal	2,900,000	4,800,000	1,900,000
Total	15,551,000	18,224,000	2,673,000

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	2,802,000	(84,000)	2,578,000	(84,000)
Legal Enforcement	1,971,000	653,000	1,970,000	653,000
Regional Affairs	5,028,000	(200,000)	5,026,000	(200,000)
Total	9,801,000	369,000	9,574,000	369,000

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	222,000	0	2,000	0
Legal Enforcement	0	0	1,000	0
Regional Affairs	0	0	2,000	0
Total	222,000	0	5,000	0

HUMAN RIGHTS

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2002-03 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	2,807,000	216,000	11,000	1,000
Legal Enforcement	93,000	0	6,000	0
Regional Affairs	633,000	188,000	16,000	5,000
Total	<u>3,533,000</u>	<u>404,000</u>	<u>33,000</u>	<u>6,000</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	37,000	3,000	2,722,000	209,000
Legal Enforcement	26,000	0	56,000	0
Regional Affairs	40,000	12,000	495,000	147,000
Total	<u>103,000</u>	<u>15,000</u>	<u>3,273,000</u>	<u>356,000</u>

Program	Equipment	
	Amount	Change
Administration	37,000	3,000
Legal Enforcement	5,000	0
Regional Affairs	82,000	24,000
Total	<u>124,000</u>	<u>27,000</u>

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2002-03 RECOMMENDED
(dollars)**

Program	Total		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	90,000	0	90,000	0
Regional Affairs	4,800,000	1,900,000	4,800,000	1,900,000
Total	<u>4,890,000</u>	<u>1,900,000</u>	<u>4,890,000</u>	<u>1,900,000</u>

DEPARTMENT OF MENTAL HYGIENE

The Department of Mental Hygiene operates through three independent agencies: the Office of Mental Health, the Office of Mental Retardation and Developmental Disabilities, and the Office of Alcoholism and Substance Abuse Services. All three agencies provide services directly to their clients through State operated facilities, and receive reimbursement for these services, primarily Medicaid. However, these patient revenues are pledged first to the payment of debt service on outstanding Mental Hygiene bonds.

This appropriation from the Patient Income Account may be distributed to any of the three agencies in order to access additional revenues made available through a decreased set-aside for debt service and/or increased Federal Medicaid reimbursement. The 2002-03 Executive Budget includes a planned defeasance of Mental Hygiene bonds during 2001-02 through the use of Debt Reduction Reserve Fund monies. In addition, the budget anticipates that the Federal government will enact legislation increasing its share of Medicaid costs.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2001-02	Appropriations Recommended 2002-03	Change	Reappropriations Recommended 2002-03
State Operations	0	40,000,000	40,000,000	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	0	40,000,000	40,000,000	0

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2001-02	Recommended 2002-03	Change
General Fund	0	(215,000,000)	(215,000,000)
Special Revenue Funds - Other	0	255,000,000	255,000,000
Total	0	40,000,000	40,000,000

OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES

MISSION

The Office of Alcoholism and Substance Abuse Services (OASAS) is responsible for licensing and evaluating service providers, and for implementing and advocating policies and programs for the prevention, early intervention and treatment of alcoholism and substance abuse. In conjunction with local governments, providers and communities, the Office works to ensure that a full range of necessary and cost-effective prevention and treatment services are available statewide.

ORGANIZATION AND STAFFING

The Office of Alcoholism and Substance Abuse Services is headed by a Commissioner, responsible for the development and management of the State's policy on chemical dependence. The Agency exercises these responsibilities directly as a provider of treatment services through a statewide system of 13 Addiction Treatment Centers (ATCs), through the regulation and oversight of prevention and chemical dependence services, and as a conduit for Federal and State financial assistance. The Agency also furthers other State policy goals — including reform efforts in criminal justice, health care and public assistance — by coordinating chemical dependence resources and strategies in collaboration with other State agencies.

In 2002-03, OASAS will have a workforce of 979, of which 56 percent provide clinical treatment services through the ATCs. This represents a year-to-year reduction of 22 administrative positions, accomplished through normal attrition and retirements.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 2002-03 Executive Budget recommends \$503 million in State and other resources for OASAS to continue agency and community operations, and expand services to priority populations. This funding is supplemented by Federal and State resources budgeted in other State agencies, and by the contributions of local government, voluntary agencies and other sources. When combined, nearly \$1.5 billion will be invested in the prevention and treatment of chemical dependence in 2002-03.

In comparison to the prior year, total OASAS appropriations increase by \$8.9 million after reflecting savings from reductions in central office staffing and the maximization of Federal resources.

The recommended budget is sufficient to ensure:

- Full operation of all 13 ATCs;
- Opening of planned residential bed expansions begun in both 1997-98 and 2000-01;
- Implementation of new chemical dependence fees; and,
- Maintenance of health and safety standards in both the State and voluntary facilities.

The 2002-03 Executive Budget continues the consolidation of the OASAS system — uniting the separate alcoholism and substance abuse systems into a single chemical dependence system. New outpatient chemical dependence fees will be implemented in 2002-03, replacing the current separate alcoholism clinic fees and substance abuse clinic rates. To smooth the transition to the new Medicaid fee structure, \$5.7 million is set aside over 18 months to meet extraordinary short-term needs of eligible outpatient providers.

The 2002-03 Executive Budget also maintains ongoing efforts to purchase the best available treatment outcomes for the lowest cost, supplementing local government initiatives to identify and case manage the most costly consumers of treatment services. Beginning in 2002-03, OASAS licensed providers will be authorized to seek reimbursement under the Medicaid program for detoxification services provided in their community-based programs.

ALCOHOLISM AND SUBSTANCE ABUSE SERVICES

This community-based service alternative will minimize the repeated utilization of high-cost inpatient settings and establish linkages for consumers with needed ongoing treatment services. Inpatient hospitalization will continue to provide the more medically complicated detoxification services. The result will be both less costly and more coordinated care across the full continuum services.

PROGRAM HIGHLIGHTS

As the largest drug and alcohol prevention and treatment system in the nation, OASAS administers a comprehensive array of prevention and treatment services for New Yorkers suffering from addiction. The Office works with a network of State, local government and voluntary agencies, as well as school districts, to provide prevention and treatment services. In accordance with Federal and State statutes, the Agency licenses and regulates program providers, ensures that fiscal resources are appropriately spent, and assists local programs in providing the highest quality services.

On any given day, approximately 114,000 patients are enrolled in New York State's licensed chemical dependence treatment and rehabilitation system. Services within this system are provided by more than 1,300 community-based agencies.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2001-02	Appropriations Recommended 2002-03	Change	Reappropriations Recommended 2002-03
State Operations	69,225,000	74,006,000	4,781,000	0
Aid To Localities	392,746,000	397,986,000	5,240,000	55,643,000
Capital Projects	32,140,000	31,020,000	(1,120,000)	134,639,000
Total	494,111,000	503,012,000	8,901,000	190,282,000

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2001-02 Estimated FTEs 03/31/02	2002-03 Estimated FTEs 03/31/03	FTE Change
Executive Direction			
General Fund	340	327	(13)
Special Revenue Funds - Federal	75	75	0
Special Revenue Funds - Other	12	12	0
Capital-Administration			
Capital Projects Funds - Other	8	8	0
Institutional Services			
General Fund	551	547	(4)
Prevention and Program Support			
Special Revenue Funds - Federal	10	10	0
Total	996	979	(17)

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2001-02	Recommended 2002-03	Change
General Fund	45,734,000	49,290,000	3,556,000
Special Revenue Funds - Federal	5,663,000	5,675,000	12,000
Special Revenue Funds - Other	17,828,000	19,041,000	1,213,000
Total	69,225,000	74,006,000	4,781,000

ALCOHOLISM AND SUBSTANCE ABUSE SERVICES

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2001-02	Recommended 2002-03	Change
Executive Direction			
General Fund	20,128,000	20,359,000	231,000
Special Revenue Funds - Federal	5,663,000	5,675,000	12,000
Special Revenue Funds - Other	8,828,000	8,911,000	83,000
Institutional Services			
General Fund	25,606,000	28,931,000	3,325,000
Special Revenue Funds - Other	9,000,000	10,130,000	1,130,000
Total	<u>69,225,000</u>	<u>74,006,000</u>	<u>4,781,000</u>

STATE OPERATIONS - GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Executive Direction	20,881,000	(101,000)	20,701,000	(101,000)
Institutional Services	30,200,000	2,454,000	29,339,000	2,338,000
Total	<u>51,081,000</u>	<u>2,353,000</u>	<u>50,040,000</u>	<u>2,237,000</u>

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Executive Direction	112,000	0	68,000	0
Institutional Services	454,000	50,000	407,000	66,000
Total	<u>566,000</u>	<u>50,000</u>	<u>475,000</u>	<u>66,000</u>

STATE OPERATIONS - GENERAL AND OFFSET FUNDS SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Executive Direction	7,178,000	332,000	540,000	(39,000)
Institutional Services	8,861,000	2,001,000	3,067,000	(12,000)
Total	<u>16,039,000</u>	<u>2,333,000</u>	<u>3,607,000</u>	<u>(51,000)</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Executive Direction	641,000	0	5,973,000	371,000
Institutional Services	251,000	0	5,157,000	2,013,000
Total	<u>892,000</u>	<u>0</u>	<u>11,130,000</u>	<u>2,384,000</u>

Program	Equipment	
	Amount	Change
Executive Direction	24,000	0
Institutional Services	386,000	0
Total	<u>410,000</u>	<u>0</u>

ALCOHOLISM AND SUBSTANCE ABUSE SERVICES

STATE OPERATIONS - OTHER THAN GENERAL FUND AND OFFSET FUNDS SUMMARY OF APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Executive Direction	6,886,000	95,000	3,550,000	161,000
Total	6,886,000	95,000	3,550,000	161,000

Program	Nonpersonal Service		Maintenance	Undistributed
	Amount	Change	Amount	Change
Executive Direction	1,743,000	(161,000)	1,593,000	95,000
Total	1,743,000	(161,000)	1,593,000	95,000

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2001-02	Recommended 2002-03	Change
General Fund	252,979,000	249,282,000	(3,697,000)
Special Revenue Funds - Federal	131,767,000	135,004,000	3,237,000
Special Revenue Funds - Other	8,000,000	13,700,000	5,700,000
Total	392,746,000	397,986,000	5,240,000

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2001-02	Recommended 2002-03	Change
Community Treatment Services Program			
General Fund	202,860,000	199,683,000	(3,177,000)
Special Revenue Funds - Federal	88,993,000	91,552,000	2,559,000
Special Revenue Funds - Other	0	5,700,000	5,700,000
Prevention and Program Support			
General Fund	50,119,000	49,599,000	(520,000)
Special Revenue Funds - Federal	42,774,000	43,452,000	678,000
Special Revenue Funds - Other	8,000,000	8,000,000	0
Total	392,746,000	397,986,000	5,240,000

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Comprehensive Construction Program	Available 2001-02	Recommended 2002-03	Change	Reappropriations 2002-03
Design and Construction Supervision				
Capital Projects Fund	1,250,000	1,250,000	0	2,750,000
Mental Hygiene Capital Improvement Fund	2,250,000	2,250,000	0	8,676,000
Administration				
Capital Projects Fund	902,000	982,000	80,000	0
Non-Bondable Projects				
Capital Projects Fund	750,000	750,000	0	750,000
Community Alcoholism and Substance Abuse Facilities				
Capital Projects Fund	4,488,000	3,288,000	(1,200,000)	19,533,000
Mental Hygiene Capital Improvement Fund	20,000,000	20,000,000	0	83,441,000
Institutional Services Program				
Capital Projects Fund	500,000	500,000	0	3,973,000
Mental Hygiene Capital Improvement Fund	2,000,000	2,000,000	0	15,516,000
Total	32,140,000	31,020,000	(1,120,000)	134,639,000

OFFICE OF MENTAL HEALTH

MISSION

The Office of Mental Health's (OMH) mission is to promote hope and recovery for people with psychiatric disabilities. It is responsible for planning and operating an integrated system of mental health care that serves adults with serious and persistent mental illness and children with serious emotional disturbances.

ORGANIZATION AND STAFFING

The Office of Mental Health is headed by a Commissioner who is appointed by the Governor. New York's public mental health system consists of programs that are operated by the Office of Mental Health, as well as community programs certified and funded by the State, but operated by local governments, and not-for-profit and profit-making providers. OMH regulates and licenses approximately 2,500 programs across the State. The combined system serves both adults who have serious and persistent mental illness and children with serious emotional disturbances who have experienced substantial problems in functioning.

OMH currently operates 28 facilities across the State, including 17 Adult Psychiatric Centers and six Children's Psychiatric Centers. In addition, OMH operates three Forensic Psychiatric Centers — which serve individuals with histories in the criminal justice system — and two Research Institutes.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 2002-03 Executive Budget provides \$2.14 billion in total authorized spending for the Office of Mental Health from General Fund, Federal and third-party resources, an increase of \$86.4 million, compared to 2001-02.

Budget recommendations for the Office of Mental Health will strengthen community mental health care and accountability and provide the highest quality inpatient care for people requiring such services.

Over the past seven years, the Office of Mental Health has strengthened community services while achieving greater efficiencies in the operation of its inpatient programs. Using the resources provided in recent Budgets — such as State Aid to Localities, Medicaid reimbursement, major capital financing initiatives for community housing, Assisted Outpatient Treatment, the Enhanced Community Services Program and the Community Mental Health Reinvestment Act — the Office of Mental Health has reshaped the balance of funding provided to psychiatric centers and community operations. The Agency has closed unneeded State-operated inpatient beds as well as four psychiatric centers; it has consolidated adult inpatient care from three campuses onto one campus on Long Island; and it has fundamentally restructured State-operated outpatient services. Simultaneously, it has significantly expanded community housing opportunities and, through Kendra's Law and other actions, has accomplished major expansions of case management and other community services and oversight of individuals with mental illness. Approximately two-thirds of State funding and Medicaid dollars are now spent on community mental health care.

The recommended Budget for 2002-03 provides full funding for all multi-year initiatives underway, including the Enhanced Community Services Program; Kendra's Law, with its assisted outpatient treatment and psychiatric medication grant programs; and the New York/New York II agreement and other housing development.

Since 1994-95, the Office of Mental Health has aggressively streamlined its administrative functions at all levels of the organization — Central Office, Field Offices, facility cabinets, clinical management and outpatient services. This effort has enabled it to eliminate over 700 administrative positions with a recurring annual savings of

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\$38 million. OMH has also redesigned local programs for greater efficiency, maximization of Federal Medicaid reimbursement and more effective service delivery. New savings initiatives will continue in 2002-03 through the following actions:

- Review long stay patient treatment plans to identify psychiatric center patients who would more appropriately be served through alternative treatment and medication modalities to facilitate their recovery and free up unneeded inpatient capacity;
- Institute tighter controls on vacancy management, including refilling of non-patient care positions, re-assigning positions to maximize use of available staff, and eliminating vacant shared staff positions;
- Limit non-personal services expenses through contract reviews and renewals and strict controls on travel, equipment, and other purchases; and
- Continue to explore opportunities to maximize Federal Medicaid reimbursement.

ASSISTED OUTPATIENT TREATMENT

The 2002-03 Budget includes appropriations of \$32 million for the Assisted Outpatient Treatment program (“Kendra’s Law”) enacted in 1999-2000. The program provides court ordered assisted outpatient treatment for certain people with mental illness who, based on their treatment history and present circumstances, are unlikely to survive safely in the community without supervision. The appropriations support case management and other services aimed at keeping the clients in a treatment program, including psychiatric medication as required. The appropriations also provide psychiatric medication to individuals being discharged from State psychiatric centers, community hospitals, prisons and jails while their Medicaid eligibility is being determined.

ENHANCED COMMUNITY SERVICES

Increased appropriations of \$26.2 million are provided to the Enhanced Community Services Program proposed by Governor Pataki in 2000-01. The program is supported by Health Care Reform Act (HCRA) revenues and provides \$125 million in additional spending for a wide variety of services designed to strengthen the community mental health service system for children and adults. The program has significantly expanded case management, housing, family support, family-based treatment, home and community-based waiver, and mobile crisis team services. Moreover, the Enhanced Community Services Program has fostered more effective systems for managing resources to best serve the clients by establishing new Single Points of Entry at the local level. This improves the assignment of case management and housing opportunities to the most needy clients.

COMMUNITY HOUSING

The 2002-03 Budget provides operational and capital funding for local programs to maintain the existing residential system and continue the development of community beds previously authorized through New York/New York II and other housing initiatives. Including the new residential beds in the Governor’s Enhanced Community Services Program, this Budget supports 25,600 beds currently operating as well as 800 new community beds, which are expected to open by the end of 2002-03. Another 1,900 are in other stages of development.

CAPITAL PROJECTS

OMH’s Capital Budget includes new appropriations of \$162.9 million for preservation and maintenance of inpatient and community facilities. Capital spending from new appropriations and re-appropriations will support facility projects that will increase health and safety, preserve and maintain the physical plant consistent with the Office of Mental Health’s facility

maintenance program and comply with accreditation standards. Capital spending is also provided for local programs to maintain the existing residential system and to continue development of community beds previously authorized.

PROGRAM HIGHLIGHTS

New York State will continue to rank number one in the nation in total spending and per capita spending on State and local programs for the mentally ill.

- State-operated facilities provide inpatient, outpatient, and community support programs throughout the State that serve adults, children, and forensic populations. State-operated psychiatric centers provide inpatient services to approximately 7,600 adults and children who are admitted annually, while over 37,000 individuals are served through various outpatient and support programs.
- While inpatients vary greatly in age, level of disability and length of hospitalization, a range of treatment and rehabilitative services is offered as patients progress from admission to discharge. In addition, OMH provides specialized services for physically disabled, aggressive and assaultive, and multi-diagnosed patients, as well as patients who have criminal histories.
- The Office operates an array of community-based programs located throughout the State. These programs, staffed with State employees, provide supportive services to enable individuals with mental illness to avoid long-term inpatient stays. State-operated community-based services currently include community residential facilities and residential care centers for adults and children, outpatient programs, intensive case management positions, and a variety of supportive employment, work-for-pay, crisis and other community support programs.
- OMH operates two research units supported by State tax dollars and Federal and other grants, which include the OMH New York Psychiatric Institute in Manhattan and the OMH Nathan S. Kline Institute, located on the grounds of Rockland Psychiatric Center. These facilities conduct research in children and adult clinical treatment, basic biomedical and neurological sciences, and health services for the mentally ill.
- OMH also funds a wide range of community services provided by local governments and private organizations. These include emergency services such as Comprehensive Psychiatric Emergency Programs; outpatient services such as mental health clinics and day treatment programs and community support programs such as Intensive and Supportive Case Management, residential programs and consumer-run self-help and self-operated programs. Overall, State Aid and Medicaid (budgeted largely in the Department of Health) will provide about \$2 billion for not-for-profit and county-operated community mental health programs through a network of approximately 2,500 programs serving over 500,000 persons a year.

**ALL FUNDS
APPROPRIATIONS
(dollars)**

Category	Available 2001-02	Appropriations Recommended 2002-03	Change	Reappropriations Recommended 2002-03
State Operations	1,145,316,000	1,163,371,000	18,055,000	1,722,000
Aid To Localities	776,338,000	809,665,000	33,327,000	40,674,000
Capital Projects	127,851,000	162,880,000	35,029,000	519,811,000
Total	2,049,505,000	2,135,916,000	86,411,000	562,207,000

MENTAL HEALTH

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2001-02	2002-03	FTE Change
	Estimated FTEs 03/31/02	Estimated FTEs 03/31/03	
Administration and Finance			
General Fund	559	559	0
Special Revenue Funds - Federal	14	14	0
Enterprise Funds	20	20	0
Internal Service Funds	24	24	0
Adult Services			
General Fund	12,571	12,160	(411)
Capital Planning			
Capital Projects Funds - Other	41	41	0
Children and Youth Services			
General Fund	1,964	1,964	0
Forensic Services			
General Fund	1,878	1,878	0
Maintenance Undistributed			
Special Revenue Funds - Other	397	397	0
Research			
General Fund	497	497	0
Special Revenue Funds - Other	27	27	0
Total	<u>17,992</u>	<u>17,581</u>	<u>(411)</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available	Recommended	Change
	2001-02	2002-03	
General Fund	728,467,000	665,527,000	(62,940,000)
Special Revenue Funds - Federal	1,258,000	1,258,000	0
Special Revenue Funds - Other	404,772,000	485,447,000	80,675,000
Enterprise Funds	8,015,000	8,277,000	262,000
Internal Service Funds	2,234,000	2,292,000	58,000
Fiduciary Funds	570,000	570,000	0
Total	<u>1,145,316,000</u>	<u>1,163,371,000</u>	<u>18,055,000</u>
Adjustments:			
Transfer(s) From			
Mental Health, Office of			
General Fund (Aid To Localities)	(11,408,000)		
Appropriated 2001-02	<u>1,133,908,000</u>		

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2001-02	Recommended 2002-03	Change
Administration and Finance			
General Fund	57,213,000	57,061,000	(152,000)
Special Revenue Funds - Federal	1,258,000	1,258,000	0
Special Revenue Funds - Other	1,300,000	1,300,000	0
Enterprise Funds	8,015,000	8,277,000	262,000
Internal Service Funds	2,234,000	2,292,000	58,000
Fiduciary Funds	570,000	570,000	0
Adult Services			
General Fund	785,497,000	793,536,000	8,039,000
Children and Youth Services			
General Fund	115,645,000	118,690,000	3,045,000
Enhanced Community Services			
General Fund	19,600,000	19,600,000	0
Forensic Services			
General Fund	107,401,000	113,082,000	5,681,000
Maintenance Undistributed			
General Fund	(397,700,000)	(478,128,000)	(80,428,000)
Special Revenue Funds - Other	397,700,000	478,128,000	80,428,000
Research			
General Fund	40,811,000	41,686,000	875,000
Special Revenue Funds - Other	5,772,000	6,019,000	247,000
Total	<u>1,145,316,000</u>	<u>1,163,371,000</u>	<u>18,055,000</u>

**STATE OPERATIONS - GENERAL AND OFFSET FUNDS
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2002-03 RECOMMENDED
(dollars)**

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration and Finance	36,624,000	22,000	35,627,000	(19,000)
Adult Services	659,164,000	(1,441,000)	609,412,000	(3,469,000)
Children and Youth Services	103,934,000	2,643,100	96,943,000	2,358,100
Forensic Services	102,416,000	5,107,000	95,293,000	4,817,000
Research	36,552,000	817,000	35,972,000	805,000
Total	<u>938,690,000</u>	<u>7,148,100</u>	<u>873,247,000</u>	<u>4,492,100</u>

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Administration and Finance	749,000	31,000	248,000	10,000
Adult Services	14,716,000	600,000	35,036,000	1,428,000
Children and Youth Services	3,300,000	135,000	3,691,000	150,000
Forensic Services	3,164,000	129,000	3,959,000	161,000
Research	82,000	1,000	498,000	11,000
Total	<u>22,011,000</u>	<u>896,000</u>	<u>43,432,000</u>	<u>1,760,000</u>

MENTAL HEALTH

**STATE OPERATIONS - GENERAL AND OFFSET FUNDS
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2002-03 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration and Finance	20,437,000	(174,000)	892,000	24,000
Adult Services	134,372,000	9,480,000	66,047,000	7,706,000
Children and Youth Services	14,756,000	401,900	6,680,000	181,900
Enhanced Community Services	19,600,000	0	0	0
Forensic Services	10,666,000	574,000	6,277,000	338,127
Research	5,134,000	58,000	2,153,000	25,000
Total	204,965,000	10,339,900	82,049,000	8,275,027

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration and Finance	1,399,000	38,000	16,193,000	(289,000)
Adult Services	2,906,000	79,000	59,712,000	1,626,000
Children and Youth Services	509,000	14,000	7,026,000	191,000
Forensic Services	622,000	33,559	3,568,000	192,004
Research	75,000	1,000	2,796,000	31,000
Total	5,511,000	165,559	89,295,000	1,751,004

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration and Finance	1,953,000	53,000	0	0
Adult Services	2,542,000	69,000	3,165,000	0
Children and Youth Services	541,000	15,000	0	0
Enhanced Community Services	0	0	19,600,000	0
Forensic Services	199,000	10,310	0	0
Research	110,000	1,000	0	0
Total	5,345,000	148,310	22,765,000	0

**STATE OPERATIONS - OTHER THAN GENERAL FUND AND OFFSET FUNDS
SUMMARY OF APPROPRIATIONS AND CHANGES
2002-03 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration and Finance	13,697,000	320,000	4,328,000	163,000
Research	6,019,000	247,000	1,915,000	79,000
Total	19,716,000	567,000	6,243,000	242,000

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration and Finance	8,069,000	157,000	1,300,000	0
Research	4,104,000	168,000	0	0
Total	12,173,000	325,000	1,300,000	0

MENTAL HEALTH

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2001-02	Recommended 2002-03	Change
General Fund	696,330,000	696,895,000	565,000
Special Revenue Funds - Federal	31,673,000	38,235,000	6,562,000
Special Revenue Funds - Other	48,335,000	74,535,000	26,200,000
Total	<u>776,338,000</u>	<u>809,665,000</u>	<u>33,327,000</u>

Adjustments:

Transfer(s) From			
Mental Health, Office of			
General Fund	(40,600,000)		
Special Revenue Funds - Other	(40,600,000)		
Transfer(s) To			
Mental Health, Office of			
General Fund	40,600,000		
General Fund (State Operations)	11,408,000		
Special Revenue Funds - Other	40,600,000		
Appropriated 2001-02	<u>787,746,000</u>		

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2001-02	Recommended 2002-03	Change
Adult Services			
General Fund	425,758,000	423,126,000	(2,632,000)
Special Revenue Funds - Federal	24,695,000	30,400,000	5,705,000
Special Revenue Funds - Other	7,735,000	7,735,000	0
Children and Youth Services			
General Fund	96,090,000	96,494,000	404,000
Special Revenue Funds - Federal	6,978,000	7,835,000	857,000
Enhanced Community Services			
Special Revenue Funds - Other	40,600,000	66,800,000	26,200,000
Reinvestment			
General Fund	174,482,000	177,275,000	2,793,000
Total	<u>776,338,000</u>	<u>809,665,000</u>	<u>33,327,000</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Comprehensive Construction Program	Available 2001-02	Recommended 2002-03	Change	Reappropriations 2002-03
Design and Construction Supervision				
Capital Projects Fund	1,750,000	1,750,000	0	1,750,000
Mental Hygiene Capital Improvement Fund	7,500,000	8,000,000	500,000	8,788,000
Executive Direction				
Capital Projects Fund	0	0	0	3,325,000
Mental Hygiene Capital Improvement Fund	3,300,000	3,300,000	0	3,300,000
Community Mental Health Facilities				
Capital Projects Fund	5,000,000	6,000,000	1,000,000	23,440,000
Mental Hygiene Capital Improvement Fund	5,424,000	5,470,000	46,000	138,547,000
Non-Bondable Projects				
Capital Projects Fund	3,000,000	2,000,000	(1,000,000)	8,000,000
Maintenance and Improvements of Existing Facilities				
Capital Projects Fund	27,969,000	27,950,000	(19,000)	31,535,000
Mental Hygiene Capital Improvement Fund	73,908,000	108,410,000	34,502,000	301,126,000
Total	<u>127,851,000</u>	<u>162,880,000</u>	<u>35,029,000</u>	<u>519,811,000</u>

OFFICE OF MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

MISSION

The Office of Mental Retardation and Developmental Disabilities (OMRDD) serves and supports individuals and families of individuals with developmental disabilities. OMRDD works with local governments and non-profit providers to oversee a comprehensive system for delivery of services to people who have developmental disabilities. Both institutional and community-based services are delivered through a network of community programs operated by non-profit providers, State Developmental Centers and numerous State-operated programs based in the community.

ORGANIZATION AND STAFFING

Under the direction of a Commissioner appointed by the Governor, OMRDD currently operates through district offices, called Developmental Disabilities Services Offices (DDSO). Its Central Office oversees and supports operations of the district offices.

OMRDD will have a workforce of 22,258 for 2002-03, most of whom work directly with consumers of services. The remainder of the workforce are supervisors of direct care workers, clinicians, administrative support and management personnel.

By the end of 2002-03, OMRDD will operate some 477 developmental center beds, along with 1,122 special unit beds. From 1995 to the end of the 2002-03 fiscal year, the State will have moved some 3,500 people into more appropriate community care and will have closed more than 2,050 unneeded institutional beds.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

Total funding of \$2.6 billion supports a comprehensive system of care serving more than 123,000 persons with disabilities and their families. Over the last eight years, OMRDD's operating budget has grown by \$662 million, reflecting the Governor's ongoing commitment to serving the needs of persons with developmental disabilities. The Executive Budget recommendations for 2002-03 preserve essential direct care and maintain the quality of services for consumers in both non-profit and OMRDD-operated programs. Under these recommendations:

- OMRDD's Institutional Services Program will receive total funding of \$374.5 million, to support residential care and habilitative services for people in developmental centers. In addition, another \$77.4 million funds OMRDD's Central Coordination and Support and Research in Mental Retardation Programs, which provide policy direction and technical support to the entire service system.
- OMRDD's Community Services Program will receive over \$2 billion to fund hundreds of State-operated residential and day programs and ancillary services such as clinics and transportation networks, as well as to support local governments and a network of more than 600 non-profit agencies; and
- OMRDD's new capital appropriations of \$116.6 million support the maintenance of existing institutions, community residential and day program sites as well as the development and renovation of residential and day programming sites and other expanded bed capacity.

This budget reflects a \$234.6 million increase over 2001-02 funding, primarily attributable to growth in funding for community-based services. These recommendations anticipate that

MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

OMRDD will continue to aggressively pursue Federal financial support for its programs as well as take other targeted administrative actions to achieve management efficiencies in both the State and non-profit sectors.

The 2002-03 Executive Budget continues full funding of the Governor's nationally acclaimed five-year initiative known as New York State-Creating Alternatives in Residential Environments and Services (NYS-CARES). As this program enters its fourth year, the recommended budget contains \$152 million primarily to support the nearly 5,000 persons who have left the waiting list for homes in the community. Another 978 new residential placements are planned for 2002-03, together with case management and day service opportunities. Over five years, New York State will invest \$343 million in State and Federal funds to provide services to 8,100 individuals requiring out-of-home residential placements.

In support of existing services, a Medicaid trend factor of 3.69 percent will be applied to adjusted 2001-02 reimbursement levels for Intermediate Care Facilities (ICF) and most Home and Community-Based Services (HCBS) Waiver programs, providing more than \$28 million in additional State funding to non-profit community-based providers for residential and other services. All new residential programs developed under NYS-CARES will receive this trend.

In 2002-03, the State expects to serve another 2,770 persons in the community, most of whom are mandated into OMRDD's care or are graduating out of special education programs. Many of these persons will be served by existing non-profit programs. Development of another 750 new supported employment placements, 750 day habilitation placements, and 140 new residential placements (with associated day services and service coordination) will ensure OMRDD has adequate capacity to serve persons in need of community-based care.

The effort to place individuals residing in the developmental centers into more appropriate community settings will continue. An additional 164 individuals will leave institutions for care in their own communities during 2002-03 and new admissions will be limited to emergency situations of persons with special needs and to persons entering special treatment units. Projections are that by the end of next fiscal year, there will be only 477 people statewide living in developmental centers. OMRDD remains committed to deinstitutionalization for all consumers who can be appropriately cared for in community settings.

In addition, OMRDD continues to protect the safety and welfare of the public. The 2002-03 Executive Budget recommends continued funding of State-operated capacity for persons with severe behavioral involvement who require intensive treatment services — including the new Valley Ridge Center for Intensive Treatment planned to open April 1, 2002. Placements in these specialized settings ensure that OMRDD can appropriately treat individuals who are a danger to themselves or others.

OMRDD continues to improve the efficiency and cost-effectiveness of their operations. In 2002-03 the agency will undertake efforts to:

- Limit, in certain circumstances, administrative reimbursement to community-based providers;
- Emphasize use of less costly services, when appropriate;
- Maximize use of existing service capacity;
- Streamline and institute new internal controls on billing procedures;
- Diligently review eligibility for services;
- Apply strict controls on hiring in non-patient-care positions;
- Implement computerized staff training;
- Fully centralize payroll and human resource functions;
- Limit non-personal service expenditures, especially non-essential categories such as travel and new equipment purchases, including vehicles; and
- Consolidate office space to reduce rental costs.

In addition, Article VII legislation is proposed to eliminate the Youth Opportunity Program, effective April 1, 2002.

Infrastructure throughout the State and not-for-profit systems will be maintained through new capital appropriations of \$116.6 million and reappropriations of \$108.8 million. Capital appropriations for institutional projects will focus on the need to reinvest resources into

MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

preserving and maintaining long-term facilities mostly for a core population of consumers who have severe behavioral treatment needs and/or have been involved with the criminal justice system.

State and voluntary-operated community capital appropriations increase by \$12 million. Capital projects in the community reflect a continued investment to maintain both State and voluntary not-for-profit community-based sites, and to develop State-operated residential placements for adults in developmental centers and on the NYS-CARES waiting list. While a modest increase in bonded appropriations is included, in part to address funding needs for small inner-city providers which cannot obtain alternative financing, voluntary not-for-profit capital development needs for NYS-CARES and other program expansion initiatives will continue to be met primarily through the use of non-State revenue such as Federal Housing and Urban Development (HUD) grants and private financing.

In total, these recommendations — through the combination of new and currently operating programs — will support an estimated 8,100 new service opportunities in community programs during 2002-03. This includes community placements for people in developmental centers and for mandated populations, as well as service opportunities for people on community waiting lists. Services to individuals and families have also been preserved within family support services.

PROGRAM HIGHLIGHTS

OMRDD serves more than 123,000 New Yorkers with developmental disabilities, which include mental retardation, epilepsy, cerebral palsy, neurological impairments and autism. In recent years, New York has made great strides in its methods of delivering services to this vulnerable population, moving more persons from institutions to the community than any other state in the nation. In addition, New York continues to place greater emphasis on consumer choice and satisfaction.

Under a 1991 Federal Medicaid waiver, consumers have the opportunity to receive individualized services in less restrictive settings. With the aid of trained service coordinators, consumers choose the services they need, and receive them in appropriate settings. New York commits more resources to its Home and Community Based Services (HCBS) Waiver program than any state in the nation, investing three times as much as the next highest state offering services under a waiver.

Maintaining a statewide system of services, delivered through both the State and non-profit providers, OMRDD:

- Provides 36,000 persons with certified residential services;
- Provides 64,000 persons with community day services; and
- Assists in the care of 72,000 persons with developmental disabilities who are living in their own homes.

An overview of these services is provided below.

RESIDENTIAL SERVICES

Residential services are offered through a continuum of programs in both community and institutional settings. The alternative which provides the most intensive services in a community setting is the Intermediate Care Facility for the Developmentally Disabled (ICF/DD). These facilities — some 8,200 beds operated statewide by both State and not-for-profit providers — are appropriate for individuals requiring 24-hour care. A typical community ICF has 12 to 14 beds.

The most common residential placement for persons under the waiver are Individual Residential Alternatives (IRAs) — homes, typically for 4 to 8 consumers, operated by either the State or not-for-profit providers in the community. This budget supports more than 18,000 IRA beds and accompanying service coordination. Other community living arrangements include Community Residences and Family Care homes, which serve more than 7,000 people.

MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

In addition to these community-based programs, OMRDD operates ten campuses across the State. Some 1,600 people will be served in these settings by the end of 2002-03, of whom more than 70 percent will be receiving specialized services. OMRDD remains committed to providing community residential opportunities for all those in State institutions who can receive appropriate care in the community. Currently, New York serves more persons in community residential settings than any other state, with the exception of California.

DAY SERVICES

OMRDD supports an array of day services for persons with developmental disabilities, which also vary depending on the needs of the consumer. The major programs include:

- Day treatment which provides diagnostic, treatment, and rehabilitative services;
- Day habilitation, a smaller more individualized service for persons under the Federal Medicaid waiver for home and community based services;
- Supported employment, which provides the opportunity for individuals to work in competitive positions usually in integrated settings in the private sector;
- Sheltered workshops which provide basic, non-competitive work opportunities; and
- Day training which develops the knowledge and skills that enable persons with developmental disabilities to improve their personal, social, and vocational skills and to function independently.

SUPPORT FOR CONSUMERS LIVING IN THEIR OWN HOMES

OMRDD services also support families and individuals in their own homes. The Family Support Services program assists families in caring for more than 64,000 persons with developmental disabilities, enabling these individuals to remain at home. Services offered include respite, crisis intervention, case management, recreation, information and referral, and home care.

The Individualized Support Services program provides services to nearly 1,300 disabled individuals who live independently. In addition, almost 7,500 individuals living in their own homes receive residential habilitation under the Federal Medicaid waiver.

The Care at Home program provides a third alternative, designed to preserve family settings for persons under 18 years of age with developmental disabilities, who are medically frail. This program allows the parents of young persons with severe disabilities to maintain the child at home, regardless of family income level, thereby preventing more costly out-of-home placements.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2001-02	Appropriations Recommended 2002-03	Change	Reappropriations Recommended 2002-03
State Operations	1,132,822,000	1,197,102,000	64,280,000	0
Aid To Localities	1,149,696,000	1,266,105,000	116,409,000	0
Capital Projects	62,716,000	116,613,000	53,897,000	108,785,000
Total	<u>2,345,234,000</u>	<u>2,579,820,000</u>	<u>234,586,000</u>	<u>108,785,000</u>

MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)			
Program	2001-02 Estimated FTEs 03/31/02	2002-03 Estimated FTEs 03/31/03	FTE Change
Central Coordination and Support			
General Fund	755	772	17
Community Services			
General Fund	15,850	16,086	236
Institutional Services			
General Fund	5,340	5,182	(158)
Enterprise Funds	1	1	0
Research in Mental Retardation			
General Fund	217	217	0
Total	22,163	22,258	95

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2001-02	Recommended 2002-03	Change
General Fund	146,116,000	167,694,000	21,578,000
Special Revenue Funds - Federal	80,000	80,000	0
Special Revenue Funds - Other	983,521,000	1,026,274,000	42,753,000
Enterprise Funds	2,350,000	2,350,000	0
Internal Service Funds	0	150,000	150,000
Fiduciary Funds	755,000	554,000	(201,000)
Total	1,132,822,000	1,197,102,000	64,280,000

Adjustments:	
Recommended Deficiency	
Mental Retardation and Developmental Disabilities, Office of General Fund	(26,458,000)
Appropriated 2001-02	1,106,364,000

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2001-02	Recommended 2002-03	Change
Central Coordination and Support			
General Fund	54,141,000	59,765,000	5,624,000
Special Revenue Funds - Federal	80,000	80,000	0
Internal Service Funds	0	150,000	150,000
Community Services			
General Fund	703,043,000	745,192,000	42,149,000
Institutional Services			
General Fund	355,701,000	371,690,000	15,989,000
Enterprise Funds	2,350,000	2,350,000	0
Fiduciary Funds	705,000	504,000	(201,000)
Maintenance Undistributed			
General Fund	(983,521,000)	(1,026,274,000)	(42,753,000)
Special Revenue Funds - Other	983,521,000	1,026,274,000	42,753,000
Research in Mental Retardation			
General Fund	16,752,000	17,321,000	569,000
Fiduciary Funds	50,000	50,000	0
Total	1,132,822,000	1,197,102,000	64,280,000

MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

STATE OPERATIONS - GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Central Coordination and Support	42,289,000	3,118,000	40,050,000	3,118,000
Community Services	639,530,000	36,473,000	622,306,000	35,496,000
Institutional Services	225,120,000	6,161,000	216,930,000	5,932,000
Research in Mental Retardation	15,405,000	511,000	14,975,000	503,000
Total	922,344,000	46,263,000	894,261,000	45,049,000

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Central Coordination and Support	1,991,000	0	248,000	0
Community Services	5,053,000	288,000	12,171,000	689,000
Institutional Services	1,061,000	31,000	7,129,000	198,000
Research in Mental Retardation	260,000	0	170,000	8,000
Total	8,365,000	319,000	19,718,000	895,000

STATE OPERATIONS - GENERAL AND OFFSET FUNDS SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Central Coordination and Support	17,476,000	2,506,000	561,000	5,000
Community Services	105,662,000	5,676,000	38,595,000	1,877,000
Institutional Services	146,570,000	9,828,000	23,061,000	380,000
Research in Mental Retardation	1,916,000	58,000	719,000	22,000
Total	271,624,000	18,068,000	62,936,000	2,284,000

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Central Coordination and Support	1,266,000	12,000	14,335,000	2,476,000
Community Services	6,160,000	300,000	49,059,000	2,602,000
Institutional Services	1,183,000	15,000	27,416,000	449,000
Research in Mental Retardation	57,000	2,000	871,000	26,000
Total	8,666,000	329,000	91,681,000	5,553,000

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Central Coordination and Support	1,314,000	13,000	0	0
Community Services	5,372,000	261,000	6,476,000	636,000
Institutional Services	2,286,000	28,000	92,624,000	8,956,000
Research in Mental Retardation	269,000	8,000	0	0
Total	9,241,000	310,000	99,100,000	9,592,000

MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

STATE OPERATIONS - OTHER THAN GENERAL FUND AND OFFSET FUNDS SUMMARY OF APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Central Coordination and Support	230,000	150,000	0	0
Institutional Services	2,854,000	(201,000)	274,000	0
Research in Mental Retardation	50,000	0	0	0
Total	<u>3,134,000</u>	<u>(51,000)</u>	<u>274,000</u>	<u>0</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Central Coordination and Support	0	0	230,000	150,000
Institutional Services	2,580,000	(201,000)	0	0
Research in Mental Retardation	50,000	0	0	0
Total	<u>2,630,000</u>	<u>(201,000)</u>	<u>230,000</u>	<u>150,000</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2001-02	Recommended 2002-03	Change
General Fund	693,680,000	656,311,000	(37,369,000)
Special Revenue Funds - Other	454,016,000	607,794,000	153,778,000
Fiduciary Funds	2,000,000	2,000,000	0
Total	<u>1,149,696,000</u>	<u>1,266,105,000</u>	<u>116,409,000</u>

Adjustments:

Recommended Deficiency	
Mental Retardation and Developmental Disabilities, Office of	
General Fund	(73,298,000)
Appropriated 2001-02	<u>1,076,398,000</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2001-02	Recommended 2002-03	Change
Community Services			
General Fund	693,680,000	656,311,000	(37,369,000)
Special Revenue Funds - Other	454,016,000	607,794,000	153,778,000
Fiduciary Funds	2,000,000	2,000,000	0
Total	<u>1,149,696,000</u>	<u>1,266,105,000</u>	<u>116,409,000</u>

MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Comprehensive Construction Program	Available 2001-02	Recommended 2002-03	Change	Reappropriations 2002-03
Design and Construction Supervision				
Capital Projects Fund	2,000,000	2,000,000	0	0
Mental Hygiene Capital Improvement Fund	0	6,000,000	6,000,000	0
Non-Bondable Projects				
Capital Projects Fund	1,000,000	1,000,000	0	1,000,000
Voluntary-Operated Community Facilities				
Capital Projects Fund	9,782,000	10,287,000	505,000	3,852,000
Mental Hygiene Capital Improvement Fund	5,488,000	8,111,000	2,623,000	5,488,000
State-Operated Community Services Program				
Capital Projects Fund	15,096,000	13,953,000	(1,143,000)	10,900,000
Mental Hygiene Capital Improvement Fund	0	10,000,000	10,000,000	11,891,000
Institutional Services Program				
Capital Projects Fund	14,250,000	16,650,000	2,400,000	31,370,000
Mental Hygiene Capital Improvement Fund	15,100,000	48,612,000	33,512,000	44,284,000
Total	<u>62,716,000</u>	<u>116,613,000</u>	<u>53,897,000</u>	<u>108,785,000</u>

OFFICE OF PARKS, RECREATION AND HISTORIC PRESERVATION

MISSION

The Office of Parks, Recreation and Historic Preservation's mission is to provide safe and enjoyable recreational and interpretive opportunities for all New York State residents and visitors and to be responsible stewards of our valuable natural, historic and cultural resources. The Office operates and maintains 164 parks and 35 historic sites, hosts a multitude of cultural and educational programs, and offers diverse recreational opportunities, ranging from secluded campsites to the internationally renowned Niagara Falls State Park. Over 60 million people visit the State's parks and historic sites annually.

New York's ever-growing park system and its unparalleled recreation opportunities are an important factor in the State's tourism industry and economy. Services open to the public at State parks include developed beaches, golf courses, performing arts centers, swimming pools, marinas, cabins, campgrounds, and many significant historic sites.

ORGANIZATION AND STAFFING

The Office is headed by a Commissioner, appointed by the Governor. Operations are administered through a network of 11 regional offices: Allegany, Central, Finger Lakes, Genesee, Long Island, New York City, Niagara, Palisades, Saratoga/Capital District, Taconic, and Thousand Islands. The central office is located in Albany, and includes executive staff, fiscal personnel and other administrative support functions.

For fiscal year 2002-03, the Office will have a workforce of 1,671. Extensive use of more than 5,000 temporary and seasonal employees supplement the permanent staff in the peak summer season.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 2002-03 Executive Budget recommends over \$217 million for the Office's programs, including \$110.1 million in General Fund moneys and over \$50.3 million in fee revenues. These funds will support operation of all park facilities and provide resources to extend the hours of operation at certain parks and historic sites, to expand services to include new entrepreneurial ventures, and to develop parklands acquired with funding from the Environmental Protection Fund (EPF) and 1996 Clean Water/Clean Air Bond Act.

Specifically, these recommendations include \$625,000 for operations at newly acquired or expanded parks, including the Niagara Falls State Park, Ft. Montgomery, Sterling Forest, and the National Purple Heart Hall of Honor, and \$220,000 for the establishment of an annual training academy for the Regional Park Police. The Budget also continues funding for the Empire State Games at the 2001-02 level and funding for performing arts programming at Artpark in Buffalo will be \$450,000. \$4.95 million in funding for the Zoos, Botanical Gardens and Aquaria program will be provided from the EPF. The recommendations also support new boating access projects statewide, funded by increased boating fees, as well as increased aid to municipalities for snowmobile trail development and maintenance, funded by increased snowmobile user fees.

In 2002-03, the share of park operations financed with user fees will remain constant, while accommodating contractual salary increases. However, the General Fund continues to be the Office's primary source of support for its operating and local assistance budgets, providing 62 percent of its funding. The remaining 38 percent is provided by a variety of sources, including:

- User fees at the parks (29 percent);
- Federal grants for activities related to the use of recreational vehicles and land and water conservation (7 percent); and

PARKS, RECREATION AND HISTORIC PRESERVATION

- Fiduciary and Enterprise funds, including moneys earmarked for historic sites, arboretums and the Empire State Games (2 percent).

The primary focus of the Office's capital program is the health and safety of park visitors and the maintenance and rehabilitation of existing facilities. Park facilities include more than 5,000 buildings, 27 golf courses, 51 swimming pools, 76 beaches, 27 marinas, 40 boat launching sites, 18 nature centers, 774 cabins, and 8,320 campsites. The Office also maintains hundreds of miles of roads and trails, expansive utility systems, 106 dams and 604 bridges.

For 2002-03, appropriations of \$29.6 million are recommended for capital projects from the State Park Infrastructure Fund, a dedicated fund consisting of revenues generated from day use and camping fees at the parks, as well as concession revenues and other miscellaneous revenues. Budget recommendations also include \$5 million in Federal funding for an anticipated increase in Federal Land and Water Conservation funding and \$5 million in fiduciary funding for other potential gifts to improve various parks.

Funding from the State Park Infrastructure Fund will be supplemented with resources for State parks capital projects from the Clean Water/Clean Air Bond Act and the EPF. In 2002-03, the EPF will provide \$6.5 million in funding for infrastructure and stewardship projects at State parks and lands operated by the Office and the Department of Environmental Conservation.

PROGRAM HIGHLIGHTS

Since 1995-96, the Office has re-organized functions and consolidated management operations to more effectively provide safe and enjoyable recreational services to the public. These efforts will continue in 2002-03 as the Office achieves efficiencies through the streamlining of administrative oversight, business office and engineering services which will be pooled on a regional basis among park clusters. The Office has also fostered public-private partnerships to enhance park facilities and events, including corporate sponsorships for fireworks displays, playground construction and the Empire State Games. With private sector support and expertise, the Black Course at Bethpage State Park will be the first public course ever to host the U.S. Open national golf championship tournament in 2002.

The responsibilities of the Office are carried out through five major programs:

- Administration: provides executive direction, fiscal, personnel and audit services, public communications, and management of the Office's capital program;
- Park Operations: operates the State's 164 parks. Seasonal and full-time personnel are assigned to specific facilities in one of the Office's 11 regions. Staff includes security and field operations staff, as well as skilled and semi-skilled maintenance personnel. Day use, golf course, and other user fees directly offset the cost of facility operations;
- Empire State Games: plans and implements the Games for the Physically Challenged, Senior Games, Summer Games and Winter Games;
- Historic Preservation: oversees preservation activities at 35 historic sites, develops a statewide Comprehensive Historic Preservation Plan, and maintains the State Register of Historic Places; and
- Natural Heritage Trust: receives and administers funds, including private gifts and bequests, to advance conservation, outdoor recreation and historic preservation purposes. Created under the Public Authorities Law in 1968, the Natural Heritage Trust is a public benefit corporation.

PARKS, RECREATION AND HISTORIC PRESERVATION

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2001-02	Appropriations Recommended 2002-03	Change	Reappropriations Recommended 2002-03
State Operations	155,617,900	163,557,900	7,940,000	11,096,000
Aid To Localities	12,422,000	12,920,000	498,000	23,272,700
Capital Projects	47,980,000	40,840,000	(7,140,000)	98,072,000
Total	<u>216,019,900</u>	<u>217,317,900</u>	<u>1,298,000</u>	<u>132,440,700</u>

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2001-02 Estimated FTEs 03/31/02	2002-03 Estimated FTEs 03/31/03	FTE Change
Administration			
General Fund	90	90	0
Historic Preservation			
General Fund	147	144	(3)
Special Revenue Funds - Federal	15	15	0
Special Revenue Funds - Other	1	1	0
Park Operations			
General Fund	1,191	1,184	(7)
Special Revenue Funds - Federal	10	10	0
Special Revenue Funds - Other	75	75	0
Fiduciary Funds	4	4	0
Capital Projects Funds - Other	137	137	0
Recreation Services			
General Fund	11	11	0
Total	<u>1,681</u>	<u>1,671</u>	<u>(10)</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2001-02	Recommended 2002-03	Change
General Fund	102,685,200	107,892,100	5,206,900
Special Revenue Funds - Federal	3,982,300	3,695,800	(286,500)
Special Revenue Funds - Other	45,207,900	48,171,800	2,963,900
Enterprise Funds	2,500,000	2,500,000	0
Fiduciary Funds	1,242,500	1,298,200	55,700
Total	<u>155,617,900</u>	<u>163,557,900</u>	<u>7,940,000</u>

Adjustments:	
Recommended Deficiency	
Parks, Recreation and Historic Preservation, Office of General Fund	(620,000)
Appropriated 2001-02	<u>154,997,900</u>

PARKS, RECREATION AND HISTORIC PRESERVATION

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2001-02	Recommended 2002-03	Change
Administration			
General Fund	6,519,600	6,822,200	302,600
Special Revenue Funds - Federal	395,000	500,000	105,000
Historic Preservation			
General Fund	9,744,600	10,195,300	450,700
Special Revenue Funds - Federal	1,423,300	1,425,800	2,500
Special Revenue Funds - Other	61,800	69,800	8,000
Fiduciary Funds	41,100	42,400	1,300
Park Operations			
General Fund	84,169,700	88,503,700	4,334,000
Special Revenue Funds - Federal	2,164,000	1,770,000	(394,000)
Special Revenue Funds - Other	45,146,100	48,102,000	2,955,900
Fiduciary Funds	1,201,400	1,255,800	54,400
Recreation Services			
General Fund	2,251,300	2,370,900	119,600
Enterprise Funds	2,500,000	2,500,000	0
Total	<u>155,617,900</u>	<u>163,557,900</u>	<u>7,940,000</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	5,182,100	211,200	5,113,300	208,500
Historic Preservation	8,692,700	328,000	6,747,200	145,600
Park Operations	80,336,400	3,999,400	51,927,800	2,359,900
Recreation Services	661,400	26,400	554,700	22,600
Total	<u>94,872,600</u>	<u>4,565,000</u>	<u>64,343,000</u>	<u>2,736,600</u>

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	25,600	900	43,200	1,800
Historic Preservation	1,860,800	178,900	84,700	3,500
Park Operations	26,270,700	1,178,200	2,137,900	461,300
Recreation Services	84,200	2,800	22,500	1,000
Total	<u>28,241,300</u>	<u>1,360,800</u>	<u>2,288,300</u>	<u>467,600</u>

PARKS, RECREATION AND HISTORIC PRESERVATION

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	1,640,100	91,400	121,800	6,700
Historic Preservation	1,502,600	122,700	448,700	62,000
Park Operations	8,167,300	334,600	898,300	39,800
Recreation Services	1,709,500	93,200	250,800	13,800
Total	13,019,500	641,900	1,719,600	122,300

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	95,700	5,300	1,422,600	79,400
Historic Preservation	75,900	7,100	777,100	47,600
Park Operations	816,100	49,700	5,161,200	1,168,100
Recreation Services	121,800	5,800	1,322,300	72,800
Total	1,109,500	67,900	8,683,200	1,367,900

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Historic Preservation	200,900	6,000	0	0
Park Operations	791,700	122,000	500,000	(1,045,000)
Recreation Services	14,600	800	0	0
Total	1,007,200	128,800	500,000	(1,045,000)

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	500,000	105,000	150,000	150,000
Historic Preservation	1,538,000	11,800	834,800	194,000
Park Operations	51,127,800	2,616,300	17,178,850	(442,850)
Recreation Services	2,500,000	0	0	0
Total	55,665,800	2,733,100	18,163,650	(98,850)

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	350,000	(45,000)	0	0
Historic Preservation	703,200	(182,200)	0	0
Park Operations	31,060,050	571,250	2,888,900	2,487,900
Recreation Services	2,500,000	0	0	0
Total	34,613,250	344,050	2,888,900	2,487,900

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2001-02	Recommended 2002-03	Change
General Fund	7,152,000	2,200,000	(4,952,000)
Special Revenue Funds - Federal	4,170,000	8,620,000	4,450,000
Special Revenue Funds - Other	1,100,000	2,100,000	1,000,000
Total	12,422,000	12,920,000	498,000

PARKS, RECREATION AND HISTORIC PRESERVATION

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2001-02	Recommended 2002-03	Change
Administration			
General Fund	2,200,000	2,200,000	0
Historic Preservation			
Special Revenue Funds - Federal	170,000	120,000	(50,000)
Natural Heritage Trust			
General Fund	4,952,000	0	(4,952,000)
Park Operations			
Special Revenue Funds - Federal	2,500,000	5,000,000	2,500,000
Special Revenue Funds - Other	1,100,000	2,100,000	1,000,000
Recreation Services			
Special Revenue Funds - Federal	1,500,000	3,500,000	2,000,000
Total	<u>12,422,000</u>	<u>12,920,000</u>	<u>498,000</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Comprehensive Construction Program	Available 2001-02	Recommended 2002-03	Change	Reappropriations 2002-03
Parks EQBA 86				
Capital Projects Fund - EQBA 86 (Bondable)	0	0	0	11,460,000
Natural Heritage Trust				
Capital Projects Fund	0	0	0	300,000
Federal Capital Projects Fund				
Federal Capital Projects Fund	2,500,000	5,000,000	2,500,000	5,331,000
Maintenance and Improvements of Existing Facilities				
Fiduciary Funds - Misc. Combined Expendable Trust Fund	15,450,000	5,000,000	(10,450,000)	19,685,000
Misc. Capital Projects	1,000,000	1,200,000	200,000	2,943,000
State Parks Infrastructure Fund	29,030,000	29,640,000	610,000	56,934,000
Park Lands EQBA				
Capital Projects Fund - EQBA (Bondable)	0	0	0	406,000
Outdoor Recreation Development Bond Fund				
Outdoor Recreation Development Bond Fund	0	0	0	230,000
Parks and Recreation Land Acquisition Bond Fund				
Parks and Recreation Land Acquisition Bond Fund	0	0	0	783,000
Total	<u>47,980,000</u>	<u>40,840,000</u>	<u>(7,140,000)</u>	<u>98,072,000</u>

COMMISSION ON QUALITY OF CARE FOR THE MENTALLY DISABLED

MISSION

The Commission on Quality of Care for the Mentally Disabled provides oversight of the State and local mental hygiene systems that collectively spend more than \$5 billion in public funds annually. The Commission:

- monitors conditions of care for people with mental disabilities in State institutions, licensed residential facilities, and outpatient programs;
- reports to the Governor and Legislature on how the laws and policies established to protect the rights of people with mental disabilities are being implemented; and
- makes recommendations to improve quality of care.

ORGANIZATION AND STAFFING

The Commission consists of a full-time chairman and two unsalaried members, each appointed by the Governor and confirmed by the Senate to serve for staggered five-year terms. During 2002-03, the agency will have a workforce of 95 positions funded by the General Fund, Federal grants and other Federal revenues related to oversight of Medicaid programs.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Commission's State Operations appropriation is supported by the General Fund (27 percent), Federal grants (48 percent) and other funding, primarily receipts from the Medicaid program related to program oversight activities (25 percent).

The Aid to Localities appropriations fund:

- contracts with private, non-profit service provider agencies that provide advocacy services to residents of adult homes and adult care facilities; and
- contracts with non-profit Community Dispute Resolution Centers, which provide support services for the Surrogate Decision Making Committee program.

The Executive Budget recommendation of \$11.6 million fully supports existing program and service levels.

PROGRAM HIGHLIGHTS

OVERSIGHT OF SERVICE DELIVERY

The Commission provides independent oversight and review of State- and voluntary-operated programs serving individuals with mental illness, developmental disabilities, and alcohol and substance abuse problems. Most importantly, it investigates complaints including allegations of patient abuse or mistreatment in facilities operated or licensed by these agencies.

PROTECTION AND ADVOCACY

Federal funding provides statewide protection and advocacy services for individuals with disabilities, using State staff and contracts with non-profit agencies, through the following programs: Protection and Advocacy for the Developmentally Disabled; Client Assistance; Protection and Advocacy for Individuals with Mental Illness; Protection and Advocacy of Individual Rights; Protection and Advocacy for Beneficiaries of Social Security; and

QUALITY OF CARE FOR THE MENTALLY DISABLED

Technology-Related Protection and Advocacy. These programs assist the Commission in strengthening the non-profit contract network which provides individuals with severe disabilities with protection and advocacy services under Federal law.

ADULT HOMES

Residents of adult homes that are serving a large number of persons who have received services through the mental hygiene system enjoy advocacy services administered by the Commission through contracts with local not-for-profit organizations. These services, funded in part through a suballocation from the Department of Health, focus on protecting and promoting residents' rights. The Commission's dedicated team continues to work collaboratively with the Department of Health and the Office of Mental Health to conduct programmatic and fiscal investigations into the quality of care provided to individuals living in adult homes.

SURROGATE DECISION MAKING COMMITTEE

The Surrogate Decision Making Committee (SDMC) program reviews recommendations for medical services on behalf of individuals receiving Mental Hygiene residential services who have neither a legal guardian nor the ability to make decisions for themselves. Volunteer teams comprised of medical, legal and health care professionals and advocates work through local dispute resolution centers to represent these individuals. Expanded under a multi-year gubernatorial initiative, this program is now available statewide.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2001-02	Appropriations Recommended 2002-03	Change	Reappropriations Recommended 2002-03
State Operations	10,867,000	11,174,000	307,000	6,792,300
Aid To Localities	468,000	468,000	0	0
Capital Projects	0	0	0	0
Total	11,335,000	11,642,000	307,000	6,792,300

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2001-02 Estimated FTEs 03/31/02	2002-03 Estimated FTEs 03/31/03	FTE Change
Administration			
General Fund	39	37	(2)
Special Revenue Funds - Other	30	30	0
Client Assistance			
Special Revenue Funds - Federal	2	2	0
Protection and Advocacy for Beneficiaries of Social Security			
Special Revenue Funds - Federal	1	1	0
Protection and Advocacy for the Developmentally Disabled			
Special Revenue Funds - Federal	12	12	0
Protection and Advocacy for Individual Rights			
Special Revenue Funds - Federal	2	2	0
Protection and Advocacy for the Mentally Ill			
Special Revenue Funds - Federal	11	11	0
Total	97	95	(2)

QUALITY OF CARE FOR THE MENTALLY DISABLED

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2001-02	Recommended 2002-03	Change
General Fund	2,987,000	2,985,000	(2,000)
Special Revenue Funds - Federal	5,166,000	5,387,000	221,000
Special Revenue Funds - Other	2,694,000	2,782,000	88,000
Enterprise Funds	20,000	20,000	0
Total	<u>10,867,000</u>	<u>11,174,000</u>	<u>307,000</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2001-02	Recommended 2002-03	Change
Administration			
General Fund	2,987,000	2,985,000	(2,000)
Special Revenue Funds - Other	2,694,000	2,782,000	88,000
Enterprise Funds	20,000	20,000	0
Client Assistance			
Special Revenue Funds - Federal	667,000	723,000	56,000
Protection and Advocacy for Beneficiaries of Social Security			
Special Revenue Funds - Federal	750,000	464,000	(286,000)
Protection and Advocacy for the Developmentally Disabled			
Special Revenue Funds - Federal	1,546,000	1,840,000	294,000
Protection and Advocacy for Individual Rights			
Special Revenue Funds - Federal	741,000	846,000	105,000
Protection and Advocacy for the Mentally Ill			
Special Revenue Funds - Federal	1,402,000	1,409,000	7,000
Technology Related Protection and Advocacy			
Special Revenue Funds - Federal	60,000	105,000	45,000
Total	<u>10,867,000</u>	<u>11,174,000</u>	<u>307,000</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	2,332,000	(4,000)	2,321,000	(1,000)
Total	<u>2,332,000</u>	<u>(4,000)</u>	<u>2,321,000</u>	<u>(1,000)</u>

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administration	11,000	(3,000)
Total	<u>11,000</u>	<u>(3,000)</u>

QUALITY OF CARE FOR THE MENTALLY DISABLED

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	653,000	2,000	41,100	0
Total	653,000	2,000	41,100	0

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	121,200	0	451,600	14,000
Total	121,200	0	451,600	14,000

Program	Equipment	
	Amount	Change
Administration	39,100	(12,000)
Total	39,100	(12,000)

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	2,802,000	88,000	1,754,000	44,000
Client Assistance	723,000	56,000	116,000	8,000
Protection and Advocacy for Beneficiaries of Social Security	464,000	(286,000)	54,000	54,000
Protection and Advocacy for the Developmentally Disabled	1,840,000	294,000	665,000	52,000
Protection and Advocacy for Individual Rights	846,000	105,000	113,000	1,000
Protection and Advocacy for the Mentally Ill	1,409,000	7,000	536,000	68,000
Technology Related Protection and Advocacy	105,000	45,000	0	0
Total	8,189,000	309,000	3,238,000	227,000

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	1,048,000	44,000	0	0
Client Assistance	607,000	48,000	0	0
Protection and Advocacy for Beneficiaries of Social Security	410,000	410,000	0	(750,000)
Protection and Advocacy for the Developmentally Disabled	1,175,000	242,000	0	0
Protection and Advocacy for Individual Rights	733,000	104,000	0	0
Protection and Advocacy for the Mentally Ill	873,000	(61,000)	0	0
Technology Related Protection and Advocacy	105,000	45,000	0	0
Total	4,951,000	832,000	0	(750,000)

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2001-02	Recommended 2002-03	Change
General Fund	95,000	95,000	0
Special Revenue Funds - Other	373,000	373,000	0
Total	468,000	468,000	0

QUALITY OF CARE FOR THE MENTALLY DISABLED

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2001-02	Recommended 2002-03	Change
Adult Homes			
General Fund	95,000	95,000	0
Surrogate Decision Making			
Special Revenue Funds - Other	373,000	373,000	0
Total	<u>468,000</u>	<u>468,000</u>	<u>0</u>