

***TRANSPORTATION
AND ECONOMIC
DEVELOPMENT***

DEPARTMENT OF ECONOMIC DEVELOPMENT

MISSION

Together with the Empire State Development Corporation, the New York State Department of Economic Development:

- Advises the Governor and Legislature on all major economic development issues and decisions;
- Develops State economic development strategies;
- Provides technical and financial assistance to businesses through a network of regional offices; and
- Coordinates the efforts of other State agencies, authorities and organizations, as well as local governments, on actions which affect the State's economy.

ORGANIZATION AND STAFFING

State economic development programs are administered by the Department of Economic Development working in conjunction with the Empire State Development Corporation. The Department and Corporation are distinct entities, but both are headed by the Commissioner of Economic Development and share senior managers. In addition, the Department and Corporation work closely with the New York Office of Science, Technology and Academic Research (NYSTAR), which was established in 1999 to foster technology-related job creation.

The Department of Economic Development will have a workforce of 273 in 2001-02. The Department's central office is in Albany, with ten regional offices located in Albany, Buffalo, Rochester, Syracuse, Utica, Binghamton, Fishkill, Watertown, Plainview and New York City, and satellite offices in Plattsburgh, Ogdensburg and Elmira.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

State tax dollars from the General Fund will finance 90 percent, or \$50.7 million, of the Department of Economic Development's \$56.1 million Budget in 2001-02. The balance of the Department's Executive Budget will be supported by revenues raised through licensing of the "I ♥ NY" logo, sale of advertising in the "I ♥ NY" Travel Guide, and through seminars and programs run by the Department which directly offset the costs of these programs. Also included are Federal dollars used to help defense-dependent industries diversify into new markets, support recycling market development and to help small business comply with Clean Air Act mandates.

The 2001-02 Budget provides:

- \$1.7 million for the Empire State Technology Employment Incentive Program;
- \$11 million for "I ♥ NY" tourism advertising;
- \$5.8 million for local tourism matching grants;
- \$4.5 million for marketing and promoting the State's favorable business climate;
- \$177,000 for the Adirondack North Country Association;
- \$600,000 for economic development projects in the Catskill watershed;
- \$3.3 million for local administration of Empire Zones, which includes funding for new Zones that will be designated in 2001;
- \$1.9 million to attract international trade to New York State, and increase export sales to foreign countries.

ECONOMIC DEVELOPMENT

PROGRAM HIGHLIGHTS

TECHNOLOGY EMPLOYMENT INCENTIVE PROGRAM

This program will address the shortage of highly skilled employees facing New York State's high technology companies. In order to encourage graduates of the State's leading engineering, computer science and applied science programs to make their careers in New York, this program will provide employees in targeted industries with cash incentives.

MARKETING AND ADVERTISING

This program promotes New York State as a premier tourist destination and business location. Major activities include the "I ♥ NY" advertising campaign and local tourism matching grants administered through locally based tourism promotion agencies representing the State's 62 counties. The Department of Economic Development also manages tourist information services at the Beekmantown and Binghamton Gateway Centers, develops the State's tourism master plan, targets information to consumers and the travel trade, participates in national and international trade shows, provides technical assistance to tour directors, and creates publications for use by the Department and the other economic development agencies.

INTERNATIONAL

The International Trade program promotes exports from, and attracts foreign investment to, New York State. Based in New York City, this program manages the Department's international offices in Montreal, Toronto, London, and Tokyo, and contractual presences in Jerusalem, Mexico City, Chile, Brazil, Argentina and South Africa. The program also coordinates State participation in trade shows and missions, compiles and disseminates trade leads, and administers grants and seminars designed to encourage increased exporting. The International Trade program will be enhanced — including the use of the latest e-commerce technology — in 2001-02 to further increase foreign investment in New York State and export sales by New York State businesses.

EMPIRE ZONES

The Empire Zones program benefits distressed areas suffering from high unemployment. Businesses located in a designated Zone may qualify for tax incentives and other economic development benefits designed to encourage business expansion and job creation. Currently, there are 52 Empire Zones statewide that were selected on a competitive basis. Zones are located in the following communities: Albany, Amsterdam, Auburn, Binghamton, Brookhaven, Brooklyn Navy Yard, Buffalo, Dunkirk, East New York, East Harlem, Elmira, Friendship, Fulton, Geneva, Gloversville, Griffiss Air Force Base, Hancock Air Force Base, Hunts Point, Islip, Jamestown, Kingston, Kirkwood, Lackawanna, Lowville/Martinsburg, Moriah/Port Henry, Niagara Falls, North Shore/Staten Island, Norwich, Ogdensburg, Olean/Allegany, Oswego, Plattsburgh, Plattsburgh Air Force Base, Port Morris, Potsdam, Poughkeepsie, Riverhead, Rochester, Rockaway, Rome, Schenectady, South Jamaica, Seneca Army Depot, Stewart Air Force Base, Sunset Park/Red Hook/Southwest Brooklyn, Syracuse, Tioga County, Troy, Utica, Watertown, Watervliet Arsenal, and Yonkers. In addition, new Zones will be established in 2001.

BUSINESS ASSISTANCE PROGRAMS

To improve the competitiveness of New York State companies, the Department of Economic Development provides assistance to businesses for productivity assessments, business-specific skills training for new and existing workers and third-party technical assistance to develop strategies for expanding export markets.

SMALL BUSINESS ASSISTANCE

The Division for Small Business serves as an ombudsman for small business and also offers these enterprises training and technical assistance. In addition, the Department provides State and Federal procurement assistance to small business. The Division also operates the Clean Air Act Ombudsman Unit, which helps small business comply with these environmental regulations.

LINKED DEPOSIT PROGRAM

This joint public/private program enables companies to obtain loans from commercial banks at an interest rate that is 2 percent to 3 percent lower than the prevailing rate. The banks are compensated by deposits of State funds earning interest at comparably reduced rates. In 2001-02, \$200 million is available for this program.

RECYCLING MARKET DEVELOPMENT PROGRAM

The Department of Economic Development is the lead agency in developing New York's recycling industries and creating programs to help municipalities and businesses develop uses for secondary materials.

MINORITY AND WOMEN'S BUSINESS DEVELOPMENT

The Division of Minority and Women's Business Development was established to increase the participation of minority- and women-owned businesses in State procurement opportunities. The Division identifies and certifies minority- and women-owned business enterprises; publishes a directory of certified firms to market small businesses to public and private sector organizations; and provides technical assistance to minority- and women-owned businesses.

POLICY AND RESEARCH

This joint Empire State Development Corporation/Department of Economic Development division develops the annual State strategic plan for economic development; collects and disseminates economic and demographic information; performs policy analysis and economic research; monitors and intervenes in State regulatory activities affecting energy supply, telecommunications, transportation, environmental facilities and commercial/industrial site and facility development; and coordinates the development and review of State economic development programs.

ECONOMIC DEVELOPMENT

ALL FUNDS APPROPRIATIONS (dollars)

<u>Category</u>	<u>Available 2000-01</u>	<u>Appropriations Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations Recommended 2001-02</u>
State Operations	41,236,800	44,139,900	2,903,100	6,208,000
Aid To Localities	24,553,450	11,974,000	(12,579,450)	21,164,000
Capital Projects	0	0	0	0
Total	<u>65,790,250</u>	<u>56,113,900</u>	<u>(9,676,350)</u>	<u>27,372,000</u>

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

<u>Program</u>	<u>2000-01 Estimated FTEs 03/31/01</u>	<u>2001-02 Estimated FTEs 03/31/02</u>	<u>FTE Change</u>
Administration			
General Fund	50	50	0
Special Revenue Funds - Other	8	8	0
Clean Air			
Special Revenue Funds - Other	5	5	0
Economic Development			
General Fund	144	144	0
Special Revenue Funds - Other	4	4	0
Marketing and Advertising Program			
General Fund	41	61	20
Special Revenue Funds - Other	1	1	0
Total	<u>253</u>	<u>273</u>	<u>20</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	35,515,900	38,730,000	3,214,100
Special Revenue Funds - Federal	1,000,000	1,000,000	0
Special Revenue Funds - Other	4,720,900	4,409,900	(311,000)
Total	<u>41,236,800</u>	<u>44,139,900</u>	<u>2,903,100</u>

Adjustments:

Transfer(s) From	
Special Pay Bill	
General Fund	(1,097,000)
Special Revenue Funds - Other	(61,000)
Appropriated 2000-01	<u>40,078,800</u>

ECONOMIC DEVELOPMENT

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Administration			
General Fund	3,211,000	3,360,000	149,000
Special Revenue Funds - Other	1,752,080	1,739,900	(12,180)
Clean Air			
Special Revenue Funds - Other	519,000	500,000	(19,000)
Economic Development			
General Fund	13,901,800	15,000,000	1,098,200
Special Revenue Funds - Federal	1,000,000	1,000,000	0
Special Revenue Funds - Other	1,435,540	1,170,000	(265,540)
Marketing and Advertising Program			
General Fund	18,403,100	20,370,000	1,966,900
Special Revenue Funds - Other	<u>1,014,280</u>	<u>1,000,000</u>	<u>(14,280)</u>
Total	<u>41,236,800</u>	<u>44,139,900</u>	<u>2,903,100</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

<u>Program</u>	<u>Total Personal Service</u>		<u>Personal Service Regular (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	2,336,000	69,000	2,307,800	69,000
Economic Development	8,836,000	271,000	8,805,000	271,000
Marketing and Advertising Program	<u>3,323,000</u>	<u>1,421,100</u>	<u>3,308,500</u>	<u>1,421,100</u>
Total	<u>14,495,000</u>	<u>1,761,100</u>	<u>14,421,300</u>	<u>1,761,100</u>
	<u>Holiday/Overtime Pay (Annual Salaried)</u>			
	<u>Amount</u>	<u>Change</u>		
Administration	28,200	0		
Economic Development	31,000	0		
Marketing and Advertising Program	<u>14,500</u>	<u>0</u>		
Total	<u>73,700</u>	<u>0</u>		

ECONOMIC DEVELOPMENT

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

<u>Program</u>	<u>Total Nonpersonal Service</u>		<u>Supplies and Materials</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	1,024,000	80,000	119,600	9,600
Economic Development	6,164,000	827,200	144,810	9,810
Marketing and Advertising Program	17,047,000	545,800	59,432	28,432
Total	<u>24,235,000</u>	<u>1,453,000</u>	<u>323,842</u>	<u>47,842</u>

<u>Program</u>	<u>Travel</u>		<u>Contractual Services</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	45,200	3,200	812,200	63,200
Economic Development	317,160	26,160	3,561,950	277,950
Marketing and Advertising Program	74,940	35,540	1,396,828	646,828
Total	<u>437,300</u>	<u>64,900</u>	<u>5,770,978</u>	<u>987,978</u>

<u>Program</u>	<u>Equipment</u>		<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	47,000	4,000	0	0
Economic Development	168,080	13,080	1,972,000	500,200
Marketing and Advertising Program	800	0	15,515,000	(165,000)
Total	<u>215,880</u>	<u>17,080</u>	<u>17,487,000</u>	<u>335,200</u>

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

<u>Program</u>	<u>Total</u>		<u>Personal Service</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	1,739,900	(12,180)	487,100	(8,990)
Clean Air	500,000	(19,000)	195,000	(14,000)
Economic Development	2,170,000	(265,540)	130,000	(11,470)
Marketing and Advertising Program	1,000,000	(14,280)	70,000	(10,540)
Total	<u>5,409,900</u>	<u>(311,000)</u>	<u>882,100</u>	<u>(45,000)</u>

<u>Program</u>	<u>Nonpersonal Service</u>		<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	1,252,800	(3,190)	0	0
Clean Air	305,000	(5,000)	0	0
Economic Development	1,970,000	(254,070)	70,000	0
Marketing and Advertising Program	930,000	(3,740)	0	0
Total	<u>4,457,800</u>	<u>(266,000)</u>	<u>70,000</u>	<u>0</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	24,553,450	11,974,000	(12,579,450)
Total	<u>24,553,450</u>	<u>11,974,000</u>	<u>(12,579,450)</u>

ECONOMIC DEVELOPMENT

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2000-01	Recommended 2001-02	Change
Economic Development			
General Fund	9,349,000	5,797,000	(3,552,000)
Marketing and Advertising Program			
General Fund	7,901,600	6,177,000	(1,724,600)
Community Projects			
General Fund	7,302,850	0	(7,302,850)
Total	<u>24,553,450</u>	<u>11,974,000</u>	<u>(12,579,450)</u>

EMPIRE STATE DEVELOPMENT CORPORATION

MISSION

The Empire State Development Corporation (ESDC) — formerly the Urban Development Corporation (UDC) — is a New York State public benefit corporation. It engages in four principal activities: economic and real estate development; State facility financing; housing portfolio maintenance; and privatization initiatives.

ECONOMIC AND REAL ESTATE DEVELOPMENT

The Corporation provides financial and technical assistance to businesses, local governments and community-based not-for-profit corporations for economic development and large-scale real estate projects that create and/or retain jobs and reinvigorate distressed areas.

STATE FACILITY FINANCING

The Empire State Development Corporation issues bonds to finance the construction and modernization of correctional facilities and other special projects for the State. Debt service on these bonds is paid from appropriations by the State.

HOUSING PORTFOLIO MAINTENANCE

In the early 1970's, the Urban Development Corporation built 113 large-scale housing developments for low- to middle-income persons. The Corporation also built non-residential civic and industrial properties, including the Niagara Falls Convention Center, the Wards Island Fire Training Center, the Monroe County Fairgrounds, the Ten Eyck Plaza in Albany, and public school facilities in Buffalo, Manhattan, the Bronx, and Brooklyn. Since the mid-1970's, activity in this area has been limited to the monitoring and loan servicing of projects.

PRIVATIZATION INITIATIVES

The Corporation is charged with facilitating efforts by State agencies and authorities to privatize State functions and assets.

ORGANIZATION AND STAFFING

State economic development programs are administered by the Empire State Development Corporation working in conjunction with the Department of Economic Development. The Corporation and Department are distinct entities, but both are headed by the Commissioner of Economic Development and share senior managers. In addition, the Corporation and Department will work closely with the New York Office of Science, Technology and Academic Research (NYSTAR), which was established in 1999 to foster technology-related job creation. The Corporation will have a workforce of 311 in 2001-02. From the Corporation's central office in New York City, a Chief Operating Officer is responsible for day-to-day operations. The Corporation and Department of Economic Development share ten regional offices.

EMPIRE STATE DEVELOPMENT CORPORATION

OVERSIGHT

The Corporation is governed by a nine-member Board of Directors comprising two ex-officio members and seven members appointed by the Governor with the consent of the Senate. The Chair of the Empire State Development Corporation Board is selected by the Governor and also serves as the Commissioner of Economic Development. Board members serve without compensation.

SUBSIDIARIES

The Corporation's Board of Directors is authorized to create subsidiaries to manage specific projects or economic development activities. Subsidiaries have been established to: (1) formulate policies and initiatives to promote economic growth in Harlem; (2) redevelop Times Square, including the condemnation and acquisition of blighted properties and recruitment of prospective tenants; (3) plan and oversee a mixed-use development on 74.5 acres on the East River in Queens County; and (4) redevelop the U.S. Postal Service facility known as the Farley Building in connection with the New York City Amtrak Train Station Redevelopment project.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

- The Empire State Development Corporation's activities are funded from State General Fund appropriations and corporate revenues generated by Corporation-owned residential and non-residential properties and by its financing programs. The Corporation's operating budget will be entirely supported by corporate revenues in 2001-02.
- The Executive Budget will provide \$243.6 million in support for economic development initiatives, including: biotechnology and high technology projects; the JOBS NOW program for large-scale projects which will create new jobs; the Empire State Economic Development Fund for projects that create or retain jobs; the Urban and Community Development and Minority- and Women-Owned Business Development and Lending programs; military base re-use and retention initiatives; and projects in the Upper Manhattan/South Bronx Empowerment Zone.

PROGRAM HIGHLIGHTS

The Empire State Development Corporation administers economic development programs which:

- Provide low-cost loans and grants to businesses to help cover the cost of machinery and equipment purchases, factory improvements, training and business incubator development;
- Provide financial assistance for projects ranging from development of or improvements to commercial or retail facilities, tourism destinations, child care facilities, and commercial centers;
- Assist minority- and women-owned businesses, including programs administered in cooperation with local development organizations and community-based financial institutions;
- Provide funding for the economic development initiatives in distressed urban communities; and
- Provide funding for military base retention and redevelopment efforts.

EMPIRE STATE DEVELOPMENT CORPORATION

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2000-01	Appropriations Recommended 2001-02	Change	Reappropriations Recommended 2001-02
State Operations	0	0	0	0
Aid To Localities	165,858,100	143,604,100	(22,254,000)	169,131,000
Capital Projects	65,000,000	100,000,000	35,000,000	409,000,000
Total	<u>230,858,100</u>	<u>243,604,100</u>	<u>12,746,000</u>	<u>578,131,000</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2000-01	Recommended 2001-02	Change
General Fund	165,858,100	143,604,100	(22,254,000)
Total	<u>165,858,100</u>	<u>143,604,100</u>	<u>(22,254,000)</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2000-01	Recommended 2001-02	Change
Economic Development			
General Fund	142,833,000	143,600,000	767,000
Payments to Municipalities			
General Fund	4,100	4,100	0
Community Projects			
General Fund	23,021,000	0	(23,021,000)
Total	<u>165,858,100</u>	<u>143,604,100</u>	<u>(22,254,000)</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Comprehensive Construction Program	Available 2000-01	Recommended 2001-02	Change	Reappropriations 2001-02
Regional Development				
Community Enhancement Facilities Assistance Fund	0	0	0	344,000,000
Economic Development				
Capital Projects Fund	65,000,000	100,000,000	35,000,000	65,000,000
Total	<u>65,000,000</u>	<u>100,000,000</u>	<u>35,000,000</u>	<u>409,000,000</u>

ENERGY RESEARCH AND DEVELOPMENT AUTHORITY

MISSION

The Energy Research and Development Authority was established in 1975 to develop and implement new energy technologies, focusing on renewable energy sources and energy conservation.

As part of its central mission, the Authority manages energy research, development and demonstration programs which are funded by assessments on gas and electric utilities. The Authority's programs strengthen New York's economic base by nurturing the growth of new products and industries and helping businesses reduce their costs. Projects are selected on a competitive basis to promote applied research on State energy problems. The Energy Research and Development Authority administers Federal grant programs which help businesses, schools and hospitals implement energy efficiency measures. It also issues tax-exempt bonds on behalf of investor-owned utilities for capital improvements. The Authority also administers the System Benefits Charge, intended to fund energy programs for the public during the transition to a fully competitive energy market. These programs focus on low-income consumers, energy efficiency, research, development and environmental protection.

The Authority also manages the former nuclear fuel reprocessing plant at West Valley in Cattaraugus County and the Malta Rocket Fuel Area Superfund Site in Saratoga County.

ORGANIZATION AND STAFFING

The Energy Research and Development Authority is headed by a 13-member board, consisting of nine members nominated by the Governor with the consent of the Senate and four ex-officio members: the commissioners of the departments of Transportation and Environmental Conservation and the chairs of the Public Service Commission and the Power Authority of the State of New York. All board members serve without compensation.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Energy Research and Development Authority is partially funded by assessments on State public utility gross intrastate operating receipts. The 2001-02 Budget recommends approximately \$14.7 million in appropriations for the Authority's energy, research and development programs and \$15.25 million for ongoing work at West Valley. The Authority will also continue to administer the Federal Petroleum Overcharge Recovery Program.

ALL FUNDS APPROPRIATIONS (dollars)				
Category	Available 2000-01	Appropriations Recommended 2001-02	Change	Reappropriations Recommended 2001-02
State Operations	16,156,000	16,556,000	400,000	0
Aid To Localities	2,200,000	0	(2,200,000)	0
Capital Projects	14,017,000	15,367,000	1,350,000	0
Total	32,373,000	31,923,000	(450,000)	0

ENERGY RESEARCH AND DEVELOPMENT

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Special Revenue Funds - Federal	1,500,000	1,900,000	400,000
Special Revenue Funds - Other	14,656,000	14,656,000	0
Total	<u>16,156,000</u>	<u>16,556,000</u>	<u>400,000</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Planning and Operation			
Special Revenue Funds - Federal	1,500,000	1,900,000	400,000
Research, Development and Demonstration			
Special Revenue Funds - Other	14,656,000	14,656,000	0
Total	<u>16,156,000</u>	<u>16,556,000</u>	<u>400,000</u>

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

<u>Program</u>	<u>Total</u>		<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Planning and Operation	1,900,000	400,000	1,900,000	400,000
Research, Development and Demonstration	14,656,000	0	14,656,000	0
Total	<u>16,556,000</u>	<u>400,000</u>	<u>16,556,000</u>	<u>400,000</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	2,200,000	0	(2,200,000)
Total	<u>2,200,000</u>	<u>0</u>	<u>(2,200,000)</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Community Projects			
General Fund	2,200,000	0	(2,200,000)
Total	<u>2,200,000</u>	<u>0</u>	<u>(2,200,000)</u>

ENERGY RESEARCH AND DEVELOPMENT

**CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Comprehensive Construction Program	Available 2000-01	Recommended 2001-02	Change	Reappropriations 2001-02
Western New York Nuclear Service Center Program Capital Projects Fund	13,900,000	15,250,000	1,350,000	0
Clean Water - Clean Air Implementation Clean Water Clean Air Implementation Fund	<u>117,000</u>	<u>117,000</u>	<u>0</u>	<u>0</u>
Total	<u><u>14,017,000</u></u>	<u><u>15,367,000</u></u>	<u><u>1,350,000</u></u>	<u><u>0</u></u>

DIVISION OF HOUSING AND COMMUNITY RENEWAL

MISSION

The Division of Housing and Community Renewal is responsible for the supervision, maintenance and development of affordable low- and moderate-income housing in New York State. The Division currently performs a number of activities in fulfillment of this mission, including:

- Oversight and regulation of the State's public and publicly assisted rental housing;
- Administration of the State's rent regulations; and
- Administration of housing development and community preservation programs, including State and Federal grants and loans to housing developers to finance construction or renovation of affordable housing.

ORGANIZATION AND STAFFING

Headed by a Commissioner, the Division of Housing and Community Renewal maintains three main offices and nine regional offices. Main offices in Albany and Manhattan are responsible for agency-wide administrative functions and the development and execution of the Division of Housing and Community Renewal's policies for its Community Development and Housing programs. The Division of Housing and Community Renewal's Rent Administration program is administered through the main office in Queens and local offices in rent regulated communities.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Division of Housing and Community Renewal's fee revenues come from the following sources: 1) mortgage servicing fees; 2) application and monitoring fees collected from developers of housing projects that are partially financed by Federal low-income tax credits; 3) payments by New York City to finance a portion of the Division's rent regulation activities; and 4) fees collected from the U.S. Department of Housing and Urban Development in connection with State administration of the Federal Section 8 rental subsidy program.

The Division of Housing and Community Renewal is the lead State agency for the development, construction and oversight of State-assisted housing. The majority of the State's housing construction and rehabilitation programs are supported by appropriations administered by three public benefit corporations: the Housing Finance Agency; the Affordable Housing Corporation; and the Housing Trust Fund Corporation. The Division of Housing and Community Renewal provides administrative support to the Affordable Housing Corporation and the Housing Trust Fund Corporation.

Executive Budget recommendations for the Division of Housing and Community Renewal total over \$341 million. The 2001-02 Budget will:

- Continue the Governor's Low-Income Housing Tax Credit Program with an additional \$2 million in support, resulting in nearly \$20 million in new funding for affordable housing over the next ten years;
- Provide over \$100 million in housing capital funds, including \$7 million for the nationally recognized Homes for Working Families Program;
- Provide over \$9 million to administer the development of low-income housing and \$16 million to supervise the operation of publicly assisted housing, including the State-financed Mitchell-Lama portfolio; and

HOUSING AND COMMUNITY RENEWAL

- Continue the Division's administration of the federally funded Weatherization Assistance Program, providing grants to local not-for-profit groups and governments to assist low-income households in reducing their energy consumption and lowering their fuel bills.

PROGRAM HIGHLIGHTS

COMMUNITY DEVELOPMENT

Community Development staff provide staff support to the Housing Trust Fund Corporation and the Affordable Housing Corporation, which are public benefit corporations that provide State-funded loans and grants to for-profit and not-for-profit entities to develop housing for low-income families, tenants with special needs and the low-income elderly.

Community Development staff also administer the allocation of Federal low-income housing tax credits across the State. These tax credits promote the production of low-income rental housing projects by reducing the Federal tax liability of investors who finance the acquisition and construction of these projects.

SMALL CITIES

Statute enacted with the 2000-01 Budget created the Governor's Office for Small Cities within the New York State Housing Trust Fund, a public benefit corporation, to administer approximately \$58 million in annual block grant funds from the U.S. Department of Housing and Urban Development. The Small Cities program supports projects in communities with populations of less than 50,000 or non-urban counties with populations of less than 200,000 for housing rehabilitation, job creation or retention, infrastructure repair or replacement, micro-enterprise programs and homeownership assistance.

HOUSING OVERSIGHT

The Housing Program oversees the management of State-assisted housing projects. On a project-by-project basis, Housing Program staff periodically review the financial and physical condition of:

- 226 housing developments constructed between 1957 and 1974 under the State's Mitchell-Lama housing laws and financed with State-guaranteed debt. These projects provide more than 93,000 dwelling units to low- and moderate-income families;
- 74 public housing projects constructed between 1941 and 1973 and financed with State General Obligation bonds that provide over 20,000 apartments for low-income families; and
- Approximately 1,000 low-income apartment projects partially financed by State or Federal capital funds.

In addition to its regulatory functions, the Housing Program directly administers approximately 3,800 Federal Section 8 program vouchers, that provide rental assistance to low-income tenants in New York State.

RENT ADMINISTRATION

The Omnibus Housing Act of 1983 mandated the consolidation of all rent regulation under the Division of Housing and Community Renewal in order to ensure that the State's rent laws are administered in a manner that recognizes the concerns of both landlords and tenants. In 1999, the Division's Office of Rent Administration was selected as a Work Force Champion Team for its efforts in making the Rent Administration Program more

HOUSING AND COMMUNITY RENEWAL

responsive to its customers. Overall, by continuing to streamline and improve operations, the State has reduced the pending non-cyclical rent caseload from 72,400 to 13,000 — an 82 percent reduction in pending cases since January 1995.

HOUSING CAPITAL PROGRAMS

There are two primary low- and moderate-income housing construction programs supported by State appropriations: the Housing Trust Fund Program and the Affordable Housing Corporation Program. This Budget includes a \$25 million appropriation and \$125 million in reappropriations for the Housing Trust Fund Program, which provides grants to finance construction or rehabilitation of low-income apartment buildings. The Affordable Housing Corporation will receive \$25 million in new funds and \$61 million in reappropriations to stimulate local economic growth and stabilize distressed communities across the State by providing grants of up to \$25,000 to first-time low- and moderate-income home buyers. At these funding levels, the two programs will be able to construct approximately 1,700 new housing units in the upcoming State fiscal year.

To support municipal housing authorities, this Budget also recommends that \$12.8 million in new funding and \$77 million in reappropriations be provided to continue repairs and renovations to the State's existing public housing stock. Finally, this Budget recommends the reauthorization of on-going funding from prior years for a number of programs, including: the Permanent Housing for Homeless Families Program, the Housing Project Repair Program and the Federal National Affordable Housing Act Program.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2000-01	Appropriations Recommended 2001-02	Change	Reappropriations Recommended 2001-02
State Operations	82,775,000	87,819,200	5,044,200	6,950,000
Aid To Localities	161,982,149	152,983,000	(8,999,149)	213,213,000
Capital Projects	121,200,000	100,200,000	(21,000,000)	513,375,000
Total	<u>365,957,149</u>	<u>341,002,200</u>	<u>(24,954,949)</u>	<u>733,538,000</u>

HOUSING AND COMMUNITY RENEWAL

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)			
Program	2000-01 Estimated FTEs 03/31/01	2001-02 Estimated FTEs 03/31/02	FTE Change
Administration			
General Fund	83	82	(1)
Community Development			
General Fund	68	67	(1)
Special Revenue Funds - Federal	48	48	0
Special Revenue Funds - Other	3	3	0
Housing			
General Fund	68	67	(1)
Special Revenue Funds - Federal	33	37	4
Special Revenue Funds - Other	74	83	9
Housing Information Systems			
General Fund	72	72	0
Lead-Based Paint Abatement Program			
Special Revenue Funds - Federal	2	2	0
New Facilities			
Capital Projects Funds - Federal	42	42	0
Rent Administration			
General Fund	130	130	0
Special Revenue Funds - Other	440	440	0
Total	<u>1,063</u>	<u>1,073</u>	<u>10</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2000-01	Recommended 2001-02	Change
General Fund	30,422,000	33,196,200	2,774,200
Special Revenue Funds - Federal	7,642,000	8,696,500	1,054,500
Special Revenue Funds - Other	44,711,000	45,926,500	1,215,500
Total	<u>82,775,000</u>	<u>87,819,200</u>	<u>5,044,200</u>
Adjustments:			
Transfer(s) From			
Special Pay Bill			
General Fund	(2,083,000)		
Special Revenue Funds - Federal	(342,000)		
Special Revenue Funds - Other	(2,657,000)		
Appropriated 2000-01	<u>77,693,000</u>		

HOUSING AND COMMUNITY RENEWAL

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Administration			
General Fund	11,494,000	11,801,000	307,000
Community Development			
General Fund	4,124,000	4,626,000	502,000
Special Revenue Funds - Federal	2,971,000	3,153,600	182,600
Special Revenue Funds - Other	1,458,158	1,470,100	11,942
Housing			
General Fund	3,577,000	3,614,000	37,000
Special Revenue Funds - Federal	4,671,000	5,542,900	871,900
Special Revenue Funds - Other	6,465,584	6,788,000	322,416
Housing Information Systems			
General Fund	6,561,000	8,440,300	1,879,300
Rent Administration			
General Fund	4,666,000	4,714,900	48,900
Special Revenue Funds - Other	34,837,258	35,568,400	731,142
Small Cities Community Development			
Block Grant			
Special Revenue Funds - Other	1,950,000	2,100,000	150,000
Total	<u>82,775,000</u>	<u>87,819,200</u>	<u>5,044,200</u>

STATE OPERATIONS - GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

<u>Program</u>	<u>Total Personal Service</u>		<u>Personal Service Regular (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	4,828,000	113,000	4,828,000	113,000
Community Development	4,027,000	101,000	4,001,000	101,000
Housing	3,464,000	34,000	3,457,000	34,000
Housing Information Systems	4,000,300	35,300	3,983,300	35,300
Rent Administration	28,803,000	1,937,000	28,803,000	1,937,000
Total	<u>45,122,300</u>	<u>2,220,300</u>	<u>45,072,300</u>	<u>2,220,300</u>

<u>Program</u>	<u>Temporary Service (Nonannual Salaried)</u>		<u>Holiday/Overtime Pay (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	0	0	0	0
Community Development	20,000	0	6,000	0
Housing	0	0	7,000	0
Housing Information Systems	0	0	17,000	0
Rent Administration	0	0	0	0
Total	<u>20,000</u>	<u>0</u>	<u>30,000</u>	<u>0</u>

HOUSING AND COMMUNITY RENEWAL

STATE OPERATIONS - GENERAL AND OFFSET FUNDS SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

Program	Total Nonpersonal Service		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	6,973,000	194,000	206,000	0
Community Development	599,000	401,000	30,000	0
Housing	150,000	3,000	8,100	0
Housing Information Systems	4,440,000	1,844,000	164,000	0
Rent Administration	11,480,300	1,080,300	0	0
Total	<u>23,642,300</u>	<u>3,522,300</u>	<u>408,100</u>	<u>0</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	110,000	0	6,647,000	194,000
Community Development	135,000	0	34,000	1,000
Housing	41,000	0	100,900	3,000
Housing Information Systems	26,000	0	4,229,000	1,844,000
Total	<u>312,000</u>	<u>0</u>	<u>11,010,900</u>	<u>2,042,000</u>

Program	Equipment		General State Charges	
	Amount	Change	Amount	Change
Administration	10,000	0	0	0
Housing Information Systems	21,000	0	0	0
Rent Administration	0	0	8,572,800	1,072,800
Total	<u>31,000</u>	<u>0</u>	<u>8,572,800</u>	<u>1,072,800</u>

Program	Maintenance Undistributed	
	Amount	Change
Community Development	400,000	400,000
Rent Administration	2,907,500	7,500
Total	<u>3,307,500</u>	<u>407,500</u>

STATE OPERATIONS - OTHER THAN GENERAL FUND AND OFFSET FUNDS SUMMARY OF APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Community Development	4,623,700	194,542	2,435,300	141,842
Housing	12,330,900	1,194,316	7,211,500	558,216
Rent Administration	34,918,400	724,642	23,846,000	253,242
Small Cities Community Development Block Grant	2,100,000	150,000	2,100,000	150,000
Total	<u>53,973,000</u>	<u>2,263,500</u>	<u>35,592,800</u>	<u>1,103,300</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Community Development	1,188,400	52,700	1,000,000	0
Housing	3,619,400	636,100	1,500,000	0
Rent Administration	11,072,400	471,400	0	0
Total	<u>15,880,200</u>	<u>1,160,200</u>	<u>2,500,000</u>	<u>0</u>

HOUSING AND COMMUNITY RENEWAL

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	70,457,149	59,533,000	(10,924,149)
Special Revenue Funds - Federal	81,525,000	83,450,000	1,925,000
Fiduciary Funds	10,000,000	10,000,000	0
Total	<u>161,982,149</u>	<u>152,983,000</u>	<u>(8,999,149)</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Housing Development Fund Program			
Fiduciary Funds	10,000,000	10,000,000	0
HUD Section 8 New Construction			
Special Revenue Funds - Federal	13,100,000	13,100,000	0
Low Income Weatherization			
Special Revenue Funds - Federal	10,425,000	12,350,000	1,925,000
Neighborhood Preservation			
General Fund	13,650,000	11,750,000	(1,900,000)
Periodic Subsidies - Local Areas			
General Fund	23,286,000	21,992,000	(1,294,000)
Public Housing Drug Elimination Program			
General Fund	450,000	0	(450,000)
Rural Homeownership Assistance Program			
General Fund	341,000	0	(341,000)
Rural Community Revitalization Program			
General Fund	495,000	0	(495,000)
Rural Preservation			
General Fund	5,589,000	4,860,000	(729,000)
Rural Rental Assistance			
General Fund	20,300,000	20,604,000	304,000
Small Cities Community Development			
Block Grant			
Special Revenue Funds - Federal	58,000,000	58,000,000	0
Urban Homeownership Assistance Program			
General Fund	455,000	0	(455,000)
Urban Renewal Periodic Subsidies			
General Fund	1,433,000	327,000	(1,106,000)
Community Projects			
General Fund	4,458,149	0	(4,458,149)
Total	<u>161,982,149</u>	<u>152,983,000</u>	<u>(8,999,149)</u>

HOUSING AND COMMUNITY RENEWAL

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Comprehensive Construction Program	Available 2000-01	Recommended 2001-02	Change	Reappropriations 2001-02
Affordable Housing Corporation				
Housing Program Fund	28,500,000	25,000,000	(3,500,000)	61,400,000
Housing Assistance Fund				
Housing Assistance Fund	0	0	0	11,333,000
Low Income Housing Trust Fund				
Housing Program Fund	29,000,000	25,000,000	(4,000,000)	124,725,000
Maintenance and Improvements of Existing Facilities				
Housing Program Fund	0	0	0	14,849,000
Housing Opportunity Program For Elderly				
Housing Program Fund	2,400,000	400,000	(2,000,000)	2,400,000
Housing Program Capital Improvement				
Capital Projects Fund	0	0	0	19,720,000
State Housing Bond Fund				
State Housing Bond Fund	0	0	0	7,344,000
New Facilities				
Capital Projects Fund	0	0	0	1,225,000
Federal Capital Projects Fund	0	0	0	40,687,000
Public Housing Modernization Program				
Housing Program Fund	14,300,000	12,800,000	(1,500,000)	76,900,000
Supported Housing Program				
Housing Program Fund	40,000,000	30,000,000	(10,000,000)	145,792,000
Homes for Working Families Program				
Housing Program Fund	7,000,000	7,000,000	0	7,000,000
Total	<u>121,200,000</u>	<u>100,200,000</u>	<u>(21,000,000)</u>	<u>513,375,000</u>

HOUSING FINANCE AGENCY

MISSION

The New York State Housing Finance Agency is a public benefit corporation created in 1960 to finance low- and moderate-income rental housing. The Agency issues taxable and tax-exempt bonds to provide mortgage loans to developers of mixed-income and affordable rental projects.

The Housing Finance Agency also plays a role in administering several housing programs supported by State and Federal appropriations. In 1990, the Agency's mission was expanded to include the issuance of bonds to reimburse the State for appropriated expenditures under the State's housing programs.

ORGANIZATION AND STAFFING

The Housing Finance Agency is governed by a Board of Directors consisting of seven members: the Commissioner of Housing and Community Renewal, the Director of the Budget, the Commissioner of Taxation and Finance and four members nominated by the Governor with the consent of the Senate. The Governor designates a Chairperson.

The Agency headquarters is located in New York City and is managed by a President/Chief Executive Officer, who is appointed by the Board of Directors. Staff is organized into five departments: the President's Office, Multi-Family Finance, Debt Issuance, Finance and Operations and Legal Services.

The Housing Finance Agency is operated and administered jointly with the State of New York Mortgage Agency, but the two agencies are governed by separate Boards of Directors.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Agency receives no direct operating support from the State. Its operating budget is funded with fees and revenues the Agency generates through its financing activities.

It is projected that from 1995 through 2000, the Housing Finance Agency will have provided over \$1.5 billion in loans for multi-family rental housing.

A 2001-02 General Fund Aid to Localities appropriation of \$700,000 supports the Capital Grant/Low Rent Lease Subsidy program, providing rental subsidies for approximately 230 low- to moderate-income individuals.

PROGRAM HIGHLIGHTS

Since its inception in 1960, the Agency has provided financing of over \$4 billion for over 88,000 units of multi-family housing. Between January 1, 1995 and the close of the Agency's fiscal year on October 31, 2000, the Agency provided \$1.59 billion in mortgage loans that created rental housing for nearly 9,000 families. During the Agency's 1999-2000 fiscal year, over 2,300 units were financed with mortgage loans totaling over \$560 million.

HOUSING FINANCE

ALL FUNDS APPROPRIATIONS (dollars)

<u>Category</u>	<u>Available 2000-01</u>	<u>Appropriations Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations Recommended 2001-02</u>
State Operations	0	0	0	0
Aid To Localities	1,700,000	700,000	(1,000,000)	0
Capital Projects	0	0	0	0
Total	<u>1,700,000</u>	<u>700,000</u>	<u>(1,000,000)</u>	<u>0</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	1,700,000	700,000	(1,000,000)
Total	<u>1,700,000</u>	<u>700,000</u>	<u>(1,000,000)</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Capital Grant/Low Rent Lease			
General Fund	700,000	700,000	0
Small Owners Assistance Program			
General Fund	1,000,000	0	(1,000,000)
Total	<u>1,700,000</u>	<u>700,000</u>	<u>(1,000,000)</u>

STATE OF NEW YORK MORTGAGE AGENCY

MISSION

The State of New York Mortgage Agency is a public benefit corporation created in 1970 to increase the affordability of homeownership for low- to moderate-income residents of New York State. This is accomplished by the Agency's issuance of taxable and tax-exempt bonds and the use of proceeds to purchase low-interest rate mortgage loans. In 1978, the Agency's mission was expanded to include the issuance of mortgage insurance to promote the stabilization of neighborhoods throughout the State.

ORGANIZATION AND STAFFING

The Agency is overseen by a nine-member Board of Directors comprised of the Superintendent of Banks, the State Comptroller, the Director of the Budget, the Commissioner of Housing and Community Renewal and appointees of the Governor, the Temporary President of the Senate and the Speaker of the Assembly. Responsibility for operation of the Agency rests with the President/Chief Executive Officer, who also serves in this capacity for the Housing Finance Agency — the State's other major housing finance entity. The Agency is operated jointly with the Housing Finance Agency out of its central headquarters in New York City and from regional offices in Albany and Buffalo.

The State of New York Mortgage Agency has two program divisions. Its Single Family Mortgage Finance Division provides low-interest rate mortgages to low- and moderate-income first-time homebuyers (and for other eligible homebuyers in designated target areas) through the issuance of mortgage revenue bonds. The Agency uses a network of banking institutions to originate mortgages on its behalf. The Mortgage Insurance Division provides insurance on mortgage loans for residential, mixed residential, commercial and community service-related properties throughout the State. This insurance is supported by the Mortgage Insurance Fund, which is funded by a surcharge on the Mortgage Recording Tax.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Agency receives no direct operating support from the State. Statute requires the State to guarantee certain obligations of the Agency. The Executive Budget recommends approximately \$170 million in appropriations in 2001-02 to satisfy this requirement, although no cash disbursements are projected to be made from this appropriation. All State of New York Mortgage Agency programs and operations are supported by Agency funds, consisting of mortgage income, application fees, insurance premiums and investment proceeds.

PROGRAM HIGHLIGHTS

Since its inception in 1970, the Agency's Single Family Division has provided more than \$7.1 billion of affordable financing for over 121,000 homes in New York. Between January 1, 1995 and the close of the Agency's fiscal year on October 31, 2000, the Agency purchased \$2.6 billion in single-family mortgages — helping more than 29,000 New Yorkers buy their first homes. During the Agency's 1999-2000 fiscal year, nearly 4,500 loans were financed for approximately \$400 million in mortgage loan volume.

The Mortgage Insurance Fund's portfolio of insured mortgages exceeds \$1.5 billion. In 1999-2000, mortgage insurance provided by the Agency totaled more than \$252 million.

MORTGAGE AGENCY

ALL FUNDS APPROPRIATIONS (dollars)

<u>Category</u>	<u>Available 2000-01</u>	<u>Appropriations Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations Recommended 2001-02</u>
State Operations	76,800,000	76,800,000	0	0
Aid To Localities	95,981,000	93,791,100	(2,189,900)	0
Capital Projects	0	0	0	0
Total	<u>172,781,000</u>	<u>170,591,100</u>	<u>(2,189,900)</u>	<u>0</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	76,800,000	76,800,000	0
Total	<u>76,800,000</u>	<u>76,800,000</u>	<u>0</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Infrastructure Trust Fund Guarantee - SONYMA Homeowners Mortgage Revenues			
General Fund	61,800,000	61,800,000	0
SONYMA Mortgage Insurance Fund Restoration			
General Fund	15,000,000	15,000,000	0
Total	<u>76,800,000</u>	<u>76,800,000</u>	<u>0</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

<u>Program</u>	<u>Total Nonpersonal Service</u>		<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Infrastructure Trust Fund Guarantee - SONYMA Homeowners Mortgage Revenues	61,800,000	0	61,800,000	0
SONYMA Mortgage Insurance Fund Restoration	15,000,000	0	15,000,000	0
Total	<u>76,800,000</u>	<u>0</u>	<u>76,800,000</u>	<u>0</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	95,981,000	93,791,100	(2,189,900)
Total	<u>95,981,000</u>	<u>93,791,100</u>	<u>(2,189,900)</u>

MORTGAGE AGENCY

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
SONYMA Mortgage Insurance Fund			
Restoration			
General Fund	95,981,000	93,791,100	(2,189,900)
Total	<u>95,981,000</u>	<u>93,791,100</u>	<u>(2,189,900)</u>

DIVISION OF THE LOTTERY

MISSION

In 1966, New Yorkers approved a constitutional amendment to authorize a State Lottery in support of education. The Division of the Lottery raises revenue through the sale and marketing of Lottery game tickets.

ORGANIZATION AND STAFFING

The Division of the Lottery is an independent unit of the Department of Taxation and Finance whose Commissioner appoints the Director of the Lottery. The Division maintains a central office in Schenectady, regional offices in Buffalo, Schenectady, Syracuse, New York City and Long Island, and a satellite office in Fishkill to serve the Hudson Valley. District sales representatives are stationed in the regional offices, and recruit and support point-of-sale agents, which include convenience stores, newsstands, supermarkets, restaurants and bowling alleys.

In 2001-02, Lottery will have a workforce of 358. District sales representatives reflect approximately 35 percent of this total.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

Lottery games have raised \$18 billion in revenues for education since the Lottery's inception in 1967. In 2000-01, the Division estimates gross sales of \$4.1 billion.

The Division's costs are supported entirely with Lottery sales revenues. The 2001-02 Executive Budget recommends \$115.4 million to support Lottery operations. By law, no more than 15 percent of gross Lottery sales may be used for administration, including sales agents' commissions which total 6 percent of gross sales. Historically, the Lottery Division's total administrative costs have been well below the statutory limit.

PROGRAM HIGHLIGHTS

Lottery games sold on the Division's on-line computer system include: Lotto, Pick 10, New York Numbers, Win 4, and Take Five. These games can be played from approximately 14,300 locations across the State and offer players the opportunity to match their "picks" to televised drawings that take place nightly or twice weekly, depending on the game. Winning tickets up to \$600 can be validated and redeemed by customers at any location selling Lottery products.

The Lottery Division's Instant cash games have contributed significantly to the growth of Lottery revenues. The Division will market up to 32 new Instant Cash games during 2001-02.

Quick Draw, first introduced in 1995, is an electronic version of Pick 10, with winning numbers drawn by computer every five minutes, from 10 a.m. to 3 p.m. and 4 p.m. to 12 a.m. every day. Quick Draw is displayed at approximately 3,100 authorized establishments statewide, such as restaurants and bowling alleys.

In addition, in 2001-02, the Lottery Division will implement a new Retailer Sales Management Plan. This initiative, which includes the addition of 26 new Marketing Representative positions, will allow the Division to take a more active role in helping retailers market Lottery products. With the addition of these new positions, the Division will be able to increase retailer visits from the current range of two weeks to three months, to once every two weeks. This increased marketing effort, coupled with a new instant consignment program — which will allow retailers to pay only for inventory used — is designed to encourage all retailers to carry more products and increase lottery sales.

LOTTERY

ALL FUNDS APPROPRIATIONS (dollars)

<u>Category</u>	<u>Available 2000-01</u>	<u>Appropriations Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations Recommended 2001-02</u>
State Operations	112,284,400	115,418,000	3,133,600	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	<u>112,284,400</u>	<u>115,418,000</u>	<u>3,133,600</u>	<u>0</u>

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

<u>Program</u>	<u>2000-01 Estimated FTEs 03/31/01</u>	<u>2001-02 Estimated FTEs 03/31/02</u>	<u>FTE Change</u>
Administration of the Lottery			
Special Revenue Funds - Other	332	358	26
Total	<u>332</u>	<u>358</u>	<u>26</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Special Revenue Funds - Other	112,284,400	115,418,000	3,133,600
Total	<u>112,284,400</u>	<u>115,418,000</u>	<u>3,133,600</u>
Adjustments:			
Transfer(s) From			
Special Pay Bill			
Special Revenue Funds - Other	(1,667,000)		
Appropriated 2000-01	<u>110,617,400</u>		

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Administration of the Lottery			
Special Revenue Funds - Other	112,284,400	115,418,000	3,133,600
Total	<u>112,284,400</u>	<u>115,418,000</u>	<u>3,133,600</u>

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration of the Lottery	115,418,000	3,133,600	16,900,500	1,475,000
Total	115,418,000	3,133,600	16,900,500	1,475,000

Program	Nonpersonal Service	
	Amount	Change
Administration of the Lottery	98,517,500	1,658,600
Total	98,517,500	1,658,600

METROPOLITAN TRANSPORTATION AUTHORITY

MISSION

The Metropolitan Transportation Authority is responsible for operating, maintaining and improving public transportation in the Metropolitan Commuter Transportation District consisting of New York City and Dutchess, Nassau, Orange, Putnam, Rockland, Suffolk and Westchester counties.

The Authority oversees the operations of the bus and subway systems in New York City, commuter railroads in the region, and seven bridges and two tunnels in New York City. This oversight includes general policy direction and development of operating and capital programs.

The Authority is comprised of three independent entities: The Metropolitan Transportation Authority, MTA New York City Transit and MTA Bridges and Tunnels. The Metropolitan Transportation Authority has four subsidiaries: MTA Staten Island Rapid Transit, MTA Long Island Rail Road, MTA Long Island Bus and MTA Metro North Railroad. MTA New York City Transit, which operates the New York City subway and bus systems, has one subsidiary, MTA Manhattan and Bronx Surface Transit.

ORGANIZATION AND STAFFING

Each of the three independent entities mentioned above is governed by its own Board, although by law membership on each Board is identical. There are 19 board members, 17 voting and 2 non-voting, each nominated by the Governor and confirmed by the Senate. Four members are nominated from a list provided by the Mayor of the City of New York and one each from lists prepared by the seven county executives in the Metropolitan Commuter Transportation District. The remaining six voting members are appointed directly by the Governor, with one serving as Board Chair.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The appropriation below represents only a portion of the more than \$1.4 billion subsidy provided by the State to the Metropolitan Transportation Authority each year, with the additional aid appropriated in the Department of Transportation's Aid to Localities budget.

PROGRAM HIGHLIGHTS

MTA NEW YORK CITY TRANSIT AND MTA COMMUTER RAILROADS

The Metropolitan Transportation Authority provides 24 hour-a-day transit and commuter services in the New York City metropolitan region. Its subway system is the largest in the nation and one of the largest in the world. MTA New York City Transit operates approximately 230 local and express bus routes throughout New York City. Complementing this service are additional bus routes in Nassau County provided by MTA Long Island Bus. MTA Long Island Rail Road and MTA Metro North Railroad, the two largest commuter rail systems in the nation, provides transportation for travelers entering New York City from outlying suburban areas in New York State and Connecticut. Approximately 2.3 billion passengers ride the subways, buses and commuter rail systems each year.

METROPOLITAN TRANSPORTATION

MTA BRIDGES AND TUNNELS

MTA Bridges and Tunnels, the largest toll system in the Nation, operates nine intra-city bridges and tunnels in New York City: Triborough Bridge, Bronx-Whitestone Bridge, Henry Hudson Bridge, Marine Parkway-Gil Hodges Bridge, Cross Bay Veterans' Memorial Bridge, Throgs Neck Bridge, Verrazano-Narrows Bridge, Queens-Midtown Tunnel and Brooklyn Battery Tunnel. It also provides financing for MTA New York City Transit's and the commuter railroads' capital programs. In addition, MTA Bridges and Tunnels is required by law to transfer surplus revenues to MTA New York City Transit and the commuter railroads to support their operations.

OTHER SUBSIDIARIES

The other subsidiaries — MTA Staten Island Rapid Transit, MTA Long Island Bus, and MTA Manhattan and Bronx Surface Transit — provide regional transportation services. MTA Staten Island Rapid Transit operates transit services on Staten Island. MTA Manhattan and Bronx Surface Transit, in conjunction with MTA New York City Transit, provides bus service within New York City, primarily to passengers traveling within a particular borough or as feeder service to the subway.

MTA Long Island Bus provides bus service to Nassau County, western Suffolk County and eastern Queens County, connecting these areas to MTA New York City Transit's subway stations. The Metropolitan Transportation Authority is responsible for the operation and general oversight of MTA Long Island Bus. Nassau County is financially responsible for MTA Long Island Bus' operating costs that are over and above those supported by fares and by Federal and State assistance.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2000-01	Appropriations Recommended 2001-02	Change	Reappropriations Recommended 2001-02
State Operations	0	0	0	0
Aid To Localities	391,397,000	437,600,000	46,203,000	0
Capital Projects	320,000,000	0	(320,000,000)	36,000,000
Total	<u>711,397,000</u>	<u>437,600,000</u>	<u>(273,797,000)</u>	<u>36,000,000</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2000-01	Recommended 2001-02	Change
Special Revenue Funds - Other	391,397,000	437,600,000	46,203,000
Total	<u>391,397,000</u>	<u>437,600,000</u>	<u>46,203,000</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2000-01	Recommended 2001-02	Change
Dedicated Tax			
Special Revenue Funds - Other	391,397,000	437,600,000	46,203,000
Total	<u>391,397,000</u>	<u>437,600,000</u>	<u>46,203,000</u>

METROPOLITAN TRANSPORTATION

**CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

<u>Comprehensive Construction Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations 2001-02</u>
Mass Transportation and Rail Freight Metropolitan Transportation Authority Capital Projects Fund - Advances	0	0	0	36,000,000
Transportation 2000 Bondable Urban and Commuter Mass Transportation Bondable Capital Projects Fund-Transportation 2000 (Bondable)	<u>320,000,000</u>	<u>0</u>	<u>(320,000,000)</u>	<u>0</u>
Total	<u>320,000,000</u>	<u>0</u>	<u>(320,000,000)</u>	<u>36,000,000</u>

DEPARTMENT OF MOTOR VEHICLES

MISSION

The New York State Department of Motor Vehicles (DMV) promotes traffic safety, provides consumer protection and information services, and assists other government agencies achieve their missions. DMV collects fees and generates non-tax revenues to support these objectives.

ORGANIZATION AND STAFFING

Under the direction of the Commissioner, the Department operates from its main office in Albany (Empire State Plaza) and from three regional headquarters in Albany, Long Island and New York City. The Department also operates 31 district and branch offices which issue licenses and registrations. In addition, County Clerk offices act as DMV agents at 105 locations throughout the State.

The Department of Motor Vehicles will have a workforce of 3,384 for 2001-02 most of whom license drivers and register motor vehicles. Approximately 75 percent of these positions are supported by State tax dollars and 24 percent are financed by fees, including a portion of traffic violation fines in certain localities. The remaining one percent are funded with Federal grants.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Executive Budget recommends approximately \$128 million in State tax dollars in 2001-02 to support 58 percent of the Department's operating budget. The balance is financed by Federal funds and various fees and fines. These recommendations continue efforts to enhance customer service and driver safety, and support a variety of technology initiatives.

In 2000, the license renewal term for most motorists was expanded from five years to eight years. This Executive Budget would similarly extend the renewal term for the remaining 1.3 million drivers who hold commercial licenses.

In early 2001, the Department will begin to reissue the newly designed Empire State License Plate to the more than nine million registered vehicles. In conjunction with the license plate reissuance, the Executive Budget includes a recommendation to authorize a new series of custom license plates including more contemporary designs for the State's regional custom plate series. New plates were last issued in 1986 and many are no longer legible. In addition to the benefit of replacing illegible plates, this initiative will help law enforcement identify fraudulent plates and uninsured drivers.

PROGRAM HIGHLIGHTS

CUSTOMER SERVICE

DMV issues driver's licenses and vehicle registrations, collects approximately \$955 million in revenue for the State and localities, monitors driver training and enforces the directives of local magistrates and departmental referees. State offices are also responsible for conducting road tests and maintaining enforcement sections that issue conditional and restricted use licenses and handle other suspension and revocation activities. The Department of Motor Vehicles served more than 20 million customers last year.

The Department also adjudicates traffic violations at 11 locations in New York City, and several other jurisdictions across the State. This allows local judges and criminal

MOTOR VEHICLES

courts to dedicate their activities to criminal matters. This program is funded entirely by fines collected from violators. After State operating expenses are deducted, remaining funds are returned to the jurisdictions where the violations occurred.

Last year, DMV began accepting credit cards at all of its issuing offices. This change greatly enhances the Department's ability to provide services over the telephone and through its Internet website — which now receives more than one million visitors each year. As such, in 2001-02, DMV will expand opportunities for customers to utilize the telephone and Internet for services that previously required in-person office visits, such as registration renewals and scheduling road tests.

VEHICLE AND DRIVER SAFETY

Governor Pataki's highway safety policies have resulted in reductions in fatalities and serious injuries on our roads. Contributing to this success have been enforcement and educational efforts by State, local and non-profit agencies, including a statewide crackdown to stop those who drink and drive or engage in aggressive driving on our highways, statewide initiatives to promote proper child safety seat usage, as well as the statewide Buckle Up NY enforcement campaign which has increased seatbelt usage to over 76 percent. These efforts have resulted in New York State's roadways being some of the safest in the nation.

DMV vehicle safety activities include: licensing and monitoring safety and emissions inspection stations; registering auto repair shops, dealers, transporters and dismantlers; and certifying vehicle inspectors, junk and salvage businesses and automotive body damage estimators.

Driver safety initiatives include implementation and oversight of educational or rehabilitative programs for motorists convicted of alcohol or drug related driving offenses, the point insurance reduction program and pre-licensing courses. DMV also licenses and monitors driving schools and instructors.

FIELD INVESTIGATION AND AUDIT

One of the Department's major functions is to issue credentials which establish the identity and license status of drivers, the ownership of vehicles and boats, and the authenticity of auto-related businesses. External investigation activities concern stolen automobiles, fraudulent identity and motor vehicle documents and complaints regarding unlicensed and suspended drivers. In addition, the 2001-02 Executive Budget will enable DMV to enhance its efforts to prevent odometer fraud.

ALL FUNDS APPROPRIATIONS (dollars)				
Category	Available 2000-01	Appropriations Recommended 2001-02	Change	Reappropriations Recommended 2001-02
State Operations	213,913,600	220,219,100	6,305,500	15,105,500
Aid To Localities	12,800,000	10,920,000	(1,880,000)	10,400,000
Capital Projects	0	0	0	0
Total	<u>226,713,600</u>	<u>231,139,100</u>	<u>4,425,500</u>	<u>25,505,500</u>

MOTOR VEHICLES

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)			
Program	2000-01 Estimated FTEs 03/31/01	2001-02 Estimated FTEs 03/31/02	FTE Change
Administration			
General Fund	272	272	0
Administrative Adjudication			
Special Revenue Funds - Other	460	462	2
Clean Air			
Special Revenue Funds - Other	159	159	0
Compulsory Insurance			
Special Revenue Funds - Other	190	204	14
Governor's Traffic Safety Committee			
Special Revenue Funds - Federal	27	27	0
Operations			
General Fund	1,620	1,615	(5)
Transportation Safety			
General Fund	666	645	(21)
Total	3,394	3,384	(10)

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2000-01	Recommended 2001-02	Change
General Fund	124,752,500	127,942,400	3,189,900
Special Revenue Funds - Federal	9,941,400	7,967,300	(1,974,100)
Special Revenue Funds - Other	77,719,700	82,809,400	5,089,700
Internal Service Funds	1,500,000	1,500,000	0
Total	213,913,600	220,219,100	6,305,500
Adjustments:			
Transfer(s) From			
Special Pay Bill			
General Fund	(7,996,000)		
Special Revenue Funds - Federal	(78,000)		
Special Revenue Funds - Other	(3,304,000)		
Appropriated 2000-01	202,535,600		

MOTOR VEHICLES

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Administration			
General Fund	32,593,100	32,873,000	279,900
Special Revenue Funds - Other	775,000	775,000	0
Administrative Adjudication			
Special Revenue Funds - Other	30,706,900	33,095,600	2,388,700
Clean Air			
Special Revenue Funds - Other	10,363,700	10,476,200	112,500
Compulsory Insurance			
Special Revenue Funds - Other	13,689,100	16,277,600	2,588,500
Governor's Traffic Safety Committee			
Special Revenue Funds - Federal	9,941,400	7,967,300	(1,974,100)
Operations			
General Fund	80,274,500	83,719,400	3,444,900
Internal Service Funds	1,500,000	1,500,000	0
Transportation Safety			
General Fund	11,884,900	11,350,000	(534,900)
Special Revenue Funds - Other	<u>22,185,000</u>	<u>22,185,000</u>	<u>0</u>
Total	<u>213,913,600</u>	<u>220,219,100</u>	<u>6,305,500</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

<u>Program</u>	<u>Total Personal Service</u>		<u>Personal Service Regular (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	13,480,300	279,900	12,919,200	279,900
Operations	55,324,400	1,136,900	54,582,400	1,136,900
Transportation Safety	<u>25,181,000</u>	<u>(534,900)</u>	<u>24,812,100</u>	<u>(534,900)</u>
Total	<u>93,985,700</u>	<u>881,900</u>	<u>92,313,700</u>	<u>881,900</u>
<u>Program</u>	<u>Temporary Service (Nonannual Salaried)</u>		<u>Holiday/Overtime Pay (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	124,100	0	437,000	0
Operations	193,000	0	549,000	0
Transportation Safety	<u>39,500</u>	<u>0</u>	<u>329,400</u>	<u>0</u>
Total	<u>356,600</u>	<u>0</u>	<u>1,315,400</u>	<u>0</u>

MOTOR VEHICLES

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

Program	Total Nonpersonal Service		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	19,392,700	0	306,000	0
Operations	28,395,000	2,308,000	488,000	0
Transportation Safety	(13,831,000)	0	910,000	0
Total	<u>33,956,700</u>	<u>2,308,000</u>	<u>1,704,000</u>	<u>0</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	119,000	0	18,740,700	0
Operations	25,000	0	27,477,000	2,308,000
Transportation Safety	1,041,000	0	5,379,000	0
Total	<u>1,185,000</u>	<u>0</u>	<u>51,596,700</u>	<u>2,308,000</u>

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	227,000	0	0	0
Operations	405,000	0	0	0
Transportation Safety	39,000	0	(21,200,000)	0
Total	<u>671,000</u>	<u>0</u>	<u>(21,200,000)</u>	<u>0</u>

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	775,000	0	0	0
Administrative Adjudication	33,095,600	2,388,700	17,744,000	500,600
Clean Air	10,476,200	112,500	6,278,500	75,000
Compulsory Insurance	16,277,600	2,588,500	7,177,000	928,100
Governor's Traffic Safety Committee	7,967,300	(1,974,100)	450,000	(19,000)
Operations	1,500,000	0	0	0
Transportation Safety	22,185,000	0	0	0
Total	<u>92,276,700</u>	<u>3,115,600</u>	<u>31,649,500</u>	<u>1,484,700</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	775,000	0	0	0
Administrative Adjudication	15,351,600	1,888,100	0	0
Clean Air	4,197,700	37,500	0	0
Compulsory Insurance	9,100,600	1,660,400	0	0
Governor's Traffic Safety Committee	217,300	(5,100)	7,300,000	(1,950,000)
Operations	0	0	1,500,000	0
Transportation Safety	985,000	0	21,200,000	0
Total	<u>30,627,200</u>	<u>3,580,900</u>	<u>30,000,000</u>	<u>(1,950,000)</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2000-01	Recommended 2001-02	Change
Special Revenue Funds - Federal	12,800,000	10,920,000	(1,880,000)
Total	<u>12,800,000</u>	<u>10,920,000</u>	<u>(1,880,000)</u>

MOTOR VEHICLES

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Governor's Traffic Safety Committee			
Special Revenue Funds - Federal	<u>12,800,000</u>	<u>10,920,000</u>	<u>(1,880,000)</u>
Total	<u>12,800,000</u>	<u>10,920,000</u>	<u>(1,880,000)</u>

OLYMPIC REGIONAL DEVELOPMENT AUTHORITY

MISSION

In 1980, Lake Placid and the surrounding areas were host to the Winter Olympic Games. The following year, the Olympic Regional Development Authority was established to create and administer a post-Olympic program for the Lake Placid facilities. These facilities include: the Olympic Ice Center; the Olympic Speedskating Oval; the Whiteface Mountain Ski Area and Veterans' Memorial Highway; the Mt. Van Hoevenberg Complex that includes bobsled and luge runs, cross-country ski trails and a biathlon range; the Olympic Ski Jumping Complex; and the U.S. Olympic Training Center. In 1984, the Olympic Regional Development Authority's responsibility expanded to include the management of the Gore Mountain Ski Center in North Creek, Warren County.

ORGANIZATION AND STAFFING

- The Authority is governed by a ten-member Board of Directors, consisting of the commissioners of Economic Development, Environmental Conservation, Parks, and seven other members appointed by the Governor and confirmed by the Senate. The Governor selects one of the members as Chair. The Commissioner of Economic Development currently chairs the Authority. Board members serve without compensation.
- The agency has a workforce of 172 and employs up to 800 hourly workers, depending on the season.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

In 2001-02, State tax dollars from the General Fund will finance 33.5 percent, or \$8 million, of the Authority's \$23.9 million operating budget. State funding supplements \$14.5 million in revenue generated from venue marketing, fees and ticket sales to athletic and other special events; \$600,000 from the Town of North Elba; \$400,000 from the United States Olympic Committee to cover the costs associated with use of Authority facilities; \$200,000 from the Winter Sports Education Trust Fund; and \$150,000 from the Olympic Training Center Account.

PROGRAM HIGHLIGHTS

OLYMPIC FACILITIES

The Olympic Regional Development Authority manages one of three primary sites (the others being in California and Colorado) for year-round training of America's Olympic athletes. In 1999-2000, the Olympic facilities at Lake Placid received more than 478,000 visitors. The Authority hosts numerous national and international athletic and entertainment events — in 1999-2000, the first-ever Winter Goodwill Games, the ECAC Hockey Championships, the Empire State Winter Games, the World Cup Biathlon Competition, the U.S. Ski Jumping 120 and 90 Meter Championships and the first-ever ESPN Great Outdoor Games were held at Authority sites. In addition, the Authority has been the home of the Stars on Ice figure skating rehearsal and preview show since 1992.

OLYMPIC REGIONAL DEVELOPMENT

SKI FACILITIES

The Authority also manages the Gore and Whiteface mountain ski centers, two major downhill ski facilities that received more than 225,000 visitors in the 1999-2000 ski season; and over 125,000 visitors for off-season activities such as mountain biking and sightseeing tours. Efforts are underway to significantly upgrade the ski facilities at Gore and Whiteface with private capital and expertise.

ALL FUNDS APPROPRIATIONS (dollars)

<u>Category</u>	<u>Available 2000-01</u>	<u>Appropriations Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations Recommended 2001-02</u>
State Operations	7,902,000	8,354,000	452,000	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	<u>7,902,000</u>	<u>8,354,000</u>	<u>452,000</u>	<u>0</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	7,552,000	8,004,000	452,000
Special Revenue Funds - Other	150,000	150,000	0
Fiduciary Funds	<u>200,000</u>	<u>200,000</u>	<u>0</u>
Total	<u>7,902,000</u>	<u>8,354,000</u>	<u>452,000</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Operations			
General Fund	7,552,000	8,004,000	452,000
Special Revenue Funds - Other	150,000	150,000	0
Nonexpendable Trust Funds	<u>200,000</u>	<u>200,000</u>	<u>0</u>
Total	<u>7,902,000</u>	<u>8,354,000</u>	<u>452,000</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

<u>Program</u>	<u>Total Nonpersonal Service</u>		<u>Contractual Services</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Operations	8,004,000	452,000	7,904,000	352,000
Total	<u>8,004,000</u>	<u>452,000</u>	<u>7,904,000</u>	<u>352,000</u>

<u>Program</u>	<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>
Operations	100,000	100,000
Total	<u>100,000</u>	<u>100,000</u>

OLYMPIC REGIONAL DEVELOPMENT

STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)

<u>Program</u>	<u>Total</u>		<u>Nonpersonal Service</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Operations	<u>350,000</u>	<u>0</u>	<u>200,000</u>	<u>0</u>
Total	<u>350,000</u>	<u>0</u>	<u>200,000</u>	<u>0</u>

<u>Program</u>	<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>
Operations	<u>150,000</u>	<u>0</u>
Total	<u>150,000</u>	<u>0</u>

NEW YORK STATE PUBLIC AUTHORITIES

New York State has statutorily created public authorities to carry out a variety of functions. These authorities play a critical role in managing, maintaining and improving New York State's infrastructure by building or financing roads, bridges and mass transit systems; rail, aviation, water, port and energy facilities; and housing, schools, public protection facilities and public buildings.

Established as public benefit corporations rather than departments of the State, the authorities are governed by boards of directors whose members can be appointed by the Governor, on the recommendation of the Legislature or local officials and by other involved municipalities. Although oversight mechanisms vary, all public authorities are accountable to the State and must submit reports to the Governor, the Legislature and the State Comptroller, including annual reports, independent financial audits and budget reports.

Detailed information on a number of public authorities reflected in Tables 1 through 4 can be found elsewhere in this Executive Budget. These authorities include:

BRIDGE, TUNNEL AND HIGHWAY

Triborough Bridge and Tunnel Authority
Thruway Authority

ECONOMIC DEVELOPMENT

Development Authority of the North Country
Empire State Development Corporation
Job Development Authority

ENERGY AND ENVIRONMENT

Energy Research and Development Authority
Environmental Facilities Corporation

HOUSING, HEALTH AND FINANCE

Housing Finance Agency
Local Government Assistance Corporation
State of New York Mortgage Agency

REGIONAL TRANSPORTATION

Metropolitan Transportation Authority

Public authorities which are not included elsewhere in the Executive Budget are discussed below:

PUBLIC AUTHORITIES

BRIDGE, TUNNEL AND HIGHWAY AUTHORITIES

Buffalo and Fort Erie Public Bridge Authority

The Buffalo and Fort Erie Public Bridge Authority owns and operates the international "Peace Bridge," crossing the Niagara River between Buffalo and Fort Erie, Ontario. All capital improvements and operating expenses are funded by tolls and rentals of property and buildings. No State funds are used to support the Authority.

New York State Bridge Authority

The New York State Bridge Authority is responsible for the Bear Mountain, Kingston-Rhinecliff, Mid-Hudson, Newburgh-Beacon and Rip Van Winkle toll bridges that span the Hudson River. Various bridge tolls cover Authority costs; no State funds are used to support the Authority.

Thousand Islands Bridge Authority

The Thousand Islands Bridge Authority operates two bridges connecting U.S. Interstate 81 in Jefferson County with Highway 401 in Ontario, Canada. The Authority also operates a sewage treatment facility and recreational and tourist facilities. Tolls from the bridge and other service fees cover operating costs; no State funds are used to support the Authority.

ECONOMIC DEVELOPMENT AUTHORITIES

Battery Park City Authority

The Battery Park City Authority manages the commercial and residential development of a 92-acre landfill on the southwest end of Manhattan. To date, the Authority has promoted the construction of several buildings which provide more than 5,300 housing units and 7 million square feet of office space. It has also developed over 30 acres of parks and recreation areas. Battery Park City Authority continues to develop roads, utilities, parks and an esplanade along the Hudson River to support the private development of this mixed commercial-residential community. The Authority receives no State funds to support its operations, instead generates its revenues from its own corporate activities.

United Nations Development Corporation

The United Nations Development Corporation develops and manages commercial and residential facilities for United Nations-related activities in New York City including One, Two and Three United Nations Plaza. Since 1968, the Corporation has issued \$260.7 million in debt to finance these facilities and does not rely on any State support to pay debt service or for its own operations.

ENERGY AND ENVIRONMENTAL AUTHORITIES

New York Power Authority

The New York Power Authority finances, builds and operates electric generation and transmission facilities. It currently owns and operates five major generating facilities,

five small hydroelectric facilities and a major transmission system. It has recently completed the sale of its two nuclear generating facilities — James A. Fitzpatrick and Indian Point 3.

The Authority generates and transmits electric power at wholesale rates to its primary customers — municipal and investor-owned utilities, rural electric cooperatives throughout the State, major industrial concerns and various New York City-area public corporations.

The Authority receives no State subsidy. It generally finances construction projects through sales of bonds and notes and pays the related debt service with revenues from the generation and transmission of electricity.

Long Island Power Authority

The Long Island Power Authority has the broad authority to ensure adequate, dependable and affordable gas and electric service on Long Island. The Authority has reduced commercial and residential electricity rates by approximately 20 percent. The reduction is encouraging economic development and lowering the cost of living in Long Island. No State tax dollars are used to fund the Authority.

HOUSING, HEALTH AND FINANCE AUTHORITIES

Dormitory Authority

The Dormitory Authority provides financing, construction management, planning and design, and equipment purchasing services for higher education, not-for-profit health care, judicial and other institutions. The Authority funds its operations from its own client-generated revenues.

Municipal Assistance Corporation for the City of New York

The Municipal Assistance Corporation for the City of New York was created in 1975 to provide access to the credit markets for the City during a period of fiscal crisis and to help oversee its fiscal affairs. MAC's financing mission on behalf of New York City has been completed. MAC expects its only financing role in the future to be management of its existing debt. No State money is used to finance the Corporation; it is funded through a portion of New York City's sales tax.

Municipal Assistance Corporation for the City of Troy

The Municipal Assistance Corporation for the City of Troy was created in 1995 to provide the City with access to the credit markets and oversee Troy's fiscal affairs. The Authority is subject to a debt cap of \$75 million. The State is authorized, subject to legislative review and appropriation, to provide moneys as necessary to ensure a 1.5:1 debt service coverage ratio on Authority debt. No such appropriation is required in the 2001-02 State fiscal year. No State moneys are used to finance the Corporation, and failure of the State to appropriate State aid to the City does not constitute an event of default for Corporation obligations.

Municipal Bond Bank Agency

The Municipal Bond Bank Agency was established in 1972 to provide low-cost capital financing to towns, villages, cities and counties. The Agency is staffed by the Housing Finance Agency. No State tax dollars are used to fund the Agency.

PUBLIC AUTHORITIES

Project Finance Agency

The Project Finance Agency was created in 1975 to provide long-term financing for low- and middle-income residential housing projects originally financed by the Urban Development Corporation. During the mid-1970's, the Urban Development Corporation faced a potential default on bonds issued to construct these projects, preventing the issuance of long-term debt to complete projects under way. The Project Finance Agency was created as the financing vehicle to complete these projects. No State tax dollars are used to fund the Agency.

PORT DEVELOPMENT AUTHORITIES

Albany Port District Commission

The Albany Port District Commission develops and operates port facilities in the cities of Albany and Rensselaer. In past years, the Authority has completed a new warehouse, rehabilitated dock facilities and added rail tracks to update the port facilities. Lease revenues account for 50 to 70 percent of the Port's annual revenue with maritime traffic making up the remainder.

Ogdensburg Bridge and Port Authority

The Ogdensburg Bridge and Port Authority operates an international bridge between Ogdensburg and Prescott, Ontario, Canada, as well as a port, an airport, an industrial park and a short-line railroad. The Authority has become increasingly involved in developing port and industrial park properties in the North Country. The Authority's industrial park now has 14 buildings which house companies providing 1,000 jobs. Its marine terminal provides the North Country's mining industries with low-cost transportation.

Port Authority of New York and New Jersey

The Port Authority of New York and New Jersey was created in 1921 to improve port and transportation facilities in the New York metropolitan area. This bi-state authority is responsible for the management and daily operation of more than 30 facilities, including airports, marine terminal facilities, bus terminals, interstate bridges and tunnels, an interstate commuter railroad, the World Trade Center and industrial parks. No New York State funds are used to support the Port Authority.

Port of Oswego Authority

The Port of Oswego Authority operates port facilities in the Oswego Port District, which includes the City of Oswego, the Town of Scriba, and all waters of the Oswego River and Lake Ontario within its boundaries. The Authority operates a port terminal and storage facilities and supports a marine museum and maritime foundation.

REGIONAL TRANSPORTATION AUTHORITIES

Capital District Transportation Authority

The Capital District Transportation Authority provides public transportation service within Albany, Rensselaer, Saratoga and Schenectady counties. State funding for the Capital District Transportation Authority is provided through appropriations to the New York State Department of Transportation.

Central New York Regional Transportation Authority

The Central New York Regional Transportation Authority provides public transportation service within Central New York through three subsidiary corporations: CNY Centro (Onondaga County), Centro of Oswego (Oswego County) and Centro of Cayuga (Cayuga County). State funding for the Central New York Regional Transportation Authority is provided through appropriations to the New York State Department of Transportation.

Niagara Frontier Transportation Authority

The Niagara Frontier Transportation Authority oversees the operations of a public transportation system providing bus, rail and paratransit services in Niagara and Erie counties. The Authority also operates both a primary and reliever airport, a small boat harbor and two metro transit centers which are primary terminals for private inter-city bus service for Niagara and Erie counties. State funding for the Niagara Frontier Transportation Authority is provided through appropriations to the New York State Department of Transportation.

Rochester-Genesee Regional Transportation Authority

The Rochester-Genesee Regional Transportation Authority provides public transportation service in the counties of Genesee, Livingston, Monroe, Wayne and Wyoming. The Authority operates the Regional Transit Service (Rochester area), Wayne Area Transportation System, Livingston Area Transportation Service, Wyoming Transportation Service and Batavia Bus Service. State funding for the Rochester-Genesee Regional Transportation Authority is provided through appropriations to the New York State Department of Transportation.

PUBLIC AUTHORITIES

TABLE 1
FINANCIAL OPERATIONS ^{a/} OF NEW YORK STATE PUBLIC AUTHORITIES
2000 AND 2001
(thousands of dollars)

Authorities by Function	Fiscal Year Begins	2000				2001			
		Total Revenues	Operating Expenses	Debt Service Requirements	Surplus (Deficit) ^{b/}	Total Revenues	Operating Expenses	Debt Service Requirements	Surplus (Deficit) ^{b/}
BRIDGE, TUNNEL AND HIGHWAY									
Buffalo and Fort Erie Public Bridge Authority	Jan. 1	27,675	12,025	4,291	11,359	26,870	12,447	4,292	10,131
New York State Bridge Authority	Jan. 1	38,476	15,667	4,749	18,060	38,747	16,352	4,753	17,642
Thousand Islands Bridge Authority	Mar. 1	9,532	4,872	704	3,956	9,610	5,242	727	3,641
Triborough Bridge and Tunnel Authority	Jan. 1	979,136	266,734	340,476	371,926	960,360	275,995	390,463	293,902
Thruway Authority ^{d/}	Jan. 1	434,481	245,577	98,253	90,651	457,787	270,537	101,367	85,883
ECONOMIC DEVELOPMENT									
Battery Park City Authority	Nov. 1	200,504	22,500	65,141	112,863	157,766	24,751	64,865	68,150
Development Authority of the North Country	April 1	10,325	7,110	3,215	0	10,841	7,465	3,376	0
Empire State Development Corporation	April 1	454,695	36,243	418,452	0	468,541	38,334	430,207	0
Job Development Authority	April 1	23,957	2,086	21,871	0	18,114	2,008	16,106	0
United Nations Development Corporation	Jan. 1	30,883	12,734	10,354	7,795	31,423	12,913	10,356	8,154
ENERGY AND ENVIRONMENT									
Energy Research and Development Authority	April 1	125,026	110,069	14,957	0	131,362	116,400	14,962	0
Environmental Facilities Corporation	April 1	474,393	11,535	458,213	4,645	522,597	12,822	504,195	5,580
Long Island Power Authority	Jan. 1	2,124,940	1,782,030	342,910	0	2,507,786	1,994,130	512,265	1,391
Power Authority ^{d/}	Jan. 1	1,699,000	1,063,500	275,800	359,700	1,836,700	1,227,900	312,400	296,400
HOUSING, HEALTH AND FINANCE									
Dormitory Authority	April 1	2,719,951	55,054	2,664,897	0	2,872,787	57,619	2,815,168	0
Housing Finance Agency	Nov. 1	487,196	16,482	465,034	5,680	424,010	17,025	401,195	5,790
Local Government Assistance Corporation	April 1	518,200	4,000	514,200	0	463,000	4,000	459,000	0
Mortgage Agency	Nov. 1	614,972	26,299	588,673	0	607,433	30,568	576,865	0
Municipal Assistance Corporation for the City of New York	July 1	520,394	7,942	506,834	5,618	515,881	7,942	507,939	0
Municipal Assistance Corporation for the City of Troy	Jan. 1	3,571	25	3,546	0	3,883	25	3,858	0
Municipal Bond Bank Agency	Nov. 1	6,323	155	6,138	30	6,346	170	6,131	45
Project Finance Agency	Nov. 1	43,192	240	42,892	60	41,212	273	40,869	70
PORT DEVELOPMENT									
Albany Port District Commission	Jan. 1	2,642	1,630	80	932	2,978	1,714	80	1,184
Ogdensburg Bridge and Port Authority	April 1	3,730	2,241	555	934	3,525	2,302	555	668
Port Authority of New York and New Jersey ^{d/}	Jan. 1	2,781,000	1,810,000	544,000	427,000	2,781,000	1,810,000	544,000	427,000
Port of Oswego Authority	April 1	1,606	1,279	224	103	1,165	980	86	99

PUBLIC AUTHORITIES

Authorities by Function	Fiscal Year Begins	2000				2001			
		Total Revenues	Operating Expenses	Debt Service Requirements	Surplus (Deficit) ^{b/}	Total Revenues	Operating Expenses	Debt Service Requirements	Surplus (Deficit) ^{b/}
REGIONAL TRANSPORTATION									
Capital District Transportation Authority	April 1	32,848	32,848	0	0	33,095	33,095	0	0
Central New York Regional Transportation Authority	April 1	26,521	26,501	20	0	26,614	26,594	20	0
Metropolitan Transportation Authority	Jan. 1	6,383,200	5,852,700	462,000	68,500	7,014,600	6,523,200	491,400	0
Niagara Frontier Transportation Authority	April 1	109,688	91,328	14,387	3,973	110,216	92,166	18,050	0
Rochester-Genesee Regional Transportation Authority	April 1	43,500	42,762	0	738	44,775	44,574	0	201
GRAND TOTAL		<u>20,931,557</u>	<u>11,564,168</u>	<u>7,872,866</u>	<u>1,494,523</u>	<u>22,131,024</u>	<u>12,669,543</u>	<u>8,235,550</u>	<u>1,225,931</u>

^{a/} This table is based on authority estimates and may not reflect approval by the Board of Directors. This table covers the Authority fiscal year which includes September 30. Data vary as to cash or accrual accounting.

^{b/} The operating surplus may be committed to reserve requirements, repayment of State advances or funding of capital programs or programs operated by other authorities. Deficits are to be covered from existing resources or management options.

^{c/} Excludes debt service for bonds sold to finance State transportation programs.

^{d/} The surplus shown is on a cash basis, the Power Authority utilizes these funds for required reserves and capital expenditures.

^{e/} The surplus represents an estimated \$340 million of direct capital investment in Port Authority facilities with the balance paid into reserve funds. Due to the unavailability of 2001 Budget information, data for 2001 is based on carry forward of previous year data.

PUBLIC AUTHORITIES

TABLE 2
CAPITAL PROGRAMS ^{a/} OF NEW YORK STATE PUBLIC AUTHORITIES
2000 AND 2001
(thousands of dollars)

<u>Authorities by Function</u>	<u>2000</u>			<u>2001</u>		
	<u>Capital Program Disbursements</u>	<u>Available Resources</u>	<u>Sale of New Debt ^{b/}</u>	<u>Capital Program Disbursements</u>	<u>Available Resources</u>	<u>Sale of New Debt ^{b/}</u>
BRIDGE, TUNNEL AND HIGHWAY						
Buffalo and Fort Erie Public Bridge Authority	10,388	22,583	0	18,512	22,240	0
New York State Bridge Authority	21,512	51,569	0	35,963	47,557	0
Thousand Islands Bridge Authority	9,111	9,111	0	1,963	1,963	0
Triborough Bridge and Tunnel Authority	150,705	197,300	0	170,000	220,000	210,000
Thruway Authority	391,031	249,930	141,101	375,875	241,716	134,159
ECONOMIC DEVELOPMENT						
Battery Park City Authority	11,000	11,000	55,725	21,588	27,000	0
Development Authority of the North Country	0	0	0	0	0	0
Empire State Development Corporation	50,000	50,000	0	15,000	0	15,000
Job Development Authority	0	0	0	16,100	0	16,100
United Nations Development Corporation	1,619	7,129	0	1,066	6,063	0
ENERGY AND ENVIRONMENT						
Energy Research and Development Authority	13,900	13,900	0	15,250	15,250	0
Environmental Facilities Corporation	735,355	0	735,355	649,680	0	649,680
Long Island Power Authority	207,000	0	250,000	242,000	0	250,000
Power Authority	415,400	791,608	624,400	434,112	470,140	11,860
HOUSING, HEALTH AND FINANCE						
Dormitory Authority	2,592,128	3,903,220	1,921,554	3,191,446	3,232,646	2,551,731
Housing Finance Agency	334,997	243,176	398,549	593,958	260,541	450,000
Local Government Assistance Corporation	0	0	0	0	0	0
Mortgage Agency	519,722	292,433	329,360	520,000	240,225	305,520
Municipal Assistance Corporation for the City of New York	0	0	0	0	0	0
Municipal Assistance Corporation for the City of Troy	0	0	0	0	0	0
Municipal Bond Bank Agency	0	0	0	0	0	0
Project Finance Agency	0	0	0	0	0	0
PORT DEVELOPMENT						
Albany Port District Commission	525	100	0	3,900	0	0
Ogdensburg Bridge and Port Authority	4,398	4,398	0	3,430	3,430	0
Port Authority of New York and New Jersey ^{a/}	1,226,000	927,880	360,000	1,226,000	927,880	360,000
Port of Oswego Authority	602	2,045	0	2,500	0	0

PUBLIC AUTHORITIES

Authorities by Function	2000			2001		
	Capital Program Disburse- ments	Available Resources	Sale of New Debt ^{b/}	Capital Program Disburse- ments	Available Resources	Sale of New Debt ^{b/}
REGIONAL TRANSPORTA- TION						
Capital District Transportation Authority ^{a/}	32,221	32,221	0	30,011	30,011	0
Central New York Regional Transportation Authority	21,594	21,594	0	13,078	13,078	0
Metropolitan Transportation Authority ^{a/}	3,518,700	1,189,300	2,329,400	4,960,200	1,197,300	3,762,900
Niagara Frontier Transporta- tion Authority	119,472	58,275	61,196	55,213	52,931	2,281
Rochester-Genesee Regional Transportation Authority	15,806	15,806	0	16,150	16,150	0
GRAND TOTAL	10,403,186	8,094,578	7,206,640	12,612,995	7,026,121	8,719,231

^{a/} This table is based on authority estimates and may not reflect approval by the Board of Directors. The table covers the Authority fiscal year which includes September 30. "Available resources" may include anticipated and/or requested State and Federal funds.

^{b/} Includes proceeds available for capital program only.

^{c/} Due to the unavailability of 2001 Budget information, data for 2001 is based on carry forward of previous year data.

^{d/} Additional resources need to be identified to meet disbursements for the completion of the Rensselaer Train Station. The Authority is actively seeking additional resources from various entities.

^{e/} This table reflects the MTA's capital plan approved by the Capital Program Review Board in May 2000.

PUBLIC AUTHORITIES

TABLE 3
DEBT STRUCTURE OF NEW YORK STATE PUBLIC AUTHORITIES
AS OF SEPTEMBER 30, 2000
(thousands of dollars)

Authorities by Function	All Bonds and Notes				Moral Obligation Bonds		
	Statutory Authorization	Bonds Issued	Bonds Outstanding	Notes Outstanding	Authorized Limit	Bonds Issued	Bonds Outstanding
BRIDGE, TUNNEL AND HIGHWAY							
Buffalo and Fort Erie Public Bridge Authority	165,000	53,370	49,145	2,482	0	0	0
New York State Bridge Authority	100,000	116,711	41,890	0	0	0	0
Thousand Islands Bridge Authority	Unlimited	5,745	5,745	0	0	0	0
Triborough Bridge and Tunnel Authority ^{af}	16,500,000	6,541,795	5,325,200	807,190	0	0	0
Thruway Authority	Unlimited	9,925,027	7,401,890	150,000	0	0	0
ECONOMIC DEVELOPMENT							
Battery Park City Authority ^{af}	1,060,000	1,107,835	979,470	0	0	0	0
Development Authority of the North Country	Unlimited	37,981	35,772	0	0	0	0
Empire State Development Corporation	Unlimited	5,825,537	4,962,557	15,299	1,295,000	1,070,000	0
Job Development Authority	750,000	726,080	132,685	0	0	0	0
United Nations Development Corporation	Unlimited	260,728	141,353	0	46,555	32,040	0
ENERGY AND ENVIRONMENT							
Energy Research and Development Authority	Unlimited	7,156,095	3,929,790	0	0	0	0
Environmental Facilities Corporation	Unlimited	5,765,920	3,991,315	0	0	0	0
Long Island Power Authority	Unlimited	8,297,506	7,274,037	0	0	0	0
Power Authority	Unlimited	5,424,825	1,070,495	1,102,253	0	0	0
HOUSING, HEALTH AND FINANCE							
Dormitory Authority	Unlimited	52,195,815	23,738,441	116,410	926,015	698,660	96,640
Housing Finance Agency ^{af}	7,890,000	10,155,100	4,492,407	0	4,428,808	6,524,379	489,270
Local Government Assistance Corporation	4,700,000	5,571,165	4,873,835	0	0	0	0
Mortgage Agency	6,695,000	10,932,744	4,179,124	0	0	0	0
Municipal Assistance Corporation for the City of New York	11,500,000	9,445,000	3,217,000	0	0	9,445,000	0
Municipal Assistance Corporation for the City of Troy ^{af}	75,000	69,583	72,553	0	0	0	0
Municipal Bond Bank Agency	1,000,000	64,765	40,985	0	0	0	0
Project Finance Agency	305,000	305,000	114,800	0	0	0	0
PORT DEVELOPMENT							
Albany Port District Commission	Unlimited	0	0	1,117	0	0	0
Ogdensburg Bridge and Port Authority	Unlimited	0	0	2,282	0	0	0
Port Authority of New York and New Jersey	Unlimited	9,683,660	7,711,635	453,925	0	0	0
Port of Oswego Authority	Unlimited	0	0	206	0	0	0

PUBLIC AUTHORITIES

<u>Authorities by Function</u>	<u>All Bonds and Notes</u>				<u>Moral Obligation Bonds</u>		
	<u>Statutory Authorization</u>	<u>Bonds Issued</u>	<u>Bonds Outstanding</u>	<u>Notes Outstanding</u>	<u>Authorized Limit</u>	<u>Bonds Issued</u>	<u>Bonds Outstanding</u>
REGIONAL TRANSPORTATION							
Capital District Transportation Authority	Unlimited	0	0	1,000	0	0	0
Central New York Regional Transportation Authority	Unlimited	0	0	0	0	0	0
Metropolitan Transportation Authority ^{a/}	16,500,000	9,266,174	7,551,194	750,000	0	0	0
Niagara Frontier Transportation Authority	Unlimited	217,269	198,054	744	0	0	0
Rochester-Genesee Regional Transportation Authority	Unlimited	0	0	0	0	0	0
GRAND TOTAL		<u>159,151,430</u>	<u>91,531,372</u>	<u>3,402,908</u>	<u>6,696,378</u>	<u>17,770,079</u>	<u>585,910</u>

^{a/} The MTA and TBTA are collectively authorized to issue up to \$16.5 billion of bonds to finance their 1992-2004 capital programs. Outstanding bonds do not include \$365 million in Convention Center Project Bonds, not covered by Authority's bond cap, but solely supported by rental income.

^{b/} \$400 million in bonding authority related to the Housing New York Program sunsetted on June 30, 1995.

^{c/} HFA moral obligation bond limit is reduced as bonds outstanding after April 1, 1976 are repaid.

^{d/} Bonds outstanding exceed bonds issued because bonds outstanding include accretion in value of capital appreciation bonds from date of issue through September 30, 2000.

PUBLIC AUTHORITIES

TABLE 4
STATE INVOLVEMENT IN FINANCING OF PROJECTS AND OPERATIONS
OF NEW YORK STATE PUBLIC AUTHORITIES
2000 AND 2001-02
(thousands of dollars)

Authorities by Function	Authority Bonds Outstanding, 2000 ^{a/}			Outstanding Reimbursable State Appropriations, 1999	State Appropriations Requested in Support of Authority Programs, 2001-02 ^{b/}		
	Revenue and Nonrecourse	State Guaranteed	Moral Obligation		New Appropriations	Reappropriations	Total
BRIDGE, TUNNEL AND HIGHWAY							
Buffalo and Fort Erie Public Bridge Authority	49,145	0	0	0	0	0	0
New York State Bridge Authority	41,890	0	0	0	0	0	0
Thousand Islands Bridge Authority	5,745	0	0	0	0	0	0
Triborough Bridge and Tunnel Authority ^{c/}	5,325,200	0	0	0	0	0	0
Thruway Authority	7,401,890	0	0	0	2,000	2,450	4,450
ECONOMIC DEVELOPMENT							
Battery Park City Authority	979,470	0	0	0	0	0	0
Development Authority of the North Country	35,772	0	0	0	0	0	0
Empire State Development Corporation	4,962,557	0	0	0	243,604	565,281	808,885
Job Development Authority	0	132,685	0	0	0	0	0
United Nations Development Corporation	141,353	0	0	0	0	0	0
ENERGY AND ENVIRONMENT							
Energy Research and Development Authority	3,929,790	0	0	0	30,173	0	30,173
Environmental Facilities Corporation	3,991,315	0	0	7,939	14,795	14,500	29,295
Long Island Power Authority	7,274,037	0	0	0	0	0	0
Power Authority	1,070,495	0	0	0	0	0	0
HOUSING, HEALTH AND FINANCE							
Dormitory Authority	23,641,801	0	96,640	0	0	0	0
Housing Finance Agency	4,003,137	0	489,270	44,129	700	0	700
Local Government Assistance Corporation	4,873,835	0	0	0	463,000	0	463,000
Mortgage Agency	4,179,124	0	0	0	0	0	0
Municipal Assistance Corporation for the City of New York	3,217,000	0	0	0	0	0	0
Municipal Assistance Corporation for the City of Troy	72,553	0	0	0	0	0	0
Municipal Bond Bank Agency	40,985	0	0	0	0	0	0
Project Finance Agency	114,800	0	0	0	0	0	0
PORT DEVELOPMENT							
Albany Port District Commission	0	0	0	3,900	0	0	0
Ogdensburg Bridge and Port Authority	0	0	0	19,455	0	0	0
Port Authority of New York and New Jersey	7,711,635	0	0	0	0	0	0
Port of Oswego Authority	0	0	0	4,181	0	0	0

PUBLIC AUTHORITIES

Authorities by Function	Authority Bonds Outstanding, 2000 ^{a/}			State Appropriations Requested in Support of Authority Programs, 2001-02 ^{b/}			
	Revenue and Nonrecourse	State Guaranteed	Moral Obligation	Outstanding Reimbursable State Appropriations, 1999	New Appropriations	Reappropriations	Total
REGIONAL TRANSPORTATION							
Capital District Transportation Authority	0	0	0	0	15,546	0	15,546
Central New York Regional Transportation Authority	0	0	0	0	16,123	0	16,123
Metropolitan Transportation Authority	7,551,194	0	0	0	1,430,738	88,588	1,519,326
Niagara Frontier Transportation Authority	198,054	0	0	3,380	20,664	0	20,664
Rochester-Genesee Regional Transportation Authority	0	0	0	0	11,818	0	11,818
GRAND TOTAL	90,812,777	132,685	585,910	82,984	2,249,161	670,819	2,919,980

^{a/} This table covers bonds outstanding as of September 30.

^{b/} Appropriations are included in the Executive Budget for State Fiscal Year 2001-2002 from the State's General Fund, dedicated tax funds, Capital Projects Fund or bond funds. Some of these funds may be appropriated to an intermediary which, in turn, makes payment to the named authority.

^{c/} Outstanding bonds do not include \$365 million in Convention Center Project Bonds, not covered by Authority's bond cap, but solely supported by rental income.

STATE RACING AND WAGERING BOARD

MISSION

The Racing and Wagering Board regulates all legalized gambling activities in New York except the State Lottery. Horse racing, off-track betting (OTB) and Indian casino gaming are directly regulated by the Board. The responsibility for oversight of bingo and other permitted games of chance conducted by religious and not-for-profit organizations is shared with municipalities, which collect license fees and ensure that the Board's rules and regulations are followed.

ORGANIZATION AND STAFFING

A three-member Board oversees agency activities. The Governor appoints each member to a six-year term with the consent of the Senate.

The Chair and central office staff are in Albany with additional staff operating from the New York City regional office. The agency also employs on-site inspectors at the Oneida Indians' Turning Stone Casino in Verona, Oneida County and the Mohawk Indians' Akwesasne Mohawk Casino in Hogsburg, Franklin County. Temporary and full time employees oversee horse racing at New York's four thoroughbred race tracks — Aqueduct, Belmont, Saratoga and Finger Lakes — and six harness tracks — Buffalo, Monticello, Saratoga, Syracuse, Vernon Downs and Yonkers. The Racing and Wagering Board will have a workforce of 123 full time and 160 per diem staff in 2001-02. Approximately 34 percent of full time staff and all per diem staff will be supported by State tax dollars; the remaining staff will be supported by revenues generated by Indian casinos and charitable gaming activities.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 2001-02 Executive Budget recommends \$9.42 million in General Fund support for staff and related costs associated with the processing of racing licenses; the review of financial statements submitted by regional OTBs; legal hearings to suspend or fine violators of racing regulations; seasonal employees at the thoroughbred and harness tracks; and the testing of race horses for prohibited drugs.

In addition, \$2 million in existing fees collected by the State from charitable gaming proceeds is recommended to support the regulation of these games. This funding will support oversight of "bell jar" games, which are games of chance in which players remove "pull tabs" from randomly drawn tickets to reveal potential winning combinations of three or more matching items. The Budget also authorizes \$3 million in revenues from fees charged to Indian casinos to pay for on-site monitoring and investigation of these casinos.

PROGRAM HIGHLIGHTS

REGULATION OF GAMES OF CHANCE

The Racing and Wagering Board promulgates rules and regulations governing bingo and other games of chance operated by more than 15,000 charitable and not-for-profit organizations throughout the State. The Board establishes standards for issuing licenses to suppliers and manufacturers of gaming equipment; reviews financial statements of

RACING AND WAGERING

charitable gaming operations; and, in conjunction with local law enforcement officials, investigates illegal gaming activities. In accordance with legislation enacted in 1996, the Board will continue its oversight of "bell jar" games in order to decrease illegal activity.

INDIAN GAMING

The State has negotiated compacts or protocols with the Oneida Nation and St. Regis Mohawk Tribe to conduct gaming activities. Under these compacts, the Racing and Wagering Board is responsible for supervising gaming activities operating on these Indian lands. The Board maintains 22 employees that oversee activities at the Oneida Nation's Turning Stone Casino and 28 employees at the St. Regis Mohawk Tribe's Akwesasne Casino.

REGULATION OF RACING

The Board's permanent staff oversee 160 temporary employees who ensure that horse races at the State's race tracks are conducted legally. As part of this effort, the Board has a contract with Cornell University to conduct research and to carry out post-race testing of blood and urine samples taken from race horses.

ALL FUNDS APPROPRIATIONS (dollars)				
Category	Available 2000-01	Appropriations Recommended 2001-02	Change	Reappropriations Recommended 2001-02
State Operations	15,213,300	14,739,000	(474,300)	0
Aid To Localities	200,000	0	(200,000)	0
Capital Projects	0	0	0	0
Total	15,413,300	14,739,000	(674,300)	0

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2000-01 Estimated FTEs 03/31/01	2001-02 Estimated FTEs 03/31/02	FTE Change
Administration			
General Fund	15	15	0
Audit and Investigation			
General Fund	23	23	0
Regulation of Racing and Off-Track Betting			
General Fund	4	4	0
Regulation of Wagering			
Special Revenue Funds - Other	81	81	0
Total	123	123	0

RACING AND WAGERING

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	9,948,000	9,427,000	(521,000)
Special Revenue Funds - Other	5,265,300	5,312,000	46,700
Total	15,213,300	<u>14,739,000</u>	<u>(474,300)</u>

Adjustments:

Transfer(s) From			
Special Pay Bill			
General Fund	(220,000)		
Special Revenue Funds - Other	(286,000)		
Appropriated 2000-01	<u>14,707,300</u>		

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Administration			
General Fund	1,651,857	1,305,000	(346,857)
Audit and Investigation			
General Fund	1,191,963	1,168,000	(23,963)
Regulation of Racing and Off-Track Betting			
General Fund	7,104,180	6,954,000	(150,180)
Regulation of Wagering			
Special Revenue Funds - Other	5,265,300	5,312,000	46,700
Total	<u>15,213,300</u>	<u>14,739,000</u>	<u>(474,300)</u>

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

<u>Program</u>	<u>Total Personal Service</u>		<u>Personal Service Regular (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	715,000	4,543	715,000	4,543
Audit and Investigation	1,115,000	7,337	1,115,000	7,337
Regulation of Racing and Off-Track Betting	4,444,000	25,120	4,444,000	25,120
Total	<u>6,274,000</u>	<u>37,000</u>	<u>6,274,000</u>	<u>37,000</u>

RACING AND WAGERING

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

<u>Program</u>	<u>Total Nonpersonal Service</u>		<u>Supplies and Materials</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	590,000	(351,400)	210,300	(125,300)
Audit and Investigation	53,000	(31,300)	8,800	(5,200)
Regulation of Racing and Off-Track Betting	2,510,000	(175,300)	35,400	(20,700)
Total	<u>3,153,000</u>	<u>(558,000)</u>	<u>254,500</u>	<u>(151,200)</u>

<u>Program</u>	<u>Travel</u>		<u>Contractual Services</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	23,800	(14,000)	355,900	(212,100)
Audit and Investigation	23,500	(13,900)	19,300	(11,400)
Regulation of Racing and Off-Track Betting	5,900	(3,500)	2,465,100	(149,100)
Total	<u>53,200</u>	<u>(31,400)</u>	<u>2,840,300</u>	<u>(372,600)</u>

<u>Program</u>	<u>Equipment</u>	
	<u>Amount</u>	<u>Change</u>
Audit and Investigation	1,400	(800)
Regulation of Racing and Off-Track Betting	3,600	(2,000)
Total	<u>5,000</u>	<u>(2,800)</u>

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

<u>Program</u>	<u>Total</u>		<u>Personal Service</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Regulation of Wagering	5,312,000	46,700	3,228,000	33,300
Total	<u>5,312,000</u>	<u>46,700</u>	<u>3,228,000</u>	<u>33,300</u>

<u>Program</u>	<u>Nonpersonal Service</u>	
	<u>Amount</u>	<u>Change</u>
Regulation of Wagering	2,084,000	13,400
Total	<u>2,084,000</u>	<u>13,400</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	200,000	0	(200,000)
Total	<u>200,000</u>	<u>0</u>	<u>(200,000)</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Regulation of Racing and Off-Track Betting			
General Fund	75,000	0	(75,000)
Legislative Initiatives			
General Fund	125,000	0	(125,000)
Total	<u>200,000</u>	<u>0</u>	<u>(200,000)</u>

OFFICE OF SCIENCE, TECHNOLOGY, AND ACADEMIC RESEARCH

MISSION

Established in November 1999, the New York State Office of Science, Technology, and Academic Research is an Executive agency which replaced the New York State Science and Technology Foundation and assumed responsibility for directing the State's university-based high technology economic development programs. In addition, the Office of Science, Technology, and Academic Research is responsible for attracting and retaining high technology businesses with the potential for significant job creation in the State.

ORGANIZATION AND STAFFING

The Office of Science, Technology, and Academic Research is headed by an Executive Director who is appointed by the Governor and confirmed by the Senate. Agency oversight is augmented by an 11-member advisory council. The Office of Science, Technology, and Academic Research will have a workforce of 44 positions in 2001-02.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Office of Science, Technology, and Academic Research's activities are supported primarily with State tax dollars from the General Fund. The Executive Budget recommends \$3.6 million to support the agency's operating budget and \$49.27 million for its high technology programs.

PROGRAM HIGHLIGHTS

The Office of Science, Technology, and Academic Research is responsible for the following major programs:

- **Capital Facility Program:** This program supports the construction and reconstruction of research and development facilities at designated Centers for Advanced Technology and State University of New York University Centers.
- **Faculty Development Program:** This program provides grants to assist colleges and universities in attracting and retaining research faculty.
- **Center for Advanced Technology Development Program:** This program provides grants to designated Centers for Advanced Technology for enhancing and expanding activities.
- **Technology Transfer Incentive Program:** This program provides grants to colleges and universities for technology transfer activities, such as patent applications, the creation of business plans, venture capital conferences and other uses related to the commercialization of high technology innovations. It also provides funding for the Science and Technology Law Center which assists start-up companies in bringing new technologies to the marketplace, and is a resource for State agencies and institutions of higher education on technology-related legal issues.

In addition, the Office of Science, Technology, and Academic Research will continue to administer programs of the former Science and Technology Foundation, including: Centers for Advanced Technology; Industrial Technology Extension Services; Technology Development Organizations; the Sensing, Analyzing, Interpreting and Deciding Center at Syracuse University; the Buffalo Technology Transfer Center at Sisters Hospital; the

SCIENCE, TECHNOLOGY, AND ACADEMIC RESEARCH

Focus Center-New York semiconductor research center; and the Cornell University Nanobiotechnology Center. New funding is recommended for the Cornell University Center for Materials Research.

ALL FUNDS APPROPRIATIONS (dollars)

<u>Category</u>	<u>Available 2000-01</u>	<u>Appropriations Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations Recommended 2001-02</u>
State Operations	4,250,000	4,100,000	(150,000)	0
Aid To Localities	76,875,000	54,270,000	(22,605,000)	67,877,000
Capital Projects	10,000,000	0	(10,000,000)	57,500,000
Total	<u>91,125,000</u>	<u>58,370,000</u>	<u>(32,755,000)</u>	<u>125,377,000</u>

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

<u>Program</u>	<u>2000-01 Estimated FTEs 03/31/01</u>	<u>2001-02 Estimated FTEs 03/31/02</u>	<u>FTE Change</u>
Administration Program			
General Fund	44	44	0
Total	<u>44</u>	<u>44</u>	<u>0</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	3,750,000	3,600,000	(150,000)
Special Revenue Funds - Other	500,000	500,000	0
Total	<u>4,250,000</u>	<u>4,100,000</u>	<u>(150,000)</u>

Adjustments:

Transfer(s) From			
Special Pay Bill			
General Fund	(150,000)		
Appropriated 2000-01	<u>4,100,000</u>		

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Administration Program			
General Fund	3,750,000	3,600,000	(150,000)
Special Revenue Funds - Other	500,000	500,000	0
Total	<u>4,250,000</u>	<u>4,100,000</u>	<u>(150,000)</u>

SCIENCE, TECHNOLOGY, AND ACADEMIC RESEARCH

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

<u>Program</u>	<u>Total Nonpersonal Service</u>		<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration Program	3,600,000	(150,000)	3,600,000	(150,000)
Total	<u>3,600,000</u>	<u>(150,000)</u>	<u>3,600,000</u>	<u>(150,000)</u>

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

<u>Program</u>	<u>Total</u>		<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration Program	500,000	0	500,000	0
Total	<u>500,000</u>	<u>0</u>	<u>500,000</u>	<u>0</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	71,875,000	49,270,000	(22,605,000)
Special Revenue Funds - Federal	5,000,000	5,000,000	0
Total	<u>76,875,000</u>	<u>54,270,000</u>	<u>(22,605,000)</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
High Technology			
General Fund	36,370,000	24,770,000	(11,600,000)
Training and Business Assistance Program			
General Fund	2,000,000	2,000,000	0
Special Revenue Funds - Federal	5,000,000	5,000,000	0
Research Development Program			
General Fund	22,500,000	22,500,000	0
Community Projects			
General Fund	11,005,000	0	(11,005,000)
Total	<u>76,875,000</u>	<u>54,270,000</u>	<u>(22,605,000)</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Comprehensive Construction Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations 2001-02</u>
Research Facilities				
Capital Projects Fund	10,000,000	0	(10,000,000)	57,500,000
Total	<u>10,000,000</u>	<u>0</u>	<u>(10,000,000)</u>	<u>57,500,000</u>

DEPARTMENT OF TAXATION AND FINANCE

MISSION

The Department of Taxation and Finance administers the State's taxes and related local taxes and manages the State Treasury. In fulfilling its responsibilities under the State's Tax Laws, the Department collects approximately \$38.3 billion in State revenue and approximately \$22.2 billion in local taxes, including New York City and the City of Yonkers income taxes, on behalf of municipalities.

ORGANIZATION AND STAFFING

The Department is headed by a Commissioner who is appointed by the Governor and confirmed by the Senate. It fulfills its mission through 11 programs: Administration, Revenue Support, Office of the Counsel, Tax Policy and Analysis, Tax Enforcement, Tax Compliance, Treasury Management, Audit, Revenue and Information Management, Taxpayer Services and the Office of Conciliation and Mediation. The Department of Taxation and Finance will have a workforce of 5,410 positions in 2001-02, of which 4,986 will be funded by State tax dollars in the General Fund.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

In 2001-02, the Department will be financed primarily with State tax dollars from the General Fund, which support its revenue generation, collection, and administration programs. The Executive Budget recommends \$412.5 million to support the Department's operating budget. This amount includes \$303 million in General Fund support, which will finance 73.5 percent of the Department's operations, and which will be supplemented by fee income of \$40.1 million and Federal funding of \$572,000. Fee income will support costs associated with the collection of personal income taxes for New York City, and financial and investment services for certain State agencies and public benefit corporations. The Budget further recommends \$68.9 million for the Banking Services Fund. The Fund pays banking institutions for certain services related to administering personal income and other taxes.

PROGRAM HIGHLIGHTS

Tax and Finance Department employees are responsible for providing equitable and efficient service to taxpayers. The Department is organized along functional lines to support a high level of taxpayer service:

- **Administration:** This program includes the Department's central policy direction and oversight functions. Activities include fiscal management, human resources/payroll, internal audit, management services and public information.
- **Revenue Support:** This program provides essential support services for the Department, including managing office and warehouse space, developing and printing tax forms and instructions, and mailing tax liability notices, refunds and other tax forms.
- **Office of the Counsel:** This Office prepares regulations, interprets statutes, manages litigation, and drafts and reviews proposed legislation. The Office is involved in resolving taxpayer protests and litigation, and maintains coordination between the Department, the Department of Law and the Division of Tax Appeals.

TAXATION AND FINANCE

- **Tax Policy and Analysis:** This program estimates the revenues expected to be produced by each tax, assesses the impact of different tax structures and tax proposals on the State's economy, reviews tax policies and legislation, and prepares descriptive and analytical studies.
- **Tax Enforcement:** This program identifies and investigates alleged evasion of the State tax code. Staff assigned to this program work with Federal, State and local law enforcement officials in the prosecution of tax fraud and tax evasion cases.
- **Tax Compliance:** This is the State's largest accounts receivable program, collecting delinquent State and local taxes. Computer-generated billings and an automated telephone collection system are used in collection activities.
- **Audit:** The Audit Division ensures that voluntarily remitted taxes are accurate and complete. The Division plans, conducts and evaluates desk and field audits, increasingly with the aid of technology.
- **Revenue and Information Management:** This program contains the Department's information management, tax processing and tax accounting functions. It supports the collection of an estimated \$60.5 billion in State and local voluntary and non-voluntary tax collections.
- **Taxpayer Services:** This program assists taxpayers in fulfilling their tax obligations by developing and distributing tax information, advice and instructions.
- **Office of Conciliation and Mediation:** This program offers taxpayers the option of informally resolving disputes with the Department.

**ALL FUNDS
APPROPRIATIONS
(dollars)**

<u>Category</u>	<u>Available 2000-01</u>	<u>Appropriations Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations Recommended 2001-02</u>
State Operations	406,628,000	412,479,000	5,851,000	0
Aid To Localities	750,000	750,000	0	0
Capital Projects	0	0	0	0
Total	<u>407,378,000</u>	<u>413,229,000</u>	<u>5,851,000</u>	<u>0</u>

TAXATION AND FINANCE

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)			
Program	2000-01 Estimated FTEs 03/31/01	2001-02 Estimated FTEs 03/31/02	FTE Change
Administration			
General Fund	93	92	(1)
Audit			
General Fund	1,945	1,918	(27)
Office of Conciliation and Mediation			
General Fund	35	35	0
Counsel			
General Fund	77	76	(1)
Tax Policy and Analysis			
General Fund	35	35	0
Revenue and Information Management			
General Fund	1,655	1,632	(23)
Special Revenue Funds - Other	379	379	0
Revenue Support Services			
General Fund	70	69	(1)
Tax Compliance			
General Fund	821	809	(12)
Tax Enforcement			
General Fund	192	189	(3)
Special Revenue Funds - Federal	9	9	0
Taxpayer Services			
General Fund	133	131	(2)
Treasury Management			
Special Revenue Funds - Other	36	36	0
Total	5,480	5,410	(70)

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2000-01	Recommended 2001-02	Change
General Fund	301,620,000	302,960,000	1,340,000
Special Revenue Funds - Federal	500,000	572,000	72,000
Special Revenue Funds - Other	36,533,000	40,061,000	3,528,000
Internal Service Funds	67,975,000	68,886,000	911,000
Total	406,628,000	412,479,000	5,851,000
Adjustments:			
Transfer(s) From			
Special Pay Bill			
General Fund	(20,428,000)		
Special Revenue Funds - Other	(165,000)		
Appropriated 2000-01	386,035,000		

TAXATION AND FINANCE

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Administration			
General Fund	6,981,300	5,609,000	(1,372,300)
Audit			
General Fund	107,165,600	109,603,000	2,437,400
Banking Services			
Internal Service Funds	67,975,000	68,886,000	911,000
Office of Conciliation and Mediation			
General Fund	2,155,200	2,326,000	170,800
Counsel			
General Fund	5,229,400	5,184,000	(45,400)
Tax Policy and Analysis			
General Fund	2,136,600	2,230,000	93,400
Revenue and Information Management			
General Fund	91,081,100	89,405,000	(1,676,100)
Special Revenue Funds - Other	32,514,000	32,907,000	393,000
Revenue Support Services			
General Fund	31,271,000	32,811,000	1,540,000
Tax Compliance			
General Fund	31,875,900	33,006,000	1,130,100
Special Revenue Funds - Other	2,000,000	5,000,000	3,000,000
Tax Enforcement			
General Fund	12,964,000	12,836,000	(128,000)
Special Revenue Funds - Federal	500,000	572,000	72,000
Taxpayer Services			
General Fund	10,759,900	9,950,000	(809,900)
Treasury Management			
Special Revenue Funds - Other	<u>2,019,000</u>	<u>2,154,000</u>	<u>135,000</u>
Total	<u>406,628,000</u>	<u>412,479,000</u>	<u>5,851,000</u>

TAXATION AND FINANCE

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

Program	Total Personal Service		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	5,200,000	(1,372,300)	5,158,000	(1,376,300)
Audit	92,868,000	2,231,400	91,819,000	2,147,400
Office of Conciliation and Mediation	2,231,000	170,800	2,213,000	170,800
Counsel	5,056,000	(45,400)	4,814,000	(67,400)
Tax Policy and Analysis	2,207,000	93,400	2,115,000	85,400
Revenue and Information Management	64,731,000	(1,719,100)	61,339,000	(2,053,100)
Revenue Support Services	4,450,000	(55,000)	3,901,000	(105,000)
Tax Compliance	31,340,000	1,130,100	31,287,000	1,127,100
Tax Enforcement	10,215,000	(128,000)	10,178,000	(131,000)
Taxpayer Services	7,502,000	(918,900)	6,436,000	(1,011,900)
Total	<u>225,800,000</u>	<u>(613,000)</u>	<u>219,260,000</u>	<u>(1,214,000)</u>

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	0	0	42,000	4,000
Audit	919,000	77,000	130,000	7,000
Office of Conciliation and Mediation	0	0	18,000	0
Counsel	236,000	21,000	6,000	1,000
Tax Policy and Analysis	89,000	8,000	3,000	0
Revenue and Information Management	2,738,000	270,000	654,000	64,000
Revenue Support Services	546,000	50,000	3,000	0
Tax Compliance	0	0	53,000	3,000
Tax Enforcement	25,000	2,000	12,000	1,000
Taxpayer Services	1,061,000	92,000	5,000	1,000
Total	<u>5,614,000</u>	<u>520,000</u>	<u>926,000</u>	<u>81,000</u>

TAXATION AND FINANCE

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

Program	Total Nonpersonal Service		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	409,000	0	291,000	0
Audit	16,735,000	206,000	32,000	0
Office of Conciliation and Mediation	95,000	0	4,000	0
Counsel	128,000	0	8,000	0
Tax Policy and Analysis	23,000	0	5,000	0
Revenue and Information Management	24,674,000	43,000	1,777,000	0
Revenue Support Services	28,361,000	1,595,000	159,000	8,000
Tax Compliance	1,666,000	0	279,000	0
Tax Enforcement	2,621,000	0	17,000	0
Taxpayer Services	2,448,000	109,000	74,000	3,000
Total	<u>77,160,000</u>	<u>1,953,000</u>	<u>2,646,000</u>	<u>11,000</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	5,000	0	74,000	0
Audit	4,249,000	53,000	11,279,000	138,000
Office of Conciliation and Mediation	70,000	0	21,000	0
Counsel	30,000	0	88,000	0
Tax Policy and Analysis	6,000	0	10,000	0
Revenue and Information Management	116,000	0	20,230,000	0
Revenue Support Services	6,000	0	28,196,000	1,587,000
Tax Compliance	604,000	0	141,000	0
Tax Enforcement	923,000	0	1,551,000	0
Taxpayer Services	11,000	1,000	2,360,000	105,000
Total	<u>6,020,000</u>	<u>54,000</u>	<u>63,950,000</u>	<u>1,830,000</u>

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	39,000	0	0	0
Audit	1,175,000	15,000	0	0
Counsel	2,000	0	0	0
Tax Policy and Analysis	2,000	0	0	0
Revenue and Information Management	1,653,000	0	898,000	43,000
Tax Compliance	642,000	0	0	0
Tax Enforcement	130,000	0	0	0
Taxpayer Services	3,000	0	0	0
Total	<u>3,646,000</u>	<u>15,000</u>	<u>898,000</u>	<u>43,000</u>

TAXATION AND FINANCE

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

<u>Program</u>	<u>Total</u>		<u>Personal Service</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Banking Services	68,886,000	911,000	0	0
Revenue and Information Management	32,907,000	393,000	17,680,000	1,258,000
Tax Compliance	5,000,000	3,000,000	0	0
Tax Enforcement	572,000	72,000	0	0
Treasury Management	2,154,000	135,000	1,442,000	97,000
Total	<u>109,519,000</u>	<u>4,511,000</u>	<u>19,122,000</u>	<u>1,355,000</u>

<u>Program</u>	<u>Nonpersonal Service</u>		<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Banking Services	0	0	68,886,000	911,000
Revenue and Information Management	15,227,000	(865,000)	0	0
Tax Compliance	5,000,000	3,000,000	0	0
Tax Enforcement	0	0	572,000	72,000
Treasury Management	712,000	38,000	0	0
Total	<u>20,939,000</u>	<u>2,173,000</u>	<u>69,458,000</u>	<u>983,000</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	750,000	750,000	0
Total	<u>750,000</u>	<u>750,000</u>	<u>0</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Administration			
General Fund	750,000	750,000	0
Total	<u>750,000</u>	<u>750,000</u>	<u>0</u>

DIVISION OF TAX APPEALS

MISSION

The Division of Tax Appeals provides taxpayers with a system of due process for resolving disputes with the Department of Taxation and Finance. The separation of tax administration from tax adjudication promotes the impartial and timely hearing of taxpayer disputes.

ORGANIZATION AND STAFFING

The Division of Tax Appeals is headed by the Tax Appeals Tribunal, which is comprised of three commissioners appointed by the Governor and confirmed by the Senate. Under the direction of the Tax Tribunal, dispute adjudication is provided through small claims hearings, formal hearings and the Tribunal appeals process. The Division holds formal hearings and Tax Tribunal oral arguments in Troy, New York City, Buffalo, Rochester, Hempstead and Hauppauge, while small claims hearings are conducted throughout the State. The Division will have a workforce of 36 positions for 2001-02.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Division's activities are supported entirely with State tax dollars, which finance the Tribunal's staff and other expenses such as rent and supplies.

The Executive Budget recommends \$3.454 million in annual General Fund support for the Division. This recommendation will enable the Tribunal to continue to provide for the timely resolution of taxpayer disputes with the Department of Taxation and Finance.

PROGRAM HIGHLIGHTS

The Division's hearing process has been substantially improved in recent years to benefit taxpayers. These improvements have allowed taxpayers more flexibility in scheduling hearings, thus minimizing delays caused by sudden cancellations.

Since January 1997, the Division has conducted formal hearings in New York City to provide easier access for taxpayers in the New York City metropolitan area. Recently, the Division expanded this initiative to Buffalo, Rochester, Hempstead and Hauppauge. At these locations, the Division utilizes existing State office space, thus providing improved service at nominal additional cost.

ALL FUNDS APPROPRIATIONS (dollars)

<u>Category</u>	<u>Available 2000-01</u>	<u>Appropriations Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations Recommended 2001-02</u>
State Operations	3,407,000	3,454,000	47,000	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	<u>3,407,000</u>	<u>3,454,000</u>	<u>47,000</u>	<u>0</u>

TAX APPEALS

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

<u>Program</u>	<u>2000-01 Estimated FTEs 03/31/01</u>	<u>2001-02 Estimated FTEs 03/31/02</u>	<u>FTE Change</u>
Administration			
General Fund	37	36	(1)
Total	<u>37</u>	<u>36</u>	<u>(1)</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	3,407,000	3,454,000	47,000
Total	<u>3,407,000</u>	<u>3,454,000</u>	<u>47,000</u>

Adjustments:

Transfer(s) From	
Special Pay Bill	
General Fund	<u>(231,000)</u>
Appropriated 2000-01	<u>3,176,000</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Administration			
General Fund	3,407,000	3,454,000	47,000
Total	<u>3,407,000</u>	<u>3,454,000</u>	<u>47,000</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

<u>Program</u>	<u>Total Personal Service</u>		<u>Personal Service Regular (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	2,959,000	47,000	2,787,000	44,000
Total	<u>2,959,000</u>	<u>47,000</u>	<u>2,787,000</u>	<u>44,000</u>

<u>Program</u>	<u>Temporary Service (Nonannual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>
Administration	172,000	3,000
Total	<u>172,000</u>	<u>3,000</u>

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

Program	Total Nonpersonal Service		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	495,000	0	38,000	0
Total	<u>495,000</u>	<u>0</u>	<u>38,000</u>	<u>0</u>
Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	55,000	0	344,000	0
Total	<u>55,000</u>	<u>0</u>	<u>344,000</u>	<u>0</u>
Program	Equipment			
	Amount	Change		
Administration	58,000	0		
Total	<u>58,000</u>	<u>0</u>		

THRUWAY AUTHORITY

In addition to operating a 641-mile toll-highway system, the Thruway Authority has jurisdiction over the New York State Canal System. Through its subsidiary, the New York State Canal Corporation, the Thruway maintains, operates, develops and makes capital improvements to the 524-mile navigable waterway which includes 57 locks, numerous dams, reservoirs and water control structures.

Revenues from canal tolls and other user fees are deposited into the Canal System Development Fund and, in accordance with the State Constitution, are used exclusively for the canals. The Executive Budget recommends a new appropriation of \$2 million and reappropriations of \$2.45 million from the Canal System Development Fund for a portion of the maintenance, construction, reconstruction, development and promotion of the canals. All other Canal System and Thruway Authority programs are supported by Authority funds and are not reflected in the Executive Budget.

ALL FUNDS APPROPRIATIONS (dollars)

<u>Category</u>	<u>Available 2000-01</u>	<u>Appropriations Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations Recommended 2001-02</u>
State Operations	0	0	0	0
Aid To Localities	0	0	0	0
Capital Projects	2,000,000	2,000,000	0	2,450,000
Total	<u>2,000,000</u>	<u>2,000,000</u>	<u>0</u>	<u>2,450,000</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Comprehensive Construction Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations 2001-02</u>
Canal Development Program				
New York State Canal System Development Fund	2,000,000	2,000,000	0	2,450,000
Total	<u>2,000,000</u>	<u>2,000,000</u>	<u>0</u>	<u>2,450,000</u>

DEPARTMENT OF TRANSPORTATION

MISSION

The Department of Transportation directly maintains and improves the State's more than 40,000 highway lane miles and 7,500 bridges. In addition, the Department partially funds locally-operated transit systems, local government highway and bridge construction and rail and airport programs.

ORGANIZATION AND STAFFING

The Department is headed by a Commissioner appointed by the Governor. The Department's main office is in Albany, with 11 regional offices in Albany, Utica, Syracuse, Rochester, Buffalo, Hornell, Watertown, Poughkeepsie, Binghamton, Hauppauge and New York City. The Department also maintains highway maintenance and equipment repair facilities across the State.

In 2001-02, the Department will have an average full-time workforce of approximately 10,700 employees. Employees perform such activities as highway maintenance, snow and ice removal, highway and bridge design and construction inspection. In addition, the Department's staff inspects school and charter buses, regulates commercial transportation, oversees public transportation systems and State-owned airports and provides administrative support for the Department.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Department is responsible for the implementation of the highway and bridge program. It is supported primarily by revenues from the Petroleum Business Tax, highway use and motor fuel taxes, and motor vehicle fees. Substantial Federal aid is also used to support the program.

The Department's operations are devoted primarily to highway maintenance, particularly snow and ice removal. The 2001-02 Executive Budget moves the \$165 million snow and ice control and arterial maintenance programs from the General Fund to the Dedicated Highway and Bridge Trust Fund. An equivalent increase in Dedicated Fund revenues is provided to fund these costs. This change will enhance the financing of the transportation capital program and will not impact the agency's operations.

The Department's regulatory programs and other operations are primarily funded by fees, miscellaneous revenues and Federal aid. Approximately \$36 million is derived from fees, including those imposed on trucks registered to transport products throughout the State, landing fees and rents levied at Republic Airport, internal service fees paid by other State agencies for consolidated printing services and revenues generated by the sale and rental of Department property, such as commercial leasing of land for parking or storage. These funds support a number of agency activities, including administrative support services, highway safety and aviation programs. The Department's \$4.1 million bus inspection program, formerly funded by the General Fund, is also moved to the Dedicated Fund by the 2001-02 Executive Budget.

Federal aid of \$9.1 million and dedicated mass transit funds totaling \$5.1 million help finance the Department's remaining operating responsibilities for mass transportation, aviation and motor-carrier safety programs.

Capital appropriations provide funding for construction and reconstruction projects on State highways, bridges, railways, and airports, as well as financing the engineering staff and private-sector consultants who work on these projects. The highway and bridge construction level will total \$1.75 billion in 2001-02, an increase of \$150 million over last year's level.

TRANSPORTATION

The centerpiece of the State's Highway and Bridge Program is the Dedicated Highway and Bridge Trust Fund, established in 1993. The Trust Fund derives its revenues from highway user fees, portions of the petroleum business tax, motor fuel tax, motor vehicle registration fees, and miscellaneous transportation related revenues. These funds are used both on a pay-as-you-go basis and to pay debt service on bonds issued by the Thruway Authority to finance portions of the State and local highway programs. In order to fund the move of the Department's snow and ice control, arterial maintenance and bus inspection programs to the Dedicated Fund in 2001-02, \$169 million of additional resources will be deposited to the Trust Fund. Beyond 2001-02, additional deposits will be made to the Dedicated Fund to finance the future costs of these programs.

The Engineering Services Fund supports the Department's engineering, planning and construction inspection activities. The Fund derives its revenues primarily from Federal aid and Dedicated Fund resources, in accordance with the funding source of individual projects.

The Department of Transportation's State and local highway capital program is the recipient of approximately \$1.5 billion annually in Federal Transportation Equity Act for the 21st Century (TEA-21) funding, distributed by Federal formulas and used only for federally approved projects primarily approved by local Metropolitan Planning Organizations.

Local highway and bridge capital programs include the Consolidated Highway Improvement Program (CHIPS) and the Municipal Streets and Highways Program ("Marchiselli" Program). These programs are funded by bonds issued by the Thruway Authority with debt service paid from the State's Dedicated Highway and Bridge Trust Fund. The CHIPS capital program will be funded at \$217.9 million, and the Marchiselli program will provide \$39.7 million in 2001-02; these levels represent a continuation of the 2000-01 level. CHIPS operating aid totals \$23.9 million, a \$34.9 million reduction from 2000-01 levels. The reduction reflects elimination of CHIPS operating aid to counties and New York City.

A \$10 million appropriation for rail freight and passenger projects will continue an enhanced rail program that will expand shipping opportunities for New York businesses, reduce costs for consumers and improve passenger transportation. In addition, \$25 million will be provided for the Industrial Access Program to promote job creation and retention by encouraging business expansion with highway, rail and port projects.

The Aid to Localities budget is comprised primarily of appropriations supported by State taxes dedicated to public transportation through the Mass Transportation Operating Assistance (MTOA) Fund and the Dedicated Mass Transportation Trust Fund. Mass Transportation Operating Assistance Fund revenues are derived from a 1/4 percent sales tax; a business tax surcharge levied in the New York City metropolitan region; and a portion of statewide taxes on transmission, transportation and petroleum-related businesses. Dedicated Mass Transportation Trust Fund revenues are derived from a share of the revenues deposited in the Transportation Dedicated Funds Pool which includes portions of the Petroleum Business Tax, the Motor Fuel Tax and Motor Vehicle fees. State operating assistance to transit systems will total approximately \$1.7 billion, an increase of \$121 million, over enacted 2000-01 levels.

Over \$1.4 billion in State operating aid is recommended for the Metropolitan Transportation Authority (MTA) in 2001-02. This includes \$386 million from the Dedicated Mass Transportation Trust Fund in support of the MTA capital program. State aid supports nearly one-fourth of the Authority's annual operating budget. The assistance includes \$45 million in General Fund support for the MTA as the State's contribution to reduced fares for New York City school children. The City will match this contribution.

Transit operators other than the MTA will receive a total of \$265 million in State operating assistance in 2001-02, an increase of approximately \$40 million from enacted 2000-01 levels. Of this amount, \$90.5 million is targeted for upstate transit systems. Capital funding of \$27.8 million is recommended for transit systems other than the MTA

from the Dedicated Mass Transportation Trust Fund. This capital program funds a variety of transit-related needs, primarily bus purchases and a portion of the required match to Federal transit capital aid.

PROGRAM HIGHLIGHTS

HIGHWAYS AND BRIDGES

Improving the State's vital transportation infrastructure remains the agency's highest priority. During the successfully completed 1995-2000 Capital Program, the Department delivered significant improvements in our highway infrastructure. Almost 900 State bridges were replaced or rehabilitated and 29,000 preventive bridge treatments were completed to slow deterioration. Approximately 45,400 lane miles of state highway were resurfaced, rehabilitated or given preventive maintenance treatment. These accomplishments, coupled with new materials technology, have resulted in markedly better conditions. The 2001-02 Budget will continue our level of commitment, emphasizing strategic investments to critical infrastructure needs. Preventive maintenance performed by State forces and private sector contractors will continue to be an area of significant concentration. Appropriations for the preventive maintenance program will total \$386.4 million in 2001-02.

State staff and private-sector consultants perform the planning, property acquisition, design engineering, environmental reviews, surveying, materials and soils testing, and construction inspection associated with the Department's capital program. Construction of virtually all highway and bridge projects is performed by private firms.

The State makes a significant investment in helping localities maintain safe roads and bridges through its CHIPS and Marchiselli capital programs. These programs primarily fund construction projects, with the majority performed by private firms.

Through its safety inspections of school and charter buses and its regulation of commercial transport, the Department also focuses its resources on passenger safety and environmental issues. In 2001-02, the Department will continue implementing the Governor's initiative to inspect heavy duty trucks and buses for emissions that can damage air quality, and has expanded its scope in the Metropolitan New York area.

PUBLIC TRANSPORTATION

The Department provides oversight and funding for more than 70 locally operated public transportation systems, including the Metropolitan Transportation Authority, the four upstate regional transportation authorities and other (usually county-sponsored) transit systems. These systems provide bus, subway, light rail and commuter rail services, as well as "paratransit" services designed to meet the needs of disabled people, as required by the Federal Americans with Disabilities Act.

State financial assistance to transit systems is supported by the Mass Transportation Operating Assistance Fund and the Dedicated Mass Transportation Trust Fund as well as the General Fund. In addition, State law authorizes the imposition of an additional mortgage recording tax in regions covered by the Metropolitan Transportation Authority and the four upstate transit authorities. These moneys are collected by the affected counties and transmitted directly to the transit systems.

TRANSPORTATION

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2000-01	Appropriations Recommended 2001-02	Change	Reappropriations Recommended 2001-02
State Operations	224,746,000	50,237,000	(174,509,000)	13,073,000
Aid To Localities	1,658,387,075	1,738,446,000	80,058,925	179,738,300
Capital Projects	8,001,660,000	3,874,462,000	(4,127,198,000)	8,651,406,000
Total	9,884,793,075	5,663,145,000	(4,221,648,075)	8,844,217,300

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2000-01 Estimated FTEs 03/31/01	2001-02 Estimated FTEs 03/31/02	FTE Change
Administration			
Internal Service Funds	42	42	0
Design and Construction			
Capital Projects Funds - Other	4,377	4,521	144
New York Metropolitan Transportation Council			
Special Revenue Funds - Other	68	68	0
Operations			
General Fund	3,537	0	(3,537)
Special Revenue Funds - Other	32	32	0
Passenger and Freight Transportation			
General Fund	86	0	(86)
Special Revenue Funds - Federal	82	82	0
Special Revenue Funds - Other	143	143	0
Capital Projects Funds - Other	0	86	86
Planning and Program Management			
Capital Projects Funds - Other	599	599	0
Preventive Maintenance			
Capital Projects Funds - Other	1,412	4,949	3,537
Real Estate			
Capital Projects Funds - Other	235	235	0
Total	10,613	10,757	144

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2000-01	Recommended 2001-02	Change
General Fund	170,012,000	0	(170,012,000)
Special Revenue Funds - Federal	7,439,000	9,143,000	1,704,000
Special Revenue Funds - Other	42,556,000	36,539,000	(6,017,000)
Internal Service Funds	4,739,000	4,555,000	(184,000)
Total	224,746,000	50,237,000	(174,509,000)

Adjustments:

Transfer(s) From	
Special Pay Bill	
General Fund	(10,750,000)
Special Revenue Funds - Federal	(325,000)
Special Revenue Funds - Other	(897,000)
Internal Service Funds	(136,000)
Appropriated 2000-01	212,638,000

TRANSPORTATION

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Operations			
General Fund	165,817,000	0	(165,817,000)
Special Revenue Funds - Other	19,053,000	21,231,000	2,178,000
Internal Service Funds	4,739,000	4,555,000	(184,000)
Passenger and Freight Transportation			
General Fund	4,195,000	0	(4,195,000)
Special Revenue Funds - Federal	7,439,000	9,143,000	1,704,000
Special Revenue Funds - Other	23,503,000	15,308,000	(8,195,000)
Total	<u>224,746,000</u>	<u>50,237,000</u>	<u>(174,509,000)</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

<u>Program</u>	<u>Total Personal Service</u>		<u>Personal Service Regular (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Operations	0	(135,215,000)	0	(119,454,000)
Passenger and Freight Transportation	0	(4,195,000)	0	(4,195,000)
Total	<u>0</u>	<u>(139,410,000)</u>	<u>0</u>	<u>(123,649,000)</u>

<u>Program</u>	<u>Holiday/Overtime Pay (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>
Operations	0	(15,761,000)
Passenger and Freight Transportation	0	0
Total	<u>0</u>	<u>(15,761,000)</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

<u>Program</u>	<u>Total Nonpersonal Service</u>		<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Operations	0	(30,602,000)	0	(30,602,000)
Total	<u>0</u>	<u>(30,602,000)</u>	<u>0</u>	<u>(30,602,000)</u>

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

<u>Program</u>	<u>Total</u>		<u>Personal Service</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Operations	25,786,000	1,994,000	2,029,000	17,000
Passenger and Freight Transportation	24,451,000	(6,491,000)	11,472,000	(200,000)
Total	<u>50,237,000</u>	<u>(4,497,000)</u>	<u>13,501,000</u>	<u>(183,000)</u>

<u>Program</u>	<u>Nonpersonal Service</u>		<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Operations	2,701,000	(165,000)	21,056,000	2,142,000
Passenger and Freight Transportation	9,919,000	(6,358,000)	3,060,000	67,000
Total	<u>12,620,000</u>	<u>(6,523,000)</u>	<u>24,116,000</u>	<u>2,209,000</u>

TRANSPORTATION

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	230,041,075	185,345,000	(44,696,075)
Special Revenue Funds - Federal	27,568,000	32,096,000	4,528,000
Special Revenue Funds - Other	<u>1,400,778,000</u>	<u>1,521,005,000</u>	<u>120,227,000</u>
Total	<u>1,658,387,075</u>	<u>1,738,446,000</u>	<u>80,058,925</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Additional Mass Transportation Assistance Program			
General Fund	0	54,300,000	54,300,000
Special Revenue Funds - Other	0	37,000,000	37,000,000
Consolidated Local Highway Assistance Program			
General Fund	58,797,000	23,888,000	(34,909,000)
Dedicated Mass Transportation Trust Program			
Special Revenue Funds - Other	324,646,000	386,000,000	61,354,000
Federal Highway Administration Local Planning			
Special Revenue Funds - Federal	7,106,000	10,566,000	3,460,000
Urban Mass Transportation Administration Local Planning			
Special Revenue Funds - Federal	2,717,000	2,917,000	200,000
Mass Transportation Assistance Program			
General Fund	90,000,000	45,000,000	(45,000,000)
Mass Transportation Special Assistance Program			
General Fund	3,000,000	3,000,000	0
Inter City Rail Passenger Service			
General Fund	11,911,000	1,141,000	(10,770,000)
Passenger and Freight Transportation			
General Fund	58,016,000	58,016,000	0
Special Revenue Funds - Federal	17,745,000	18,613,000	868,000
Special Revenue Funds - Other	866,736,000	888,659,000	21,923,000
Section 18-B Program			
Special Revenue Funds - Other	165,989,000	165,989,000	0
Special Transit Aid			
Special Revenue Funds - Other	43,407,000	43,357,000	(50,000)
Community Projects			
General Fund	<u>8,317,075</u>	<u>0</u>	<u>(8,317,075)</u>
Total	<u>1,658,387,075</u>	<u>1,738,446,000</u>	<u>80,058,925</u>

TRANSPORTATION

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Comprehensive Construction Program	Available 2000-01	Recommended 2001-02	Change	Reappropriations 2001-02
Aviation				
Airport or Aviation Program				
Capital Projects Fund - Aviation (Bondable)	0	0	0	4,219,000
Capital Projects Fund - Infrastructure Renewal (Bondable)	0	0	0	1,500,000
Airport or Aviation Program -- Bondable				
Capital Projects Fund - Aviation (Bondable)	0	0	0	1,047,000
Airport or Aviation State Program				
Dedicated Highway and Bridge Trust Fund	6,000,000	8,000,000	2,000,000	22,778,000
Passenger Facility Charge Fund	0	0	0	0
Regional Aviation Fund	0	0	0	34,864,000
Aviation				
Transportation Capital Facilities Bond Fund - Aviation	0	0	0	10,261,000
Federal Airport or Aviation				
Federal Capital Projects Fund	10,000,000	0	(10,000,000)	20,193,000
Special Rail and Aviation Program				
Dedicated Highway and Bridge Trust Fund	0	0	0	74,000
Transportation Capital Facilities Bond Fund--Aviation				
Transportation Capital Facilities Bond Fund - Aviation	0	0	0	0
Bond Proceeds				
Transportation Infrastructure Bonds of 2000				
Transportation Infrastructure Bond Fund	3,800,000,000	0	(3,800,000,000)	0
Canals and Waterways				
Canals and Waterways - Bondable				
Capital Projects Fund - Infrastructure Renewal (Bondable)	0	0	0	485,000
Economic Development				
Non-Federal Aided Highway Capital Projects				
Dedicated Highway and Bridge Trust Fund	25,000,000	25,000,000	0	35,000,000
Health and Safety				
Non-Federal Aided Highway Capital Projects				
Dedicated Highway and Bridge Trust Fund	0	4,164,000	4,164,000	0
Highway Facilities				
Accelerated Capacity and Transportation Improvements Fund				
Accel Capacity and Transport Improvemts Fund	0	0	0	79,635,000
Airport or Aviation State Program				
Dedicated Highway and Bridge Trust Fund	0	0	0	2,870,000
Engineering Services				
Engineering Services Fund	658,224,000	636,684,000	(21,540,000)	916,325,000
NY Metro Transportation Council Account	8,210,000	10,822,000	2,612,000	17,833,000
Federal Aid Highways - Bondable Purpose				
Capital Projects Fund - Infrastructure Renewal (Bondable)	0	0	0	9,197,000
Federal Aid Highways - Federal Purpose				
Federal Capital Projects Fund	1,490,500,000	1,490,500,000	0	4,347,738,000
Federal Aid Highways State				
Dedicated Highway and Bridge Trust Fund	0	0	0	0
Highway Facilities				
Dedicated Highway and Bridge Trust Fund	0	0	0	42,710,000
Suburban Transportation Fund	0	0	0	13,707,000
Infrastructure Bond Act Projects				
Capital Projects Fund - Infrastructure Renewal (Bondable)	0	0	0	7,747,000
Lake Champlain Bridges Program				
Lake Champlain Bridge Fund	0	0	0	0
Multi-Modal				
Dedicated Highway and Bridge Trust Fund	150,000,000	0	(150,000,000)	150,000,000
Municipal Highway - Railroad Crossing Alterations				
Capital Projects Fund - Advances	0	0	0	331,000
Dedicated Highway and Bridge Trust Fund	0	0	0	1,568,000

TRANSPORTATION

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Comprehensive Construction Program	Available 2000-01	Recommended 2001-02	Change	Reappropriations 2001-02
New York State Agency Fund				
Fiduciary funds - Misc Combined Expendible Trust Fund	50,000,000	50,000,000	0	81,652,000
Non-Federal Aided Highway Capital Projects				
Dedicated Highway and Bridge Trust Fund	1,272,138,000	1,565,477,000	293,339,000	2,410,557,000
Other Highway Aid				
Community Projects Fund	0	0	0	0
Dedicated Highway and Bridge Trust Fund	0	0	0	5,074,000
Other Transportation Aid				
Dedicated Highway and Bridge Trust Fund	0	0	0	415,000
Priority Bond Act Projects				
Capital Projects Fund - Infrastructure Renewal (Bondable)	0	0	0	13,366,000
Road and Bridge Improvements - Bondable				
Capital Projects Fund - A.C. and T.I. Fund (Bondable)	0	0	0	78,915,000
Small and Minority and Women-Owned Small Business Assistance				
Dedicated Highway and Bridge Trust Fund	0	0	0	3,700,000
Special Parkway Rehabilitation Program				
Special Parkway Rehabilitation Fund	0	0	0	0
State Parkways				
Dedicated Highway and Bridge Trust Fund	0	0	0	0
Transportation Infrastructure Renewal Bond Fund				
Transportation Infrastructure Renewal Bond Fund	0	0	0	48,075,000
Maintenance Facilities				
Maintenance Facilities				
Dedicated Highway and Bridge Trust Fund	30,000,000	31,000,000	1,000,000	61,518,000
Mass Transportation and Rail Freight				
Marine Projects				
Dedicated Mass Transportation Fund	0	0	0	954,000
Mass Transportation				
Dedicated Mass Transportation Fund	15,568,000	15,815,000	247,000	68,285,000
Mass Transportation and Rail Freight				
Capital Projects Fund - Advances	0	0	0	0
Capital Projects Fund - Energy Conservation (Bondable)	0	0	0	2,130,000
Capital Projects Fund - Rail Preservation (Bondable)	0	0	0	0
Dedicated Highway and Bridge Trust Fund	10,000,000	10,000,000	0	23,306,000
Dedicated Mass Transportation Fund	27,000,000	27,000,000	0	39,784,000
Federal Capital Projects Fund	0	0	0	21,152,000
Mass Transportation and Rail Freight Bondable				
Capital Projects Fund - Infrastructure Renewal (Bondable)	0	0	0	12,923,000
Rail Freight				
Capital Projects Fund - Advances	0	0	0	24,450,000
Capital Projects Fund - Infrastructure Renewal (Bondable)	0	0	0	1,908,000
Dedicated Mass Transportation Fund	0	0	0	5,279,000
Rail Preservation and Development Fund				
Energy Conservation Through Improved Transportation Bond Fund	0	0	0	2,145,000
Rail Preservation Development Bond Fund	0	0	0	0
Small and Minority and Women-Owned Small Business Assistance				
Dedicated Mass Transportation Fund	0	0	0	5,000,000
Special Rail and Aviation Program				
Dedicated Mass Transportation Fund	0	0	0	20,610,000
Transportation Capital Facilities Bond Fund--Mass Transportation				
Transportation Capital Facilities Bond Fund - Mass Transportation	0	0	0	0

TRANSPORTATION

**CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Comprehensive Construction Program	Available 2000-01	Recommended 2001-02	Change	Reappropriations 2001-02
Port Development				
Port Development Bondable				
Capital Projects Fund - Infrastructure Renewal (Bondable)	0	0	0	126,000
Transportation 2000 Bondable				
Transportation Bondable				
Capital Projects Fund-Transportation 2000 (Bondable)	<u>449,020,000</u>	<u>0</u>	<u>(449,020,000)</u>	<u>0</u>
Total	<u>8,001,660,000</u>	<u>3,874,462,000</u>	<u>(4,127,198,000)</u>	<u>8,651,406,000</u>