

DIVISION OF PAROLE

MISSION

The Division of Parole, which consists of the Board of Parole and Division staff, provides offenders who have been released from prison the opportunity to become productive, law-abiding members of the community while limiting public risk.

The Parole Board determines when an inmate should be released from prison through a hearing process. The Division also supervises parolees in the community, investigates alleged violations, revokes parole when warranted and arranges for services to help the parolees remain productive and law-abiding members of the community.

ORGANIZATION AND STAFFING

The 19 members of the Board of Parole are appointed by the Governor and confirmed by the Senate for six-year terms. One member is designated by the Governor to serve as the Board's Chair and chief executive officer of the Division. The Board members review the cases of inmates eligible for parole and determine if and when the offender should be released to parole supervision. The Board members establish the conditions the parolee must abide by in the community, and revoke parole in cases where parolees fail to maintain the conditions of their release.

The Division's administrative staff are located in its central office in Albany. Parole operations staff are distributed across the state in 71 correctional facilities and approximately 35 community-based supervision offices.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

In 2001-02, approximately \$147 million in State tax dollars and \$5.8 million in Federal funds will enable the Division of Parole to promote public safety by preparing inmates for release, supervising offenders in the community, and arranging for treatment services for parolees. The State Operations recommendations build on prior year initiatives designed to supervise offenders in the community by providing intensive parole officer caseloads for violent felony offenders, sex offenders and for those parolees who complete the drug treatment program at the Willard Drug Treatment Campus.

Approximately \$40.9 million in State tax dollars and \$2.7 million in Federal funds are recommended to support the Aid to Localities portion of the budget. This funding finances vocational development and relapse prevention programs, and supports initiatives designed to positively impact the revocation process, such as the High Impact Incarceration Program (HIIP) in New York City, more efficient processing of parole violators in New York City and a Monroe County Diversion Program.

PROGRAM HIGHLIGHTS

In addition to closely monitoring offenders in the community, the Division helps prevent parolees from reverting to a life of crime by contracting for various rehabilitative services such as substance abuse counseling, residential treatment, and employment training and programming.

PAROLE OPERATIONS

The Parole Operations program, the core of the State parole system, comprises three major areas: preparing inmates for parole, assisting the Board in making parole release determinations, and supervising parolees upon release. As a result of changes to the

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Penal Law by the Sentencing Reform Act of 1995 and Jenna's Law, violent felony offenders will be subject to determinate sentences and not eligible for early parole release. Those offenders will, however, be subject to fixed periods of post-release supervision upon their release from prison, and monitored by Parole Officers. An inmate must have housing and employment prospects before being released from a State prison. Parole Officers attempt to obtain housing, employment and other services before an inmate appears before the Board. At the same time, parole staff assigned to prisons assess an inmate's readiness for release by reviewing his or her case history. The staff also summarizes an inmate's rehabilitation progress, family background, and housing and employment prospects for the Board's consideration.

When offenders are released from prison, they are assigned to a Parole Officer for the balance of their sentence. The supervising Parole Officer monitors behavior and helps the parolee locate and maintain employment.

ALL FUNDS APPROPRIATIONS (dollars)

<u>Category</u>	<u>Available 2000-01</u>	<u>Appropriations Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations Recommended 2001-02</u>
State Operations	147,178,800	153,632,000	6,453,200	3,447,500
Aid To Localities	55,506,700	48,924,000	(6,582,700)	8,427,400
Capital Projects	0	0	0	0
Total	<u>202,685,500</u>	<u>202,556,000</u>	<u>(129,500)</u>	<u>11,874,900</u>

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

<u>Program</u>	<u>2000-01 Estimated FTEs 03/31/01</u>	<u>2001-02 Estimated FTEs 03/31/02</u>	<u>FTE Change</u>
Administration			
General Fund	118	118	0
Parole Operations			
General Fund	2,247	2,242	(5)
Special Revenue Funds - Federal	54	32	(22)
Total	<u>2,419</u>	<u>2,392</u>	<u>(27)</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	144,713,900	147,007,000	2,293,100
Special Revenue Funds - Federal	1,639,900	5,800,000	4,160,100
Special Revenue Funds - Other	400,000	400,000	0
Fiduciary Funds	425,000	425,000	0
Total	<u>147,178,800</u>	<u>153,632,000</u>	<u>6,453,200</u>
Adjustments:			
Transfer(s) From			
Special Pay Bill			
General Fund		<u>(9,821,000)</u>	
Appropriated 2000-01	<u>137,357,800</u>		

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Administration			
General Fund	8,138,600	8,276,000	137,400
Parole Operations			
General Fund	136,575,300	138,731,000	2,155,700
Special Revenue Funds - Federal	1,639,900	5,800,000	4,160,100
Special Revenue Funds - Other	400,000	400,000	0
Expendable Trust Funds	<u>425,000</u>	<u>425,000</u>	<u>0</u>
Total	<u>147,178,800</u>	<u>153,632,000</u>	<u>6,453,200</u>

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

<u>Program</u>	<u>Total Personal Service</u>		<u>Personal Service Regular (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	6,852,000	137,300	6,724,700	135,200
Parole Operations	<u>114,048,000</u>	<u>1,458,100</u>	<u>110,349,500</u>	<u>1,137,500</u>
Total	<u>120,900,000</u>	<u>1,595,400</u>	<u>117,074,200</u>	<u>1,272,700</u>

<u>Program</u>	<u>Temporary Service (Nonannual Salaried)</u>		<u>Holiday/Overtime Pay (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	0	0	127,300	2,100
Parole Operations	<u>38,500</u>	<u>0</u>	<u>3,660,000</u>	<u>320,600</u>
Total	<u>38,500</u>	<u>0</u>	<u>3,787,300</u>	<u>322,700</u>

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

<u>Program</u>	<u>Total Nonpersonal Service</u>		<u>Supplies and Materials</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	1,424,000	100	36,800	0
Parole Operations	<u>24,683,000</u>	<u>697,600</u>	<u>986,800</u>	<u>0</u>
Total	<u>26,107,000</u>	<u>697,700</u>	<u>1,023,600</u>	<u>0</u>

<u>Program</u>	<u>Travel</u>		<u>Contractual Services</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	237,900	0	1,135,200	100
Parole Operations	<u>3,630,100</u>	<u>315,000</u>	<u>18,873,700</u>	<u>382,600</u>
Total	<u>3,868,000</u>	<u>315,000</u>	<u>20,008,900</u>	<u>382,700</u>

<u>Program</u>	<u>Equipment</u>	
	<u>Amount</u>	<u>Change</u>
Administration	14,100	0
Parole Operations	<u>1,192,400</u>	<u>0</u>
Total	<u>1,206,500</u>	<u>0</u>

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**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

<u>Program</u>	<u>Total</u>		<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Parole Operations	4,725,000	4,300,000	4,725,000	4,300,000
Total	<u>4,725,000</u>	<u>4,300,000</u>	<u>4,725,000</u>	<u>4,300,000</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	47,514,300	40,931,000	(6,583,300)
Special Revenue Funds - Federal	2,742,400	2,743,000	600
Internal Service Funds	<u>5,250,000</u>	<u>5,250,000</u>	<u>0</u>
Total	<u>55,506,700</u>	<u>48,924,000</u>	<u>(6,582,700)</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Parole Operations			
General Fund	47,514,300	40,931,000	(6,583,300)
Special Revenue Funds - Federal	2,742,400	2,743,000	600
Internal Service Funds	<u>5,250,000</u>	<u>5,250,000</u>	<u>0</u>
Total	<u>55,506,700</u>	<u>48,924,000</u>	<u>(6,582,700)</u>